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Assessing the Evidence of Effectiveness of Home Visiting Program Models Implemented in Tribal Communities Final Report September 2014



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# Assessing the Evidence of Effectiveness of Home Visiting Program Models Implemented in Tribal Communities

September 2014

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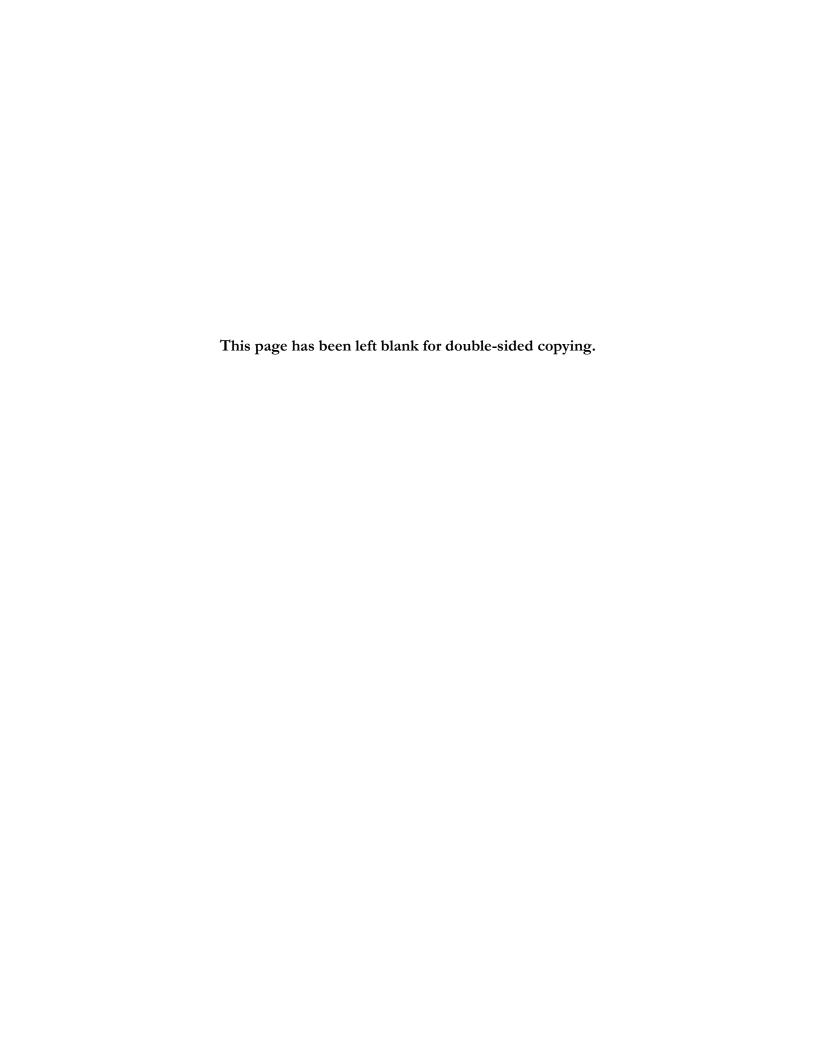
#### **CONTENTS**

INTROD	UCT	ION	1
I		MVEE REVIEW PROCESS AND DESCRIPTIVE INFORMATION ABOUT ME VISITING PROGRAM MODELS	3
	A.	Review Process	3
		Literature Search      Screening Studies      Rating the Quality of Impact Studies      Assessing Evidence of Effectiveness      Implementation Reviews      Addressing Conflicts of Interest	6 8
	B.	Review Results	9
		Study Ratings     Subsample Analyses     Evidence of Effectiveness of the Home Visiting Models	10
	C.	Descriptive Information About Home Visiting Program Models	15
		Participant Outcomes Measures in Causal Studies     Home Visiting Program Model Descriptions	
11	LES	SSONS LEARNED	28
	A.	Adapting or Developing Culturally Relevant Home Visiting Program Models	28
	B.	Delivering Home Visiting Services	30
	C.	Challenges to Conducting Research in Tribal Communities	34
III	RE	COMMENDATIONS FOR FUTURE DIRECTIONS	35
	A.	Research to Support Model Development and Implementation	35
	B.	Research to Test Effectiveness of Program Models	36
	C.	Recommendations to Strengthen Research	36
REFERE	NCE	S	40
APPEND	IX A	: STUDIES FROM WHICH DESCRIPIVE INFORMATION EXTRACTED	. A.1
APPEND	IX B	: STUDY CHARACTERISTICS	. B.1
APPEND	IX C	: PARTICIPANT OUTCOME MEASURES, BY DOMAIN AND STUDY	. C.1
APPEND	IX D	: OVERVIEW OF HOME VISITING PROGRAM MODELS	. D.1

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#### **TABLES**

l.1	Results of the Literature Search and Screening Process
1.2	Overview of Causal Studies Included in the HomVEE Review
1.3	Number of Participant Outcomes Measured, by Domain
1.4	Target Outcome Domains of the Home Visiting Program Models
1.5	Home Visiting Program Model Components
1.6	Program Frequency and Duration
1.7	Target Populations
1.8	Location of Services and Implementing Agency
1.9	Home Visitor Qualifications
I.10	Home Visitor Training and Technical Assistance
A.1	List of Studies from Which Descriptive Information Was Extracted A.3
C.1	Child Health Outcome Measures, by Study
C.2	Maternal Health Outcome Measures, by Study C.7
C.3	Child Development and School Readiness Outcome Measures, by Study C.13
C.4	Family Economic Self-Sufficiency Outcome Measures, by Study C.19
C.5	Positive Parenting Practices Outcome Measures, by Study
C.6	Outcome Measures of Reductions in Child Maltreatment, by Study C.26
C.7	Outcome Measures of Reductions in Juvenile Delinquency, Family Violence, and Crime, by Study
D.1	Overview of Home Visiting Program Models



#### INTRODUCTION

In June 2010, the Administration for Children and Families (ACF), Office of Child Care, in partnership with the Health Resources and Services Administration (HRSA), announced the availability of funds and requested applications for the fiscal year 2010 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Grant Program. The legislation set aside 3 percent of the total Maternal, Infant, and Early Childhood Home Visiting Program appropriation (authorized in Section 511(j)) for grants to federally recognized tribes (or a consortia of tribes), tribal organizations, or urban Indian organizations and required that the tribal grants, to the greatest extent practicable, be consistent with the requirements of the Maternal, Infant, and Early Childhood Home Visiting Program grants to states and territories (authorized in Section 511(c)).

The overall goals of the ACA Maternal, Infant, and Early Childhood Home Visiting Program grants to states and territories are to strengthen and improve maternal and child health programs; improve service coordination for at-risk communities; and identify and provide comprehensive home visiting services to families who reside in at-risk communities. The Maternal, Infant, and Early Childhood Home Visiting Program will enable states to utilize what is known about effective home visiting services to provide evidence-based program models that promote outcomes such as improvements in maternal and prenatal health, infant health, and child health and development; reduced child maltreatment; improved parenting practices related to child development outcomes; improved school readiness; improved family socioeconomic status; improved coordination of referrals to community resources and supports; and reduced incidence of injuries, crime, and domestic violence. The ACA Tribal Maternal, Infant, and Early Childhood Home Visiting Program mirrors the state program to the maximum extent practicable, with the goal of supporting the development of American Indian and Alaska Native (AIAN) children and families through a coordinated, high-quality, evidence-based home visiting strategy. The tribal program is designed to support the implementation of high-quality, culturally relevant home visiting programs using models that have demonstrated evidence of effectiveness.

In preparation for the federal home visiting initiative, the Office of Planning, Research and Evaluation at ACF, U.S. Department of Health and Human Services (DHHS) contracted with Mathematica Policy Research in fall 2009 to launch Home Visiting Evidence of Effectiveness (HomVEE), a systematic review of home visiting research. HomVEE reviewed the literature and assessed the evidence of effectiveness of home visiting program models that serve families with pregnant women and children from birth to age 5. The HomVEE review provides states and other stakeholders with information about which home visiting program models have shown evidence of effectiveness as required by the legislation as well as with detailed information about the samples of families who participated in the research, the outcomes measured in each study, and the implementation guidelines for each program model (detailed information and results available at: <a href="http://www.acf.hhs.gov/programs/opre/homvee">http://www.acf.hhs.gov/programs/opre/homvee</a>). A summary of the review findings is available in the Home Visiting Evidence of Effectiveness Review: Executive Summary (Avellar et al., 2014); detailed findings are available on the HomVEE website.

This report describes the review process and findings for a similar systematic review of home visiting program models implemented in tribal communities or evaluated with AIAN families and children. To assess the evidence of effectiveness of culturally relevant models that have been implemented in tribal communities, the HomVEE team conducted a systematic review focusing specifically on studies relevant to tribal communities.

Our search for relevant studies included consideration of research and evaluation conducted in indigenous communities outside of the United States. While there is tremendous variation between Native and indigenous communities within the United States and across the globe, they share similarities such as traditional culture, historical trauma from colonization, and health disparities. Lessons learned from the implementation and evaluation of culturally relevant home visiting in indigenous settings outside the United States can provide useful information to AIAN communities as they make decisions about home visiting and its evaluation in their own communities.

The HomVEE systematic review identified a limited body of research and few rigorous studies of tribal home visiting programs. Given the lack of models that have evidence of effectiveness with tribal populations, the HomVEE team, in partnership with ACF, sought to identify lessons learned from the existing literature. To make the most of the available information, HomVEE extracted descriptive information from each relevant study about the participant outcomes that were evaluated to gain a better understanding of the targeted domains that have and have not been studied. The HomVEE team also gathered descriptive information about home visiting program models that have been implemented with Native populations. With the understanding that additional research is needed on these models, Indian tribes (or a consortia of tribes), tribal organizations, or urban Indian organizations, including the ACA Tribal Maternal, Infant, and Early Childhood Home Visiting Program grantees, may find this information useful in determining whether these existing home visiting models would be a good fit for their communities and whether implementing the models in their communities would be feasible. Finally, the team summarized lessons learned across studies on three topics: (1) the adaptation of existing models and the development of new models culturally relevant to AIAN families and children, (2) the implementation challenges programs faced and their strategies for overcoming them, and (3) the challenges evaluators faced conducting studies of the program models.

This report describes the findings from the review of home visiting programs implemented in tribal communities or evaluated with AIAN families and children. The original review was conducted in fall 2010, and the report was released in February 2011. This report is updated annually, most recently in August 2014 based on studies identified through an updated literature search conducted in early 2014 to identify new studies released during 2013, as well as to incorporate studies identified by the HomVEE team as including an AIAN population not previously in the report.

In Chapter I, we describe the review process the HomVEE team used to identify, screen, and assess the research literature and the review results; we present descriptive information from the studies on participant outcome measures; and we provide descriptions of the home visiting model characteristics. In Chapter II, we describe the lessons learned across studies, with a focus on cultural

<sup>&</sup>lt;sup>1</sup> For the purposes of the HomVEE review, we included studies in which at least 10 percent of sample members were AIAN participants.

relevance and implementation. In Chapter III, we conclude by proposing considerations for building the research literature on tribal home visiting programs.

### I. HOMVEE REVIEW PROCESS AND DESCRIPTIVE INFORMATION ABOUT HOME VISITING PROGRAM MODELS

To carry out the HomVEE review of home visiting programs implemented in tribal communities (including indigenous communities outside the United States), Mathematica conducted a thorough search of the research literature on home visiting in fall 2010, issued a call for studies in fall 2010 to identify additional research, reviewed the literature, assessed the quality of research studies, and evaluated the strength of evidence for specific home visiting program models. Each year, the team conducts another literature search to identify new studies, most recently in early 2014 to identify new studies released during 2013. The report also includes studies published before 2013 but identified by the HomVEE team as including an AIAN population in 2014. These activities mirror those conducted for the general HomVEE review. Information about the review process is available in the *Home Visiting Evidence of Effectiveness Review: Executive Summary* (Avellar et al., 2014), which provides additional detail about the activities described below. This chapter describes the activities conducted as part of the review process and describes the findings from the review. We then describe the participant outcomes measured by the studies and provide detailed information about the home visiting program models evaluated across the studies.

#### A. Review Process

To conduct a thorough and transparent review of the tribal home visiting research literature, the HomVEE team performed six main activities:

- 1. Conducted a broad literature search.
- 2. Screened studies for relevance.
- 3. Rated the quality of impact studies with eligible designs.
- 4. Assessed the evidence of effectiveness for each program model.
- 5. Reviewed implementation information for each program model.
- 6. Addressed potential conflicts of interest.

#### 1. Literature Search

The HomVEE team conducted a broad search for literature on home visiting program models implemented in tribal communities or those with samples that included substantial proportions of AIAN participants. To increase the chance for identifying research that would be relevant to AIAN communities, literature on home visiting programs conducted in indigenous communities outside the United States was included. The target population included pregnant women or families with children from birth to age 5. The team limited the search to research on models that used home visiting as the primary service delivery strategy and offered home visits to most or all participants. Models that provide services primarily in centers and use only supplemental home visits were excluded. The search was also limited to research on home visiting models that aimed to improve outcomes in at least one of eight domains specified in the legislation:

- 1. Child health
- 2. Maternal health
- 3. Child development and school readiness
- 4. Family economic self-sufficiency
- 5. Linkages and referrals
- 6. Positive parenting practices
- 7. Reductions in child maltreatment
- 8. Reductions in juvenile delinquency, family violence, and crime

HomVEE's literature search included three main activities:

- 1. **Database Searches.** The HomVEE team searched on relevant keywords in a range of research databases. Keywords included terms related to the service delivery approach, target population, and outcome domains of interest. In addition to the key terms included in the general HomVEE literature search, this search included key words aimed at identifying studies conducted in tribal communities or with AIAN families and children, including tribe, tribal, Indian, Native American, Alaska Native, Aboriginal, indigenous, and Nation(s). The search was limited to studies published since 1989.
- 2. Call for Studies. HomVEE issued an annual call for studies for research on home visiting program models, including models implemented in tribal communities or evaluated with AIAN families and children.
- 3. **Website Searches.** The HomVEE team used a custom Google engine to search more than 50 government, university, research, and nonprofit websites for unpublished reports and papers. Results of this search, however, largely overlapped with the results of the first two activities and this activity was dropped in subsequent years.

In fall 2010, HomVEE identified 213 unduplicated studies of home visiting program models implemented in tribal communities, including 5 unduplicated studies through the call for studies.<sup>2</sup> HomVEE identified 7 more unduplicated studies during the 2011 review,<sup>3</sup> 87 during the 2012 review,<sup>4</sup> 65 during the 2013 review,<sup>5</sup> and 77 during the 2014 review.<sup>6</sup> Beginning with the 2012 review, the HomVEE review covered research published or released through December of the

<sup>&</sup>lt;sup>2</sup> Of the 213 unduplicated studies identified through the literature search on tribal home visiting programs, 154 had been identified during the general HomVEE literature search conducted in 2009.

<sup>&</sup>lt;sup>3</sup> Of these seven studies, two were submitted through the call for studies, and the other five were identified in the general HomVEE literature search and then identified as including an AIAN sample during the 2011 review.

<sup>&</sup>lt;sup>4</sup> Of these 87 studies, 4 were identified in the general HomVEE literature search and then identified as including an AIAN sample during the 2012 review.

<sup>&</sup>lt;sup>5</sup> Of these 65 studies, 8 studies were identified in the general HomVEE literature search and then identified as including an AIAN sample during the 2013 review.

<sup>&</sup>lt;sup>6</sup> Of these 77 studies, 1 was identified through the 2014 call for studies, 1 was identified in the general HomVEE literature search and then identified as including an AIAN sample during the 2014 review, and 3 were submitted in response to an author query for an identified study.

preceding year or unpublished material received through the HomVEE call for studies that closed in early January.

#### 2. Screening Studies

The HomVEE review team used a two-step screening process. First, all studies identified through the literature search were screened and all citations that were not studies or were not relevant were screened out (Table I.1). The citations that were not studies included newspaper articles, literature reviews, and editorials. Many of the off-topic studies were medical interventions, such as home visiting programs to treat diabetes among older adults. Others were summaries of child maltreatment rates, but did not examine a specific intervention.

Then, the HomVEE team examined the remaining citations for relevance and screened out studies for the following reasons; some studies were screened out for multiple reasons (Table I.1):

- The study did not have an eligible design.
- The study did not examine a named program.
- The program did not include an eligible target population (pregnant women and families with children from birth to age 5 from tribal or indigenous communities).
- Home visiting was not the primary service delivery strategy.
- The study did not examine at least one outcome from one of the eight eligible domains.
- The study was not published in English.
- The study was published before 1989.
- The citation was not a primary study.

Although many studies have been identified through the literature search each year, far fewer have been eligible for review. At the conclusion of the screening process in fall 2010, 9 causal studies and 10 standalone implementation or descriptive outcome studies were screened in and included in the review. In the 2011 review, the HomVEE team identified six additional studies, including five randomized controlled trials (RCTs) and one standalone implementation study. In the 2012 review, the HomVEE team screened in four causal studies, including one RCT and three quasi-experimental design (QED) studies. In the 2013 review, the HomVEE team screened in six causal studies, including four RCTs and two QED studies, and six standalone implementation studies. The 2014 review included two RCTs and two QED studies.

Table I.1. Results of the Literature Search and Screening Process

Screening Disposition	Number of Studies in 2010 Review	Number of Studies in 2011 Review	Number of Studies in 2012 Review	Number of Studies in 2013 Review	Number of Studies in 2014 Review
Total number of unduplicated studies identified through the literature search	213	7	87	65	77
Screening Step 1					
Screened in	56	7	20	36	35
Screened out					
Non-studies	34	0	7	0	4
Off-topic studies <sup>a</sup>	123	0	59	29	38
Screening Step 2					
Screened in	19	6	4	12	4
Screened out b					
The study did not have an eligible design.	7	1°	1°	5	7
The study did not examine a named program.	6	0	0	2	2
The program did not include an eligible target population (AIAN pregnant women and families with children from birth to age 5).	11	0	2	6	7
Home visiting was not the primary service delivery strategy.	8	0	12	5	12
The study did not examine any outcomes in the eight eligible domains listed above.	2	0	0	3	0
The study was not published in English.	1	0	0	1	0
The study was published before 1989.	3	0	0	0	0
The citation was not a primary study.	14	0	3	4	3

<sup>&</sup>lt;sup>a</sup>Off-topic studies include medical studies unrelated to home visiting as well as other unrelated studies (e.g., education topics or elder care with a home visitation component).

#### 3. Rating the Quality of Impact Studies

Assessing whether a model is effective requires a study design that can establish that a model caused the observed outcomes. A study's potential to establish causality and rule out other reasons for the observed outcomes is known as *internal validity*. To link a program model and outcomes, a study tries to establish the counterfactual: what would have happened in absence of the program. The ideal—and impossible—method for determining the counterfactual is to observe the same group simultaneously receiving and not receiving the program. Without the possibility of establishing the true counterfactual, studies use a comparison group or condition, which is intended

<sup>&</sup>lt;sup>b</sup>Some studies were screened out for multiple reasons.

<sup>&</sup>lt;sup>c</sup>Two studies of SafeCare that initially screened in as QEDs were later screened out because it was determined that the studies used a design that was not eligible for review under HomVEE.

to represent what would have happened to the treatment group in the absence of the program. A study has strong internal validity if the research groups that are compared to estimate program effects have very similar initial characteristics. If the groups are not similar initially, one cannot be certain whether differences in outcomes that emerge between the groups are due to the effect of the program or to these initial differences.

The HomVEE review rated studies on their ability to produce unbiased estimates of a program model's effect, which requires strong internal validity. The rating system helps distinguish between studies in which we have more confidence that the observed findings were caused by the program and studies in which they may be the result of other unobserved differences between the program and comparison conditions, such as participant motivation. Only study designs where the selection process for these conditions is completely known, including RCTs, single-case designs (SCD), and regression discontinuity (RD) designs, can receive the highest rating.

- An RCT—where participants are assigned to the treatment or comparison groups by chance—has the potential for strong internal validity. The primary advantage of randomly assigning participants is that the groups are balanced, on average, for characteristics that are known, such as race and ethnicity and education, and characteristics that may be unknown, such as patience or motivation. If the groups are the same before the program, any post-treatment differences between the groups that are too large to be due to chance are attributable to the program. However, certain factors—such as the number of participants who drop out of the study—can compromise the design and weaken the study's ability to draw causal conclusions. In the HomVEE review, an RCT could receive a high, moderate, or low study-quality rating depending on the presence of these factors.
- In an SCD, the same case, which can be an individual or group, serves as its own control. This differs from a pre/post design, however, because multiple measures of the outcome are taken before and after the program. Thus, a trend of performance can be established prior to, during, and/or after the program. Further, the demonstration of an effect can be replicated in various ways, for example, if the program is introduced, withdrawn, and then introduced again (known as an ABAB design, it is generally used if expected effects will not carry over when the program is withdrawn). To receive a high rating in the HomVEE review, a study had to include at least three attempts to demonstrate an effect, systematically manipulate the introduction and withdrawal of a program, establish inter-assessor agreement on the outcomes, and have at least five data points in each phase.
- RD is another design that can establish a strong causal link between a program and outcomes. In an RD design, the sample is assigned to treatment and comparison conditions based on the value of a continuous "scoring" variable. An example is an intervention in which children are given a pretest; those who score below a certain cutoff receive treatment and those above the cutoff are in the comparison condition. Because the selection process is known and can be perfectly measured the analysis can adjust for differences in selection to produce an unbiased estimate (Shadish, Cook, & Campbell, 2002). To receive the high rating, a study must meet certain criteria, such as maintaining the integrity of the scoring variable (that is, no manipulation of the selection process), meeting standards for attrition, and using an appropriate analysis. Studies that did not meet these criteria received a moderate or low rating.

• Matched comparison QEDs, which use a nonrandom process for group assignment, could have received a moderate study-quality rating in the HomVEE review. The purposeful process of selecting groups can compromise the quality of the QED. If the groups are different at onset, the comparison group does not provide a good representation of what would have happened to the treatment group without the program. The HomVEE review standards required that QEDs establish baseline equivalence between the two groups on selected measures. These measures, such as preprogram outcomes, race, ethnicity, and socioeconomic status, were determined to be key for composing a reasonable comparison. Regardless of how balanced the treatment and comparison groups are on measured characteristics, however, the weakness of a QED with a comparison group is that it can never rule out differences in unmeasured characteristics. Therefore, the conclusions from a QED are suggestive of an initiative's effectiveness but cannot definitely determine causality.

Trained reviewers assessed the research design and methodology of each study using a standard protocol. Each study was assigned a rating of "high," "moderate," or "low" to indicate the capacity of its design to provide unbiased estimates of program impacts. In brief, the *high* rating is reserved for random assignment studies with low attrition of sample members and no later reassignment, as well as for single-case and RD designs that meet the standards of the What Works Clearinghouse (WWC). The *moderate* rating applies to (1) random assignment studies that, because of flaws in their design, execution, or analysis (for example, high sample attrition), do not meet all the criteria for the *high* rating; (2) matched comparison group designs that establish baseline equivalence on selected measures; and (3) single-case and RD designs that meet WWC design standards with reservations. Studies that do not meet all the criteria for either the *high* or the *moderate* rating are assigned the *low* rating. Additional information about the review criteria is available in the *Home Visiting Evidence of Effectiveness Review: Executive Summary* (Avellar et al., 2014).

Of the 28 causal studies identified in the HomVEE review, 19 were RCTs and 9 were QED studies. HomVEE did not identify any studies that utilized an SCD or RD design.

#### 4. Assessing Evidence of Effectiveness

After completing all impact study reviews for a program model, the HomVEE team evaluated the evidence across all studies of the program model that received a high or moderate rating and measured outcomes in at least one of the eligible domains. To meet the DHHS criteria for an "evidence-based early childhood home visiting service delivery model," program models must meet at least one of the following criteria:

- At least one high- or moderate-quality impact study of the program model finds favorable, statistically significant impacts in two or more of the eight outcome domains.
- At least two high- or moderate-quality impact studies of the program model using nonoverlapping analytic study samples find one or more favorable, statistically significant impacts in the same domain.

<sup>&</sup>lt;sup>7</sup> The WWC, established by the Institute for Education Sciences in the U.S. Department of Education, reviews education research. The WWC standards are available at <a href="http://ies.ed.gov/ncee/wwc/pdf/reference">http://ies.ed.gov/ncee/wwc/pdf/reference</a> resources/wwc version1 standards.pdf.

In both cases, the impacts considered must either (1) be found for the full sample or, if found for subgroups only, (2) be replicated in the same domain in two or more studies using non-overlapping analytic study samples. In addition, following the legislation, if the model meets the above criteria based on findings from RCTs only, then one or more favorable, statistically significant impacts must be sustained for at least one year after program enrollment, and one or more favorable, statistically significant impacts must be reported in a peer-reviewed journal.<sup>8</sup>

For results from SCDs to be considered toward the DHHS criteria, three additional requirements must be met:

- At least five studies examining the intervention meet the WWC's pilot SCD standards without reservations or standards with reservations (equivalent to a "high" or "moderate" rating in HomVEE, respectively).
- The SCDs are conducted by at least three research teams with no overlapping authorship at three institutions.
- The combined number of cases is at least 20.

#### 5. Implementation Reviews

At the time our literature search ended for the 2014 review, the HomVEE team has collected information about implementation of the home visiting program models from 45 studies. HomVEE aimed to collect information about model requirements, including information about prerequisites for implementation, materials and forms, and estimated costs. The team also extracted information about implementation experiences from the studies reviewed. For example, they collected information on lessons learned about hiring and training qualified staff, developing and/or adapting program models to be culturally relevant for tribal communities, and addressing implementation challenges.

#### 6. Addressing Conflicts of Interest

All members of the HomVEE team signed a conflict-of-interest statement in which they declared any financial or personal connections to developers, studies, or products being reviewed, and confirmed their understanding of the process by which they must inform the project director if such conflicts arise. The team's project director assembled signed conflict-of-interest forms for all project staff and subcontractors and monitored for possible conflicts over time. Any team member found to have a potential conflict of interest concerning a particular home visiting program model was excluded from the review process for the studies of that model.

#### **B.** Review Results

Overall, the amount of research available on home visiting programs implemented in tribal communities was small. As noted above, HomVEE has identified 28 causal studies at the time literature searching ended for the 2014 review. In this section, we describe the study ratings for each

<sup>&</sup>lt;sup>8</sup> Section 511(d)(3)(A)(i)(II)(aa).

of the studies reviewed, as well as the evidence of effectiveness of the home visiting models included in the review.

#### 1. Study Ratings

Of the 19 studies that implemented RCTs, 5 received a high rating (Barlow et al., unpublished [2014]; Barlow et al., 2013; Caldera et al., 2007; Duggan et al., 2007; Silovsky et al., 2011), 5 received a moderate rating (Boyd, 1997b; Campbell & Silva, 1997; Fergusson et al., 2005; Johns Hopkins University, 2005; Walkup et al., 2009), and 9 received a low rating (Anand et al., 2007; Barlow et al., 2006; Boyd, 1997a; Harvey-Berino & Rourke, 2003; Karanja et al., 2010; le Roux et al., 2010; le Roux et al., 2011; le Roux et al., 2013; Livingstone et al., 1999) (Table I.2). The nine RCTs that received a low rating were most commonly rated low because of high attrition and lack of baseline equivalence among the study sample.

In addition, HomVEE identified and reviewed nine QEDs. Two of these studies received a moderate rating (Culp et al., 2007; Culp et al., 2004). The other seven received a low rating because (1) the treatment and comparison groups differed on key baseline characteristics; or (2) information on baseline characteristics was not presented, and equivalence could not be determined (Coughlin et al., unpublished; Fatti et al., 2013; Grimwood et al., 2012; Krysik & LeCroy, 2007; Pfannenstiel, 2006; Pfannenstiel & Lente-Jojola, 2011; Praat, 2011). Without evidence of baseline equivalence, we cannot determine how well the comparison group represents the counterfactual.

#### 2. Subsample Analyses

After determining the quality of the studies, we examined the impact of high- and moderaterated studies on AIAN populations by looking for subgroup analyses.

- Two studies rated high (Caldera et al., 2007; Duggan et al., 2007) and one study rated moderate (Johns Hopkins University, 2005) examined Healthy Families Alaska, a statewide Healthy Families America® program. All three of these studies examined the same sample receiving Healthy Families Alaska. The sample consisted of 23 percent Alaska Native participants in the treatment group and 20 percent in the control group. The study findings were not reported by ethnicity so the HomVEE team could not determine the evidence of effectiveness of Healthy Families Alaska with Alaska Native participants.
- One study rated high (Silovsky et al., 2011) examined SafeCare® Augmented, an enhancement of the SafeCare model that included Motivational Interviewing (Miller & Rollnick, 2004), as well as training of the home visitors on identification and response to imminent child maltreatment and risk factors of substance abuse, depression, and intimate partner violence. The study sample included American Indian participants; these participants constituted 15 percent (or 7 individuals) of the treatment group and 7 percent (or 4 individuals) of the control group. The study did not report findings by ethnicity so the HomVEE team could not determine the evidence of effectiveness of SafeCare Augmented with American Indian participants.

Table I.2. Overview of Causal Studies Included in the HomVEE Review

Citation	Program Name	Study Design	Study Rating
Anand, S. S., Davis, A. D., Ahmed, R., Jacobs, R., Xie, C., Hill, A., Sowden, J., Atkinson, S., Blimkie, C., Brouwers, M., Morrison, K., de Koning, L., Gerstein, H., & Yusuf, S. (2007). A family-based intervention to promote healthy lifestyles in an Aboriginal community in Canada. <i>Canadian Journal of Public Health. Revue Canadienne de Santé Publique</i> , 98(6), 447–452.	SHARE-ACTION	RCT	Low
Barlow, A., Mullany, B., Neault, N., Billy, T., Hastings, R., Lorenzo, S., Kee, C., Lake, K., Redmond, C., Carter, A., & Walkup, J. T. (2014). <i>A randomized controlled trial of a paraprofessional delivered, home-visiting intervention: Three-year outcomes for American Indian teen mothers and their children</i> . Manuscript in preparation. <sup>a</sup>	Family Spirit <sup>®</sup>	RCT	High
Barlow, A., Mullany, B., Neault, N., Compton, S., Carter, A., Hastings, R., Billy, T., Coho-Mescal, V., Lorenzo, S., & Walkup, J. (2013). Effect of a paraprofessional home visiting intervention on American Indian teen mothers' and infants behavioral risks: A randomized controlled trial. <i>American Journal of Psychiatry</i> , 170(1), 83–93. <sup>a</sup>	Family Spirit	RCT	High
Barlow, A., Varipatis-Baker, E., Speakman, K., Ginsburg, G., Friberg, I., Goklish, N., Cowboy, B., Fields, P., Hastings, R., Pan, W., Reid, R., Santosham, M., & Walkup, J. (2006). Home-visiting intervention to improve child care among American Indian adolescent mothers: A randomized trial. <i>Archives of Pediatrics &amp; Adolescent Medicine</i> , <i>160</i> (11), 1101–1107.	Family Spirit	RCT	Low
Boyd, A. (1997a). Parents as First Teachers pilot project evaluation (PAFT): Report on South Auckland area. Wellington, New Zealand: Ministry of Education.	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers™ (PAT)	RCT	Low
Boyd, A. (1997b). Parents as First Teachers pilot project evaluation (PAFT): Report on Whangarei region: Final complete draft. Wellington, New Zealand: Ministry of Education.	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)	RCT	Moderate
Caldera, D., Burrell, L., Rodriguez, K., Crowne, S. S., Rohde, C., & Duggan, A. (2007). Impact of a statewide home visiting program on parenting and on child health and development. <i>Child Abuse &amp; Neglect</i> , <i>31</i> (8), 829–852. <sup>b</sup>	Healthy Families America/ Healthy Families Alaska	RCT	High
Campbell, K. I., & Silva, P. A. (1997). Parents as First Teachers pilot programme evaluation: Age three assessments. Final report to the Ministry of Education on the Dunedin and Gisborne/East Coast areas. Wellington, New Zealand: Ministry of Education.	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)	RCT	Moderate
Coughlin, R. L., Kushman, E., Copeland, G., & Wilson, M. L. <i>Pregnancy and birth outcome improvements for American Indians in the Healthy Start project of the Inter-Tribal Council of Michigan</i> , 1998-2008: An 11-year cohort study. Unpublished manuscript.	The Inter-Tribal Council of Michigan's (ITCM) Healthy Start project	QED	Low
Culp, A. M., Culp, R. E., Anderson, J. W., & Carter, S. (2007). Health and safety intervention with first-time mothers. <i>Health Education Research</i> , 22(2), 285–294.	Oklahoma Community-Based Family Resource and Support (CBFRS) Program	QED	Moderate

Citation	Program Name	Study Design	Study Rating
Culp, A. M., Culp, R. E., Hechtner-Galvin, T., Howell, C. S., Saathoff-Wells, T., & Marr, P. (2004). First-time mothers in home visitation services utilizing child development specialists. <i>Infant Mental Health Journal</i> , <i>25</i> (1), 1–15. doi:10.1002/imhj.10086. <sup>c</sup>	Oklahoma Community-Based Family Resource and Support (CBFRS) Program	QED	Moderate
Duggan, A., Caldera, D., Rodriguez, K., Burrell, L., Rohde, C., & Crowne, S. S. (2007). Impact of a statewide home visiting program to prevent child abuse. <i>Child Abuse &amp; Neglect, 31</i> (8), 801–827. b	Healthy Families America/ Healthy Families Alaska	RCT	High
Fatti, G., Shaikh, N., Eley, B., & Grimwood, A. (2013). Improved virological suppression in children on antiretroviral treatment receiving community-based adherence support: A multicentre cohort study from South Africa. <i>AIDS Care</i> . Advance online publication.	Kheth'Impilo Community-Based Adherence Support (CBAS)	QED	Low
Fergusson, D. M., Horwood, L. J., Grant, H., & Ridder, E. M. (2005). <i>Early Start evaluation report</i> . Christchurch, New Zealand: Early Start Project Ltd. <sup>d</sup>	Early Start (New Zealand)	RCT	Moderate
Grimwood, A., Fatti, G., Mothibi, E., Malahlela, M., Shea, J., & Eley, B. (2012). Community adherence support improves programme retention in children on antiretroviral treatment: A multicentre cohort study in South Africa. <i>Journal of the International AIDS Society, 15</i> (2), 17381.	Kheth'Impilo Community-Based Adherence Support (CBAS)	QED	Low
Harvey-Berino, J., & Rourke, J. (2003). Obesity prevention in preschool Native-American children: A pilot study using home visiting. <i>Obesity Research</i> , <i>11</i> (5), 606–611.	Obesity Prevention + Parenting Support	RCT	Low
Johns Hopkins University. (2005). <i>Evaluation of the Healthy Families Alaska program</i> . Report to Alaska State Department of Health and Social Services, Alaska Mental Health Trust Authority. Baltimore, MD: Author. <sup>b</sup>	Healthy Families America/ Healthy Families Alaska	RCT	Moderate
Karanja, N., Lutz, T., Ritenbaugh, C., Maupome, G., Jones, J., Becker, T., & Aickin, M. (2010). The TOTS community intervention to prevent overweight in American Indian toddlers beginning at birth: A feasibility and efficacy study. <i>Journal of Community Health</i> , <i>35</i> (6), 667–675.	Toddler Overweight and Tooth Decay Prevention Study (TOTS)	RCT	Low
Krysik, J., & LeCroy, C. W. (2007). The evaluation of Healthy Families Arizona: A multisite home visitation program. <i>Journal of Prevention &amp; Intervention in the Community, 34</i> (1), 109–127.	Healthy Families America/ Healthy Families Arizona	QED	Low
le Roux, I. M., le Roux, K., Comulada, W. S., Greco, E. M., Desmond, K. A., Mbewu, N., & Rotheram-Borus, M. J. (2010). Home visits by neighborhood mentor mothers provide timely recovery from childhood malnutrition in South Africa: Results from a randomized controlled trial. <i>Nutrition Journal</i> , <i>9</i> (56).	Philani Outreach Programme	RCT	Low
le Roux, I. M., le Roux, K., Mbeutu, K., Comulada, W. S., Desmond, K. A., & Rotheram-Borus, M. (2011). A randomized controlled trial of home visits by neighborhood mentor mothers to improve children's nutrition in South Africa. <i>Vulnerable Children &amp; Youth Studies</i> , <i>6</i> (2), 91–102.	Philani Outreach Programme	RCT	Low
le Roux, I. M., Tomlinson, M., Harwood, J. M., O'Connor, M. J., Worthman, C. M., Mbewu, N., Stewart, J., Hartley, M., Swendeman, D., Comulada, W. S., Weiss, R. E., & Rotheram-Borus, M. J. (2013). Outcomes of home visits for pregnant mothers and their infants in South Africa: A cluster randomized controlled trial. <i>AIDS</i> , <i>27</i> (9), 1461–1471.	Philani Outreach Programme	RCT	Low

Citation	Program Name	Study Design	Study Rating
Livingstone, I. D. (1999). Parents as First Teachers: Supplement to the summary report of the evaluation of the pilot project: Report to the Ministry of Education on consolidated cross-site analysis. Wellington, New Zealand: Ministry of Education.	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)	RCT	Low
Pfannenstiel, J., Yarnell, V., & Seltzer, D. (2006). Family and Child Education program (FACE): Impact study report. Overland Park, KS: Research & Training Associates, Inc.	Family and Child Education program (FACE)	QED	Low
Pfannenstiel, J., & Lente-Jojola, D. (2011). The Family and Child Education (FACE) program and school readiness: A structural model approach in an American Indian reservation context. <i>Journal of American Indian Education</i> , <i>50</i> (2), 84–96.	Family and Child Education program (FACE)	QED	Low
Praat, A. (2011). <i>Parents as First Teachers evaluation: Phase II report</i> . Wellington, New Zealand: Centre for Social Research and Evaluation.	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)	QED	Low
Silovsky, J. F., Bard, D., Chaffin, M., Hecht, D., Burris, L., Owora, A., Beasley, L., Doughty, D., & Lutzker, J. (2011). Prevention of child maltreatment in high-risk rural families: A randomized clinical trial with child welfare outcomes. <i>Children and Youth Services Review, 33</i> (8), 1435–1444.	SafeCare Augmented	RCT	High
Walkup, J. T., Barlow, A., Mullany, B. C., Pan, W., Goklish, N., Hasting, R., Cowboy, B., Fields, P., Baker, E. V., Speakman, K., Ginsburg, G., & Reid, R. (2009). Randomized controlled trial of a paraprofessional-delivered in-home intervention for young reservation-based American Indian mothers. <i>Journal of the American Academy of Child &amp; Adolescent Psychiatry</i> , 48(6), 591–601.	Family Spirit	RCT	Moderate

<sup>&</sup>lt;sup>a</sup>Two studies rated high and one study rated moderate examined Family Spirit. The two studies that received a high rating examined the same sample. Native American mothers comprised the entire sample in each of the three studies. Family Spirit meets DHHS criteria for an "evidence-based early childhood home visiting service delivery model" for AIAN participants.

<sup>&</sup>lt;sup>b</sup>Two studies rated high and one study rated moderate examined Healthy Families Alaska, a statewide Healthy Families America program. All three studies examined the same sample. The sample consisted of 23 percent Alaska Native participants in the treatment group and 20 percent in the control group. The study findings were not reported by ethnicity, so the HomVEE team could not determine the evidence of effectiveness of Healthy Families Alaska with Alaska Native participants.

<sup>&</sup>lt;sup>c</sup>Two studies rated moderate examined the Oklahoma Community-Based Family Resource and Support (CBFRS) program. These two studies examined the same sample receiving Oklahoma CBFRS. The overall sample consisted of 13 percent Native American participants. The study findings were not reported by ethnicity, so the HomVEE team could not determine the evidence of effectiveness of Oklahoma CBFRS with Native American participants.

<sup>&</sup>lt;sup>d</sup>One study rated moderate examined Early Start (New Zealand). The study sample included parents who identified themselves as Māori, an indigenous population of New Zealand; these participants constituted 42 percent (or 76 individuals) of the treatment group and 36 percent (or 75 individuals) of the control group. The study reported findings by the Māori subgroup; however, to meet DHHS criteria for an "evidence-based early childhood home visiting service delivery model" for the AIAN populations, subgroup findings must be replicated.

<sup>&</sup>lt;sup>e</sup>One study of SafeCare Augmented did not report findings by ethnicity, so the HomVEE team was unable to determine the evidence of effectiveness of SafeCare Augmented with American Indian participants.

- One study rated moderate (Fergusson et al., 2005) examined Early Start (New Zealand). The study sample included parents who identified themselves as Māori, an indigenous population of New Zealand; these participants constituted 42 percent (or 76 individuals) of the treatment group and 36 percent (or 75 individuals) of the control group. Selected findings were reported for the subgroup of families in which at least one parent identified as Māori.
- Two studies rated moderate (Culp et al., 2007; Culp et al., 2004) examined the Oklahoma Community-Based Family Resource and Support (CBFRS) program. These two studies examined the same sample receiving Oklahoma CBFRS. The overall sample consisted of 13 percent AIAN participants. The study findings were not reported by ethnicity, so the HomVEE team could not determine the evidence of effectiveness of Oklahoma CBFRS with AIAN participants.
- Two studies rated moderate (Boyd 1997b; Campbell & Silva, 1997) examined Parents as First Teachers (PAFT), an adaptation of the Parents as Teachers (PAT) program model for implementation in New Zealand. In both studies, at least 10 percent of the sample reports speaking Māori. Neither study reports the findings by ethnicity, so the HomVEE team could not determine the evidence of effectiveness of PAFT with Māori participants.

#### 3. Evidence of Effectiveness of the Home Visiting Models

One home visiting program model included in this review, Family Spirit, met DHHS criteria for an "evidence-based early childhood home visiting service delivery model" for the AIAN populations. Three studies of Family Spirit—which included samples made up entirely of AIAN participants (two studies focused on the same sample)—rated high or moderate and across the three they found favorable, statistically significant impacts in three domains (Barlow et al., unpublished [2014]<sup>10</sup>; Barlow et al., 2013; Walkup et al., 2009). At least one of the findings was sustained at least one year after program enrollment, and results were published in a peer-reviewed journal.

Of the other models with high or moderate quality impact studies, the studies of Healthy Families Alaska, SafeCare Augmented, and Oklahoma CBFRS did not report findings for AIAN populations. Although the study of Early Start (New Zealand) received a moderate rating and reported findings by ethnicity, Early Start (New Zealand) does not meet the DHHS criteria for an "evidence-based early childhood home visiting service delivery model" for the AIAN populations because the effects are on a subgroup and the findings have not been replicated in another sample. The model, however, does meet the DHHS criteria for the general review, which includes research with a sample that has both Māori and non-Māori participants.

Detailed information on the effects found in research on Family Spirit and Early Start (New Zealand) is available on the HomVEE website at <a href="http://homvee.acf.hhs.gov/">http://homvee.acf.hhs.gov/</a>.

<sup>&</sup>lt;sup>9</sup> The studies did not report on the ethnicity of program participants, but ethnicity was reported in a related report (Livingstone, I. D. (1998). *Parents as First Teachers pilot project: Summary report: Evaluation of pilot project.* Wellington, New Zealand: Ministry of Education).

<sup>&</sup>lt;sup>10</sup> This unpublished manuscript was received through the HomVEE call for studies that closed in early January 2014.

#### C. Descriptive Information About Home Visiting Program Models

Although limited information was available about program impacts, nearly all studies included some information about the home visiting program models being evaluated or documented lessons learned about implementation, and 14 studies focused specifically on implementation. Much of the information the HomVEE team was able to extract about implementation can help inform tribes, communities, and states about what is needed to implement a given model. For example, how intensive are the services? What skills and educational levels must home visitors have to implement the model? What are the staff training and supervision requirements?

The HomVEE team gathered descriptive information from the 28 causal studies and 14 standalone implementation studies identified through the literature search and screening process. Because this review identified so few studies in year 1, the HomVEE team, in consultation with ACF, decided to include studies that had been screened out because they had ineligible designs, but that were otherwise relevant in the implementation review process. In the end, three otherwise relevant studies with ineligible designs were included in the implementation reviews. Appendix A lists (1) the studies from which HomVEE extracted descriptive information, (2) the design of each study, and (3) the home visiting model being evaluated. Additional information about the characteristics of each study is in Appendix B.

We begin this section by describing the participant outcomes that the studies examined and the characteristics of the measures used. We then describe the home visiting program models that were evaluated across the studies.

#### 1. Participant Outcomes Measures in Causal Studies

The causal studies included in this review measured outcomes in multiple domains and used a wide variety of measures to do so (Table I.3 and Appendix C, Tables C.1 to C.7). Commonly, studies measured child health, maternal health, child development and school readiness, and positive parenting practices; few studies included measures of family economic self-sufficiency or child maltreatment; and no studies included measures of linkages and referrals.

Outcome measures should be reliable, producing similar results with the same level of accuracy each time they are administered, and valid, accurately representing the construct of interest. The causal studies included in this review depended on both primary and secondary measures as defined by the HomVEE review. HomVEE has more confidence in primary measures, which include direct assessments; direct observations; data extracted from medical, school, or administrative records; and parent and teacher reports based on standardized measures. When a measure is standardized, it is administered using a uniform set of procedures for administration and scoring and uses established scoring norms based on the performance of a norming sample. Across the 28 causal studies included in this review, about 40 percent of the measures (90) were primary. Secondary measures are nonstandardized parent, teacher, or youth self-reports. Across the causal studies included in this review, 140 of the measures used were secondary measures.

Table I.3. Number of Participant Outcomes Measured, by Domain

	Participant Outcomes		
	Primary Outcome Measures	Secondary Outcome Measures	
Child health	30	29	
Maternal health	11	46	
Child development and school readiness	28	23	
Family economic self-sufficiency	0	4	
Linkages and referrals	0	0	
Positive parenting practices	13	35	
Reductions in child maltreatment	8	1	
Reductions in juvenile delinquency, family violence, and crime	0	2	

Source:

28 causal studies included in the HomVEE review of home visiting programs implemented in tribal communities.

Note:

Table reports an unduplicated count of measures across overlapping samples (so a measure used in multiple studies of the same sample of participants would be counted once). **Primary outcome measures** refers to outcomes measured through direct observation, direct assessment, or administrative data; or self-reported data collected using a standardized (normed) instrument. **Secondary outcome measures** refers to most self-reported data, excluding self-reports based on a standardized (normed) instrument

#### 2. Home Visiting Program Model Descriptions

To learn about the home visiting program models that were evaluated, the HomVEE team gathered information about them across a number of topics, including prerequisites for implementation; patterns, qualifications, and training of staff; the availability of curricular materials and program forms (such as intake forms, service tracking forms, and assessments delivered as part of the program); systems for monitoring fidelity; and estimated costs of program implementation. Very few studies included information on all these topics. In the next section, we provide an overview of the home visiting program models in the areas most commonly reported on in the studies.

Target Outcome Domains. Most program models targeted outcomes in three domains: child health, child development and school readiness, and positive parenting practices (Table I.4). Some were focused broadly on improving maternal and child outcomes across a number of domains (such as child health, child development and school readiness, and positive parenting practices) (see Appendix D, Table D.1). For example:

- Healthy Families America (HFA) aimed (1) to reduce child maltreatment, (2) to increase prenatal care, (3) to improve parent-child interactions and school readiness, (4) to ensure healthy child development, (5) to promote positive parenting, (6) to promote family self-sufficiency and decrease dependency on welfare and other social services, (7) to increase access to primary care medical services, and (8) to increase immunization rates.
- The Baby and Family Child Education (Baby FACE) program, which used the Parents as Teachers' Born to Learn curriculum, was designed (1) to promote pre-literacy experiences for children from birth to age 5 with the support and involvement of their parents, and (2) to increase parenting skills and knowledge of child development.

Table I.4. Target Outcome Domains of the Home Visiting Program Models

Target Outcome Domain	Number of Programs
Child health	13
Maternal health	4
Child development and school readiness	11
Positive parenting practices	13
Development of culturally relevant services	3
Family economic self-sufficiency	3
Increased access to services	2
Reductions in child maltreatment	4
Other	4

Source: Twenty-two models identified across 45 studies included in the HomVEE review of home visiting programs implemented in tribal communities.

Other models were narrowly focused on improving outcomes in a specific domain. For example, the perinatal intervention program aimed to encourage earlier entry to prenatal care and change of health risk habits among American Indian women. Other interventions focused specifically on child health, including Obesity Prevention + Parenting Support, Kheth'Impilo Community-Based Adherence Support (CBAS), SHARE-ACTION, the Toddler Overweight and Tooth Decay Prevention Study (TOTS), and the sudden infant death syndrome (SIDS) risk factor program.

In addition to targeting goals related to family and child outcomes, four program models identified other types of goals. One program model, Home Activity Program for Parents and Youngsters (HAPPY) Rural Outreach Project, specifically aimed to increase access to early intervention services among families living in remote, rural areas in Nevada. Three program models—the Family and Child Education (FACE) program, Family Spirit, and the Indian Wellness Project—explicitly described a targeted goal as providing cultural relevant services for American Indian families, in support of the ultimate goal of improving parent and child outcomes.

**Service Delivery.** All home visiting program models used home visits as the primary mode of service delivery. Fifteen program models also included other services, such as parent group meetings and center-based options (Table I.5). One program model included a community-based intervention in addition to home visits. The home visiting program models differed, however, in the frequency of service delivery and the duration of services (Table I.6). Of the studies that included information about program frequency, home visits ranged from twice per week to only one over the course of the entire intervention. Most commonly, however, programs offered home visits weekly to monthly. Similarly, the program models varied in duration from 16 weeks to three to five years.

**Table I.5. Home Visiting Program Model Components** 

Goal	Number of Programs
Home visiting only	7
Home visiting plus other services	15

Source: Twenty-two models identified across 45 studies included in the HomVEE review of home visiting programs implemented in tribal communities.

Target Population. The home visiting program models targeted participants based on the age of their children, as well as the presence of specific risk factors. Eleven of the 22 models began offering services to families at birth or in early infancy and continue to offer services to families with children up to age 2 to 5 years, with two programs offering services up to age 8 (Tables I.6 and I.7). Five program models specifically targeted pregnant women, and one targeted women postnatally. One program targeted families with 2- and 3-year-olds, and another targeted families enrolled in Head Start (4- and 5-year-olds). Some program models were available to any family meeting the target age and living in a specific geographic location (such as rural reservations) or from a specific community (such as the Chippewa, Menominee, Oneida, Potawatomi, Stockbridge-Munsee, and Winnebago tribes in Wisconsin). Other program models, however, targeted families with specific risk factors. For example, Obesity Prevention + Parenting Support targeted mothers whose body mass index (BMI) was over 25. Family Spirit targeted adolescents and young women up to age 19 (another study of the same program included women up to age 22 at conception). Oklahoma CBFRS targeted first-time mothers living in rural counties.

Table I.6. Program Frequency and Duration

Model Name	Frequency and Length of Home Visits	Duration of the Program
Baby Family and Child Education program (FACE)	2 to 4 times per month (typically weekly or biweekly); 1-hour visits	Prenatally to age 5 years
Early intervention services	Not specified	Not specified
Early Start (New Zealand)	4 levels of service intensity; started with up to 3 hours per week; graduated to 1 hour of contact per 3 months	To age 5 years
Even Start—tribal program	1 home visit per week; 1- to 2-hour visits	Not specified
FACE	Not specified	Not specified
Family Spirit	Studies 1 and 2: 25 home visits over 9 months; 1.5-hour visits. Studies 3 and 4: weekly visits during pregnancy, biweekly visits for the first four months postpartum, monthly between 4 and 12 months postpartum, and bimonthly between 12 and 36 months postpartum	Studies 1 and 2: 28 weeks' gestation to 6 months postpartum. Studies 3 and 4: less than 32 weeks' gestation to age 3 years
Home Activity Program for Parents and Youngsters (HAPPY) Rural Outreach Project	1 home visit per month; length not specified	Not specified
Healthy Families America/ Healthy Families Arizona/ Healthy Families Alaska	1 home visit per week until the child was 6 months old, then local programs determined the frequency of the visits; 1-hour visits	Prenatally or at birth to age 3 or 5 years
Indian Family Wellness Project	Not specified	Not specified
ITCM Healthy Start project	Not specified	Not specified

Model Name	Frequency and Length of Home Visits	Duration of the Program
Kheth'Impilo Community- Based Adherence Support (CBAS)	Weekly for the first month, then monthly; length not specified; Study 1: After child was stable, visits occurred quarterly; Study 2: If ART clinic visits were delayed, visit frequency increased	Not specified
Obesity Prevention + Parenting Support	Not specified	16 weeks
Oklahoma Community-Based Family Resource and Support (CBFRS) Program	Weekly 1st month, then biweekly until birth; weekly during 1st 3 months, then biweekly; 1-hour visits	Prenatally to age 1 year
The Parent-Child Home Program	2 times per week; 30-minute visits	Age 2 to 3 years
Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)	A minimum of 25 visits over the course of the program; 1-hour visits	Prenatally to age 3 years
Perinatal intervention program	The program offered two home visits: one prenatally and one postpartum; length not specified	Prenatally to age 1 year
Philani Outreach Programme	Frequency not specified; 20-minute to 1-hour visits	Studies 1 and 2: 1 year; Study 3: Prenatally to six months postpartum
SafeCare	At least weekly home visits; length not specified	About 6 months
SHARE-ACTION	Not specified	Not specified
SIDS risk factor program	Not specified	Not specified
Toddler Overweight and Tooth Decay Prevention Study (TOTS)	Study 1: 8 clusters of 3 visits each (one of which must be in the home) over a 24-month period; Study 2: 15 visit clusters length not specified	Prenatally to age 2 years
Universal Health Home Visit offered through Families First	The program offered one home visit; length not specified	Visit to occur within 2 weeks of child's birth

Source: Twenty-two models identified across 45 studies included in the HomVEE review of home visiting programs implemented in tribal communities.

Location of Services and Types of Implementing Agencies. Most program models specifically targeted families and children living in tribal communities (17) (see Tables I.7 and I.8). One gave priority to implementing agencies with service areas that included Indian reservations. The HFA, Healthy Families Alaska, and Healthy Families Arizona programs, the Oklahoma CBFRS program, and one study of the SafeCare model (SafeCare Augmented) in this review included American Indian participants (but did not specifically target tribal communities). All but eight models were implemented and evaluated in the United States. Three program models were evaluated in Canada. Two programs, Early Start and Parents as First Teachers (an adaptation of PAT), were implemented and evaluated in New Zealand. Two programs, the Philani Outreach Programme and Kheth'Impilo Community-Based Adherence Support (CBAS), were implemented and evaluated in South Africa, and another program, Universal Health Home Visit offered through Families First, was implemented in Australia. Across program models, services were delivered by a range of implementing agencies, such as health providers (including hospitals), social services agencies, elementary schools, and Head Start programs (Table I.8).

**Table I.7. Target Populations** 

Model Name	Target Population
Baby Family and Child Education program (FACE)	American Indian families with children from birth to age 3 (some sites offered services up to age 5)
Early intervention services	Families with infants and toddlers enrolled in early intervention services and living in the Navajo Nation (in Arizona and New Mexico)
Early Start (New Zealand)	At-risk families with newborn children up to age 5
Even Start—tribal program	One community targeted families with at least one American Indian parent and at least one American Indian child under age 8; targeted families also exhibited other risk factors, such as low income, low adult literacy, single or teen parent, and chronic unemployment; the other community had two eligibility requirements for families: (1) at least one American Indian child under age 7 and (2) at least one parent that needs adult education.
FACE	Study 1: American Indian families with children from birth to age 8 located on rural reservations; Study 2: American Indian families with children from pre-birth to kindergarten located on rural reservations
Family Spirit	Pregnant American Indian adolescents ages 12 to 19 at conception and at 28 weeks' or earlier gestation. One study enrolled women up to age 22. Two studies enrolled participants at 32 weeks' or earlier gestation.
Home Activity Program for Parents and Youngsters (HAPPY) Rural Outreach Project	Children from birth through age 2 and their families
Healthy Families America/ Healthy Families Arizona/ Healthy Families Alaska	Families with the following risk factors: single parenthood, low income, childhood history of substance abuse, mental health issues, or domestic violence
Indian Family Wellness Project	American Indian families enrolled in the Siletz Tribal Head Start Program
ITCM Healthy Start project	Pregnant American Indian women living in seven tribal locations, and one urban center in Michigan
Kheth'Impilo Community- Based Adherence Support (CBAS)	Families with children starting antiretroviral treatment for HIV and living in one of four provinces in South Africa.
Obesity Prevention + Parenting Support	Mother-child pairs who met the following criteria: (1) the family had a child between the ages of 9 months and 3 years, (2) the child was walking, (3) the mother had a BMI over 25, and (4) the mother agreed to keep all treatment appointments
Oklahoma Community- Based Family Resource and Support (CBFRS) Program	First-time mothers living in rural counties
Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)	Families with children 0 to age 3 who are at-risk of poor educational outcomes, such as families with low-incomes, young mothers, single parents, and parents with limited support, including Māori and Pasifika families, indigenous populations of New Zealand and the Pacific Island nations
The Parent-Child Home Program	Families with children ages 2 and 3 years with multiple risk factors, such as low levels of education, teen parents, low income, isolation, or single-parent households
Perinatal intervention program	American Indian women of childbearing age

Model Name	Target Population
Philani Outreach Programme	Studies 1 and 2: Any family living in a target neighborhood in Xhosa townships surrounding Cape Town, South Africa, with a child age 5 years or younger and classified as malnourished (defined as weighing less than 2 standard deviations below his or her weight-for-age norm, including all newborns weighing less than 2,500 grams at birth); Study 3: Available to all pregnant women, but specifically targeted pregnant women living with HIV
SafeCare	Families with children ages birth to 5 and a history of child maltreatment or risk factors for child maltreatment
SHARE-ACTION	Aboriginal households from the Six Nations Reserve in Ohsweken, Canada, comprising a male and/or female parent with at least one child living in the same household
SIDS risk factor program	Postnatal women from the Aberdeen Area of the Indian Health Service and a community hospital in North Dakota
Toddler Overweight and Tooth Decay Prevention Study (TOTS)	Expectant mothers and their families from American Indian tribes in the Northwest
Universal Health Home Visit offered through Families First	Families of all newborn infants in New South Wales, Australia. One of the goals of the programs was to identify and engage vulnerable families, including Aboriginal families.

Source:

Table I.8. Location of Services and Implementing Agency

Model Name	Location of Services	Type of Implementing Agency
Baby Family and Child Education Program (FACE)	28 reservations across the United States	Elementary schools
Early intervention services	Navajo Nation in New Mexico and Arizona	Not specified
Early Start (New Zealand)	Christchurch area of New Zealand	Early Start Project Ltd., a charitable non-governmental organization
Even Start—tribal program	Two communities: one was the Cherokee Nation in Tahlequah, Oklahoma, and the second was the Makah Indian Tribe in Neah Bay, Washington	Community 1: Tribal Services Department of the Cherokee Nation; Community 2: not specified
FACE	Reservations across the United States, including locations in Cheyenne River, Chinle, Eastern Navajo, Fort Defiance, Minneapolis, Oklahoma, Pima, Portland, Shiprock, Southern Pueblos	Elementary schools
Family Spirit	Studies 1 and 2: Four American Indian health service catchment areas on the Navajo and White Mountain Apache reservations in New Mexico and Arizona; Studies 3 and 4: Four tribal communities across three reservations in Arizona	Not specified
Home Activity Program for Parents and Youngsters (HAPPY) Rural Outreach Project	Nevada	Nevada Department of Human Resources
Healthy Families America/ Healthy Families Arizona/ Healthy Families Alaska	Study 1: Walworth County in Wisconsin, Pottawatomie County in Oklahoma, and Las Vegas; Study 2: Arizona; Studies 3, 4, and 5: Alaska	Not specified
Indian Family Wellness Project	Not specified	Head Start programs
ITCM Healthy Start project	Seven tribal locations and one urban center in Michigan	Health and social services providers
Kheth'Impilo Community- Based Adherence Support (CBAS)	Four South African provinces: Western Cape, Eastern Cape, KwaZulu-Natal, and Mpumalanga	Non-governmental organization supported public antiretroviral treatment clinics
Obesity Prevention + Parenting Support	St. Regis Mohawk community of Akwesasne located along the St. Lawrence River in northern New York State, and Ontario and Quebec, Canada	St. Regis Mohawk Health Services
Oklahoma Community- Based Family Resource and Support (CBFRS) Program	12 rural counties in Oklahoma	Administered by Oklahoma State Department of Health and implemented by county health departments
The Parent-Child Home Program	Studies 1 and 2: Western Manitoba, Canada	Studies 1 and 2: Nonprofit organization that provides child and family services
Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)	Locations throughout New Zealand	Administered by the Ministry of Education and implemented by local contracted providers
Perinatal intervention	Studies 1 and 2: Milwaukee, Wisconsin	Studies 1 and 2: Community

Model Name	Location of Services	Type of Implementing Agency
program		health agency
Philani Outreach Programme	Neighborhoods in townships surrounding Cape Town, South Africa	The Philani Child Health & Nutrition Project, an international non-governmental organization
SafeCare	A rural county in a southwestern state	Not specified
SHARE-ACTION	Six Nations Reserve, Canada	Not specified
SIDS risk factor program	The Aberdeen Area of the Indian Health Service and a community hospital in North Dakota	Community hospital
Toddler Overweight and Tooth Decay Prevention Study (TOTS)	American Indian tribes in the Northwest	Not specified
Universal Health Home Visit offered through Families First	New South Wales, Australia	New South Wales Department of Health

Source: Twenty-two models identified across 45 studies included in the HomVEE review of home visiting program implemented in tribal communities.

Home Visitor Qualifications and Training. The program models frequently employed paraprofessionals and did not set minimum education requirements (Table I.9). Rather, they sought home visitors who (1) were indigenous to the community being served, (2) had strong interpersonal and communication skills, (3) had experience working with families targeted by the programs, or (4) were themselves parents from the target community. Three programs required home visitors to have a bachelor's degree. Although few home visiting program models set guidelines for minimum education or experience, nearly all mandated that home visitors complete preservice and ongoing training (Table I.10), and some required intensive training. For example, home visitors implementing the Baby FACE program participated in a five-day initial training and three-day followup. Home visitors implementing the Obesity Prevention + Parenting Support program participated in 120 hours of training, and those implementing Family Spirit participated in more than 80. To support home visitors during service delivery, many programs offered ongoing consultation with program developers to ensure that staff implemented the model consistently over time.

**Table I.9. Home Visitor Qualifications** 

Program Name	Education and Experience	
Baby Family and Child Education Program (FACE)	The minimum qualifications for the position of the parent included a high school degree or GED diploma, the ability to read and write in English, and working towards a Child Development Associates degree (CDA) or an associate degree (AA).	
Early intervention services	Not specified	
Early Start (New Zealand)	Home visitors with educational backgrounds in nursing, social work, early childhood education, teaching, or related fields; home visitors were also required to have an awareness of cultural issues, experience with high-risk families, and evidence of good interpersonal skills and sound judgment.	
Even Start—tribal program	At minimum a high school degree; one community hired home visitors with an associate's degree or higher.	
FACE	American Indian staff preferred	
Family Spirit	Bilingual American Indian women who had a job history in tribal health and human services, passed a background screening, and had been teen mothers themselves or had a special interest in this population	
Home Activity Program for Parents and Youngsters (HAPPY) Rural Outreach Project	Paraprofessionals	
Healthy Families America/ Healthy Families Arizona/ Healthy Families Alaska	Specific educational requirements for direct-service staff were not given. HFA recommended selecting staff based on their personal characteristics; willingness to work in, or experience working with, culturally diverse communities; experience working with families with multiple needs; and ability to maintain boundaries between personal and professional life.	
Indian Family Wellness Project	Tribal members	
ITCM Healthy Start project	Not specified	
Kheth'Impilo Community- Based Adherence Support (CBAS)	The minimum qualifications for home visitors, called patient advocates, included a high school degree, and fluency in both English and the local language.	

Program Name	Education and Experience
Obesity Prevention + Parenting Support	An indigenous peer educator
Oklahoma Community-Based Family Resource and Support (CBFRS) Program	The home visitors had a bachelor's or master's degree in child development or were attending college and had five years of experience working with children and families; the race and ethnicity of the home visitors mirrored the demographics of the counties in which they worked.
The Parent-Child Home Program	Home visitors had to be able to write well enough to prepare a written report on each home visit and to administer certain assessments; the model developer encouraged sites to hire former program parent-participants and/or community residents as home visitors.
Parents as First Teachers (PAFT) New Zealand; an adaptation of Parents as Teachers (PAT)	Parent educators were required to have a degree in Early Childhood Education or an equivalent qualification, or work experience in education, health or social work.
Perinatal intervention program	Culturally competent staff with knowledge and assessment skills to address infant mortality and a desire to interact with members of the targeted community
Philani Outreach Programme	The program sought mentor mothers who (1) had children who were thriving, (2) demonstrated strong communication and interpersonal skills, (3) were committed to community service, and (4) showed an organized and disciplined approach to tasks.
SafeCare	Home visitors were required to have completed a bachelor's degree.
SHARE-ACTION	Not specified
SIDS risk factor program	Not specified
Toddler Overweight and Tooth Decay Prevention Study (TOTS)	Community health workers from tribal communities
Universal Health Home Visit offered through Families First	Child and family health nurses

Source:

 $Twenty-two\ models\ identified\ across\ 45\ studies\ included\ in\ the\ HomVEE\ review\ of\ home\ visiting\ programs\ implemented\ in\ tribal\ communities.$ 

Table I.10. Home Visitor Training and Technical Assistance

Program Name	Training and Technical Assistance
Baby Family and Child Education Program (FACE)	New Baby FACE staff members were offered a five-day implementation training and a three-day follow-up. Parent educators were offered two or three training conferences a year on implementing the Baby FACE program
	Parent educators also had access to technical assistance offered by program technical assistance coordinators
Early Start (New Zealand)	Home visitors underwent four weeks of initial training and received a minimum of 20 hours of in-service training per year; technical assistance was provided by the Ministry of Development and Family and Community Services
Early intervention services	Not specified
Even Start—tribal program	Home visitors received a variety of trainings, including training offered by Head Start agencies, regional workshops, and weekly Child Development Associate (CDA) classes; in one community that used the Parents as Teachers (PAT) curriculum, home visitors completed PAT trainings
FACE	Not specified
Family Spirit	The home visitors participated in more than 80 hours of training and were tested to ensure they had mastered lesson content and delivery strategies before service delivery. In two studies, ongoing training occurred bimonthly throughout the study.
Home Activity Program for Parents and Youngsters (HAPPY) Rural Outreach Project	Training for home visitors included training on HAPPY components and program adaptations. Staff at sites that utilized the Computerized Curriculum participated in hands-on training and were required to generate appropriate Home Activity Packages to demonstrate proficiency with the software
	Ongoing technical assistance was available to staff
Healthy Families America/ Healthy Families Arizona/ Healthy Families Alaska	Home visitors delivering Healthy Families Arizona were required to participate in an annual two-day statewide institute
	Problem areas identified through quarterly reports were followed up by targeted training and technical assistance
Indian Family Wellness Project	Staff attended a nine-month undergraduate-level research methods class for a full day twice a month, taught by the project methodologist
ITCM Healthy Start project	Not specified
Kheth'Impilo Community- Based Adherence Support (CBAS)	Home visitors, called patient advocates, participated in training covering HIV and TB infection and treatment, and psychosocial issues affecting adherence to treatment; One study reported that the training was three weeks long.
Obesity Prevention + Parenting Support	The peer educator participated in an intensive 120-hour initial in-service education program conducted by the study's principal investigator and a family therapist/parenting consultant from the St. Regis Mohawk tribe
	After the initial training, monthly staff development sessions were conducted
Oklahoma Community-Based Family Resource and Support (CBFRS) Program	Home visitors participated in more than 40 hours of pre-service training and received ongoing training
The Parent-Child Home Program	Home visitors were required to participate in a 16-hour training workshop provided by the site coordinator
	In-service training for home visitors was provided by site coordinators during weekly supervision meetings

Program Name	Training and Technical Assistance
Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)	Staff received an average of 5.2 days of professional development every six months. Pre-service training was not specified.
Perinatal intervention program	Not specified
Philani Outreach Programme	Studies 1 and 2: Mentor Mothers received four phases of training: (1) observing experienced mentor mothers, (2) attending a month of training, (3) learning how to help mothers bond with their children and improve the consistency of healthy daily routines, and (4) implementing their first round of home visits independently in their neighborhoods; Study 3: Home visitors participated in a monthlong training. Supervisors provided monthly in-service trainings.
SafeCare	Home visitors were required to complete a five-day workshop delivered by the National SafeCare Training and Research Center; home visitors implementing SafeCare Augmented were trained in basic Motivational Interviewing and domestic violence safety training
SHARE-ACTION	Aboriginal health counselors were trained to assess and set dietary and physical activity goals for each household member
SIDS risk factor program	Not specified
Toddler Overweight and Tooth Decay Prevention Study (TOTS)	Study 1: Community health workers received training in the delivery of one-to-one counseling to reduce sugar-sweetened beverage consumption and promote water consumption, using principles of home visiting and outreach, behavior change, and motivational enhancement. Study 2: Not specified
Universal Health Home Visit offered through Families First	Not specified

Source:

Twenty-two models identified across 45 studies included in the HomVEE review of home visiting programs implemented in tribal communities.

#### **II. LESSONS LEARNED**

The studies offer important insights into program adaptation and development, as well as implementation—insights that may be useful to the ACA Tribal Maternal, Infant, and Early Childhood Home Visiting Program grantees or other tribal organizations interested in implementing home visiting program models. In this section, we describe lessons learned across studies in three areas: (1) the adaptation of existing models and the development of new models that are culturally relevant to AIAN families and children, (2) the implementation challenges programs faced and the strategies they used to address them, and (3) the challenges evaluators faced conducting studies of the program models.<sup>11</sup>

#### A. Adapting or Developing Culturally Relevant Home Visiting Program Models

Research has found that evidence-based home visiting can be an effective tool to improve child outcomes (Bilukha et al., 2005; Gomby, 2005; Olds et al., 2004; Olds et al., 2007; Sweet & Appelbaum, 2004; Prinz, Sanders, Shapiro, Whitaker, & Lutzker, 2009). However, few studies have been conducted on the effectiveness of home visiting models with families from tribal communities. The field of home visiting has begun to recognize the need to examine the impact of national home visiting program models on diverse populations and explore adapting evidence-based home visiting models to make them more culturally relevant for families from diverse backgrounds (Kumpfer, Alvarado, Smith, & Bellamy, 2002). The implementation component of this review examined how agencies developed or adapted home visiting program models to serve the needs of tribal communities.

Strategies used to develop culturally relevant programs fall along a continuum of adaptations (Castro et al., 2010). At one end of the spectrum lie programs that maintain the basic content of a standard program model but make some minor adjustments to peripheral components to make it more appealing to the target minority population. In contrast, programs on the opposite end of the continuum reject standard models in favor of developing, in conjunction with the target population, services that build upon the cultural traditions and knowledge of the community.

The approaches used by programs described in this review mirror this continuum of adaptation. The programs included both national home visiting models (two of which were adapted for AIAN participants) and local programs developed for tribal populations. The families and children served in the studies of the national models included AIAN families, but only two of the programs targeted this population exclusively (Coughlin, Kushman, Copeland, & Wilson, 2010; Harvey-Berino & Rourke, 2003; Lambson, Yarnell, & Pfannenstiel, 2006; Pfannenstiel, Yarnell, & Seltzer, 2006). The studies did not, however, describe the process they used to adapt the national models, and the characteristics of the adapted models were consistent with those of models developed specifically for tribal communities. Therefore, the rest of the discussion in this section combines the lessons learned across studies of both types of home visiting program models. Regarding the development of culturally relevant programs, the common approaches that emerged across studies included the involvement of tribal leaders, the use of native staff, and efforts to build upon a community's traditions and strengths.

<sup>&</sup>lt;sup>11</sup> The information in this section of the report is drawn from the 19 studies identified in the 2010 review.

# Program Planners Involved Tribal Leaders in the Planning, Development, and Implementation of Home Visiting Programs

Programs engaged tribal leaders throughout the development process to provide input on cultural appropriateness and to encourage tribal members to enroll once the program was implemented. For example, tribal leaders consulted on design issues, provided program content, assisted with recruitment, and provided endorsement at program events (Barlow et al., 2006; Davis & Prater, 2001; Fisher & Ball, 2002; Harvey-Berino & Rourke, 2003; Lambson et al., 2006). Two studies described the instrumental role tribal elders played in nurturing and promoting the program. One program established a collaborative partnership with the tribal community to develop and evaluate an intervention designed to strengthen parenting practices (Fisher & Ball, 2002). The tribal council was involved in all stages of the project, from conceptualization and drafting the grant proposal to the evaluation design. The tribe appointed a Cultural Oversight Committee to oversee development of the intervention.

A study of a perinatal intervention program described how the community health center planning the program consulted with local American Indian agencies and the region's tribes to develop an intervention to reduce the infant mortality rate among the local American Indian population (Davis & Prater, 2001). The planners sought the verbal support of the tribal communities and asked them to refer families to the program. The tribes' involvement and promotion of the program continued throughout the project from participation in a program dedication ceremony to attendance at a celebration of participants' program achievements.

Tribal leaders can play an important role in adapting the model to be culturally appropriate. For example, one of the articles discussed how the community health center went about designing a logo for the program (Davis & Prater, 2001): they commissioned an American Indian artist to create the logo and sought feedback from the tribes and tribal agencies on various drafts. One program used storytelling as the primary delivery mechanism (Fisher & Ball, 2002). The curriculum was based on six tribal stories that were narrated by tribal elders. As mentioned above, in another program, tribal elders participated in a program dedication and achievement ceremony (Davis & Prater, 2001). A medicine woman from one of the tribes also assisted with the first Lamaze series held. In the third site, elders were invited to speak at program events, and they offered prayers for new program families (Lambson et al., 2006). The final article described how a parenting consultant from the local tribe co-facilitated the preservice training of program staff (Harvey-Berino & Rourke, 2003).

# Home Visiting Programs Employed Staff from Within the Community or Sought Culturally Competent Staff

Some programs administrators felt that the families would be able to connect better with staff from their tribe than with an outside professional. Six hired staff members from the target community (Anand et al., 2007; Barlow et al., 2006; Fisher & Ball, 2002; Harvey-Berino & Rourke, 2003; le Roux et al., 2010; Walkup et al., 2009). A goal of one of these programs was to use the home visitors to create an extended family support system (Fisher & Ball, 2002).

In two other programs, the home visitors included both tribal members and people not from the community (Bailey, Applequist, & North, 1997; Davis & Prater, 2001). The study of a perinatal intervention program describes the importance planners placed on cultural sensitivity training for all staff (Prater & Davis, 2002). The planners felt it was important for staff to understand the history of exploitation suffered by the American Indian community and its implications for building a trusting relationship with a family.

One study that used both indigenous and outside home visitors explored the relationship between the racial/ethnic match of the family and provider and the family's satisfaction with the program (Bailey et al., 1997). The authors found that 96 percent of the families did not have a preference as to the racial/ethnic background of the provider. However, in the interviews some families did note the importance of having culturally competent home visiting providers and also mentioned the benefit of having providers or interpreters who could speak their native language.

### Programs Built on the Cultural Strengths and Customs of the Communities Served

A number of studies described building on the cultural strengths and customs of the target populations and incorporating traditional practices (Anand et al., 2007; Davis & Prater, 2001; Fisher & Ball, 2002; Lambson et al., 2006; Pfannenstiel et al., 2006; Prater & Davis, 2002). For example, the Indian Wellness Prevention Project developed a curriculum based on tribal legends and delivered it with a traditional storytelling approach (Fisher & Ball, 2002). The program they developed was designed to build on the community's cultural strengths and traditional child-rearing practices and wisdom. Another program's recruitment materials tried to emphasis their recognition of the value of traditional ways and the wisdom of tribal elders (Prater & Davis, 2002).

A couple of programs also sought to foster participants' connection to the traditional ways of their community. For example, one program integrated traditional arts and crafts, food, and music into the curriculum (Lambson et al., 2006). The program also participated in special tribal events such as the annual harvest dance.

### **B.** Delivering Home Visiting Services

During HomVEE's review of the studies, the team sought to identify lessons learned about delivering home visiting services in tribal communities. Several studies described how programs fared and the challenges they faced reaching the intended target population, maintaining enrollment, and providing adequate levels of service. The HomVEE team also identified some lessons learned about possible ways to address these challenges. The challenges and lessons we present in this section are similar to those commonly identified the home visiting implementation research. However, some of the lessons learned about how program staff attempted to overcome challenges are unique to the cultural traditions and expectations of AIAN participants.

#### Programs Were Able to Recruit Participants, but Nearly All Faced Attrition

Programs were generally able to recruit from their targeted population, but some had a hard time achieving enrollment targets, especially in rural areas. Only four of the studies stated their success in meeting a specified enrollment target. One of the programs was able to enroll over 80 percent of the target population, and another enrolled slightly less (76 percent). In a survey of providers, the third study found that recruitment was rated as a strength in 85 percent of the programs by the end of the year. Notably, another program faced barriers establishing the intervention in smaller, more rural locations where less programmatic infrastructure existed, and training staff and coordinating across towns 200 to 300 miles apart was a burden.

Once participants were enrolled, the majority remained in the program through completion, though almost all the programs faced attrition. One study was successful in retaining all the families from the first year into the second year (Fisher & Ball, 2000). For the remaining three studies that provided this information, between 10 percent and roughly half of participants withdrew from the program early or elected not to enroll in subsequent years (Walkup et al., 2009; Lambson et al., 2006;

Barlow et al., 2006). A study that followed a program for four years found that attrition consistently improved over the period, which could indicate that the longer a program is implemented, the more attrition rates improve (Krysik & LeCroy, 2007).

# Some Home Visiting Program Models Included Systems for Measuring Fidelity, but Most Studies Only Reported Dosage

The best test of the effectiveness of an intervention occurs when the program model is implemented with a high degree of fidelity to the original design. This ensures that the program model being evaluated was actually implemented as intended by the developer (Dane & Schneider, 1998; O'Donnell, 2008). Although consensus on a single definition does not exist, five elements are common to many definitions of implementation fidelity: (1) adherence to the program model as described by the developer, (2) exposure or dosage, (3) quality of service delivery, (4) participant responsiveness, and (5) understanding of the essential program model elements that cannot be subject to adaptation (Dunsenbury, Brannigan, Falco, & Hansen, 2003; Carroll et al., 2007).

Five of the 19 studies included in this review provided information about program model fidelity standards or systems for monitoring fidelity (Lambson et al., 2006; le Roux et al., 2010; le Roux et al., 2011; Krysik & LeCroy, 2007; Pfannenstiel et al., 2006).

- The Baby FACE program tracked data on the number of home visits received by children and families; the number of parent group meetings attended by adults; the length of participation in the program; the number and type of screenings received by children and the results of those screenings; and the quality of family participation as assessed by parent educators (Lambson et al., 2006). In addition, parents completed annual questionnaires on the services they received and their perceptions of the results of those services for their family.
- In the study of the FACE model, the authors indicate that the evaluation team maintained a database that recorded information on participation of families in program activities (Pfannenstiel et al., 2006).
- The evaluators and program administrators of the HFA/Healthy Families Arizona program combined their efforts to ensure that quality assurance data were available and accessible to program staff (Krysik & LeCroy, 2007). The evaluator provided program administrators with site-level data on a quarterly basis. The reports included information on a range of issues, including the percentage of assessments completed, compliance with the required number of home visits and supervision standards, and worker retention and training. In addition, the reasons eligible families provided for declining the program were tracked. Program administrators conducted a minimum of two quality assurance visits to each site per year to provide followup on concerns highlighted in the quarterly evaluation reports.
- To ensure that the Philani Outreach Programme was delivered as planned, supervisors accompanied each home visitor, known as Mentor Mothers, at least one day a month on a random schedule (le Roux et al., 2010; le Roux et al., 2011). The evaluators of the program monitored fidelity to the model by reviewing the forms completed at each home visit, monitoring visitation patterns, collecting observations by supervisors, and examining brief ratings of home visits by the supervisors.

Several studies reported on exposure, or dosage (Barlow et al., 2006; Walkup et al., 2009; Lambson et al., 2006; Pfannenstiel et al., 2006; Harvey-Berino & Rourke, 2003). Across these studies, almost all the programs had difficulty delivering planned levels of services. In one program, only 30 to 40 percent of participants received a full-service dosage (Lambson et al., 2006). Others fared better, providing participants with around 80 to 100 percent of expected home visits or lessons (Barlow et al., 2006; Walkup et al., 2009; Lambson et al., 2006).

Only one study reported on how well programs adhered to aspects of fidelity beyond dosage (Lambson et al., 2006). The study found that between 70 and 85 percent of children received the screenings as intended (with variation by the type of screening). In addition, nearly half of families received at least one referral during the program year. The study also described the results of parent satisfaction surveys. Overall, parents were very satisfied with the program; in particular, parents reported high satisfaction with their home visitors (known as parent educators).

# Implementing Programs in Remote Areas Complicates Service Delivery, as Does a Lack of Coordination Among Service Providers

For program staff in rural communities, traveling long distances to visit participants and coordinate with one another was a barrier to service delivery. Socioeconomic disadvantages, including illiteracy and lack of telephones, made it difficult to communicate with participants (Bailey et al., 1997). Furthermore, a lack of coordination among service providers created obstacles to service delivery. For example, in one study, providers and caregivers who were surveyed reported that professionals implementing the program were territorial and imposed differing agendas, which resulted in a poor group dynamic that inhibited team efforts. Providers also reported that the various service agencies had different agendas and followed administrative policies that (1) precluded the creation of a service system responsive to client needs, and (2) resulted in a duplication of effort in some areas. Additional bureaucratic challenges, including a lack of clearly defined roles, low funding levels, excessive caseloads, and time constraints, were also criticisms (Bailey et al., 1997). Similarly, researchers in a second study found that maintaining teamwork among staff, obtaining community acceptance, and developing a network of collaborative relationships with community agencies and programs that provide needed services for participants were considered problems early on, but improved in the second year (Lambson et al., 2006). Resource constraints hindered programs in their attempts to achieve desired outcomes. In a health intervention among rural Aboriginals in Canada, the tribal health committee identified a lack of affordable, fresh produce on the reserve as a barrier to increasing produce consumption (Anand et al., 2007).

### Home Visitors Struggled to Deliver Content Amidst Families' Immediate Needs

Families' day-to-day needs often made it difficult for home visitors to deliver the content as intended. For example, staff in one study discovered that participants failed to attend scheduled appointments in the community partly because they were struggling daily for food, shelter, and safety. To address the issue, the program began addressing clients' day-to-day needs and found that some clients became more open to services (Davis & Prater, 2001). An intervention implemented by a child welfare agency anticipated the day-to-day hardships of participants and took them into account from the onset by envisioning the home visiting program as working in conjunction with other agency services. As a private community-based organization with a mandate to provide protective and preventive services, the child welfare agency has the infrastructure to offer a more holistic set of services, which perhaps eases coordination. They ensured that social workers were available for counseling and offered a number of supplemental services, including homemakers, support groups, day care, and a preschool enrichment program for children with special needs. This

approach was considered a positive attribute of the program by the researcher who said, "The importance of always viewing the family in its totality and being aware of all its interrelated needs was underlined many times throughout the program" (McLaren, 1988).

# Overcoming Implementation Challenges Required That Programs Remain Flexible, Seek Community Buy-in, and Hire Culturally Sensitive Staff

To be flexible and responsive to challenges or unexpected circumstances, program staff modified program models to better align them with the needs and constraints of both participants and the home visitors delivering the services. To modify services, programs collected feedback from participants and program staff midcourse, consistent with a process of continuous quality improvement.

- In attempting to replicate and scale up a piloted model, one study discovered early, for example, that the new sites lacked the capacity to adopt the model in its entirety (Nevada State Department of Human Resources, 1997). Consequently, they trained sites to implement those portions of the model they felt they needed and could integrate into their existing structures. Staff also added a new training module for working with children with disabilities in inclusive settings after programs requested it.
- Administrators of the HFA/Healthy Families Arizona program used evaluation data on fidelity to inform quality assurance visits to sites implementing the programs (Krysik & LeCroy, 2007). During the visits, staff worked with sites on concerns identified in quarterly reports. According to the study, this allowed program administrators to identify problems with retention in the first few years of operation and focus on that area in subsequent years. As a result, retention rates improved over time and, according to authors, were comparable relative to those of other voluntary home visitation programs.
- Staff from different agencies delivering the perinatal intervention program adapted to the specific needs of the group and coordinated closely. Based on ongoing input from home visitors and other staff who worked closely with participants, program staff began attending medical appointments with participants who considered appointments to be threatening and held one-on-one makeup classes after participants began to frequently miss scheduled group classes. While nurses initially resisted makeup classes, citing their inefficiency, they found that teaching one-on-one was productive (Davis & Prater, 2001).

Although these modifications may have allowed program staff to overcome implementation challenges, these changes may have compromised the integrity of the program models. As described above, maintaining fidelity to program models is key when testing the effectiveness of a model. When considering modifications, program staff working in partnership with model developers is likely to best assure program integrity. The developers can help programs ensure that the changes are acceptable and do not interfere with core elements of the models.

Programs reported few challenges to maintaining participation over time if they (1) collaborated with the tribal communities from the onset and throughout implementation, involving them or collaborating in the pre-implementation phases; and (2) gained approval from community leaders and members, as well as paraprofessionals from the community, to deliver services. For example, the community health center that delivered the perinatal intervention program for urban American Indians discussed the program with the 16 local American Indian agencies and the reservations of Wisconsin's six tribes, conducted a needs assessment, and asked for both verbal support of the

program and referrals of American Indian women. The program then kicked off with a dedication ceremony to be consistent with the local tribal tradition. To maintain participation, they embraced cultural traditions and elicited feedback throughout. They planned several activities to facilitate a closer bond to patients' cultures, including a celebration in which family members and community members were invited to participate. Between the communal activities, the home visits kept staff connected with clients (Davis & Prater, 2001; Prater & Davis, 2002). Two studies indicated that the attributes of staff, including their personalities, experience, cultural sensitivity, competence, teaching skills, general helpfulness, and dedication, played a role in maintaining enrollment (Prater & Davis, 2002; Bailey et al., 1997).

### C. Challenges to Conducting Research in Tribal Communities

From the studies reviewed, the HomVEE team was also able to identify three key challenges evaluators faced while conducting research in tribal communities. Although these challenges are not unique to research conducted with the AIAN population, they may serve as considerations for future evaluations of home visiting programs for tribal communities.

### Achieving High Response Rates Was an Issue Across Studies

The ability of any evaluation to detect real improvements hinges on the ability of the researchers to collect solid data. Obtaining full information from all participants to use in the evaluation (in other words, having high response rates) was a challenge across studies. Indeed, low response rates were a main limitation of the impact studies reviewed by the HomVEE team. One reason studies faced low response rates was because when participants dropped out of a program, they often dropped out of the evaluation as well and did not want to participate in follow-up data collection. Thus, programs that faced high attrition rates also had low response rates among treatment group members (for example, see Barlow et al., 2006). Sample members in the comparison group may have either refused to participate in follow-up data collection or researchers were unable to locate them. A similar challenge faced by researchers of the Philani Outreach Programme was collecting data from sample members at multiple points in time (le Roux et al., 2010; le Roux et al., 2011).

#### The Cultural Relevance of Measures May Have Influenced Findings

One study noted that cultural and language differences might have influenced interview responses. Navajo caregivers and providers who were asked to rate services—a behavior in conflict with cultural norms—gave responses possibly meant to satisfy the interviewer rather than to reflect their genuine impressions. Furthermore, some interviews were translated into a native language, which could have created differences in meaning from the English version (Bailey et al., 1997).

### There Were Conflicts Between Community Preferences and Research Design Elements

For example, to allow participants to become familiar with visitors, one study postponed collecting baseline data until after a few home visits had been completed (McLaren, 1988). In another study, the evaluation was developed by a committee-appointed working group, which decided on a pre/post design rather than an RCT, because the latter had the potential to create controversy and concern in tribal communities (Fisher & Ball, 2000). One study addressed this issue by randomizing participants to treatment or an "active control" condition. In other words, the comparison group received a highly valued level of services rather than "usual care" (Walkup et al., 2009). Although this approach may have increased community buy-in of and participation in the

evaluation, the study authors acknowledged that the contrast between the treatment and control condition was reduced, making it harder to detect program effects. Researchers testing the Philani Outreach Programme discovered that, in both RCTs, children in the intervention arm were significantly more at risk; the researchers concluded that the local paraprofessionals who conducted random assignment intentionally steered the needier children into the group that received services and thus compromised the internal validity of the research design (le Roux et al., 2010; le Roux et al., 2011). Concerns about such issues as baseline data collection and random assignment are not unique to tribal communities. It is possible that additional dialogue and knowledge-building activities about the study designs and alternative data collection approaches could address community concerns without weakening the study designs.

### III. RECOMMENDATIONS FOR FUTURE DIRECTIONS

The research literature on home visiting models for tribal communities is in an early stage. More work is needed to develop well-specified home visiting program models for tribal communities and to test their effectiveness. The ACA Tribal Maternal, Infant, and Early Childhood Home Visiting Grant Program allows grantees to fill these gaps in the research literature. Collaborative efforts to plan for, adopt, implement, and sustain home visiting programs, along with rigorous local evaluations, will provide opportunities to build the evidence base. We recommend that these efforts include research to support model development and implementation as well as to test the effectiveness of program models. We first elaborate on recommendations for research in these two areas. We then provide three recommendations specifically related to issues identified in the studies reviewed. At the end of this section, we also highlight some suggestions for future research from the general HomVEE review.

### A. Research to Support Model Development and Implementation

As grantees undertake collaborative planning efforts to plan for, adopt, and implement home visiting programs, and as the provision of services progresses, detailed information about the program models and grantees' implementation experiences can be documented. This information will increase the feasibility that models can be sustained and replicated over time.

Model Specification and Documentation. Detailed information is needed about model specifications and minimum requirements. As demonstrated by the program descriptions provided in this report, most studies included information about minimum requirements but few studies provided detailed information about the program models. To replicate models, programs need operations manuals, training manuals, information about qualified trainers, documentation of curriculum or program content, and forms and assessments for service delivery. In addition, developers should identify core elements of the program models, meaning those elements of the models that programs must implement with integrity to achieve outcomes. Without this documentation, programs will not have the information they need to implement the models in the way the developers intended.

**Fidelity Standards and Measures.** In addition, model developers should create fidelity standards for core model elements. Measures of implementation fidelity assess the degree to which the initiative is implemented as planned. Few studies presented information about fidelity standards for service delivery or methods and measures for assessing fidelity. Such standards should include measures of both structural components of the models (such as the proper frequency of service delivery; the minimum staff qualifications, training, and supervision requirements; and the content to be delivered) and the manner in which content should be delivered.

Feasibility of Implementation. More research is needed to understand the challenges of implementation and whether and how they can be met. As demonstrated by the studies in this review that contained information about the dosage families received on average, implementing models at the intensity intended by developers is difficult. However, completing visits at the frequency and for the length of time the developers intended may be necessary to produce desired outcomes. Research on this topic can help identify both the levels of service delivery that are feasible and the strategies program staff can use to achieve acceptable dosages. Furthermore, more information is needed about challenges programs face funding and sustaining models, recruiting and retaining staff, recruiting and enrolling families, and delivering model content, as well how programs attempted to overcome these challenges. This information can help inform future efforts to implement these models.

Model Adaptation. Detailed information is needed about the process that programs use for making adaptations to national home visiting models, including how they engage with home visiting model developers to design, implement, and test adaptations. The studies the HomVEE team examined provided some lessons learned about the process for developing program models in tribal communities, program content relevant to participants, and staff preferences. However, additional information is needed about these topics, as is information from program participants about their preferences. There is an inherent tension between maintaining fidelity to core elements of the program model yet making culturally relevant adaptations.

### B. Research to Test Effectiveness of Program Models

The HomVEE team identified about a dozen studies of program models implemented in tribal communities with designs that had the capacity to provide unbiased estimates of program impacts. As discussed above, some evaluators faced challenges implementing research designs with strong internal validity. Of the studies that had rigorous designs, a common issue was high attrition among sample members. To address these issues, evaluators may benefit from applying a lesson learned among program implementers, namely engaging tribal elders, program providers, and other community stakeholders early on in the planning process to establish buy in among tribal members and design culturally relevant program models.

Using a utilization-focused participatory evaluation approach, evaluators and stakeholders may be able to work jointly to overcome these issues by defining an evaluation that is useful to both groups (the evaluators and the stakeholders). This approach is intended to create joint ownership of the evaluation among evaluators and stakeholders and to maximize the usefulness of evaluation data for both evaluation and program purposes (Cousins & Earl, 1995a). Researchers have found that in utilization-focused participatory evaluations (1) stakeholders may derive a powerful sense of satisfaction and professional development from their participation, (2) data are used in program decision-making and implementation, and (3) evaluation may be established as an organizational learning system (Cousins & Earl, 1995b). Despite these benefits, evaluators and stakeholders should also consider possible drawbacks, including how political influences may affect the evaluation and, in particular, the interpretation of findings.

### C. Recommendations to Strengthen Research

Carefully Plan and Implement Research Designs with Strong Internal Validity. HomVEE had identified 28 causal studies at the time our literature search ended for the 2014 review, fewer than half of which received a high or moderate rating. Ten of the 19 RCTs received a high or moderate rating, and 9 received a low rating, mainly due to high rates of attrition and lack of

baseline equivalence. Only two of the nine QEDs received a moderate rating. The remaining seven received low ratings because they did not establish baseline equivalence. The HomVEE team did not identify any studies using SCD or RD designs. HomVEE and other reviews, including Pregnancy Prevention Research Evidence Review, the WWC, the Substance Abuse and Mental Health Services Administration's (SAMHSA) National Registry of Evidence-Based Programs, Campbell Collaboration, and Blueprints, offer guidelines on constructing and implementing rigorously designed studies. Here, we highlight the two main reasons that the RCTs and QEDs included in this review did not receive high or moderate ratings:

- 1. **High Sample Attrition.** The main reason the RCTs of home visiting program models implemented in tribal communities were rated low was their high levels of sample attrition, which weaken the validity of the study findings. From the onset, evaluators should pay particular attention to the need to maintain the study sample. One strategy that may help evaluators overcome this issue is working closely with tribal elders, service providers, and other stakeholders that remain in close contact with study participants to communicate the importance of retaining families in the study. Evaluators and program stakeholders should encourage participants to continue to participate in the research even if they do not stay involved with the program.
- 2. No Information to Establish Baseline Equivalence. Matched comparison QEDs, which use a nonrandom process for group assignment, and RCTs with high attrition could have received a moderate study-quality rating in the HomVEE review. If the program and comparison groups are different at onset, the comparison group does not provide a good representation of what would have happened to the treatment group in the absence of program services. The HomVEE review standards required that RCTs with high attrition and QEDs establish baseline equivalence between the two groups on selected measures. These measures, such as pre-program outcomes, race, ethnicity, and socioeconomic status, were determined to be key for composing a reasonable comparison group. Studies receive a low rating if (1) the treatment and comparison groups differed on key baseline characteristics, or (2) information on baseline characteristics was not presented and equivalence could not be determined. Future evaluators should aim to achieve baseline equivalence and report on information about baseline characteristics.

Use the Highest-Quality Measure Feasible. Researchers should use primary measures when feasible, especially for key outcomes, but may need to use secondary measures when challenges prevent the use of primary measures. For example, some evaluators may rely on parent reports when collecting direct observation measures is too costly. Similarly, researchers may encounter limitations in the availability of culturally relevant measures which may require them to develop or use new measures that are not yet standardized.

http://www.colorado.edu/cspv/blueprints/index.html.

Review More information is available about the Pregnancy Prevention Evidence at http://www.hhs.gov/ash/oah/oah-initiatives/tpp/tpp-database-v1.html#.U8azla3D8dU; WWC http://ies.ed.gov/ncee/wwc/; SAMHSA's National Registry Evidence-Based **Programs** http://nrepp.samhsa.gov/; the Campbell Collaboration at http://www.campbellcollaboration.org/; and Blueprints at

Use Culturally Relevant Measures, When Available. One issue that arose was limited availability of measures that were culturally relevant for study participants. Evaluators should assess measures for cultural appropriateness and seek to identify those that best fit the target population included in the evaluation. To overcome measurement limitations, additional research may be needed to develop measures that are culturally relevant as well as reliable. When developing these measures, researchers should consider ways to engage researchers from tribal communities as well as other stakeholders in the community in the measure development process.

Consider Applying Lessons from the General HomVEE Review to Future Research on Home Visiting Programs Implemented in Tribal Communities. Many of these recommendations will be important for evaluators to consider when planning and implementing rigorous evaluations of models implemented in tribal communities. We provide a brief summary of some key findings below; detailed information about these recommendations is available on the HomVEE website in a report called Lessons Learned from the Home Visiting Evidence of Effectiveness Review (Avellar & Paulsell, 2011).

- Conduct studies with multiple study samples that seek to replicate the findings of initial efficacy trials. As the body of research on home visiting models implemented in tribal communities grows, evaluators should consider the importance of conducting replication studies of promising models. Replication is important for confirming findings from earlier studies. Replication studies should be based on a different analytic sample than was the original but should use the same outcome measures to the extent feasible to allow for comparisons across studies.
- Select a focused set of outcome measures that are closely aligned to the program model's targets of change, have strong validity and reliability, are appropriate for the study population, and allow for cross-study comparisons. Home visiting studies typically measure outcomes in a wide range of domains and use multiple measures within domains. Using a more focused set of measures with strong validity and reliability can increase confidence in measurement accuracy and make patterns of findings more apparent. Studies can be strengthened by selecting measures that are closely aligned to the program model's theory of change and hypothesized outcomes.
- Take steps to reduce the risk of finding statistically significant findings by chance when conducting multiple comparisons. Especially because most home visiting studies measure outcomes in multiple domains, steps should be taken to reduce the likelihood of finding statistically significant findings by chance. Corrections can be made, such as Bonferroni, which adjust the alpha levels to account for multiple tests. Another possibility for addressing this issue is selecting key or confirmatory variables of interest that are the focus of the program. Thus if the model targets the reduction of child maltreatment, this could be considered a primary outcome, whereas other outcomes, such as family self-sufficiency, may be less important. Multiple comparison corrections are then only applied to key outcomes (for example, multiple indicators of child maltreatment).
- Determine the appropriate sample size to detect statistically significant findings of interest. Whereas multiple comparisons increase the risk of mistakenly finding statistically significant associations, there is also a risk of missing associations that should be statistically significant. This type of error occurs when a study is underpowered and the analysis cannot identify relationships that exist in the population. Determining whether a study is adequately powered requires a number of considerations, such as the

- expected effect size of the program, but many computer programs can estimate the power of a sample using these assumptions.
- Report effect sizes. Because effect sizes show the size of the impact relative to the standard deviation of the measure and are independent of the units in which the outcome in measured, they facilitate comparisons of results across outcomes and studies.
- Measure longer-term effects of promising program models. If a home visiting model intends to have sustained impacts that last after program services end, these effects should be measured. Researchers and developers will need to carefully consider what length of follow-up is reasonable. The program model's theory of change and expectations about longer-term effects can be used as a guide for making this decision.
- Select study samples with external validity in mind. Researchers and practitioners generally are interested in the program's effectiveness beyond any given study sample. An externally valid sample is representative of a population, such as all those eligible for services in a tribe, a state, or a region, which requires taking a random sample so that every member of a population has a chance of being included in the study. External validity also may apply to the types of providers delivering the services, community context, or other factors. When designing a study, researchers may want to think carefully about the population of interest and try to construct a study that represents that population.
- Continue to test the effectiveness of the program model periodically, as earlier results may be less applicable to today's families and context. Both the program model and the counterfactual are likely to evolve and change over time. Program model developers may modify components based on lessons learned from past evaluations or feedback from practitioners. Further, as successful approaches to service delivery are disseminated and replicated, the counterfactual—what would happen in absence of program services—changes. Therefore, ideally, research on a model should continue, not just to replicate past results but also to ensure that the results reflect the current environment and needs of children and families.

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# APPENDIX A STUDIES FROM WHICH DESCRIPTIVE INFORMATION EXTRACTED



Table A.1. List of Studies from Which Descriptive Information Was Extracted

Citation	Study Design	Program Name
Anand, S. S., Davis, A. D., Ahmed, R., Jacobs, R., Xie, C., Hill, A., Sowden, J., Atkinson, S., Blimkie, C., Brouwers, M., Morrison, K., de Koning, L., Gerstein, H., & Yusuf, S. (2007). A family-based intervention to promote healthy lifestyles in an Aboriginal community in Canada. Canadian Journal of Public Health. Revue Canadienne de Santé Publique, 98(6), 447–452.	RCT	SHARE-ACTION
Bailey, D., Applequist, K., & North, C. U. (1997). Parent perceptions of home visitors: A comparative study of parents who are American Indian and non-Indian parents. Washington, DC: U.S. Department of Education.	Correlational	Early intervention services
Barlow, A., Mullany, B., Neault, N., Billy, T., Hastings, R., Lorenzo, S., Kee, C., Lake, K., Redmond, C., Carter, A., & Walkup, J. T. (2014). A randomized controlled trial of a paraprofessional delivered, home-visiting intervention: Three-year outcomes for American Indian teen mothers and their children. Manuscript in preparation.	RCT	Family Spirit
Barlow, A., Mullany, B., Neault, N., Compton, S., Carter, A., Hastings, R., Billy, T., Coho-Mescal, V., Lorenzo, S., & Walkup, J. (2013). Effect of a paraprofessional home visiting intervention on American Indian teen mothers' and infants behavioral risks: A randomized controlled trial. <i>American Journal of Psychiatry</i> , 170(1), 83–93.	RCT	Family Spirit
Barlow, A., Varipatis-Baker, E., Speakman, K., Ginsburg, G., Friberg, I., Goklish, N., Cowboy, B., Fields, P., Hastings, R., Pan, W., Reid, R., Santosham, M., & Walkup, J. (2006). Home-visiting intervention to improve child care among American Indian adolescent mothers: A randomized trial. <i>Archives of Pediatrics &amp; Adolescent Medicine</i> , <i>160</i> (11), 1101–1107.	RCT	Family Spirit
Boyd, A. (1997a). Parents as First Teachers pilot project evaluation (PAFT): Report on South Auckland area. Wellington, New Zealand: Ministry of Education.	RCT	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)
Boyd, A. (1997b). Parents as First Teachers pilot project evaluation (PAFT): Report on Whangarei region: Final complete draft. Wellington, New Zealand: Ministry of Education.	RCT	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)
Burd, L., Peterson, M., Face, G. C., Face, F. C., Shervold, D., & Klug, M. G. (2007). Efficacy of a SIDS risk factor education methodology at a Native American and Caucasian site. <i>Maternal &amp; Child Health Journal</i> , <i>11</i> (4), 365–371.	Pre-post	SIDS risk factor education program
Caldera, D., Burrell, L., Rodriguez, K., Crowne, S. S., Rohde, C., & Duggan, A. (2007). Impact of a statewide home visiting program on parenting and on child health and development. <i>Child Abuse &amp; Neglect</i> , <i>31</i> (8), 829–852.	RCT	Healthy Families America/ Healthy Families Alaska
Campbell, K. I., & Silva, P. A. (1997). Parents as First Teachers pilot programme evaluation: Age three assessments. Final report to the Ministry of Education on the Dunedin and Gisborne/East Coast areas. Wellington, New Zealand: Ministry of Education.	RCT	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)
Coughlin, R. L., Kushman, E., Copeland, G., & Wilson, M. L. <i>Pregnancy</i> and birth outcome improvements for American Indians in the Healthy Start project of the Inter-Tribal Council of Michigan, 1998-2008: An 11-year cohort study. Unpublished manuscript.	QED	The Inter-Tribal Council of Michigan's (ITCM) Healthy Start project

Citation	Study Design	Program Name
Culp, A. M., Culp, R. E., Anderson, J. W., & Carter, S. (2007). Health and safety intervention with first-time mothers. <i>Health Education Research</i> , 22(2), 285–294.	QED	Oklahoma Community- Based Family Resource and Support (CBFRS) Program
Culp, A. M., Culp, R. E., Hechtner-Galvin, T., Howell, C. S., Saathoff-Wells, T., & Marr, P. (2004). First-time mothers in home visitation services utilizing child development specialists. <i>Infant Mental Health Journal</i> , <i>25</i> (1), 1–15. doi:10.1002/imhj.10086.	QED	Oklahoma Community- Based Family Resource and Support (CBFRS) Program
Davis, C. L., & Prater, S. L. (2001). A perinatal intervention program for urban American Indians part 1: Design, implementation, and outcomes. <i>Journal of Perinatal Education: An ASPO/Lamaze Publication, 10</i> (3), 9–19.	Implementation	Perinatal intervention program
Duggan, A., Caldera, D., Rodriguez, K., Burrell, L., Rohde, C., & Crowne, S. S. (2007). Impact of a statewide home visiting program to prevent child abuse. <i>Child Abuse &amp; Neglect</i> , <i>31</i> (8), 801–827.	RCT	Healthy Families America/ Healthy Families Alaska
Durning, P. (1997). Parents as First Teachers [Ko Nga Matua Hei Kaiako Tuatahi]: Pilot PAFT process report. Wellington, New Zealand: Royal New Zealand Plunket Society.	Implementation	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)
Farquhar, S. (2003). Parents as First Teachers: A study of the New Zealand PAFT programme. Wellington, New Zealand: ChildForum Research.	Implementation	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)
Fatti, G., Shaikh, N., Eley, B., & Grimwood, A. (2013). Improved virological suppression in children on antiretroviral treatment receiving community-based adherence support: A multicentre cohort study from South Africa. <i>AIDS Care</i> . Advance online publication.	QED	Kheth'Impilo Community- Based Adherence Support (CBAS)
Feres-Lewin, C. (2000). An analysis of the governance and administrative elements of a public-private partnership approach to community-based education. (Doctoral Dissertation, University of Nevada, Las Vegas, 2000; 0506 Advisor: Chair Teresa S. Jordan). Dissertation Abstracts International, 61 (05A), 247–1689.	Implementation	Healthy Families America
Fergusson, D. M., Horwood, L. J., Grant, H., & Ridder, E. M. (2005). <i>Early Start evaluation report</i> . Christchurch, New Zealand: Early Start Project Ltd.	RCT	Early Start (New Zealand)
Fisher, P. A. & Ball, T. J. (2000). <i>Indian Wellness Preventive Intervention Project</i> . Eugene, OR: Oregon Social Learning Center.	Implementation	Indian Family Wellness Project
Gfellner, B. M., McLaren, L., & Metcalfe, A. (2008). The Parent-Child Home Program in Western Manitoba: A 20-year evaluation. <i>Child Welfare</i> , <i>87</i> (5), 49–67.	Implementation	The Parent Child Home Program
Grimwood, A., Fatti, G., Mothibi, E., Malahlela, M., Shea, J., & Eley, B. (2012). Community adherence support improves programme retention in children on antiretroviral treatment: A multicentre cohort study in South Africa. <i>Journal of the International AIDS Society, 15</i> (2), 17381.	QED	Kheth'Impilo Community- Based Adherence Support (CBAS)
Harvey Berino, J., & Rourke, J. (2003). Obesity prevention in preschool Native-American children: A pilot study using home visiting. <i>Obesity Research</i> , <i>11</i> (5), 606–611.	RCT	Obesity Prevention + Parenting Support
Johns Hopkins University. (2005). <i>Evaluation of the Healthy Families Alaska program</i> . Report to Alaska State Department of Health and Social Services, Alaska Mental Health Trust Authority. Baltimore, MD: Author.	RCT	Healthy Families America/ Healthy Families Alaska

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Citation	Study Design	Program Name
Karanja, N., Aickin, M., Lutz, T., Mist, S., Jobe, J. B., Maupome, G., & Ritenbaugh, C. (2012). A community-based intervention to prevent obesity beginning at birth among American Indian children: Study design and rationale for the PTOTS study. <i>Journal of Primary Prevention</i> , 33(4), 161–174.	Implementation	Toddler Overweight and Tooth Decay Prevention Study (TOTS)
Karanja, N., Lutz, T., Ritenbaugh, C., Maupome, G., Jones, J., Becker, T., & Aickin, M. (2010). The TOTS community intervention to prevent overweight in American Indian toddlers beginning at birth: A feasibility and efficacy study. <i>Journal of Community Health</i> , <i>35</i> (6), 667–675.	RCT	Toddler Overweight and Tooth Decay Prevention Study (TOTS)
Krysik, J., & LeCroy, C. W. (2007). The evaluation of Healthy Families Arizona: A multisite home visitation program. <i>Journal of Prevention &amp; Intervention in the Community, 34</i> (1), 109–127.	QED	Healthy Families America/ Healthy Families Arizona
Lambson, T., Yarnell, V., & Pfannenstiel, J. (2006). <i>BIA Baby Face program evaluation study: 2005 report.</i> Overland Park, KS: Research and Training Associates, Inc.	Implementation	Baby Family and Child Education program (Baby FACE)
le Roux, I. M., le Roux, K., Comulada, W. S., Greco, E. M., Desmond, K. A., Mbewu, N., & Rotheram-Borus, M. J. (2010). Home visits by neighborhood mentor mothers provide timely recovery from childhood malnutrition in South Africa: Results from a randomized controlled trial. <i>Nutrition Journal</i> , <i>9</i> (56).	RCT	Philani Outreach Programme
le Roux, I. M., le Roux, K., Mbeutu, K., Comulada, W. S., Desmond, K. A., & Rotheram-Borus, M. (2011). A randomized controlled trial of home visits by neighborhood mentor mothers to improve children's nutrition in South Africa. <i>Vulnerable Children &amp; Youth Studies, 6</i> (2), 91–102.	RCT	Philani Outreach Programme
le Roux, I. M., Tomlinson, M., Harwood, J. M., O'Connor, M. J., Worthman, C. M., Mbewu, N., Stewart, J., Hartley, M., Swendeman, D., Comulada, W. S., Weiss, R. E., & Rotheram-Borus, M. J. (2013). Outcomes of home visits for pregnant mothers and their infants in South Africa: A cluster randomized controlled trial. <i>AIDS</i> , 27(9), 1461–1471.	RCT	Philani Outreach Programme
Levin, M., Moss, M., Swartz, J., Khan, S., & Tarr, H. (1997). <i>National evaluation of the Even Start Family Literacy program: Report on Even Start projects for Indian tribes and tribal organizations</i> . Bethesda, MD: Abt Associates and Fu Associates.	Implementation	Even Start
Livingstone, I. D. (1999). Parents as First Teachers: Supplement to the summary report of the evaluation of the pilot project: Report to the Ministry of Education on consolidated cross-site analysis. Wellington, New Zealand: Ministry of Education.	RCT	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)
McLaren, L. (1988). Fostering mother-child relationships. <i>Child Welfare</i> , 67(4), 353–365.	Pre-post	The Parent-Child Home Program
Nevada State Department of Human Resources, Early Childhood Services. (1997). <i>HAPPY Rural Outreach Project. Final report</i> . Reno, NV: Author.	Implementation	Home Activity Program for Parents and Youngsters (HAPPY) Rural Outreach Project
Pfannenstiel, J., & Lente-Jojola, D. (2011). The Family and Child Education (FACE) program and school readiness: A structural model approach in an American Indian reservation context. <i>Journal of American Indian Education</i> , <i>50</i> (2), 84–96.	QED	Family and Child Education program (FACE)
Pfannenstiel, J., Yarnell, V., & Seltzer, D. (2006). Family and Child Education program (FACE): Impact study report. Overland Park, KS: Research & Training Associates, Inc.	QED	Family and Child Education program (FACE)

Citation	Study Design	Program Name
Praat, A. (2011). Parents as First Teachers evaluation: Phase II report. Wellington, New Zealand: Centre for Social Research and Evaluation.	QED	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)
Praat, A., Davie, S., & McGray, S. (2010). <i>Parents as First Teachers evaluation: Phase one report</i> . Wellington, New Zealand: Centre for Social Research and Evaluation.	Implementation	Parents as First Teachers (PAFT) (New Zealand); an adaptation of Parents as Teachers (PAT)
Prater, S. L., & Davis, C. L. (2002). A perinatal intervention program for urban American Indians: Part 2: The story of a program and its implications for practice. <i>Journal of Perinatal Education</i> , <i>11</i> (2), 23–32.	Implementation	Perinatal intervention program
Silovsky, J. F., Bard, D., Chaffin, M., Hecht, D., Burris, L., Owora, A., Beasley, L., Doughty, D., & Lutzker, J. (2011). Prevention of child maltreatment in high-risk rural families: A randomized clinical trial with child welfare outcomes. <i>Children and Youth Services Review, 33</i> (8), 1435–1444.	RCT	SafeCare Augmented
Walkup, J. T., Barlow, A., Mullany, B. C., Pan, W., Goklish, N., Hasting, R., Cowboy, B., Fields, P., Baker, E. V., Speakman, K., Ginsburg, G., & Reid, R. (2009). Randomized controlled trial of a paraprofessional-delivered in-home intervention for young reservation-based American Indian mothers. <i>Journal of the American Academy of Child &amp; Adolescent Psychiatry</i> , 48(6), 591–601.	RCT	Family Spirit
Widdup, J., Comino, E. J., Webster, V., & Knight, J. (2012). Universal for whom? Evaluating an urban Aboriginal population's access to a mainstream universal health home visiting program. <i>Australian Health Review</i> , <i>36</i> (1), 27–33.	Implementation	Universal Health Home Visit offered through Families First
Yarnell, V., Lambson, T., & Pfannenstiel, J. C. (2008). <i>BIE Family and Child Education Program</i> . Overland Park, KS: Research & Training Associates, Inc.	Implementation	FACE

<sup>&</sup>lt;sup>a</sup>This study describes an enhancement to the TOTS intervention that includes additional nutrition and physical activity components.

# APPENDIX B STUDY CHARACTERISTICS



Anand, S. S., Davis, A. D., Ahmed, R., Jacobs, R., Xie, C., Hill, A., Sowden, J., Atkinson, S., Blimkie, C., Brouwers, M., Morrison, K., de Koning, L., Gerstein, H., & Yusuf, S. (2007). A family-based intervention to promote healthy lifestyles in an Aboriginal community in Canada. *Canadian Journal of Public Health. Revue Canadienne De Santã*© *Publique*, 98(6), 447–452.

#### **Study Characteristics**

#### Study participants

Aboriginal households were recruited between May 2004 and April 2005 from the Six Nations Reserve in Ohsweken, Canada. Eligible households were composed of a male and/or female parent with at least one child living in the same household. Fifty-seven households involving 174 individuals, or roughly three members each, were randomized to intervention or usual care. Adults and children in the treatment group were on average 41.3 years old and 10.9 years old, respectively, compared to mean ages of 37.2 and 9.9 in the control group. More than half of the participants were female (62.5 percent of the treatment group, 60.5 percent of the control group). Most adults over age 18 were employed (70.7 percent treatment, 75 percent control), and roughly three-fourths had a high school education (78 percent treatment, 72.5 percent control). All children ages 5 to 10 were still enrolled in school, while among 5-to 18-year-olds, 42.6 percent of the treatment group and 60.9 percent of the control group were still enrolled. Over one-third of adults were smokers and had a body mass index of 34.8 and 32.7 in the treatment and control groups, respectively. Body mass index was lower for teens (25.6 treatment, 23.9 control) and even lower for children (22.8 treatment, 20.6 control). At follow-up, 28 families remained in the intervention group and 23 families remained in the control condition.

#### Setting

Aboriginal households were recruited between May 2004 and April 2005 from the Six Nations Reserve in Ohsweken, Canada.

## Home visiting services

The SHARE-ACTION program was designed to reduce energy intake and increase physical activity among Aboriginal families by influencing participants' health behavior, modeling health behaviors, and reinforcing healthy lifestyle changes. To achieve its goals, the program included regular home visits by Aboriginal health counselors trained to assess and set dietary and physical activity goals for each household member. In addition, families received weekly deliveries of spring water.

# Comparison condition

Control group families received Canada's Food Guide to Healthy Eating and Canada's Physical Activity Guide to Healthy Active Living.

### Funding source

The study was supported by a grant from the Canadian Institutes of Health Research (CIHR).

#### Author affiliation

Because information about who developed the program is not available, we could not assess author affiliation.

Citation	Bailey, D., Applequist, K., & North, C. U. (1997). Parent perceptions of home visitors: A comparative study of parents who are American Indian and non-Indian parents. Washington, DC: U.S. Department of Education.
	Study Characteristics
Study participants	Caregivers receiving home visiting services under Part H of the Individuals with Disabilities Education Act (IDEA) were eligible to participate. A total of 52 caregivers in the Navajo Nation (71 percent Arizona and 29 percent New Mexico) were interviewed over an 8-month period from November 1993 through July 1994. Due to recruitment challenges, the sample was largely one of convenience. Sixteen of the caregivers were Navajo, 8 were non-Indian. Over half completed high school (59.6 percent), almost one-third continued beyond eighth grade but did not finish high school (32.7 percent), and 82.7 percent had income levels between under \$10,000 and \$20,000. Among the children of caregivers, 31 percent were judged to be mildly delayed or disabled.
Setting	The study took place in the Navajo Nation. Caregivers and providers were located in Arizona and New Mexico.
Home visiting services	The Individuals with Disabilities Education Act (IDEA) includes a program for infants and toddlers with disabilities (the study refers to this as Part H of IDEA; in current legislation this is Part C). The program for infants and toddlers with disabilities is a federal grant program that assists states in operating a comprehensive statewide program of early intervention services for infants and toddlers with disabilities, from birth through age 2 years, and their families. For a state to participate in the program, it must assure that early intervention will be available to every eligible child and its family.
Comparison condition	Not applicable.
Funding source	The Office of Special Education Programs, U.S. Department of Education, sponsored the study.
Author affiliation	Because information about who developed the program is not available, we could not assess author affiliation.

Barlow, A., Mullany, B., Neault, N., Billy, T., Hastings, R., Lorenzo, S., Kee, C., Lake, K., Redmond, C., Carter, A., & Walkup, J. T. (2014). *A randomized controlled trial of a paraprofessional delivered, home-visiting intervention: Three-year outcomes for American Indian teen mothers and their children.* Manuscript in preparation.

#### **Study Characteristics**

#### Study participants

American Indian adolescent females ages 12 to 19 years at conception and at 32 weeks or earlier gestation who resided in one of four participating communities were recruited. The study sample included 322 participants who were randomly assigned to either the Family Spirit group (159) or the control group (163). The average age of participants at baseline was 18.1 years. Sixty percent lived with their parents, and slightly more than half lived in two or more homes within the past year. Seventy-seven percent of participants were pregnant with their first child. During pregnancy, 14 percent of participants drank alcohol, 19 percent smoked cigarettes, and 13 percent used marijuana.

#### Setting

The program was implemented in four tribal communities across three reservations in Arizona.

# Home visiting services

Family Spirit is a home visiting program for young American Indian pregnant and parenting mothers staffed by American Indian paraprofessionals. The program's goals are to increase mothers' parenting knowledge and involvement, mothers' psychosocial functioning, and children's emotional and behavioral outcomes. The curriculum includes 43 lessons that cover parenting skills, infant development, and maternal psychosocial development. The frequency of the visits depends upon the stage of the program. One-hour home visits are provided weekly during pregnancy, biweekly visits for the first four months following the child's birth, monthly between 4 and 14 months postpartum, and then bimonthly until the child's third birthday.

# Comparison condition

Participants in the control group received transportation to and from prenatal and well-baby visits, information on child care and community resources, and referrals for services.

### Funding source

Support for this research was provided by the National Institute on Drug Abuse.

#### Author affiliation

Ms. Barlow is part of the team that developed this program model. Dr. Walkup was affiliated with the Center for American Indian Health at the Johns Hopkins Bloomberg School of Public Health, where the team that developed this program model is based.

Barlow, A., Mullany, B., Neault, N., Compton, S., Carter, A., Hastings, R., Billy, T., Coho-Mescal, V., Lorenzo, S., & Walkup, J. (2013). Effect of a paraprofessional home visiting intervention on American Indian teen mothers' and infants behavioral risks: A randomized controlled trial. *American Journal of Psychiatry*, 170(1), 83–93.

#### **Study Characteristics**

#### Study participants

Expectant American Indian adolescents ages 12 to 19 years at conception and at 28 weeks' or earlier gestation who resided in one of four participating communities were potentially eligible for participation. To increase recruitment, the eligibility criteria were expanded to include a gestational period of 32 weeks or earlier. The study sample included 322 participants who were randomly assigned to either the Family Spirit group (159) or the control group (163). The average age of participants at baseline was 18.1 years. Sixty percent lived with their parents and slightly more than half lived in two or more homes within the past year. Seventy-seven percent of participants were pregnant with their first child. During pregnancy, 14 percent of participants drank alcohol, 19 percent smoked cigarettes, and 13 percent used marijuana.

#### Setting

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# Comparison condition

Participants in the control group received transportation to prenatal and well-baby visits, information on child care and community resources, and referrals for services.

### Funding source

Support for this research was provided by the National Institute on Drug Abuse.

#### Author affiliation

Ms. Barlow is part of the team that developed this program model. Dr. Walkup was affiliated with the Center for American Indian Health at the Johns Hopkins Bloomberg School of Public Health, where the team that developed this program model is based.

Barlow, A., Varipatis-Baker, E., Speakman, K., Ginsburg, G., Friberg, I., Goklish, N., Cowboy, B., Fields, P., Hastings, R., Pan, W., Reid, R., Santosham, M., & Walkup, J. (2006). Home-visiting intervention to improve child care among American Indian adolescent mothers: A randomized trial. *Archives of Pediatrics & Adolescent Medicine*, *160*(11), 1101–1107.

#### **Study Characteristics**

#### Study participants

All expectant American Indian adolescents ages 12 to 19 years at conception and at 28 weeks' or earlier gestation were potentially eligible for participation. Participants ranged in age from 14 to 20 years (median age = 17.1 years). Fiftyone (96 percent) had never married, 23 percent completed high school, and 68 percent lived with their parents. Forty mothers (75 percent) were pregnant with their first child, and 13 percent had one previous child.

#### Setting

The program was implemented in four American Indian health service catchment areas on the Navajo and White Mountain Apache reservations in New Mexico and Arizona.

## Home visiting services

The Family Spirit program was developed to address newborn care and maternal life skills among young American Indian pregnant and parenting mothers living on reservations. The program's goals were to increase mothers' parenting knowledge and involvement, infants' social and emotional behavior, and the quality of the home environment; and reduce stress, depression, and substance use among mothers. Families participating in Family Spirit participated in home visits. The program was modeled on Healthy Families America (HFA), a national program founded on 12 research-based principles to ensure quality of home visiting interventions for at-risk families. The content of the home-visiting intervention was derived from extensive community input on what teen parents needed to learn and was based on the *American Academy of Pediatrics Guide to Baby Care: Caring for Your Baby and Young Child: Birth to Age 5.* Cultural adaptations—including style, graphics, delivery, and content—were achieved through a community-based participatory process.

# Comparison condition

Control participants received a breastfeeding/nutrition education intervention over the course of 23 visits from paraprofessionals.

#### Funding source

Support for this research was provided by the Substance Abuse Mental Health Services Administration, the Ford Foundation, the Annie E. Casey Foundation, and the C. S. Mott Foundation.

### Author affiliation

Ms. Barlow is part of the team that developed this program model. Dr. Walkup was affiliated with the Center for American Indian Health at the Johns Hopkins Bloomberg School of Public Health, where the team that developed this program model is based.

Citation	Boyd, A. (1997a). Parents as First Teachers pilot project evaluation (PAFT): Report on South Auckland area. Wellington, New Zealand: Ministry of Education.
	Study Characteristics
Study participants	PAFT targeted first-time mothers with infants less than eight weeks old. Participants in the South Auckland area included 160 participants (87 treatment; 73 comparison). Eighty percent of children lived in a nuclear family. English was the most commonly spoken language; Samoan, Māori, and Tongan were spoken regularly in some homes. Nineteen percent of fathers in the treatment group and 15 percent of fathers in the comparison group were unemployed. Half of all caregivers were employed outside the home on a regular basis, with 70 percent of these caregivers working on a full-time basis.
Setting	Four areas in New Zealand: Whangarei, South Auckland, Gisborne and Dunedin. The study's outcomes are based solely on South Auckland, but the information on program implementation applies to the entire trial.
Home visiting services	PAFT is a strengths-based, primary prevention parent education and support program for families with children under three years of age that is designed to enhance children's health, development and school readiness. PAFT is based on the Parents as Teachers (PAT) model, adapted to meet the needs of New Zealand. PAFT uses an adapted form of PAT's Born to Learn curriculum and Āhuru Mōwai, a Māori specific curriculum developed for PAFT. PAFT services include: (1) information on child development provided during each home visit, including monthly developmental milestones and guidance on how to foster children's intellectual, social, language and motor-skill development; (2) periodic screening of the child's sensory and motor development to detect possible delays (if delays were detected, referrals were made to appropriate sources of support); (3) monthly visits by parent educators to the family's home; (4) monthly group meetings arranged by parent educators as a venue where parents could share experiences and discuss topics of interest; and (5) resources for parents and children, including books and learning materials.
Comparison condition	Comparison group mothers received only annual contact with program staff, via mailings, to maintain contact and update addresses.
Funding source	The New Zealand Ministry of Education.
Author affiliation	None of the study authors are developers of this program model.

Citation	Boyd, A. (1997b). Parents as First Teachers pilot project evaluation (PAFT): Report on Whangarei region: Final complete draft. Wellington, New Zealand: Ministry of Education.
	Study Characteristics
Study participants	PAFT targeted first-time mothers with infants less than eight weeks old. Participants in the Whangarei region included 190 participants (101 treatment; 89 comparison). Approximately 90 percent of children lived in a nuclear family. English was the most commonly spoken language (95 percent of participants); a small proportion of families reporting regularly speaking Samoan, Māori, and Tongan in the home. Forty-five percent of families were supported by father's earnings; 20 percent from both parents equally; 12 percent from the mother supplementing family earnings; and 15 percent were supported by the government.
Setting	Four areas in New Zealand: Whangarei, South Auckland, Gisborne and Dunedin. The study's outcomes are based solely on Whangarei, but the information on program implementation applies to the entire trial.
Home visiting services	PAFT is a strengths-based, primary prevention parent education and support program for families with children under three years of age that is designed to enhance children's health, development and school readiness. PAFT is based on the Parents as Teachers (PAT) model, adapted to meet the needs of New Zealand. PAFT uses an adapted form of PAT's Born to Learn curriculum and Āhuru Mōwai, a Māori specific curriculum developed for PAFT. PAFT services include: (1) information on child development provided during each home visit, including monthly developmental milestones and guidance on how to foster children's intellectual, social, language and motor-skill development; (2) periodic screening of the child's sensory and motor development to detect possible delays (if delays were detected, referrals were made to appropriate sources of support); (3) monthly visits by parent educators to the family's home; (4) monthly group meetings arranged by parent educators as a venue where parents could share experiences and discuss topics of interest; and (5) resources for parents and children, including books and learning materials.
Comparison condition	Comparison group mothers received only annual contact with program staff, via mailings, to maintain contact and update addresses.
Funding source	The New Zealand Ministry of Education.

None of the study authors are developers of this program model.

Author affiliation

Citation	Burd, L., Peterson, M., Face, G. C., Face, F. C., Shervold, D., & Klug, M. G. (2007). Efficacy of a SIDS risk factor education methodology at a Native American and Caucasian site. <i>Maternal &amp; Child Health Journal</i> , <i>11</i> (4), 365–371.
	Study Characteristics
Study participants	This study utilized two community sites to test a SIDS risk reduction methodology: (1) a Native American home visiting program for pregnant and young mothers, and (2) an obstetrics department in a community hospital in North Dakota. Women in the home visiting sites (n = 89) were from the Aberdeen Area of the Indian Health Service (AAIHS). The reservation's median income was \$20,916, unemployment rates exceeded 50 percent, and high school graduation rates were below 50 percent. The community hospital cohort (n = 252) sat in a county that was over 90 percent Caucasian, the median income was \$34,194, the high school graduation rate was 94 percent, and unemployment rates were below 4 percent.
Setting	Women in the home visiting sites were from the AAIHS. The community hospital was located in North Dakota.
Home visiting services	This intervention aimed to improve parental knowledge of SIDS risk factors and thus reduce child deaths from SIDS. To achieve its goals, program developers created baby blankets with nine risk factors for SIDS printed on them. Nursing or home visiting staff distributed the blankets to families and reviewed the information on the blankets either during the routine discharge planning process in a community hospital or through a home visiting program for Native American mothers and families.
Comparison condition	Not applicable.
Funding source	This study was supported in part by Altru Health Foundation.
Author affiliation	Because information about who developed the program is not available, we could not assess author affiliation.

Caldera, D., Burrell, L., Rodriguez, K., Crowne, S. S., Rohde, C., & Duggan, A. (2007). Impact of a statewide home visiting program on parenting and on child health and development. *Child Abuse & Neglect*, *31*(8), 829–852.

### **Study Characteristics**

#### Study participants

Between January 2000 and July 2001, 388 families who screened positive on a Healthy Families Alaska (HFAK) protocol for risk factors associated with poor health and social outcomes and received scores of 25 or higher on the Kempe Family Stress Checklist were recruited during pregnancy or at the time of birth (Duggan et al., 2007). Of these families, 364 consented to participate and were randomly assigned to the program group (n = 179) or the comparison group (n = 179)185). Of these, 325 families completed a baseline interview. The sample was 22 percent Alaska native, 55 percent Caucasian, 8 percent multiracial, and 15 percent other race. 58 percent of families were below poverty level, 58 percent of mothers had graduated from high school, and 73 percent had worked in the year prior to enrollment (Johns Hopkins University, 2005). The average age of mothers at baseline was 23.5 years. This study reports the second-year followup results of the HFAK evaluation, with a sample size of 138 program group primary caregivers and 140 comparison group primary caregivers. Most of the analyses are limited to families in which the biological mothers had custody of the index child at follow-up (249 families), with additional outcomes obtained from medical records (268 families). The outcomes included in this study were also described in an earlier report (Johns Hopkins University, 2005).

Setting

This study included six HFAK sites, two in Anchorage and one each in Wasilla, Fairbanks, Juneau, and Kenai.

Home visiting services Families in the program group were assigned to receive visits monthly until their child's birth and weekly thereafter. By design, families receive gradually less frequent visits as they reach critical milestones, ranging to quarterly visits at the highest level of functioning. Families were enrolled in the program until they functioned sufficiently to "graduate" or until their child turned 2. In practice, home visits were less frequent than intended, with only 4 percent of families receiving 75 percent or more of their designated frequency of visits and completing the full two years. Home visits were intended to emphasize preparing for child growth, development, and critical milestones; screening and referral for developmental delays; promoting a safe environment; positive parent-child interactions; establishing a "medical home" for the child; and supporting the family during crises. The program also emphasized the development of an individual family support plan (IFSP) or setting and monitoring progress toward individual family goals.

Comparison condition

Families assigned to the comparison condition received referrals to other community services.

Funding source

Alaska Mental Health Trust Authority and the Alaska State Department of Health and Social Services.

Author affiliation

None of the study authors are developers of this program model.

Campbell, K. I., & Silva, P. A. (1997). Parents as First Teachers pilot programme evaluation: Age three assessments. Final report to the Ministry of Education on the Dunedin and Gisborne/East Coast areas. Wellington, New Zealand: Ministry of Education.

#### **Study Characteristics**

#### Study participants

PAFT targeted first-time mothers with infants less than eight weeks old. The study included two samples: one from the Dunedin area and one from the Gisborne/East Coast area (characteristics are presented separately). Participants in the Dunedin area included 267 families, including 133 in the treatment group and 134 in the comparison group. Overall, 84 percent of parents in the sample were married. Eighty-four percent of fathers and 61 percent of mothers were working in paid employment. Most participants (87 percent) spoke only English in the home.

Participants in the Gisborne/East Coast area included 208 families, including 108 in the treatment group and 100 in the comparison group. Overall, 77 percent of parents in the sample were married. Ninety percent of fathers and 48 percent of mothers were working in paid employment. Nearly all participants (99 percent) spoke English; about a third (28 percent) also spoke other languages in addition to English.

#### Setting

Four areas in New Zealand: Whangarei, South Auckland, Gisborne and Dunedin. The study's outcomes are based solely on Dunedin and Gisborne, but the information on program implementation applies to the entire trial.

# Home visiting services

PAFT, a strengths-based, primary prevention parent education and support program for families with children under three years of age, was designed to enhance children's health, development and school readiness. PAFT was based on the Parents as Teachers (PAT) model, adapted to meet the needs of New Zealand. PAFT used an adapted form of PAT's Born to Learn curriculum and Āhuru Mōwai, a Māori specific curriculum developed for PAFT. PAFT services include: (1) information on child development provided during each home visit, including monthly developmental milestones and guidance on how to foster children's intellectual, social, language and motor-skill development; (2) periodic screening of the child's sensory and motor development to detect possible delays (if delays were detected, referrals were made to appropriate sources of support); (3) monthly visits by parent educators to the family's home; (4) monthly group meetings arranged by parent educators as a venue where parents could share experiences and discuss topics of interest; and (5) resources for parents and children, including books and learning materials.

# Comparison condition

Comparison group mothers received only annual contact with program staff, via mailings, to maintain contact and update addresses.

### Funding source

The New Zealand Ministry of Education.

#### Author affiliation

None of the study authors are developers of this program model.

Coughlin, R. L., Kushman, E., Copeland, G., & Wilson, M. L. (2010). *Pregnancy and birth outcome improvements for American Indians in the Healthy Start project of the Inter-Tribal Council of Michigan, 1998-2008: An 11-year cohort study.* Unpublished manuscript.

#### **Study Characteristics**

Study participants

Pregnant American Indian women in eight sites were recruited for Healthy Start through community events, word of mouth, and referrals, and in some cases were targeted for enrollment at any point before giving birth. The study sample included 966 infants in the Healthy Start enrollment records from 1998 to 2008. The comparison group was composed of all 4,149 singleton American Indians births that occurred during the same time period to women who resided in counties where at least 5 percent of all American Indian births were to Healthy Start participants. Of these, 872 births were to women who enrolled prenatally in Healthy Start. "American Indian" was defined as any individual with American Indian listed as the primary race or bridged race for the infant, mother, and/or father, or any individual enrolled in Healthy Start.

Healthy Start mothers were slightly younger (mean = 24.5 years old) than nonparticipants (mean = 25.1). Both groups attended an average of 11.5 years of school. Participating mothers had a greater number of risk factors than non-participants. More participants than non-participants lived in rural counties, while higher percentages of non-participants lived in urban and semi-urban counties. Proportionally more participants lived in counties with median household incomes below \$34,000 but had higher levels of private insurance or self-funded delivery costs, while non-participants had higher levels of Medicaid.

Setting

The program has been implemented in seven tribal locations in Michigan since 1998, and since 2001 through one urban center.

Home visiting services

Healthy Start-Home Visiting was authorized under Title III, Part D, Section 330H of the Public Health Service Act; (42 USC 254 c-8). The Healthy Start Initiative provided program funds to local agencies committed to community-driven strategies to mitigate the causes of infant mortality, low birth weight, and other poor perinatal outcomes. The purpose of Healthy Start-Home Visiting was to address significant disparities in perinatal health, especially disparities experienced by at-risk populations. The program also aimed to enhance the capacity of a community's perinatal and women's health service system. The Inter-Tribal Council of Michigan's (ITCM) Healthy Start project aimed to improve birth outcomes among American Indians living in Michigan. During visits with families, staff referred clients to appropriate services, and then followed up with clients and providers to ensure that adequate care was provided.

Comparison condition

The study did not provide any information about the comparison condition.

Funding source

This study was funded partly by a grant from the U.S. Department of Health and Human Services Administration for Children and Families, Administration for Native Americans, and the Office of Public Health Practice at the University of Michigan.

Author affiliation

None of the study authors are developers of this program model.

Citation	Culp, A. M., Culp, R. E., Anderson, J. W., & Carter, S. (2007). Health and safety intervention with first-time mothers. <i>Health Education Research</i> , <i>22</i> (2), 285–294.		
	Study Characteristics		
Study participants	The study sample included 205 first-time pregnant women enrolled in Oklahoma's CBFRS program. The study reported characteristics of the 156 women who remained enrolled in the program through completion.  Mothers were, on average, 17.4 weeks pregnant at enrollment and 20 years old at the birth of their child. Most mothers were white (69 percent). Other participants were African American, Native American, Hispanic, or another race/ethnicity. On average, mothers had between 11 and 12 years of education and most were low-income. Most mothers were single (68 percent), 30 percent were married, and 2 percent were divorced or separated. Fifty-nine percent of mothers participated in Medicaid.		
Setting	The program was implemented in five rural counties in Oklahoma.		
Home visiting services	The program consisted of prenatal home visits until the child's first birthday. Home visits focused on teaching mothers about maternal and child health, child development, home safety, and parenting skills. The content and intensity of the program varied depending upon the stage of the intervention and the age of the child. Home visitors could tailor the standardized curriculum by selecting topics that addressed families' specific concerns or interests. During the prenatal phase, home visitors presented topics focused on maternal health, parenting roles, and education and employment goals. During postnatal visits they mostly focused on child development, parenting roles, and child health. In both prenatal and postnatal visits, home visitors spent the smallest proportion of their time discussing other topics, such as crisis management, environmental health, and friends and family. During pregnancy, the program was designed for weekly home visits in the first month of the program, followed by biweekly visits until the child's birth for a total of eight prenatal visits. After the child's birth, home visits were intended to occur weekly during the first three months of the child's life and biweekly for the remaining nine months, for a total of 30 postnatal visits. Each visit was intended to last about one hour.		
Comparison condition	Similar rural counties in Oklahoma served as control. Control participants received standard health department services that did not include home visits.		
Funding source	Funding was provided by the Office of Child Abuse Prevention, Oklahoma State Department of Health.		

None of the study authors are developers of this program model.

Author affiliation

Culp, A. M., Culp, R. E., Hechtner-Galvin, T., Howell, C. S., Saathoff-Wells, T., & Marr, P. (2004). First-time mothers in home visitation services utilizing child development specialists. *Infant Mental Health Journal*, *25*(1), 1–15. doi:10.1002/imhj.10086.

### **Study Characteristics**

### Study participants

The study sample included 205 first-time pregnant women enrolled in Oklahoma's CBFRS program. The study reported characteristics of the 156 women who remained enrolled in the program through completion.

Mothers were, on average, 17.4 weeks pregnant at enrollment and 20 years old at the birth of their child. Most mothers were white (69 percent). Other participants were African American, Native American, Hispanic, or another race/ethnicity. On average, mothers had between 11 and 12 years of education and most were low-income. Most mothers were single (68 percent), 30 percent were married, and 2 percent were divorced or separated. Fifty-nine percent of mothers participated in Medicaid.

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# Comparison condition

Similar rural counties in Oklahoma served as control. Control participants received standard health department services that did not include home visits.

### Funding source

Funding was provided by the Office of Child Abuse Prevention, Oklahoma State Department of Health.

#### Author affiliation

Citation	Davis, C. L., & Prater, S. L. (2001). A perinatal intervention program for urban American Indians part 1: Design, implementation, and outcomes. <i>Journal of Perinatal Education</i> , 10(3), 9–19.
	Study Characteristics
Study participants	The American Indian mothers who had contact with the program were from all six Wisconsin tribes (Chippewa, Menominee, Oneida, Potawatomi, Stockbridge-Munsee, and Winnebago), as well as from out-of-state tribes. The women were primarily in their twenties, with an age range of 16 to 42 years. Most mothers had not completed high school. Approximately 85 percent were single at the time of conception.
Setting	Milwaukee, Wisconsin
Home visiting services	The perinatal intervention program was designed to encourage earlier entry to prenatal care and change of health risk habits among American Indian women. Program objectives included the need (1) to identify pregnancies early, (2) to decrease the interval between diagnosis of pregnancy and initial maternity care visit, (3) to increase the numbers of prenatal visits per patient, (4) to provide health education (including topics on pregnancy, nutrition, preterm labor, smoking cessation, prepared childbirth, breastfeeding, immunizations, well-child checks, and infant safety), and (5) to develop a system to ensure uninterrupted prenatal care when traveling between city and reservation. Women participating in the program received two home visits (one prior to delivery and one post-partum). Additional contact occurred by telephone, during drop-in or scheduled visits to the nurse's or outreach worker's offices, through outreach programs such as WIC, during medical appointments, and during program offerings to the community (such as Lamaze childbirth education classes).
Comparison condition	Not applicable.
Funding source	The community health center procured funding for the perinatal intervention program from two private sources: the Robert Wood Johnson Foundation and the W. K. Kellogg Foundation.
Author affiliation	Because information about who developed the program is not available, we could not assess author affiliation.

Duggan, A., Caldera, D., Rodriguez, K., Burrell, L., Rohde, C., & Crowne, S. S. (2007). Impact of a statewide home visiting program to prevent child abuse. *Child Abuse & Neglect*, *31*(8), 801–827.

### **Study Characteristics**

Study participants

Between January 2000 and July 2001, 388 families who screened positive on a Healthy Families Alaska (HFAK) protocol for risk factors associated with poor health and social outcomes and received scores of 25 or higher on the Kempe Family Stress Checklist were recruited during pregnancy or at the time of birth (Duggan et al., 2007). Of these families, 364 consented to participate and were randomly assigned to the program group (n = 179) or the comparison group (n =185). Of these, 325 families completed a baseline interview. The sample was 22 percent Alaska native, 55 percent Caucasian, 8 percent multiracial, and 15 percent other race. Fifty-eight percent of families were below poverty level, 58 percent of mothers had graduated from high school, and 73 percent had worked in the year before enrollment (Johns Hopkins University, 2005). The average age of mothers at baseline was 23.5 years. This study reports the second-year followup results of the HFAK evaluation, with a sample size of 138 program group primary caregivers and 140 comparison group primary caregivers. Most of the analyses are limited to families in which the biological mothers had custody of the index child at follow-up (249 families), with additional outcomes obtained from medical records (268 families). The outcomes included in this study were also described in an earlier report (Johns Hopkins University, 2005).

Setting

This study included six HFAK sites, two in Anchorage and one each in Wasilla, Fairbanks. Juneau, and Kenai.

Home visiting services Families in the program group were assigned to receive visits monthly until their child's birth and weekly thereafter. By design, families receive gradually less frequent visits as they reach critical milestones, ranging to quarterly visits at the highest level of functioning. Families were enrolled in the program until they functioned sufficiently to "graduate" or until their child turned 2. In practice, home visits were less frequent than intended, with only 4 percent of families receiving 75 percent or more of their designated frequency of visits and completing the full two years. Home visits were intended to emphasize preparing for child growth, development, and critical milestones; screening and referral for developmental delays; promoting a safe environment; positive parent-child interactions; establishing a "medical home" for the child; and supporting the family during crises. The program also emphasized the development of an individual family support plan (IFSP) or setting and monitoring progress toward individual family goals.

Comparison condition

Families assigned to the comparison condition received referrals to other community services.

Funding source

Alaska Mental Health Trust Authority and Alaska State Department of Health and Social Services.

Author affiliation

Citation	Durning, P. (1997). Parents as First Teachers [Ko Nga Matua Hei Kaiako Tuatahi]: Pilot PAFT process report. Wellington, New Zealand: Royal New Zealand Plunket Society.	
	Study Characteristics	
Study participants	PAFT targeted first-time mothers with infants less than eight weeks old.	
Setting	PAFT was piloted in four areas in New Zealand: Whangarei, South Auckland, Gisborne, and Dunedin.	
Home visiting services	PAFT is a strengths-based, primary prevention parent education and support program for families with children under three years of age that is designed to enhance children's health, development and school readiness. PAFT is based on the Parents as Teachers (PAT) model, adapted to meet the needs of New Zealand. PAFT uses an adapted form of PAT's Born to Learn curriculum and Āhuru Mōwai, a Māori specific curriculum developed for PAFT. PAFT services include: (1) information on child development provided during each home visit, including monthly developmental milestones and guidance on how to foster children's intellectual, social, language and motor-skill development; (2) periodic screening of the child's sensory and motor development to detect possible delays (if delays were detected, referrals were made to appropriate sources of support); (3) monthly visits by parent educators to the family's home; (4) monthly group meetings arranged by parent educators as a venue where parents could share experiences and discuss topics of interest; and (5) resources for parents and children, including books and learning materials.	
Comparison condition	Not applicable.	
Funding source	The New Zealand Ministry of Education.	
Author affiliation	None of the study authors are developers of this program model.	

Citation	Farquhar, S. (2003). Parents as First Teachers: A study of the New Zealand PAFT programme. Wellington, New Zealand: ChildForum Research.
	Study Characteristics
Study participants	PAFT targeted first-time mothers with infants less than eight weeks old. Data for this study came from a random sample of 400 surveys completed by families upon exiting the program, interviews with 14 PAFT parent educators from four programs, and interviews with 19 parents and caregivers from two programs. Fifty-eight percent of survey respondents identified themselves as New Zealand Europeans and 18 percent identified themselves as Māori or part-Māori. Of the families who were interviewed, 5 of the 19 were Māori, 13 of the caregivers were 30 years of age or over, 8 had a high school diploma or less, 5 families were single-parent households, and 10 families were low-income.
Setting	The program is delivered throughout New Zealand. The four programs included in the qualitative component of the study were located on the North Island and included urban, suburban, and rural areas.
Home visiting services	PAFT is a strengths-based, primary prevention parent education and support program for families with children under three years of age that is designed to enhance children's health, development and school readiness. PAFT is based on the Parents as Teachers (PAT) model, adapted to meet the needs of New Zealand. PAFT uses an adapted form of PAT's Born to Learn curriculum and Āhuru Mōwai, a Māori specific curriculum developed for PAFT. PAFT services include: (1) information on child development provided during each home visit, including monthly developmental milestones and guidance on how to foster children's intellectual, social, language and motor-skill development; (2) periodic screening of the child's sensory and motor development to detect possible delays (if delays were detected, referrals were made to appropriate sources of support); (3) monthly visits by parent educators to the family's home; (4) monthly group meetings arranged by parent educators as a venue where parents could share experiences and discuss topics of interest; and (5) resources for parents and children, including books and learning materials.
Comparison condition	Not applicable.
Funding source	The New Zealand Ministry of Education.
Author affiliation	None of the study authors are developers of this program model.

Citation	Fatti, G., Shaikh, N., Eley, B., & Grimwood, A. (2013). Improved virological suppression in children on antiretroviral treatment receiving community-based adherence support: A multicentre cohort study from South Africa. <i>AIDS Care</i> . Advance online publication.
	Study Characteristics
Study participants	The Kheth'Impilo Community-Based Adherence Support (CBAS) participants included 982 families with children younger than 16 years of age starting antiretroviral treatment (ART) for HIV at 57 non-governmental agency supported ART clinics. The average age of the children was 6.6 years, with 14 percent 2 years of age or younger. Ten percent of the children received treatment at rural ART facilities. Eight percent of the children were also receiving treatment for tuberculosis.
Setting	The program was implemented in four provinces in South Africa: Western Cape, Eastern Cape, KwaZulu-Natal, and Mpumalanga.
Home visiting services	The Kheth'Impilo CBAS program provided adherence and psychosocial support to families with children living with HIV. During the initial visit, the home visitor, called a patient advocate, assessed a family's tuberculosis and HIV-testing status, food security, substance use, domestic violence risk, and social assistance grant eligibility. The patient advocate also evaluated the family's challenges in adhering to treatment. A multidisciplinary team discussed the family's adherence challenges and developed strategies to facilitate adherence. During home visits, the patient advocate worked with the child's caregiver to implement the adherence strategies, discussed psychosocial problems, supervised medication administration, and conducted adherence checks. The patient advocates visited weekly during the first month and then monthly until the child was stable, at which point visits would occur quarterly.
Comparison condition	The study did not provide any information about the comparison condition.
Funding source	Funding for the program was provided by the U.S. President's Emergency Plan for AIDS Relief; the Global Fund to Fight AIDS, Tuberculosis and Malaria; the Department of Health of South Africa; and Absolute Return for Kids.
Author affiliation	Three of the authors are affiliated with the organization that implements the CBAS program.

Feres-Lewin, C. (2000). An analysis of the governance and administrative elements of a public-private partnership approach to community-based education. (Doctoral Dissertation, University of Nevada, Las Vegas, 2000). *Dissertation Abstracts International*, 61 (05A), 247–1689.

### **Study Characteristics**

### Study participants

The three pilot sites each targeted a different population for Healthy Families America (HFA). In Las Vegas, Nevada, the pilot targeted disenfranchised families in three zip code areas in the Las Vegas metropolitan area. This area was the fastest-growing urban area in the country and had experienced a 65 percent population growth in the past five years. Many families moving into the area experienced a lack of employment opportunities, depletion of their savings, few social networks to rely on or to access, and the absence of necessary information to access the assistance needed. In Pottawatomie County in Oklahoma, the pilot targeted Native American families, represented by approximately five Indian Nations. The third pilot location, Walworth County, Wisconsin, was a predominantly rural area. The HFA program targeted the largely hidden community of Latino families who came to the county to perform seasonal work in the tourism and agricultural industries.

### Setting

The study was pilot-tested in Walworth County in Wisconsin, Pottawatomie County in Oklahoma, and Las Vegas.

### Home visiting services

HFA is based upon a set of critical elements that serve as the framework for program development and implementation. HFA program components are theoretically rooted in a strength-based approach that recognizes that all families have strengths and that programs should build on these strengths rather than focus on correcting weaknesses. HFA aims (1) to reduce child maltreatment; (2) to increase utilization of prenatal care; (3) to improve parent-child interactions and school readiness; (4) to ensure healthy child development; (5) to promote positive parenting; (6) to promote family self-sufficiency and decrease dependency on welfare and other social services; (7) to increase access to primary care medical services; and (8) to increase immunization rates. To achieve its goals, enrolled families participate in home visits that including screenings and assessments. Healthy Families Arizona is a state-based program that is guided by six community-based statewide steering committees (focused on training, policies and procedures, credentialing, excellence, community partnerships, and advocacy).

## Comparison condition

Not applicable.

### Funding source

No information was available in the study about the funding source.

### Author affiliation

Fergusson, D. M., Horwood, L. J., Grant, H., & Ridder, E. M. (2005). *Early Start evaluation report*. Christchurch, New Zealand: Early Start Project Ltd.

### **Study Characteristics**

Study participants

The program enrolled 206 families. Mothers were 25 years old and fathers were 27 years old, on average. Children were enrolled as infants and were eligible for up to 5 years. Twenty-five percent of mothers and 31 percent of biological fathers identified as Māori, an indigenous population of New Zealand. Mothers were on average 19 years old during their first pregnancy, and 14 percent had been pregnant before age 16. About 14 percent of mothers who had a previous child reported that the child was in foster care, and 35 percent of current male partners were reported to have assaulted their partners. Most parents (71 percent of mothers and 78 percent of fathers) lacked formal education credentials. Nearly two-thirds of families (64 percent) were headed by a single parent, most families (88 percent) received public assistance, and 40 percent had income that was considered inadequate or very inadequate to meet the costs of daily living.

Setting

The program was located in Christchurch, New Zealand.

Home visiting services

Early Start (New Zealand) consisted of home visits and parenting classes. Community nurses referred clients to the program based on an oral assessment. Referred families participated in a one-month assessment period during which they could learn about the program without making a long-term commitment and program staff could conduct a more in-depth needs assessment. After this one-month period, families that did not exhibit a high need received a telephone call every three months. Home visits for other families focused on two family plans. A family support plan described common issues to address over the next three months (such as child abuse or neglect) and steps to address them. Second, families prepared an individual family plan that delineated their goals for the same period. The home visitors used a collaborative, problem-solving approach in working with families to carry out these plans.

The frequency of home visits corresponded with the families' need.

- High-need families (level 1) received one to two hours of home visits per week.
- Moderate-need families (level 2) received up to one hour of home visits every two weeks.
- Low-need families (level 3) received up to one hour of home visits per month.
- Graduate-level families (level 4) received up to one-hour of contact with a worker by telephone or through a home visit every three months.

All families began at level 1 and moved to other levels based on their individual progress. Services were offered for up to five years. Early Start families participated in the program for a median duration of 14 months. Almost three-fourths (74 percent) of families actively received services after 12 months, 65 percent were active after 24 months, and 60 percent still received services at 36 months.

Comparison condition

The control group had access to the full range of other health, welfare, and related services available in Christchurch.

Funding source

The Department of Child, Youth and Family, Ministry of Health, Canterbury District Health Board, Christchurch City Council, Trustbank Community Trust, and Health Research Council of New Zealand.

Author affiliation

One co-author is the general manager of Early Start.

•	Citation	Fisher, P. A., & Ball, T. J. (2000). <i>Indian Wellness Preventive Intervention Project</i> . Eugene OR: Oregon Social Learning Center.
		Study Characteristics
	Study participants	Study participants are families enrolled in the Siletz Tribal Head Start Program.
	Setting	The Siletz Tribal Head Start Program is located in Siletz, Oregon.
	Home visiting services	The Indian Wellness Project was a federally funded research project with the dual goals of developing a culturally grounded, family-centered preventive intervention and facilitating the development of tribal research infrastructure. The intervention had two components: home visitation and parent/child curricula. The program, delivered through Head Start centers, included a classroom component designed to build relationships among intervention staff, Head Start staff, and families. Families then participated in parent group meetings and home visits. The curricula for parents and children were based on six tribal stories/legends and focused on reintroducing the practice of storytelling. The stories selected for the intervention were made into brief videos (narrated by tribal elders), in which footage interposed scenes of stories being told with historical photographs, tribal artwork, and scenes of cultural events.
	Comparison condition	Not applicable.
	Funding source	Support for this research was provided by the National Institute of Drug Abuse, U.S. Public Health Service; and by the National Institute of Mental Health, and Office of Research on Minority Health, U.S. Public Health Service.
	Author affiliation	Because information about who developed the program is not available, we could not assess author affiliation.

Citation	Gfellner, B. M., McLaren, L., & Metcalfe, A. (2008). The Parent-Child Home Program in Western Manitoba: A 20-year evaluation. <i>Child Welfare</i> , <i>87</i> (5), 49–67.
	Study Characteristics
Study participants	The program serves a diverse population, including rural, Aboriginal, and non-Aboriginal families. Between 1984 and 2005, 185 families were enrolled in the PCHP; most were client referrals from Child Family Services caseworkers followed by referrals through family services resource centers. Mothers ranged in age from 18 to 43 years (median = 25 years). One-third of the group was Aboriginal; 58 percent was Caucasian; and 1 percent was of Asian descent. Children ranged from 15 to 56 months old at program onset (median = 24 months). Mothers' education ranged from grade five to university graduate (median = grade 10.5). Fathers' education ranged from grade three to university graduate (median = grade 11). One-half of the mothers indicated they were not living with the child's father. This was greater for Aboriginal than non-Aboriginal families. The majority (61.1 percent) of families were receiving some form of government assistance; this was greater among Aboriginal families.
Setting	Western Manitoba, Canada
Home visiting services	The Parent-Child Home Program focuses on (1) promoting positive parenting skills and building positive parent-child interaction, (2) enhancing the child's conceptual and social-emotional development, and (3) developing early literacy skills. The Parent-Child Home Program home visitors use a "light touch" approach that is non-didactic and empowers parents. The program aims to enhance the quality (including enhanced vocabulary, a reduction in discouragements, and an increase in encouragements used by the parent) and quantity of parent-child interaction to promote children's cognitive and social-emotional development and language and early literacy skills. Enrolled families participate in home visits and receive toys and books, referrals to community services, and assistance with transition to the next educational step for the child.
Comparison condition	Not applicable.
Funding source	No information was available in the study about the funding source.
Author affiliation	None of the study authors are developers of this program model.

Citation	Grimwood, A., Fatti, G., Mothibi, E., Malahlela, M., Shea, J., & Eley, B. (2012). Community adherence support improves programme retention in children on antiretroviral treatment: A multicentre cohort study in South Africa. <i>Journal of the International AIDS Society, 15</i> (2), 17381.
	Study Characteristics
Study participants	The Kheth'Impilo Community-Based Adherence Support (CBAS) participants included 323 families with children younger than 16 years of age starting antiretroviral treatment (ART) for HIV at 47 non-governmental agency supported ART clinics. The average age of the children was 6.8 years, with 16 percent 2 years of age or younger. Three percent of the children received treatment at rural ART facilities. Almost three-quarters (72 percent) of children had severe immunodeficiency, and 5 percent were also receiving treatment for tuberculosis.
Setting	The program was implemented in four provinces in South Africa: Western Cape, Eastern Cape, KwaZulu-Natal, and Mpumalanga.
Home visiting services	The Kheth'Impilo CBAS program provided adherence and psychosocial support to families with children living with HIV. During the initial visit, the home visitor, called a patient advocate, assessed a family's tuberculosis and HIV-testing status, food security, substance use, domestic violence risk, and social assistance grant eligibility. The patient advocate also evaluated the family's challenges in adhering to treatment. A multidisciplinary team discussed the family's adherence challenges and developed strategies to facilitate adherence. During home visits, the patient advocate worked with the child's caregiver to implement the adherence strategies, discussed psychosocial problems, supervised medication administration, and conducted adherence checks. The patient advocates visited weekly during the first month and monthly thereafter. If a child began missing clinic visits, the patient advocate would visit more frequently.
Comparison condition	If a child not receiving CBAS missed a clinic visit, clinic staff would follow up by telephone or, in some cases, visit the child's home.
Funding source	Funding for the program was provided by the U.S. President's Emergency Plan for AIDS Relief; the Global Fund to Fight AIDS, Tuberculosis and Malaria; and the Western Cape Department of Health.
Author affiliation	The first four authors are affiliated with the organization that implements the CBAS program.

Citation	Harvey-Berino, J., & Rourke, J. (2003). Obesity prevention in preschool Native-American children: A pilot study using home visiting. <i>Obesity Research</i> , <i>11</i> (5), 606–611.	
	Study Characteristics	
Study participants	Mother-child pairs who met the following criteria were accepted into the study: (a) the family had a child between the ages of 9 months and 3 years, (b) the child was walking, (c) the mother had a body mass index over 25 kg/m2, and (d) the mother agreed to keep all treatment appointments. Forty-three Native American mother-child pairs were assigned to the intervention or control group. Children were an average of 21 months old, and just under half (46 percent) were female. Mothers were, on average, 26.5 years old and had 13 years of education. Forty-two percent of mothers were employed full or part time. Seventy-nine percent of children had been breast-fed for an average time of 6 months, and 48 percent were enrolled in day care at least part time.	
Setting	The program was implemented in the St. Regis Mohawk community of Akwesasne, located along the St. Lawrence River in northern New York State and Ontario and Quebec, Canada.	
Home visiting services	The intervention was designed to promote parenting skills that facilitate heal attitudes and interactions around eating and activity and ultimately to prom short- and long-term weight regulation for children. The curriculum, delivered the home, emphasized the child's psychological and behavioral goals, logical anatural consequences, mutual respect, and encouragement techniques, as as specifically targeted how improved parenting skills could facilitate development of appropriate eating and exercise behaviors in children.	
Comparison condition	All mothers participated in a core parenting program based on the Active Parenting curriculum. For mothers in the control group, the peer educator was instructed to refrain from discussing child or parent eating and exercise behavior and to limit conversation if these issues came up.	
Funding source	This work was supported by a grant from the National Institutes of Health.	
Author affiliation	Because information about who developed the program is not available, we could not assess author affiliation.	

Johns Hopkins University. (2005). *Evaluation of the Healthy Families Alaska program.* Report to Alaska State Department of Health and Social Services, Alaska Mental Health Trust Authority. Baltimore, MD: Author.

### **Study Characteristics**

Study participants

Between January 2000 and July 2001, 388 families who screened positive on a Healthy Families Alaska (HFAK) protocol for risk factors associated with poor health and social outcomes and received scores of 25 or higher on the Kempe Family Stress Checklist were recruited during pregnancy or at the time of birth (Duggan et al., 2007). Of these families, 364 consented to participate and were randomly assigned to the program group (n = 179) or the comparison group (n =185). 325 families completed a baseline interview. The sample was 22 percent Alaska native, 55 percent Caucasian, 8 percent multiracial, and 15 percent were other race. 58 percent of families were below poverty level, 58 percent of mothers had graduated from high school, and 73 percent had worked in the year before enrollment (Johns Hopkins University, 2005). The average age of mothers at baseline was 23.5 years. This study reports the second-year follow-up results of the HFAK evaluation, with a sample size of 138 program group primary caregivers and 140 comparison group primary caregivers. Most of the analyses of interview data reported by the authors are limited to biological mothers with custody of the index child at follow-up (249 families). Additional outcomes are reported from medical records (268 families), child protective services reports (309 families), and observational data (~237 families).

Note: Information on sample size was received through communication with the author.

Setting

This study included six HFAK sites, two in Anchorage and one each in Wasilla, Fairbanks, Juneau, and Kenai.

Home visiting services

Families in the program group were assigned to receive visits monthly until their child's birth and weekly thereafter. By design, families receive gradually less frequent visits as they reach critical milestones, ranging to quarterly visits at the highest level of functioning. Families were enrolled in the program until they functioned sufficiently to "graduate" or until their child turned 2. In practice, home visits were less frequent than intended, with only 4 percent of families receiving 75 percent or more of their designated frequency of visits and completing the full two years. Home visits were intended to emphasize preparing for child growth, development, and critical milestones, screening and referral for developmental delays, promoting a safe environment, positive parent-child interactions, establishing a "medical home" for the child, and supporting the family during crises. The program also emphasized the development of an individual family support plan (IFSP) or setting and monitoring progress toward individual family goals.

Comparison condition

Families assigned to the comparison condition received referrals to other community services.

Funding source

Alaska Mental Health Trust Authority; Alaska State Department of Health and Social Services

Author affiliation

Citation	Karanja, N., Aickin, M., Lutz, T., Mist, S., Jobe, J. B., Maupome, G., & Ritenbaugh, C. (2012). A community-based intervention to prevent obesity beginning at birth among American Indian children: Study design and rationale for the PTOTS study. <i>Journal of Primary Prevention</i> , 33(4), 161–174
	Study Characteristics
Study participants	Expectant American Indian mothers and their families recruited from tribal health clinics and Women, Infants, and Children (WIC) programs.
Setting	Five American Indian tribes in the Northwest.
Home visiting services	The family-counseling intervention was delivered in 15 visit clusters by community health workers using a home visiting model. Each visit cluster could have up to three distinct contacts, one of which had to be a face-to-face contact. The goals of the Prevention of Toddler Obesity and Teeth Health Study (PTOTS) visits were to (1) increase breastfeeding initiation and duration, (2) limit the introduction of sugar-sweetened beverages to infants and toddlers, (3) promote the healthy introduction of solid foods, (4) reduce sedentary behaviors among infants and toddlers, and (5) enhance infant's and toddler's motor and movement skills.
Comparison condition	Children ages 1 to 5 in the comparison communities were offered diagnostic dental screenings.
Funding source	This work was supported by a grant from the National Institutes of Health.
Author affiliation	Because information about who developed the program is not available, we could not assess author affiliation.

Citation	Karanja, N., Lutz, T., Ritenbaugh, C., Maupome, G., Jones, J., Becker, T., & Aickin, M. (2010). The TOTS community intervention to prevent overweight in American Indian toddlers beginning at birth: A feasibility and efficacy study. <i>Journal of Community Health</i> , 35(6), 667–675.
	Study Characteristics
Study participants	Expectant mothers and their families were recruited from two American Indian tribes that were members of the Northwest Portland Area Indian Health Board (NPAIHB).
Setting	Two American Indian tribes that were members of the NPAIHB.
Home visiting services	Family interventions were delivered in eight-visit clusters by community health workers using a home visiting model. Each visit cluster could have up to three distinct contacts, and only one of these was required to be face-to-face contact; the other two could be conducted by telephone. The goals of Toddler Overweight and Tooth Decay Prevention Study (TOTS) visits were to (1) increase breastfeeding initiation and duration, (2) limit the introduction of sugar-sweetened beverages to infants and toddlers, and (3) promote the consumption of water for thirst among toddlers.
Comparison condition	Families in the control group were located in a tribal community that received a community-wide intervention. Community-wide interventions included five strategies: (1) raising awareness; (2) providing health education; (3) facilitating individual behavior change; (4) augmenting public health practice; and (5) modifying environments and/or policies related to breastfeeding, sugar-sweetened beverages, and water consumption.
Funding source	No information was provided about the funding source for the study.
Author affiliation	Because information about who developed the program is not available, we could not assess author affiliation.

Krysik, J., & LeCroy, C. W. (2007). The evaluation of Healthy Families Arizona: A multisite home visitation program. *Journal of Prevention & Intervention in the Community*, 34(1), 109–127.

### **Study Characteristics**

Study participants

Among the 800 families who enrolled in the program during the 9-month period beginning January 1, 2004, the typical participant was a low-income single mother. Fifty-six percent of the mothers were Hispanic; 25 percent were white non-Hispanic; 10 percent were American Indian; 6 percent were African American; and 3 percent were another race or ethnicity. Almost one-third (31 percent) of the participants were teenagers when their babies were born. Almost half (49 percent) had from one to seven children prior to her most recent birth. Mothers ranged in age from 13 to 43 years, and 18 percent were married or cohabitating. The majority of mothers (61 percent) and fathers (53 percent) had less than a high school education. Only 15 percent of mothers were employed, and the annual median income was \$6,000 (in 2004). Almost all mothers (97 percent) had health insurance. Income level and pregnancy status qualified the majority (90 percent) of program mothers for the state-sponsored health insurance program. Still, a substantial percentage, 35 percent, received late, if any, prenatal care. Some 13 percent of mothers gave birth prematurely, and 12 percent of the babies were considered low birth weight. Around 58 percent of mothers and 37 percent of the 575 fathers reported a history of severe childhood abuse. A comparison group of 302 at-risk families was recruited from hospitals located in areas demographically similar to those of the program sites: those with relatively high rates of poverty and substantiated child abuse and neglect.

Setting

Healthy Families Arizona is administered throughout Arizona in communities with high rates of child abuse and neglect and of poverty. The program began in 1991 in two counties and by 2000 had grown to 23 sites located in urban, suburban, rural, and tribal regions of the state. The program was expected to serve 4,324 families in calendar year 2005.

Home visiting services

Healthy Families America (HFA) is based upon a set of critical elements that serve as the framework for program development and implementation. HFA program components are theoretically rooted in a strength-based approach that recognizes that all families have strengths and that programs should build on these strengths rather than focus on correcting weaknesses. To achieve its goals, enrolled families participate in home visits that including screenings and assessments. Healthy Families Arizona is a state-based program that is guided by six community-based statewide steering committees (focused on training, policies and procedures, credentialing, excellence, community partnerships, and advocacy).

Comparison condition

The study did not provide any information about the comparison condition.

Funding source

The Arizona Department of Economic Security (ADES) administers the program and funds an annual evaluation.

Author affiliation

Lambson, T., Yarnell, V., & Pfannenstiel, J. (2006). *BIA Baby Face program evaluation study:* 2005 report. Overland Park, KS: Research and Training Associates, Inc.

### **Study Characteristics**

Study participants

The primary target for services was families with children from birth to 3 years of age, but some families with children 3 to 5 years of age were also served by the Baby Family and Child Education (FACE) program. Thirty-two percent of children were 1 year or younger, 30 percent were 1 to 2 years old, 27 percent were 2 to 3, and 11 percent were older than 3. Almost 80 percent of the participating adults were female. On 55 percent of the reservations served by the Baby FACE program, approximately one-half to two-thirds of children lived in poverty. On almost 40 percent of the Baby FACE reservations, approximately one-fourth to one-third of children lived in poverty. With poverty rates at about 60 percent of children or higher, five of the reservations were among the poorest communities in the nation. Overall, 17 percent of children in the Baby FACE schools had an Individual Education Plan (IEP) because of special needs, ranging from none to 39 percent of students among the schools. A second language was spoken in 68 percent of homes. Sixty-four percent of the students were identified as having limited English proficiency.

Setting

The program was implemented in 28 reservations across the United States. Reservations with Baby FACE programs included Acoma Pueblo, Cheyenne River, Crow Creek, Duckwater Shoshone, Eastern Cherokee, Fort Apache, Fort Berthold, Gila River, Havasupai, Hopi, Jemez Pueblo, Lower Brule, Menominee, Mississippi Choctaw, Navajo Nation, Northern Cheyenne, Pine Ridge, Quileute, Sac and Fox/Meskwaki, Santa Clara Pueblo, Spirit Lake Sioux, Standing Rock, Taos Pueblo, Tesuque Pueblo, Tohono O'odham, Ute Mountain, Wind River, and Zia Pueblo. All but one of the Baby FACE programs were in schools located on these 28 reservations.

Home visiting services

The Baby FACE program was developed to expand opportunities for American Indian families to learn about early childhood development and support children, including infants and toddlers with special needs, during their first years of life. The goals of the program were to (1) promote pre-literacy experiences for children from birth to age 5 with the support and involvement of their parents, and (2) increase parenting skills and knowledge of child development. To achieve these goals, families enrolled in the Baby FACE program participated in home visits that include screenings of children's development and group parent meetings and receive referrals through a resource network. The program implements the Parents as Teachers' Born to Learn curriculum, which was adapted to each tribal community's culture.

Comparison condition

Not applicable.

Funding source

The Bureau of Indian Affairs, Bureau of Indian Education within the U.S. Department of the Interior funded this program and evaluation.

Author affiliation

Because information about who developed the program is not available, we could not assess author affiliation.

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le Roux, I. M., le Roux, K., Comulada, W. S., Greco, E. M., Desmond, K. A., Mbewu, N., & Rotheram-Borus, M. J. (2010). Home visits by neighborhood mentor mothers provide timely recovery from childhood malnutrition in South Africa: Results from a randomized controlled trial. *Nutrition Journal*, 9(56).

### **Study Characteristics**

### Study participants

Mother-child participants were selected from 65 neighborhoods in 3 Xhosa townships surrounding Cape Town, South Africa. A local Mentor Mother visited every home in her assigned neighborhood (typically based on proximity), identifying and weighing each child age 5 or younger. Any child weighing less than 2 standard deviations below his or her weight-for-age norm was classified as malnourished; this included all newborns weighing less than 2,500 grams at birth. Two of three mother-child dyads were randomly assigned to the Philani intervention condition (n=536) or the control group (n=252). Children in the treatment group were age 17.3 months, on average, and control group children were 21.2 months. A little less than a fifth of all children were supported by a nutrition program (19 percent), and half the children were of low birth weight (53 percent). Children in the intervention arm of the study were significantly younger and more underweight. Half the dyads lived in informal housing (52 percent) and had access to a flush toilet (55 percent). Mentor Mothers reported living conditions of the dyads to have a pleasant or neutral smell (92 percent), but less than a third of homes were thought to have good hygiene (32 percent).

#### Setting

The study was conducted within 65 neighborhoods in 3 Xhosa townships surrounding Cape Town, South Africa.

### Home visiting services

The Philani child health and nutrition program aimed to build community relationships and encourage mothers to engage in healthy practices to improve nutrition and health outcomes for young children. To achieve its goals, families participated in regular home visits. During visits, the home visitor (known as a Mentor Mother) weighed the participating child and discussed his progress with the mother. The Mentor Mother also made sure that the mother had the social grants she might be entitled to and that she understood proper nutrition and hygiene. Mentor Mothers stressed the importance of breastfeeding, the proper time to introduce solids, frequent feeding, and a mixed diet including vegetables and fruit. She checked to see if immunizations were up to date and that the child was dewormed.

### Comparison condition

Control participants did not receive any services during the one-year data collection period. After the final weighing, dyads in the control condition were given the option to receive the Philani nutrition intervention program.

### Funding source

This research was supported by funding from the World Childhood Foundation.

### Author affiliation

The lead author is affiliated with the organization that administers the program.

le Roux, I. M., le Roux, K., Mbeutu, K., Comulada, W. S., Desmond, K. A., & Rotheram-Borus, M. (2011). A randomized controlled trial of home visits by neighborhood mentor mothers to improve children's nutrition in South Africa. *Vulnerable Children & Youth Studies*, *6*(2), 91–102.

### **Study Characteristics**

Study participants

Mother-child participants were selected from 37 neighborhoods in Xhosa townships surrounding Cape Town, South Africa. A local Mentor Mother visited every home in her assigned neighborhood (typically based on proximity), identifying and weighing each child under 6 years of age. Any child weighing less than 2 standard deviations below his or her weight-for-age norm was classified as malnourished; this included all newborns weighing less than 2,500 grams at birth. Two of three mother-child dyads were randomly assigned to the Philani intervention condition (n=500) or the control group (n=184). Five dyads initially assigned to the control condition were removed from the analysis and provided services for ethical reasons, reducing the final sample size to 179. At recruitment, mothers were 29.4 years old, on average, and most were married (70 percent). Children across both groups were age 26.2 months, on average, and half were male. A little less than a quarter of the children were supported by a nutrition program (24 percent), and 41 percent of children were of low birth weight, defined as less than 2,500 grams at birth. Children in the intervention arm of the study were nearly significantly more underweight. Half the dyads lived in informal housing (48 percent). A majority of interviewers reported living conditions of the dyads to have a pleasant or neutral smell (87 percent) and to have at least average hygiene (78 percent). The loss to followup was significantly greater in the control condition (n=159) compared to the intervention condition (n=481).

Setting

The study was conducted within 37 neighborhoods in Xhosa townships surrounding Cape Town, South Africa.

Home visiting services

The Philani child health and nutrition program aimed to build community relationships and encourage mothers to engage in healthy practices to improve nutrition and health outcomes for young children. To achieve its goals, families participated in regular home visits. During visits, the home visitor (known as a Mentor Mother) weighed the participating child and discussed his progress with the mother. The Mentor Mother also made sure that the mother had the social grants she might be entitled to and that she understood proper nutrition and hygiene. Mentor Mothers stressed the importance of breastfeeding, the proper time to introduce solids, frequent feeding, and a mixed diet including vegetables and fruit. She checked to see if immunizations were up to date and that the child was dewormed.

Comparison condition

Control participants did not receive any services during the one-year data collection period. After the final weighing, dyads in the control condition were given the option to receive the Philani nutrition intervention program.

Funding source

This research was supported by funding from the Centre for Health and Wellbeing through Professor Christina Paxon at the Woodrow Wilson School, Princeton University.

Author affiliation

The lead author is affiliated with the organization that administers the program.

Citation	le Roux, I. M., Tomlinson, M., Harwood, J. M., O'Connor, M. J., Worthman, C. M., Mbewu, N., Stewart, J., Hartley, M., Swendeman, D., Comulada, W. S., Weiss, R. E., & Rotheram-Borus, M. J. (2013). Outcomes of home visits for pregnant mothers and their infants in South Africa: A cluster randomized controlled trial. <i>AIDS</i> , <i>27</i> (9), 1461–1471.
	Study Characteristics
Study participants	Twenty-four neighborhoods were randomly assigned to the Philani program or the control condition, standard health care services. The study included 1,238 pregnant women who were at least 18 years of age and living in one of the target neighborhoods. Women were recruited at 26 weeks gestation, on average. Nineteen percent of women reported having been employed at some point. About one-third of women lived in formal housing, 53 percent had water on-site, 55 percent had a flush toilet, and 89 percent had electricity. Half of the women reported being hungry in the past week. About a quarter of the participants were living with HIV. More than half (57 percent) of the women were married or living with their partner, and two-thirds had a previous pregnancy. Among those women with previous pregnancies, 16 percent of births were low birth weight. Twenty-six percent of women reported drinking alcohol during pregnancy.
Setting	The study was conducted in townships surrounding Cape Town, South Africa.
Home visiting services	The Philani program provided home visiting services to women from pregnancy to six months postpartum. During prenatal visits, the home visitors discussed the importance of maternal nutrition, regular prenatal clinic appointments, HIV testing, the prevention of the transmission of HIV, and the cessation of alcohol use. After the child's birth, the home visitors discussed breastfeeding, child growth, immunizations, prevention for HIV exposed infants, and infant bonding.
Comparison condition	Women in the comparison condition had access to the standard health care services, including HIV care.
Funding source	The study was funded by a grant from the National Institute on Alcohol Abuse and Alcoholism and supported by a National Institutes of Health grant.
Author affiliation	The lead author is affiliated with the organization that administers the program.

Citation	Levin, M., Moss, M., Swartz, J., Khan, S., & Tarr, H. (1997). <i>National evaluation of the Even Start Family Literacy program: Report on Even Start projects for Indian tribes and tribal organizations</i> . Bethesda, MD: Abt Associates and Fu Associates.
	Study Characteristics
Study participants	Participants included American Indian families enrolled in two tribal Even Start programs. Parents ranged in age from 18 to 22 years. Most of the families enrolled in Even Start earned less than \$10,000 per year. Unemployment rates varied by community: Tahlequah, Oklahoma, had an unemployment rate of 13 percent and Neah Bay, Washington, had an unemployment rate of 49 percent.
Setting	The study examined tribal Even Start programs implemented in two communities: the Cherokee Nation in Tahlequah and Kenwood, Oklahoma, and the Makah Indian Tribe in Neah Bay, Washington. Tahlequah, Oklahoma was described in the study as a small city; Kenwood, Oklahoma, and Neah Bay, Washington, were described as rural areas.
Home visiting services	Services included weekly home visits lasting from 60 to 90 minutes. The content of the visits varied by community. In Oklahoma home visits primarily focused on parent education, specifically academic areas tested on the General Educational Development (GED) tests, and child development (including content from seven areas: language naming, language comprehension, cognitive matching, cognitive counting, fine motor skills, gross motor skills, and personal and social skills). The program in Washington used the Parents as Teachers curriculum for child development topics.
	In addition to home visits, the program in Oklahoma offered monthly center-based parent meetings that included parent education and offered opportunity for social gatherings. The program in Washington offered play groups five days per week. Parents were offered classes in accounting, marketing, and computer instruction.
Comparison condition	Not applicable.
Funding source	The study was funded by the U.S. Department of Education.
Author affiliation	None of the study authors are developers of this program model.

Citation	Livingstone, I. D. (1999). Parents as First Teachers: Supplement to the summary report of the evaluation of the pilot project: Report to the Ministry of Education on consolidated cross-site analysis. Wellington, New Zealand: Ministry of Education.
	Study Characteristics
Study participants	PAFT targeted first-time mothers with infants less than eight weeks old. The study does not include data on study characteristics. The study is a re-analysis of data from previous studies (see Boyd 1997a; Boyd 1997b; Campbell and Silva 1997).
Setting	PAFT was piloted in four areas in New Zealand: Whangarei, South Auckland, Gisborne, and Dunedin.
Home visiting services	PAFT is a strengths-based, primary prevention parent education and support program for families with children under three years of age that is designed to enhance children's health, development and school readiness. PAFT is based on the Parents as Teachers (PAT) model, adapted to meet the needs of New Zealand. PAFT uses an adapted form of PAT's Born to Learn curriculum and Āhuru Mōwai, a Māori specific curriculum developed for PAFT. PAFT services include: (1) information on child development provided during each home visit, including monthly developmental milestones and guidance on how to foster children's intellectual, social, language and motor-skill development; (2) periodic screening of the child's sensory and motor development to detect possible delays (if delays were detected, referrals were made to appropriate sources of support); (3) monthly visits by parent educators to the family's home; (4) monthly group meetings arranged by parent educators as a venue where parents could share experiences and discuss topics of interest; and (5) resources for parents and children, including books and learning materials.
Comparison condition	Comparison group mothers received only annual contact with program staff, via mailings, to maintain contact and update addresses.
Funding source	The New Zealand Ministry of Education.
Author affiliation	None of the study authors are developers of this program model.

Citation	McLaren, L. (1988). Fostering mother-child relationships. <i>Child Welfare</i> , 67(4), 353–365.
	Study Characteristics
Study participants	The mothers were all referrals from the family service division of Child and Family Services of Western Manitoba; all mothers were considered neglectful. The majority (7 of 13) were of Canadian Indian background. At enrollment, mothers ranged from 20 to 30 years of age and were 25.5 years old on average. Children were between the ages of 17 to 53 months and 27.5 months on average. Information about the fathers, as reported by the mothers, indicated a mean age of 28 years old. Education of mothers ranged from no formal schooling to grade 11, with a mean education of 8.5 years. Mean education level of fathers, as reported by the mothers, was 8.8 years. Eleven of the 13 families were receiving assistance from income security, and the other two families were within the low-income range. Eleven (85 percent) were single and raising their children themselves. There was one stable marriage.
Setting	Western Manitoba, Canada
Home visiting services	The Parent-Child Home Program focuses on (1) promoting positive parenting skills and building positive parent-child interaction, (2) enhancing the child's conceptual and social-emotional development, and (3) developing early literacy skills. The Parent-Child Home Program home visitors use a "light touch" approach that is non-didactic and empowers parents. The program aims to enhance the quality (including enhanced vocabulary, a reduction in discouragements, and an increase in encouragements used by the parent) and quantity of parent-child interaction to promote children's cognitive and social-emotional development and language and early literacy skills. Enrolled families participate in home visits and receive toys and books, referrals to community services, and assistance with transition to the next educational step for the child.
Comparison condition	Not applicable.

No information was available in the study about the funding source. None of the study authors are developers of this program model.

Funding source

Author affiliation

Citation	Nevada State Department of Human Resources, Early Childhood Services. (1997). <i>HAPPY Rural Outreach Project. Final report</i> . Reno, NV: Author.
	Study Characteristics
Study participants	Sites that worked with underserved groups of children with developmental delays and were members of cultural or racial minority groups, including Native Americans and Hispanics, were selected for the intervention. Most of the children at the replication sites were between ages 3 and 6; a small number were under 3.
Setting	The intervention is designed for rural areas of Nevada.
Home visiting services	The Home Activity Program for Parents and Youngsters (HAPPY) Rural Outreach Program was designed to meet the needs of families with children with developmental delays that live in remote, rural areas of Nevada where daily home- or center-based services are not practical. The outreach project was a collaborative effort of the Nevada Departments of Education and Human Resources, colleges within the University of Nevada, Reno, rural Nevada Inter-Tribal Council Head Starts, rural Nevada Head Starts, rural community service providers, and rural local education agencies. Families participating in HAPPY received monthly home visits, quarterly progress reviews, and semi-annual assessments by a child development specialist; initial in-home evaluations, and regular video and telephone consultation with speech, physical, occupational therapists, and other related service personnel; and recommendations of individualized early intervention and therapeutic activities to be done by the parents with their child in the home.
Comparison condition	Not applicable.
Funding source	The Office of Special Education Programs, U.S. Department of Education, sponsored the study.
Author affiliation	Because information about who developed the program is not available, we could not assess author affiliation.

Citation Pfannenstiel, J., & Lente-Jojola, D. (2011). The Family and Child Education (FACE) program and school readiness: A structural model approach in an American Indian reservation context. Journal of American Indian Education, *50*(2), 84–96. **Study Characteristics** The Family and Child Education (FACE) program serves American Indian families Study participants with children from prebirth to kindergarten from rural reservations. Thirty-six percent of children entering kindergarten in the 2004-2005 school year participated in the program—18 percent participated in the home visits only, and 11 percent participated in both the home visiting and center-based preschool components. Mothers averaged 11.8 years of education upon their child's birth. The program was implemented in rural reservations across the United States. Settina The FACE program was designed to address the achievement gap for American Home visiting Indian children and to better prepare American Indian children for school. The services goals of the program are (1) to support parents in their role as their child's first teacher; (2) to increase family literacy; (3) to strengthen connections among family, school, and community; (4) to promote the early identification of children with special needs; (5) to increase parent participation in their child's learning and expectations for academic achievement; (6) to support and celebrate the unique cultural and linguistic diversity of each American Indian community served by the program; and (7) to promote lifelong learning. To achieve these goals, families enrolled in the FACE program participate in home visits and a center-based component providing preschool, adult education, and parenting education. The FACE program is a modification of two national models, Parents as Teachers and the National Center for Family Literacy,™ to explicitly include the language and culture of the tribal communities served. Comparison The study compared students entering kindergarten in the 2004-2005 school year

Comparison condition

The study compared students entering kindergarten in the 2004-2005 school year who participated in FACE prior to school entry and students who did not.

Funding source

The Bureau of Indian Affairs (BIA) Early Childhood Development Program within the U.S. Department of the Interior provides funds to deliver and assess program performance.

Author affiliation

Because information about who developed the program is not available, we could not assess author affiliation.

Pfannenstiel, J., Yarnell, V., & Seltzer, D. (2006). *Family and Child Education program (FACE): Impact study report.* Overland Park, KS: Research & Training Associates, Inc.

### **Study Characteristics**

Study participants

The Family and Child Education (FACE) program serves American Indian families with children from prebirth to 8 years of age from rural reservations. Only onethird of children entering school at the FACE sites in the 2004-2005 school year participated in the program. Fewer than 10 percent of children had participated in the full home- and center-based FACE model. More than one-third of FACE children were born to mothers with less than a high school education. Data on three risk factors—mothers with less than high school education, single-parent household, and primary language in the home is not English—revealed that almost 90 percent of families who participated in FACE had one or more of these potential risk characteristics. For the majority of the reservations on which FACE programs were located, almost half the children lived in poverty—more than three times the U.S. poverty rate of 16 percent. Approximately 60 percent of children lived in poverty on reservations that were home to three FACE schools, rendering them the poorest communities in the nation. One in three children on these reservations lived in a single-parent household, compared to one in four children nationally. Seventy percent of children in FACE communities and 80 percent of FACE children lived in dual-language households. For more than 20 percent of FACE children, the Native language was the primary language spoken in the home. About 25 percent of K-3 children who participated in FACE were identified for Early Childhood Special Education services prior to kindergarten entry. The kindergarten sample was 5.5 years old, on average.

Setting

The program was implemented in rural reservations across the United States, including Cheyenne River, Chinle, Eastern Navajo, Fort Defiance, Minneapolis, Oklahoma, Pima, Portland, Shiprock, and Southern Pueblos.

Home visiting services

The FACE program was designed to address the achievement gap for American Indian children, particularly those living on rural reservations, and to better prepare American Indian children for school. The goals of the program are (1) to support parents in their role as their child's first teacher; (2) to increase family literacy; (3) to strengthen connections among family, school, and community; (4) to promote the early identification of children with special needs; (5) to increase parent participation in their child's learning and expectations for academic achievement; (6) to support and celebrate the unique cultural and linguistic diversity of each American Indian community served by the program; and (7) to promote lifelong learning. To achieve these goals, families enrolled in the FACE program participate in home visits and a center-based component delivered through elementary schools. The FACE program is a modification of three national models to explicitly include the language and culture of the tribal communities' served.

Comparison condition

The study employed three sources of comparison: (1) within FACE sites, comparisons between students who participated in FACE prior to school entry and students who did not; (2) comparisons of FACE and non-FACE students at FACE sites with students attending comparison schools; and (3) comparisons of FACE, non-FACE, and comparison students with national norms.

Funding source

The Bureau of Indian Affairs (BIA) Early Childhood Development Program within the U.S. Department of the Interior provides funds to deliver and assess program performance.

Author affiliation

Because information about who developed the program is not available, we could not assess author affiliation.

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Praat, A. (2011). *Parents as First Teachers evaluation: Phase II report.* Wellington, New Zealand: Centre for Social Research and Evaluation.

### Study Characteristics

### Study participants

This study is based on semi-annual provider performance reports from 2006 to 2009; a provider survey; six case studies; vision, hearing, development and behavior outcomes from the 2006 B4School Check (B4SC) data held by the Ministry of Health.; and, a survey all families who were enrolled in PAFT as of January 31, 201, and had been in PAFT for at least one year. Forty-percent of the families with B4SC data were categorized as European families, 17 percent were Māori families, and 13 percent were families of an ethnicity other than European or Māori. The parent survey respondents were more likely to be European mothers. Thirteen percent of families with B4SC data were single parent households, 9 percent were single parents living with extended family, and 9 percent were couples living with extended family. Across these clusters, the average age of mothers ranged from 22.3 to 28.2 years. Parent survey respondents were more likely to be from families with mothers over 26 years of age than families with younger mothers at the birth of their child, and were more likely to be from families earning above \$35,000 a year compared to families on lower incomes.

### Setting

The providers were located throughout New Zealand.

Home visiting services

PAFT is a strengths-based, primary prevention parent education and support program for families with children under three years of age that is designed to enhance children's health, development and school readiness. PAFT is based on the Parents as Teachers (PAT) model, adapted to meet the needs of New Zealand. PAFT uses an adapted form of PAT's Born to Learn curriculum and Āhuru Mōwai, a Māori specific curriculum developed for PAFT. PAFT services include: (1) information on child development provided during each home visit, including monthly developmental milestones and guidance on how to foster children's intellectual, social, language and motor-skill development; (2) periodic screening of the child's sensory and motor development to detect possible delays (if delays were detected, referrals were made to appropriate sources of support); (3) monthly visits by parent educators to the family's home; (4) monthly group meetings arranged by parent educators as a venue where parents could share experiences and discuss topics of interest; and (5) resources for parents and children, including books and learning materials.

# Comparison condition

Not applicable.

Funding source

The report was prepared for Family and Community Services, New Zealand Ministry of Social Development.

Author affiliation

The study author is not a developer of this program model.

Citation	Praat, A., Davie, S., & McGray, S. (2010). <i>Parents as First Teachers evaluation: Phase one report.</i> Wellington, New Zealand: Centre for Social Research and Evaluation.
	Study Characteristics
Study participants	The study is based on semi-annual provider performance reports from 2006 to 2009, including 110 parent educator stories drawn from the 2009 reports and a casual sample of 420 parent surveys drawn from 2009 and 2010 reports. Thirty-one percent of mothers participating in PAFT identified themselves as Māori. Mothers were 26 years of age on average. Thirty-two percent of families were identified as low-income, and 14 percent were single-parent households.
Setting	The providers were located throughout New Zealand.
Home visiting services	PAFT is a strengths-based, primary prevention parent education and support program for families with children under three years of age that is designed to enhance children's health, development and school readiness. PAFT is based on the Parents as Teachers (PAT) model, adapted to meet the needs of New Zealand. PAFT uses an adapted form of PAT's Born to Learn curriculum and Āhuru Mōwai, a Māori specific curriculum developed for PAFT. PAFT services include: (1) information on child development provided during each home visit, including monthly developmental milestones and guidance on how to foster children's intellectual, social, language and motor-skill development; (2) periodic screening of the child's sensory and motor development to detect possible delays (if delays were detected, referrals were made to appropriate sources of support); (3) monthly visits by parent educators to the family's home; (4) monthly group meetings arranged by parent educators as a venue where parents could share experiences and discuss topics of interest; and (5) resources for parents and children, including books and learning materials.

Comparison condition

Not applicable.

Funding source

The report was prepared for Family and Community Services, New Zealand Ministry of Social Development.

Author affiliation

Citation	Prater, S. L., & Davis, C. L. (2002). A perinatal intervention program for urban American Indians: Part 2: The story of a program and its implications for practice. <i>Journal of Perinatal Education</i> , <i>11</i> (2), 23–32.	
	Study Characteristics	
Study participants	All study participants were enrolled in the perinatal intervention program and from all six Wisconsin tribes (Chippewa, Menominee, Oneida, Potawatomi, Stockbridge-Munsee, and Winnebago), as well as from out-of-state tribes. Forty-three American Indian mothers participated in client interviews, and 11 people from one Lamaze class completed a questionnaire. See Davis & Prater for additional information about program participants.	
Setting	Milwaukee, Wisconsin	
Home visiting services	The perinatal intervention program was designed to encourage earlier entry to prenatal care and change of health risk habits among American Indian women. Program objectives included the need (1) to identify pregnancies early, (2) to decrease the interval between diagnosis of pregnancy and initial maternity care visit, (3) to increase the numbers of prenatal visits per patient, (4) to provide health education (including topics on pregnancy, nutrition, preterm labor, smoking cessation, prepared childbirth, breastfeeding, immunizations, well-child checks, and infant safety), and (5) to develop a system to ensure uninterrupted prenatal care when traveling between city and reservation. Women participating in the program received two home visits (one prior to delivery and one post-partum). Additional contact occurred by telephone, during drop-in or scheduled visits to the nurse's or outreach worker's offices, through outreach programs such as WIC, during medical appointments, and during program offerings to the community (such as Lamaze childbirth education classes).	
Comparison condition	Not applicable.	
Funding source	The community health center procured funding for the perinatal intervention program from two private sources: the Robert Wood Johnson Foundation and the W. K. Kellogg Foundation.	
Author affiliation	Because information about who developed the program is not available, we could not assess author affiliation.	

Silovsky, J. F., Bard, D., Chaffin, M., Hecht, D., Burris, L., Owora, A., Beasley, L., Doughty, D., & Lutzker, J. (2011). Prevention of child maltreatment in high-risk rural families: A randomized clinical trial with child welfare outcomes. *Children and Youth Services Review*, 33(8), 1435–1444.

### **Study Characteristics**

Study participants

The study participants included 105 parents who were at least 16 years of age, had at least one child age 5 years or younger, and had at least one of the following risk factors: parental substance abuse, mental health issues, or intimate partner violence. Participants were randomly assigned to a treatment condition, SafeCare Augmented (SafeCare with the addition of Motivational Interviewing, as well as training of the home visitors on identification and response to imminent child maltreatment and risk factors of substance abuse, depression, and intimate partner violence) or a control condition, services as usual (standard home-based mental health services). Sixty-eight percent of participants in the treatment group and 74 percent in the control group were white, 15 percent of participants in the treatment and 14 percent in the control group were African American, 15 percent of participants in the treatment group and 7 percent in the control group were American Indian, and 2 percent of participants in the treatment group and 4 percent in the control group were Hispanic. The average age of treatment group participants was 25.9 years and of control participants was 27.7 years. All participants were female. Most study participants had a high school degree or equivalent or less education (60 percent of the treatment group and 55 percent of the control group). More than half of participants were employed at least part-time (54 percent of the treatment group and 56 percent of the control group).

Setting

The study was conducted in a rural county in the Southwest.

Home visiting services SafeCare is a home-based model that targets parenting behavior related to child health, home safety and cleanliness, and parent-child bonding. Home visitors typically provide 18 to 20 weeks of training to parents with children from birth to age 5. During one- to two-hour weekly home visits, trained home visitors conduct baseline and follow-up assessments, observations, and trainings with parents.

For this study, SafeCare was augmented. The enhanced model consisted of the regular SafeCare model with the addition of Motivational Interviewing (Miller & Rollnick, 2004), as well as training of the home visitors on identification and response to imminent child maltreatment and risk factors of substance abuse, depression, and intimate partner violence.

Comparison condition

The comparison condition was the standard provision of home-based mental health services. Services as usual utilized standard community mental health program approaches and included individual and family therapy as well as case management services. Goal setting and treatment planning varied among families and was designed to fit the specific family's needs, such as parenting, anger management, substance abuse, depression, and anxiety

Funding source

No information was available in the study about the funding source.

Author affiliation

The developer of SafeCare, John Lutzker, is a study author.

Walkup, J. T., Barlow, A., Mullany, B. C., Pan, W., Goklish, N., Hasting, R., Cowboy, B., Fields, P., Baker, E. V., Speakman, K., Ginsburg, G., & Reid, R. (2009). Randomized controlled trial of a paraprofessional-delivered in-home intervention for young reservation-based American Indian mothers. *Journal of the American Academy of Child & Adolescent Psychiatry*, 48(6), 591–601.

### **Study Characteristics**

### Study participants

Expectant reservation-based American Indian mothers ages 12 to 22 years with 28 weeks or less of gestation were eligible for participation. Randomized participants ranged in age from 14 to 22 years, with a median age of 18. All were American Indian, primarily Navajo (65 percent), White Mountain Apache (18 percent), or from mixed tribes. At enrollment, 8 percent were married, and 10 percent had one or more children. Slightly more than a third (39 percent) of the sample completed high school, a general equivalency diploma, or some college, and 12 percent were employed. Sixty-eight percent of the participants were living with their male partners, and 72 percent were living with their parents or the baby's father's parents.

#### Setting

The program was implemented in four American Indian health service catchment areas on the Navajo and White Mountain Apache reservations in New Mexico and Arizona.

### Home visiting services

The Family Spirit program was developed to address newborn care and maternal life skills among young American Indian pregnant and parenting mothers living on reservations. The program's goals were (1) to increase mothers' parenting knowledge and involvement, infants' social and emotional behavior, and the quality of the home environment; and (2) to reduce stress, depression, and substance use among mothers. Families in Family Spirit participated in home visits. The program was modeled on Healthy Families America (HFA), a national program founded on 12 research-based principles to ensure quality of home visiting interventions for at-risk families. The content of the home-visiting intervention was derived from extensive community input on what teen parents needed to learn and was based on the *American Academy of Pediatrics Guide to Baby Care: Caring for Your Baby and Young Child: Birth to Age 5.* Cultural adaptations—including style, graphics, delivery, and content—were achieved through a community-based participatory process.

# Comparison condition

Control participants received a breastfeeding/nutrition education intervention over the course of 23 visits from paraprofessionals.

### Funding source

Support for this research was provided by the Substance Abuse Mental Health Services Administration, the Ford Foundation, the Annie E. Casey Foundation, and the C.S. Mott Foundation.

### Author affiliation

Ms. Barlow is part of the team that developed this program model. Dr. Walkup was affiliated with the Center for American Indian Health at the Johns Hopkins Bloomberg School of Public Health, where the team that developed this program model is based.

Citation	Widdup, J., Comino, E. J., Webster, V., & Knight, J. (2012). Universal for whom? Evaluating an urban Aboriginal population's access to a mainstream universal health home visiting program. <i>Australian Health Review</i> , <i>36</i> (1), 27–33	
Study Characteristics		
Study participants	The study included all infants born at a regional hospital between October 2005 and May 2007.	
Setting	An urban area in New South Wales, Australia	
Home visiting services	The New South Wales Department of Health's goal is to offer one home visit to the families of all newborn infants within two weeks of the child's birth. During the visit, the home visiting nurse conducts a psychosocial assessment, provides parenting support and education, and refers families for additional services as needed.	
Comparison condition	Families who did not receive a home visit within two weeks of the child's birth; Aboriginal families versus non-Aboriginal families.	
Funding source	The evaluation was funded by the Australian Government Department of Health and Aging.	
Author affiliation	None of the study authors are developers of this program model.	

Citation	Yarnell, V., Lambson, T., & Pfannenstiel, J. C. (2008). <i>BIE Family and Child Education Program</i> . Overland Park, KS: Research & Training Associates, Inc.
	Study Characteristics
Study participants	The Family and Child Education (FACE) program serves American Indian families with children from prebirth to kindergarten. Home-based services typically target families with children up to age 3 years.
Setting	In Program Year 2008 (including the period from July 1, 2007 to June 30, 2008), FACE services were offered at 39 sites, serving 2,106 adults and 2,064 children from 1,605 families. FACE programs were predominantly located on reservations in Arizona and New Mexico (accounting for two-thirds of FACE sites), but there are also programs in Kansas, Michigan, Minnesota, Mississippi, North and South Dakota, Washington, and Wisconsin.
Home visiting services	The FACE program was designed to address the achievement gap for American Indian children and to better prepare American Indian children for school. The FACE program is a modification of two national models, Parents as Teachers and the National Center for Family Literacy, to explicitly include the language and culture of the tribal communities' served. The goals of the program are (1) to support parents in their role as their child's first teacher; (2) to increase family literacy; (3) to strengthen connections among family, school, and community; (4) to promote the early identification of children with special needs; (5) to increase parent participation in their child's learning and expectations for academic achievement; (6) to support and celebrate the unique cultural and linguistic diversity of each American Indian community served by the program; and (7) to promote lifelong learning. To achieve these goals, families enrolled in the FACE program participate in home visits and/or a center-based component providing preschool, adult education, and parenting education. The Parents as Teachers National Center provides the training and technical assistance for home-based services, which are delivered by parent educators to families with children prenatal to 3 years of age. Some families with children 3 to 5 years of age also receive home-based services. Service typically is delivered through personal visits, FACE Family Circles (family group meetings), periodic screening of overall development of the child, and referrals to school and community services. Personal visits typically last one hour and are offered weekly or on alternating weeks. Families are invited to a FACE Family Circle (family group meeting) at least once a month.
Comparison condition	Not applicable.
Funding source	The Bureau of Indian Affairs (BIA) Early Childhood Development Program within the U.S. Department of the Interior provides funds to deliver FACE.
Author affiliation	Because information about who developed the program is not available, we could not assess author affiliation.



# APPENDIX C PARTICIPANT OUTCOME MEASURES, BY DOMAIN AND STUDY



Table C.1. Child Health Outcome Measures, by Study

Citation	Measure	Primary or Secondary
Anand, S. S., Davis, A. D., Ahmed, R., Jacobs, R., Xie, C., Hill, A., Sowden, J., Atkinson, S., Blimkie, C., Brouwers, M., Morrison, K., de Koning, L., Gerstein, H., & Yusuf, S. (2007). A family-based intervention to promote healthy lifestyles in an Aboriginal community in Canada. <i>Canadian Journal of Public Health. Revue Canadienne de Santé Publique</i> , <i>98</i> (6), 447–452.	Physical measures including height, weight, waist and hip circumference, bioelectrical impedance, and blood pressure, as well as a fasting blood sample, were collected using standardized methods. A subset of households was also invited to attend McMaster University to undergo DEXA evaluation using the Cyprus body composition analysis machine in 77 subjects.	Primary
	Energy and nutrient intake was measured using a 24-hour recall.	Secondary
	The ESHA Food Processor was used for nutrient analysis.	Secondary
	Children were asked to report 24-hour physical activity recall.	Secondary
	Knowledge and attitudes about healthy dietary practices were assessed using a modified PATHWAYS KAB questionnaire.	Secondary
Boyd, A. (1997a). Parents as First Teachers pilot project	Child height and weight	Primary
evaluation (PAFT): Report on South Auckland area. Wellington, New Zealand: Ministry of Education.	Parent report on continuity of, and frequency of consultation with health professionals	Secondary
	Parent report on immunizations	Secondary
	Parent report on health issues or concerns (including vision and hearing issues) and injuries	Secondary
Boyd, A. (1997b). Parents as First Teachers pilot project	Child height and weight	Primary
evaluation (PAFT): Report on Whangarei region: Final complete draft. Wellington, New Zealand: Ministry of Education.	Parent report on continuity of, and frequency of consultation with health professionals	Secondary
Education.	Parent report on immunizations	Secondary
	Parent report on health issues or concerns (including vision and hearing issues) and injuries	Secondary
Caldera, D., Burrell, L., Rodriguez, K., Crowne, S. S., Rohde,	Has health care coverage	Secondary
C., & Duggan, A. (2007). Impact of a statewide home visiting program on parenting and on child health and development.	Has primary care provide	Secondary
Child Abuse & Neglect, 31(8), 829–852.	Adequate well-child visits	Primary
	Immunizations up-to-date	Primary

Citation	Measure	Primary or Secondary
Campbell, K. I., & Silva, P. A. (1997). Parents as First Teachers pilot programme evaluation: Age three	Parent report on continuity of, and frequency of consultation with health professionals	Secondary
assessments. Final report to the Ministry of Education on the Dunedin and Gisborne/East Coast areas. Wellington, New	Parent report on immunizations	Secondary
Zealand: Ministry of Education.	Parent report on health issues or concerns (including vision and hearing issues) and injuries	Secondary
	Parent report on number of hospital visits and admissions	Secondary
Coughlin, R. L., Kushman, E., Copeland, G., & Wilson, M. L. Pregnancy and birth outcome improvements for American Indians in the Healthy Start project of the Inter-Tribal Council of Michigan, 1998-2008: An 11-year cohort study. Unpublished manuscript.	Birth data records on birth weight (grams) and gestational age (weeks).	Primary
Culp, A. M., Culp, R. E., Anderson, J. W., & Carter, S. (2007). Health and safety intervention with first-time mothers. <i>Health</i>	Percentage of children admitted to the hospital during the first year of life	Secondary
Education Research, 22(2), 285–294.	Percentage of children admitted to an emergency room during the first year of life	Secondary
	Percentage of children who were current with their immunizations at 6 and 12 months of age	Secondary
Duggan, A., Caldera, D., Rodriguez, K., Burrell, L., Rohde, C., & Crowne, S. S. (2007). Impact of a statewide home visiting program to prevent child abuse. <i>Child Abuse &amp; Neglect</i> , <i>31</i> (8), 801–827.	Child hospitalized for ambulatory care sensitive conditions (ACSC) (including asthma, pneumonia, other upper airway conditions, gastroenteritis, dehydration, cellulitis, and seizures)	Primary
	Child seen in emergency department for ACSC	Primary
	Number of times hospitalized for ACSC	Primary
	Number of times seen in emergency department for ACSC	Primary
Fatti, G., Shaikh, N., Eley, B., & Grimwood, A. (2013). Improved virological suppression in children on antiretroviral treatment receiving community-based adherence support: A multicentre cohort study from South Africa. <i>AIDS Care</i> . Advance online publication.	Percentage of children achieving virological suppression	Primary
Fergusson, D. M., Horwood, L. J., Grant, H., & Ridder, E. M.	Percentage of children up to date with well-child checks	Primary
(2005). <i>Early Start evaluation report</i> . Christchurch, New Zealand: Early Start Project Ltd.	Mean number of visits to family doctor within the past 36 months	Primary

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Citation	Measure	Primary or Secondary
	Percentage of children enrolled to receive free dental service	Secondary
Grimwood, A., Fatti, G., Mothibi, E., Malahlela, M., Shea, J., &	Number of child deaths	Primary
Eley, B. (2012). Community adherence support improves programme retention in children on antiretroviral treatment: A	Percentage of children achieving virological suppression	Primary
multicentre cohort study in South Africa. Journal of the	Percentage of children remaining in treatment	Primary
International AIDS Society, 15(2), 17381.	Changes in CD4 cell percentage on ART	Primary
Harvey-Berino, J., & Rourke, J. (2003). Obesity prevention in preschool Native-American children: A pilot study using home visiting. <i>Obesity Research</i> , <i>11</i> (5), 606–611.	Weight was measured to the nearest 0.25 kg using a portable digital scale. Height or length was measured to the nearest 0.25 cm using a stadiometer and recumbent length board.	Primary
	Physical activity was measured using TriTrac accelerometers (TriTrac-R3D Research Ergometer; Hemokinetics, Inc., Madison, WI).	Primary
	Parents were asked to complete separate 3-day food records (2 weekdays and 1 weekend day) to document their own and their child's food intake.	Secondary
Karanja, N., Lutz, T., Ritenbaugh, C., Maupome, G., Jones, J., Becker, T., & Aickin, M. (2010). The TOTS community intervention to prevent overweight in American Indian toddlers beginning at birth: A feasibility and efficacy study. <i>Journal of Community Health</i> , <i>35</i> (6), 667–675.	Weight and height/length of lightly clothed children were collected as part of routine WIC or clinic visits by trained staff using calibrated scales and infantometers and toddler stadiometers.	Primary
	Breastfeeding status was obtained from WIC and clinic charts and confirmed during parent interviews.	Primary
	Sugar-sweetened beverage and water consumption were assessed retrospectively by asking parents to indicate their confidence in implementing study recommendations.	Secondary
Krysik, J., & LeCroy, C. W. (2007). The evaluation of Healthy Families Arizona: A multisite home visitation program. <i>Journal of Prevention &amp; Intervention in the Community, 34</i> (1), 109–127.	Parents are routinely asked questions on immunizations and linkage to a medical doctor.	Secondary
le Roux, I. M., le Roux, K., Comulada, W. S., Greco, E. M., Desmond, K. A., Mbewu, N., & Rotheram-Borus, M. J. (2010). Home visits by neighborhood mentor mothers provide timely recovery from childhood malnutrition in South Africa: Results from a randomized controlled trial. <i>Nutrition Journal</i> , 9(56).	Weight. All children were weighed.	Primary

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Citation	Measure	Primary or Secondary
le Roux, I. M., le Roux, K., Mbeutu, K., Comulada, W. S., Desmond, K. A., & Rotheram-Borus, M. (2011). A randomized controlled trial of home visits by neighborhood mentor mothers to improve children's nutrition in South Africa. <i>Vulnerable Children &amp; Youth Studies, 6</i> (2), 91–102	Weight. All children were weighed.	Primary
le Roux, I. M., Tomlinson, M., Harwood, J. M., O'Connor, M.	Birth weight	Primary
J., Worthman, C. M., Mbewu, N., Stewart, J., Hartley, M.,	Weight-for-age score at 6 months	Primary
Swendeman, D., Comulada, W. S., Weiss, R. E., & Rotheram- Borus, M. J. (2013). Outcomes of home visits for pregnant	Height-for-age score at 6 months	Primary
mothers and their infants in South Africa: A cluster	Weight-for-height score at 6 months	Primary
randomized controlled trial. AIDS, 27(9), 1461–1471.	Head-circumference-for-age score at 6 months	Primary
	Number of months breastfed exclusively	Secondary
	Percentage of infants who were exclusively breastfed for the first six months	Secondary
	Number of 6-month immunizations received	Primary
Livingstone, I. D. (1999). Parents as First Teachers: Supplement to the summary report of the evaluation of the	Parent report on continuity of, and frequency of consultation with health professionals	Secondary
pilot project: Report to the Ministry of Education on consolidated cross-site analysis. Wellington, New Zealand:	Parent report on immunizations	Secondary
Ministry of Education.	Parent report on health issues or concerns (including vision and hearing issues) and injuries	Secondary
	Parent report on number of hospital visits and admissions	Secondary
Praat, A. (2011). <i>Parents as First Teachers evaluation: Phase II report</i> . Wellington, New Zealand: Centre for Social Research and Evaluation.	Children's vision, hearing, and immunization status were measured using the B4School Check (B4SC) data held by the Ministry of Health. B4School Checks are the last well child visit for children at age four before they start school.	Primary

Table C.2. Maternal Health Outcome Measures, by Study

Citation	Measure	Primary or Secondary
Anand, S. S., Davis, A. D., Ahmed, R., Jacobs, R., Xie, C., Hill, A., Sowden, J., Atkinson, S., Blimkie, C., Brouwers, M., Morrison, K., de Koning, L., Gerstein, H., & Yusuf, S. (2007). A family-based intervention to promote healthy lifestyles in an Aboriginal community in Canada. Canadian Journal of Public Health. Revue Canadienne de Santé Publique, 98(6), 447–452.	Physical measures including height, weight, waist and hip circumference, bioelectrical impedance, and blood pressure, as well as a fasting blood sample, were collected using standardized methods. A subset of households was also invited to attend McMaster University to undergo DEXA evaluation using the Cyprus body composition analysis machine in 77 subjects.	Primary
	Energy and nutrient intake was measured using a 24-hour recall.	Secondary
	The ESHA Food Processor was used for nutrient analysis.	Secondary
	Physical activity was measured using a standardized set of previously validated questions in adults and adolescents.	Secondary
	Knowledge and attitudes about healthy dietary practices were assessed using a modified PATHWAYS KAB questionnaire.	Secondary
Barlow, A., Mullany, B., Neault, N., Billy, T., Hastings, R., Lorenzo, S., Kee, C., Lake, K., Redmond, C., Carter, A., & Walkup, J. T. (2014). A randomized controlled trial of a	Center for Epidemiological Studies Depression Scale (CESD). Items are rated on a 4-point scale from rarely or none of the time to most or all of the time.	Secondary
paraprofessional delivered, home-visiting intervention: Three-year outcomes for American Indian teen mothers and their children. Manuscript in preparation.	The Parenting Stress Index-Short Form is a 36-item self-report scale designed to measure parenting-related stress.	Primary
	The Achenbach System of Empirically Based Assessment (ASEBA) was used to measure mothers' psychosocial behavior. Internalizing, externalizing, and total problems scores were reported.	Primary
	Alcohol use within the past 30 days	Secondary
	Marijuana use within the past 30 days	Secondary
	Any illegal drug use within the past 30 days	Secondary

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Citation	Measure	Primary or Secondary
Barlow, A., Mullany, B., Neault, N., Compton, S., Carter, A., Hastings, R., Billy, T., Coho-Mescal, V., Lorenzo, S., & Walkup, J. (2013). Effect of a paraprofessional home	Center for Epidemiological Studies Depression Scale (CESD). Items are rated on a 4-point scale from rarely or none of the time to most or all of the time.	Secondary
visiting intervention on American Indian teen mothers' and infants behavioral risks: A randomized controlled trial. <i>American Journal of Psychiatry</i> , <i>170</i> (1), 83–93.	The Achenbach System of Empirically Based Assessment (ASEBA) was used to measure mothers' psychosocial behavior. Internalizing, externalizing, and total problems scores were reported.	Primary
	The Problem Oriented Screening Instrument for Teenagers (POSIT) was used to measure potentially problematic functional areas. The authors used the Mental Health and Substance Abuse subscales.	Primary
	Alcohol use within the past 30 days	Secondary
	Marijuana use within the past 30 days	Secondary
	Any illegal drug use within the past 30 days	Secondary
	Any alcohol or illegal drug use within the past 30 days	Secondary
Barlow, A., Varipatis-Baker, E., Speakman, K., Ginsburg, G., Friberg, I., Goklish, N., Cowboy, B., Fields, P., Hastings, R., Pan, W., Reid, R., Santosham, M., & Walkup, J. (2006). Home-visiting intervention to improve child care among American Indian adolescent mothers: A randomized trial. <i>Archives of Pediatrics &amp; Adolescent Medicine</i> , 160(11), 1101–1107.	Depression. A 20-item self-report scored on a 4-point scale with a possible score of 0 to 60; higher scores are worse.	Secondary
	Drug use. Eight self-report items scored on a 4-point scale with a possible score of 8 to 32.	Secondary
	Social support. A 10-item self-report scored on a 5-point scale with a possible score of 10 to 50.	Secondary
	Self-esteem. A 10-item self-report scored on a 4-point scale with a possible score of 10 to 40.	Secondary
	Locus of control. A 7-item self-report scored on a 4-point scale with a possible score of 7 to 28.	Secondary
Boyd, A. (1997a). Parents as First Teachers pilot project evaluation (PAFT): Report on South Auckland area. Wellington, New Zealand: Ministry of Education.	Maternal Social Support Index is a 21-item self-report questionnaire designed to assess the amount of support and reported satisfaction of a caregiver's social supports.	Secondary

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Citation	Measure	Primary or Secondary
	Family Stressors and Strains Indices. Two 10-item self-report questionnaires designed to capture stressful events and ongoing strains experienced by the family.	Secondary
Boyd, A. (1997b). Parents as First Teachers pilot project evaluation (PAFT): Report on Whangarei region: Final complete draft. Wellington, New Zealand: Ministry of Education.	Maternal Social Support Index is a 21-item self-report questionnaire designed to assess the amount of support and reported satisfaction of a caregiver's social supports.	Secondary
	Family Stressors and Strains Indices. Two 10-item self-report questionnaires designed to capture stressful events and ongoing strains experienced by the family.	Secondary
Campbell, K. I., & Silva, P. A. (1997). Parents as First Teachers pilot programme evaluation: Age three assessments. Final report to the Ministry of Education on the Dunedin and Gisborne/East Coast areas. Wellington, New Zealand: Ministry of Education.	Maternal Social Support Index is a 21-item self-report questionnaire designed to assess the amount of support and reported satisfaction of a caregiver's social supports.	Secondary
	Family Stressors and Strains Indices. Two 10-item self-report questionnaires designed to capture stressful events and ongoing strains experienced by the family.	Secondary
Coughlin, R. L., Kushman, E., Copeland, G., & Wilson,	Birth data records on number of prenatal care visits	Primary
M. L. Pregnancy and birth outcome improvements for American Indians in the Healthy Start project of the Inter-Tribal Council of Michigan, 1998-2008: An 11-year cohort study. Unpublished manuscript.	Birth data records on Adequacy of Prenatal Care (Kessner Index)	Primary
Culp, A. M., Culp, R. E., Anderson, J. W., & Carter, S. (2007). Health and safety intervention with first-time mothers. <i>Health Education Research</i> , <i>22</i> (2), 285–294.	Percentage of mothers who reported using birth control 12 months after the birth of their child	Secondary
	Percentage of mothers who reported subsequent pregnancies 12 months after the birth of their first child	Secondary
	Percentage of mothers who were pregnant 12 months after the birth of their first child	Secondary
	Number of cigarettes smoked per day	Secondary

Citation	Measure	Primary or Secondary
Dobdo C 9 Crowno C C (2007) Impact of a statewide	Problem alcohol use	Secondary
	Any illicit drug use	Secondary
Abuse & Neglect, 31(8), 801–827.	Alcohol or drug use	Secondary
Harvey-Berino, J., & Rourke, J. (2003). Obesity prevention in preschool Native-American children: A pilot study using home visiting. <i>Obesity Research</i> , <i>11</i> (5), 606–611.	Weight was measured to the nearest 0.25 kg using a portable digital scale. Height or length was measured to the nearest 0.25 cm using a stadiometer and recumbent length board.	Primary
	Physical activity was measured using TriTrac accelerometers (TriTrac-R3D Research Ergometer; Hemokinetics, Inc., Madison, WI).	Primary
	Parents were asked to complete separate 3-day food records (2 weekdays and 1 weekend day) to document their own and their child's food intake.	Secondary
Johns Hopkins University. (2005). <i>Evaluation of the Healthy Families Alaska program</i> . Report to Alaska State Department of Health and Social Services, Alaska Mental Health Trust Authority. Baltimore, MD: Author.	Rapid repeat birth	Secondary
Krysik, J., & LeCroy, C. W. (2007). The evaluation of Healthy Families Arizona: A multisite home visitation program. <i>Journal of Prevention &amp; Intervention in the Community</i> , 34(1), 109–127.	The Parental Stress Index (PSI) is a reliable and validated measure that asks parents to rate the stress they are experiencing in 11 areas: sense of competence, parental attachment, feeling restricted in role, depression, relationship with spouse, social isolation, health, parental distress, difficult child, social support, and parental self-efficacy.	Primary
	The CAGE, a standardized alcohol-screening instrument, plus some additional questions to detect drug problems, was administered to screen for alcohol use.	Secondary
le Roux, I. M., Tomlinson, M., Harwood, J. M., O'Connor, M. J., Worthman, C. M., Mbewu, N., Stewart, J., Hartley, M., Swendeman, D., Comulada, W. S., Weiss, R. E., & Rotheram-Borus, M. J. (2013). Outcomes of home visits for pregnant mothers and their infants in South Africa: A	Percentage of women who drank no alcohol the month prior to giving birth	Secondary
	The Audit-C was used to measure women's risky postpartum drinking.	Primary
cluster randomized controlled trial. <i>AIDS</i> , 27(9), 1461–	Number of prenatal visits completed	Primary

Citation	Measure	Primary or Secondary
1471.	Percentage of women free of post-birth complications	Secondary
	Percentage of mothers tested for TB	Secondary
	The Edinburgh Postnatal Depression (EDPS) was used to measure maternal depression.	Primary
	Frequency of material, emotional, and child-rearing support from family, neighbors, and friends	Secondary
	As a measure of mothers' social support, percentage of fathers who acknowledged infant to family	Secondary
	Percentage of mothers who received a child support grant	Secondary
Livingstone, I. D. (1999). Parents as First Teachers: Supplement to the summary report of the evaluation of the pilot project: Report to the Ministry of Education on consolidated cross-site analysis. Wellington, New Zealand: Ministry of Education.	Maternal Social Support Index is a 21-item self-report questionnaire designed to assess the amount of support and reported satisfaction of a caregiver's social supports.	Secondary
	Family Stressors and Strains Indices. Two 10-item self-report questionnaires designed to capture stressful events and ongoing strains experienced by the family.	Secondary
Silovsky, J. F., Bard, D., Chaffin, M., Hecht, D., Burris, L., Owora, A., Beasley, L., Doughty, D., & Lutzker, J. (2011). Prevention of child maltreatment in high-risk rural families: A randomized clinical trial with child welfare outcomes. <i>Children and Youth Services Review</i> , 33(8), 1435–1444.	Depression. Beck Depression Inventory-2 is a 21- item multiple-choice self-report questionnaire designed to measure symptoms of depression.	Primary
	The Diagnostic Interview Schedule—Alcohol Disorders Module (DIS-A) and Drug Disorders Module (DIS-D) is a structured diagnostic interview based upon DSM diagnostic criteria that compares to clinical diagnoses and other measures.	Secondary
	Conflict Tactics Scale 2 (CTS2) was developed to assess adult-to-adult conflict. It includes five subscales measuring negotiation, psychological aggression, physical assault, injury, and sexual coercion.	Secondary

Citation	Measure	Primary or Secondary
Walkup, J. T., Barlow, A., Mullany, B. C., Pan, W., Goklish, N., Hasting, R., Cowboy, B., Fields, P., Baker, E. V., Speakman, K., Ginsburg, G., & Reid, R. (2009). Randomized controlled trial of a paraprofessional-delivered in-home intervention for young reservation-based American Indian mothers. <i>Journal of the American Academy of Child &amp; Adolescent Psychiatry, 48</i> (6), 591–601.	The Parental Stress Index (PSI) is a reliable and validated measure that asks parents to rate the stress they are experiencing in 11 areas: sense of competence, parental attachment, feeling restricted in role, depression, relationship with spouse, social isolation, health, parental distress, difficult child, social support, and parental self-efficacy.	Primary
	A 20-item self-report scored on a 5-point scale designed to measure participants' perceived support from family, friends, and community.	Secondary
	The Center for Epidemiological Studies Depression is a 20-item self-report scored on a 4-point scale to measure participants' level of depressive symptoms.	Secondary
	Three self-report items developed by the Substance Abuse and Mental Health Services Administration regarding participants' use of alcohol, cigarettes, or illegal substances in the previous month.	Secondary

Table C.3. Child Development and School Readiness Outcome Measures, by Study

Citation	Measure	Primary or Secondary
Barlow, A., Mullany, B., Neault, N., Billy, T., Hastings, R., Lorenzo, S., Kee, C., Lake, K., Redmond, C., Carter, A., & Walkup, J. T. (2014). A randomized controlled trial of a paraprofessional delivered, home-visiting intervention: Three-year outcomes for American Indian teen mothers and their children. Manuscript in preparation.	Child social-emotional /behavioral problems were assessed with the Infant-Toddler Social and Emotional Assessment (ITSEA). The ITSEA is composed of internalizing, externalizing, dysregulation (sleep, eating, sensory sensitivities, and negative emotionality), and competence domains. Items are rated on a 3-point scale from not true (1), rarely to very true (2), and often (3).	Primary
	The Child Behavior Check List (CBCL) is a 99-item parent-report questionnaire that assesses behavioral problems in young children. The CBCL is composed of internalizing and externalizing domains.	Primary
Barlow, A., Mullany, B., Neault, N., Compton, S., Carter, A., Hastings, R., Billy, T., Coho-Mescal, V., Lorenzo, S., & Walkup, J. (2013). Effect of a paraprofessional home visiting intervention on American Indian teen mothers' and infants behavioral risks: A randomized controlled trial. <i>American Journal of Psychiatry</i> , <i>170</i> (1), 83–93.	Child social-emotional /behavioral problems were assessed with the Infant-Toddler Social and Emotional Assessment (ITSEA). The ITSEA is composed of internalizing, externalizing, dysregulation (sleep, eating, sensory sensitivities, and negative emotionality), and competence domains. Items are rated on a 3-point scale from not true (1), rarely to very true (2), and often (3).	Primary
Boyd, A. (1997a). Parents as First Teachers pilot project evaluation (PAFT): Report on South Auckland area. Wellington, New Zealand: Ministry of Education.	Experiences and Activities Checklists. Two 30-item checklists used to assess the range of experiences of preschool children. Parents completed checklists.	Secondary
	Reynell Developmental Language Scales measure current language development. The measure includes a verbal comprehension scale and expressive language scale.	Primary
	Parent report on developmental milestones of age when child first walked and spoke.	Secondary
	Parent report on developmental concerns.	Secondary
	Behavior Profile. The scale was adapted from the Seven- Year-Old Behavior Profile and was designed to identify abnormal behavior (such as emotional lability, distorted body image, overreactivity, erratic responses, hyperactivity, distractibility, perseveration, inappropriate behavior, and impulsivity).	Secondary

Citation	Measure	Primary or Secondary
	Denver II Developmental Screening Test consists of 125 tasks which are designed to screen specific areas of function: personal-social, fine motor-adaptive, language, and gross motor.	Primary
	Kauffman Assessment Battery for Children (K-ABC) assesses cognitive development in children.	Primary
Boyd, A. (1997b). Parents as First Teachers pilot project evaluation (PAFT): Report on Whangarei region: Final complete draft. Wellington, New Zealand: Ministry of	Experiences and Activities Checklists. Two 30-item checklists used to assess the range of experiences of preschool children. Parents completed checklists.	Secondary
Education.	Reynell Developmental Language Scales measure current language development. The measure includes a verbal comprehension scale and expressive language scale.	Primary
	Parent report on developmental milestones of age when child first walked and spoke.	Secondary
	Parent report on developmental concerns.	Secondary
	Behavior Profile. The scale was adapted from the Seven- Year-Old Behavior Profile and was designed to identify abnormal behavior (such as emotional lability, distorted body image, overreactivity, erratic responses, hyperactivity, distractibility, perseveration, inappropriate behavior, and impulsivity).	Secondary
	Denver II Developmental Screening Test consists of 125 tasks which are designed to screen specific areas of function: personal-social, fine motor-adaptive, language, and gross motor.	Primary
	Kauffman Assessment Battery for Children (K-ABC) assesses cognitive development in children.	Primary
Caldera, D., Burrell, L., Rodriguez, K., Crowne, S. S., Rohde, C., & Duggan, A. (2007). Impact of a statewide home visiting program on parenting and on child health and development. <i>Child Abuse &amp; Neglect</i> , <i>31</i> (8), 829–852.	Bayley Scales of Infant Development (BSID), cognitive score and psychomotor score	Primary
	Child Behavior Checklist (CBCL), internalizing score and externalizing score	Primary
	Child Response Score, NCAST	Primary

Citation	Measure	Primary or Secondary
Campbell, K. I., & Silva, P. A. (1997). Parents as First Teachers pilot programme evaluation: Age three assessments. Final report to the Ministry of Education on the Dunedin and Gisborne/East Coast areas. Wellington, New Zealand: Ministry of Education.	Experiences and Activities Checklists. Two 30-item checklists used to assess the range of experiences of preschool children. Parents completed checklists.	Secondary
	Reynell Developmental Language Scales measure current language development. The measure includes a verbal comprehension scale and expressive language scale.	Primary
	Parent report on developmental milestones of age when child first walked and spoke.	Secondary
	Parent report on developmental concerns.	Secondary
	Behavior Profile. The scale was adapted from the Seven- Year-Old Behavior Profile and was designed to identify abnormal behavior (such as emotional lability, distorted body image, overreactivity, erratic responses, hyperactivity, distractibility, perseveration, inappropriate behavior, and impulsivity).	Secondary
	Denver II Developmental Screening Test consists of 125 tasks which are designed to screen specific areas of function: personal-social, fine motor-adaptive, language, and gross motor.	Primary
	Kauffman Assessment Battery for Children (K-ABC) assesses cognitive development in children.	Primary
Fergusson, D. M., Horwood, L. J., Grant, H., & Ridder, E. M. (2005). <i>Early Start evaluation report</i> . Christchurch, New Zealand: Early Start Project Ltd.	Child social-emotional /behavioral problems were assessed with the Infant-Toddler Social and Emotional Assessment (ITSEA). The internalizing and externalizing subscales were used. Items are rated on a 3-point scale from not true (1), rarely to very true (2), and often (3).	Primary
	Mean duration of participation in early childhood education services	Secondary
Krysik, J., & LeCroy, C. W. (2007). The evaluation of Healthy Families Arizona: A multisite home visitation program. <i>Journal of Prevention &amp; Intervention in the Community</i> , 34(1), 109–127.	Early identification of developmental delays is measured through the administration of the Ages and Stages Questionnaire (Squires, Bricker, & Potter, 1997), a developmental screening instrument.	Primary

Citation	Measure	Primary or Secondary
Livingstone, I. D. (1999). Parents as First Teachers: Supplement to the summary report of the evaluation of the pilot project: Report to the Ministry of Education on consolidated cross-site analysis. Wellington, New Zealand: Ministry of Education.	Experiences and Activities Checklists. Two 30-item checklists used to assess the range of experiences of preschool children. Parents completed checklists.	Secondary
	Reynell Developmental Language Scales measure current language development. The measure includes a verbal comprehension scale and expressive language scale.	Primary
	Parent report on developmental milestones of age when child first walked and spoke.	Secondary
	Parent report on developmental concerns.	Secondary
	Behavior Profile. The scale was adapted from the Seven- Year-Old Behavior Profile and was designed to identify abnormal behavior (such as emotional lability, distorted body image, overreactivity, erratic responses, hyperactivity, distractibility, perseveration, inappropriate behavior, and impulsivity).	Secondary
	Denver II Developmental Screening Test consists of 125 tasks which are designed to screen specific areas of function: personal-social, fine motor-adaptive, language, and gross motor.	Primary
	Kauffman Assessment Battery for Children (K-ABC) assesses cognitive development in children.	Primary
Pfannenstiel, J., Yarnell, V., & Seltzer, D. (2006). Family	Stanford Achievement Tests, Tenth Edition (SAT 10)	Primary
and Child Education program (FACE): Impact study report.  Overland Park, KS: Research & Training Associates, Inc.	Stanford Early School Achievement Test (SESAT)	Primary
	Meisel's Work Sampling System (WSS) employs teacher observational rating checklists on domains that include language and literacy, mathematics, personal and social, art, social studies, science, and physical development.	Primary

Citation	Measure	Primary or Secondary
	Teacher report on conventional knowledge. Conventional knowledge items included the following: tells first and last name, knows how to contact an adult family member, knows age, knows birth date, identifies basic colors, recognizes some basic shapes, counts by rote to 10, recognizes and names some numbers to 10, recognizes and names letters of the alphabet, and expresses self clearly through competent use of language.	Primary
Pfannenstiel, J., & Lente-Jojola, D. (2011). The Family and	Stanford Early School Achievement Test (SESAT)	Primary
Child Education (FACE) program and school readiness: A structural model approach in an American Indian reservation context. <i>Journal of American Indian Education</i> , 50(2), 84–96.	Meisel's Work Sampling System (WSS) employs teacher observational rating checklists on domains that include language and literacy, mathematics, personal and social, art, social studies, science, and physical development. The response categories for WSS were expanded from a three-point Likert scale to a four-point Likert scale.	Primary
	Teacher report on conventional knowledge. Conventional knowledge items included the following: tells first and last name, knows how to contact an adult family member, knows age, knows birth date, identifies basic colors, recognizes some basic shapes, counts by rote to 10, recognizes and names some numbers to 10, recognizes and names letters of the alphabet, and expresses self clearly through competent use of language.	Secondary
	Parent report on children's pre-kindergarten experiences through a survey administered at the beginning of the kindergarten school year. Survey items included questions about preschool attendance, receipt of special education services, and frequency of home literacy activities.	Secondary
Praat, A. (2011). <i>Parents as First Teachers evaluation:</i> Phase II report. Wellington, New Zealand: Centre for Social Research and Evaluation.	Children's development, behaviour, social and emotional/mental health status was assessed by parents using the Parental Evaluation of Developmental Status (PEDS). The data is part of the B4School Check (B4SC) data held by the Ministry of Health. B4School Checks are the last well child visit for children at age four before they start school.	Primary

Citation	Measure	Primary or Secondary
	Children's conduct problems, hyperactivity, peer relationship problems, and emotional symptoms were assessed by parents using the Strengths and Difficulties questionnaire (SDQ). The data is part of the B4School Check (B4SC) data held by the Ministry of Health. B4School Checks are the last well child visit for children at age four before they start school.	Primary
Walkup, J. T., Barlow, A., Mullany, B. C., Pan, W., Goklish, N., Hasting, R., Cowboy, B., Fields, P., Baker, E. V., Speakman, K., Ginsburg, G., & Reid, R. (2009). Randomized controlled trial of a paraprofessional-delivered in-home intervention for young reservation-based American Indian mothers. <i>Journal of the American Academy of Child &amp; Adolescent Psychiatry, 48</i> (6), 591–601.	Infant Toddler Social Emotional Assessment (ITSEA), a 126-item parent report that assesses four primary domains of child behavior for ages 12 to 36 months including externalizing, internalizing, dysregulation, and competence.	Primary

Table C.4. Family Economic Self-Sufficiency Outcome Measures, by Study

Citation	Measure	Primary or Secondary
Johns Hopkins University. (2005). Evaluation of the Healthy	Household income above poverty level.	Secondary
Families Alaska program. Report to Alaska State Department of Health and Social Services, Alaska Mental Health Trust Authority. Baltimore, MD: Author.	Household member employed.	Secondary
Krysik, J., & LeCroy, C. W. (2007). The evaluation of Healthy Families Arizona: A multisite home visitation program. <i>Journal of Prevention &amp; Intervention in the Community</i> , 34(1), 109–127.	Parent self-report measures of life course outcomes include the amount of time between pregnancies and gains in education and employment.	Secondary
Silovsky, J. F., Bard, D., Chaffin, M., Hecht, D., Burris, L., Owora, A., Beasley, L., Doughty, D., & Lutzker, J. (2011). Prevention of child maltreatment in high-risk rural families: A randomized clinical trial with child welfare outcomes. <i>Children and Youth Services Review</i> , 33(8), 1435–1444.	Family Resources Scale-Revised is a 40-item self-report scale designed to measure the adequacy of basic concrete needs in households with children.	Secondary

Table C.5. Positive Parenting Practices Outcome Measures, by Study

Citation	Measure	Primary or Secondary
Lorenzo, S., Kee, C., Lake, K., Redmond, C., Carter, A., & Walkup, J. T. (2014). <i>A randomized controlled trial of a paraprofessional delivered, home-visiting intervention: Three-year outcomes for American Indian teen mothers and their children</i> . Manuscript in preparation.	Parenting knowledge	Secondary
	Parental Locus of Control-Parental Self-Efficacy subscale	Secondary
	Home Observation for Measurement of the Environment (HOME) is a 45-item observational checklist that assesses parent-child interactions. The authors examined the following subscales: involvement, learning materials, organization, responsitivity, and variety. The acceptance subscale was excluded due to concerns regarding cultural and age appropriateness.	Primary
Barlow, A., Mullany, B., Neault, N., Compton, S., Carter, A.,	Parenting knowledge	Secondary
Hastings, R., Billy, T., Coho-Mescal, V., Lorenzo, S., & Walkup, J. (2013). Effect of a paraprofessional home visiting intervention on American Indian teen mothers' and infants behavioral risks:	Parental Locus of Control-Parental Self-Efficacy subscale	Secondary
A randomized controlled trial. <i>American Journal of Psychiatry</i> , 170(1), 83–93.	Home Observation for Measurement of the Environment (HOME) is a 45-item observational checklist that assesses parent-child interactions. The authors examined the following subscales: involvement, learning materials, organization, responsitivity, and variety. The acceptance subscale was excluded due to concerns regarding cultural and age appropriateness.	Primary
	The Massachusetts Department of Health's Safe State, Safe Home instrument measures home safety attitudes and practices.	Secondary
Barlow, A., Varipatis-Baker, E., Speakman, K., Ginsburg, G., Friberg, I., Goklish, N., Cowboy, B., Fields, P., Hastings, R., Pan, W., Reid, R., Santosham, M., & Walkup, J. (2006). Home-visiting intervention to improve child care among American ndian adolescent mothers: A randomized trial. <i>Archives of</i>	Educators asked participants to complete 9 exercises to demonstrate infant care skills, using dolls at baseline and their own infants at 2 and 6 months postpartum. Educators scored the results. Possible scores ranged from 0 percent to 100 percent.	Primary
Pediatrics & Adolescent Medicine, 160(11), 1101–1107.	A 51-item multiple-choice test developed by the study team with a possible score of 0 percent to 100 percent.	Secondary
	Five selected self-report items scored on a 4-point scale with a possible score of 5 to 20.	Secondary

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Citation	Measure	Primary or Secondary
Boyd, A. (1997a). Parents as First Teachers pilot project evaluation (PAFT): Report on South Auckland area. Wellington, New Zealand: Ministry of Education.	Parental Attitude Research Instrument (PARI) is a measure of parental attitudes toward childrearing.	Secondary
	The Parent Knowledge of Cognitive Development Questionnaire measures parental understanding of how children develop skills, ideas, language, and literacy.	Secondary
	Home Observation for Measurement of the Environment (HOME) is a 45-item observational checklist that assesses parent-child interactions. It includes six subscales: acceptance, involvement, learning materials, organization, responsitivity, and variety.	Primary
	Parents completed a survey based on the Parents as Teachers University of Idaho Survey of Parenting Practice which assesses changes in parent knowledge, ability, confidence, and behavior.	Secondary
	Video assessment of parent-child interaction. The assessment involved two sequences: the first captured parent-child interaction during a shared book reading activity; the second captured parent-child interaction during a problem-solving exercise.	Secondary
	Maternal behavior during testing was a profile developed to capture features of parent-child interaction observed by the researcher during the clinical assessment.	Secondary
Boyd, A. (1997b). Parents as First Teachers pilot project evaluation (PAFT): Report on Whangarei region: Final complete draft. Wellington, New Zealand: Ministry of Education.	Parental Attitude Research Instrument (PARI) is a measure of parental attitudes toward childrearing.	Secondary
	The Parent Knowledge of Cognitive Development Questionnaire measures parental understanding of how children develop skills, ideas, language, and literacy.	Secondary
	Home Observation for Measurement of the Environment (HOME) is a 45-item observational checklist that assesses parent-child interactions. It includes six subscales: acceptance, involvement, learning materials, organization, responsitivity, and variety.	Primary

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Citation	Measure	Primary or Secondary
	Parents completed a survey based on the Parents as Teachers University of Idaho Survey of Parenting Practice which assesses changes in parent knowledge, ability, confidence, and behavior.	Secondary
	Video assessment of parent-child interaction. The assessment involved two sequences: the first captured parent-child interaction during a shared book reading activity; the second captured parent-child interaction during a problem-solving exercise.	Secondary
	Maternal behavior during testing was a profile developed to capture features of parent-child interaction observed by the researcher during the clinical assessment.	Secondary
Caldera, D., Burrell, L., Rodriguez, K., Crowne, S. S., Rohde,	Knowledge of Infant Development Inventory (KIDI)	Secondary
C., & Duggan, A. (2007). Impact of a statewide home visiting program on parenting and on child health and development.	Adult-Adolescent Parenting Inventory (AAPI)	Primary
Child Abuse & Neglect, 31(8), 829–852.	Maternal self-efficacy scale	Secondary
	Home Observation for Measurement of the Environment (HOME) is a 45-item observational checklist that assesses parent support and stimulation of the child in the home environment. It includes six subscales: acceptance, involvement, learning materials, organization, responsitivity, and variety.	Primary
	NCAST	Primary
	Conflict Tactics Scale – Parent-Child Version (CTS-PC) is a parent self-report measure of parenting, including harsh and neglectful parenting.	Secondary
	Recognition of child developmental delay	Secondary
Campbell, K. I., & Silva, P. A. (1997). Parents as First Teachers pilot programme evaluation: Age three assessments. Final	Parental Attitude Research Instrument (PARI) is a measure of parental attitudes toward childrearing.	Secondary

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Citation	Measure	Primary or Secondary
report to the Ministry of Education on the Dunedin and Gisborne/East Coast areas. Wellington, New Zealand: Ministry of Education.	Home Observation for Measurement of the Environment (HOME) is a 45-item observational checklist that assesses parent-child interactions. It includes six subscales: acceptance, involvement, learning materials, organization, responsitivity, and variety.	Primary
	Parents completed a survey based on the Parents as Teachers University of Idaho Survey of Parenting Practice which assesses changes in parent knowledge, ability, confidence, and behavior.	Secondary
	Video assessment of parent-child interaction. The assessment involved two sequences: the first captured parent-child interaction during a shared book reading activity; the second captured parent-child interaction during a problem-solving exercise.	Secondary
	Maternal behavior during testing was a profile developed to capture features of parent-child interaction observed by the researcher during the clinical assessment.	Secondary
Culp, A. M., Culp, R. E., Anderson, J. W., & Carter, S. (2007). Health and safety intervention with first-time mothers. <i>Health Education Research</i> , 22(2), 285–294.	Mothers' knowledge of the effects of smoking on their child's growth and development was assessed with a six-question interview.	Secondary
Culp, A. M., Culp, R. E., Hechtner-Galvin, T., Howell, C. S., Saathoff-Wells, T., & Marr, P. (2004). First-time mothers in home visitation services utilizing child development specialists.	The Massachusetts Safety Checklist assesses the safety of the home in kitchen, bathroom, and child areas as well as safety practices.	Secondary
Infant Mental Health Journal, 25(1), 1–15. doi:10.1002/imhj.10086.	Home Observation for Measurement of the Environment (HOME) is a 45-item observational checklist that assesses parent-child interactions. The authors examined all six subscales: acceptance, involvement, learning materials, organization, responsitivity, and variety.	Primary
	Type and frequency of community services within the past six months	Secondary

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Citation	Measure	Primary or Secondary
	The Adult-Adolescent Parenting Inventory (AAPI) is a 32-item inventory that assesses parenting and childrearing attitudes. The authors examined all four subscales: empathy, developmental expectations, noncorporal punishment, and parent/child role understanding.	Primary
Duggan, A., Caldera, D., Rodriguez, K., Burrell, L., Rohde, C., & Crowne, S. S. (2007). Impact of a statewide home visiting	Mother relinquished role (child lived separately from mother for one month or more)	Secondary
program to prevent child abuse. <i>Child Abuse &amp; Neglect, 31</i> (8), 101–827.	Caregiver-child interaction, NCAST	Primary
ου 1−ο∠ <i>1</i> .	Home Observation for Measurement of the Environment (HOME) is a 45-item observational checklist that assesses parent support and stimulation of the child in the home environment.	Primary
	Adult-Adolescent Parenting Inventory (AAPI)	Primary
Fergusson, D. M., Horwood, L. J., Grant, H., & Ridder, E. M. 2005). <i>Early Start evaluation report</i> . Christchurch, New Zealand: Early Start Project Ltd.	Positive and punitive parenting practices were assessed using a 49-item measure that combined items from the Child Rearing Practices Report (CRPR), the Adult-Adolescent Parenting Inventory (AAPI), and study-developed items.	Primary
Livingstone, I. D. (1999). Parents as First Teachers: Supplement to the summary report of the evaluation of the pilot	Parental Attitude Research Instrument (PARI) is a measure of parental attitudes toward childrearing.	Secondary
project: Report to the Ministry of Education on consolidated cross-site analysis. Wellington, New Zealand: Ministry of Education.	Home Observation for Measurement of the Environment (HOME) is a 45-item observational checklist that assesses parent-child interactions. It includes six subscales: acceptance, involvement, learning materials, organization, responsitivity, and variety.	Primary
	Parents completed a survey based on the Parents as Teachers University of Idaho Survey of Parenting Practice which assesses changes in parent knowledge, ability, confidence, and behavior.	Secondary

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Citation	Measure	Primary or Secondary
	Video assessment of parent-child interaction. The assessment involved two sequences: the first captured parent-child interaction during a shared book reading activity; the second captured parent-child interaction during a problem-solving exercise.	Secondary
	Maternal behavior during testing was a profile developed to capture features of parent-child interaction observed by the researcher during the clinical assessment.	Secondary
Praat, A. (2011). <i>Parents as First Teachers evaluation: Phase II report</i> . Wellington, New Zealand: Centre for Social Research and Evaluation.	Parents completed a survey based on the Parents as Teachers University of Idaho Survey of Parenting Practice which assesses changes in parent knowledge, ability, confidence, and behavior.	Secondary
Silovsky, J. F., Bard, D., Chaffin, M., Hecht, D., Burris, L., Owora, A., Beasley, L., Doughty, D., & Lutzker, J. (2011). Prevention of child maltreatment in high-risk rural families: A randomized clinical trial with child welfare outcomes. <i>Children and Youth Services Review, 33</i> (8), 1435–1444.	Conflict Tactics Scale – Parent-Child Version (CTS-PC) is a parent self-report measure of parenting, including harsh and neglectful parenting. The study included subscales for nonviolent discipline, psychological aggression, and physical assault. The four items comprising the assault subscale were eliminated from the measure due to concerns raised regarding abuse reporting.	Secondary
Walkup, J. T., Barlow, A., Mullany, B. C., Pan, W., Goklish, N., Hasting, R., Cowboy, B., Fields, P., Baker, E. V., Speakman, K., Ginsburg, G., & Reid, R. (2009). Randomized controlled trial of a paraprofessional-delivered in-home intervention for young reservation-based American Indian mothers. <i>Journal of the American Academy of Child &amp; Adolescent Psychiatry</i> , 48(6), 591–601.	Home Observation for Measurement of the Environment (HOME) is a 45-item observational checklist that assesses parent support and stimulation of the child in the home environment. Six subscales include maternal responsitivity, acceptance, organization of the home, learning materials, maternal involvement, and variety.	Primary
	A 76-item multiple-choice self-report test designed by the study team to assess participants' general knowledge on pregnancy, infant care, and parenting skills.	Secondary
	A 5-item self report scored on a 4-point scale adapted from Substance Abuse and Mental Health Services Administration measure to assess participants' level of direct involvement in infant care and support.	Secondary

Table C.6. Outcome Measures of Reductions in Child Maltreatment, by Study

Citation	Measure	Primary or Secondary
Caldera, D., Burrell, L., Rodriguez, K., Crowne, S. S., Rohde, C., & Duggan, A. (2007). Impact of a statewide home visiting program on	No injuries requiring medical care	Secondary
parenting and on child health and development. <i>Child Abuse &amp; Neglect</i> , 31(8), 829–852.	No hospitalizations or ER visits due to injuries	Primary
Duggan, A., Caldera, D., Rodriguez, K., Burrell, L., Rohde, C., & Crowne, S. S. (2007). Impact of a statewide home visiting program	Substantiated Child Protective Services (CPS) reports	Primary
to prevent child abuse. Child Abuse & Neglect, 31(8), 801–827.	Conflict Tactics Scale – Parent-Child Version (CTS-PC) is a parent self-report measure of parenting, including harsh and neglectful parenting.	Primary
Fergusson, D. M., Horwood, L. J., Grant, H., & Ridder, E. M. (2005). <i>Early Start evaluation report</i> . Christchurch, New Zealand: Early Start Project Ltd.	The Severe/Very Severe assault subscales of the Parent-Child Conflict Tactics Scale was used to assess child abuse/neglect.	Primary
	Percentage of hospital visits for accident/injury within the past 36 months	Primary
Krysik, J., & LeCroy, C. W. (2007). The evaluation of Healthy Families Arizona: A multisite home visitation program. <i>Journal of Prevention &amp; Intervention in the Community, 34</i> (1), 109–127.	Child abuse and neglect was measured via an annual match of participant and comparison group names (both past and current) with the Arizona Child Protective Services Registry (CHILDS).	Primary
Praat, A. (2011). Parents as First Teachers evaluation: Phase II report. Wellington, New Zealand: Centre for Social Research and Evaluation.	Rate of notification to child protective services and substantiation of abuse	Primary
Silovsky, J. F., Bard, D., Chaffin, M., Hecht, D., Burris, L., Owora, A., Beasley, L., Doughty, D., & Lutzker, J. (2011). Prevention of child maltreatment in high-risk rural families: A randomized clinical trial with child welfare outcomes. <i>Children and Youth Services, Review</i> , 33(8), 1435–1444.	The Child Abuse Potential Inventory is a 160-item agree/disagree parent self-report questionnaire estimating actuarial child physical abuse risk. Item content includes parenting stress, attitudes, and family conflict.	Primary

Table C.7. Outcome Measures of Reductions in Juvenile Delinquency, Family Violence, and Crime, by Study

Citation	Measure	Primary or Secondary
Barlow, A., Varipatis-Baker, E., Speakman, K., Ginsburg, G., Friberg, I., Goklish, N., Cowboy, B., Fields, P., Hastings, R., Pan, W., Reid, R., Santosham, M., & Walkup, J. (2006). Home-visiting intervention to improve child care among American Indian	Family conflict. Five self-report items scored on a 4-point scale with a possible score of 5 to 20; higher scores are worse.	Secondary
adolescent mothers: A randomized trial. Archives of Pediatrics & Adolescent Medicine, 160(11), 1101–1107.	Family cohesion. Three self-report items scored on a 4-point scale with a possible score of 3 to 12.	Secondary



## **APPENDIX D**

## **OVERVIEW OF HOME VISITING PROGRAM MODELS**



Table D.1. Overview of Home Visiting Program Models

Program Model Name	Goals	Model Components	Content
Baby Family and Child Education Program (FACE)	The Baby FACE program was developed to expand opportunities for American Indian families to learn about early childhood development and support children, including infants and toddlers with special needs, during their first years of life. The goals of the program were to (1) promote preliteracy experiences for children from birth to age 5 with the support and involvement of their parents, and (2) increase parenting skills and knowledge of child development.	To achieve these goals, families enrolled in the Baby FACE program participated in home visits that included screenings of children's development and group parent meetings and received referrals through a resource network.	The program implemented the Parents as Teachers' Born to Learn curriculum, which was adapted to each tribal community's culture.
Early intervention services	The Individuals with Disabilities Education Act (IDEA) includes a program for infants and toddlers with disabilities (the study refers to this as Part H of IDEA; in current legislation this is Part C). The program for infants and toddlers with disabilities is a federal grant program that assists states in operating a comprehensive statewide program of early intervention services for infants and toddlers with disabilities, birth through age 2 years, and their families. In order for a state to participate in the program, it must assure that early intervention will be available to every eligible child and its family.	Families enrolled in the early intervention services received home visits.	Not specified
Early Start (New Zealand)	Early Start is a voluntary home visiting program designed to improve child health; reduce child abuse; improve parenting skills; support parental physical and mental health; encourage family economic well-being; and encourage stable, positive partner relationships.	Early Start provides services through home visitation. Families are offered several additional services based on need: infant and child safety awareness; linkages to supportive services in the community, including budget, health, and relationship services; advice and support concerning healthy lifestyle choices, including family and child nutrition; and household and time management services.	All Early Start families receive services based on four established curricula: (1) Partnership in Parenting Education (PIPE) "Listen, Love, Play," which focuses on listening, trust, language, problem solving, feelings, and how babies learn; (2) Triple P Positive Parenting Program, which focuses on positive parenting practices and means to address childhood behavior problems; (3) Getting Ready for School, focused on 4-year-olds; and (4) Incredible Years.

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Program Model Name	Goals	Model Components	Content
Even Start - tribal program	Even Start (also known as the Even Start Family Literacy Program and the William F. Goodling Even Start Family Literacy Program) has three primary goals: (1) to help parents improve their literacy or basic educational skills, (2) to help parents become full partners in educating their children, and (3) to assist children in reaching their full potential as learners	Services included weekly home visits plus additional services. The program in Oklahoma offered monthly center-based parent meetings that included parent education and offered opportunity for social gatherings. The program in Washington offered play groups five days per week. Parents were offered classes in accounting, marketing, and computer instruction.	Even Start projects must combine four core components: (1) early childhood education, (2) adult literacy, (3) parenting education, and (4) interactive literacy activities between parents and their children. The content of the visits varied by community. In Oklahoma home visits primary focused on parent education, specifically academic areas tested on the General Educational Development (GED) tests, and child development (including content from seven areas: language naming, language comprehension, cognitive matching, cognitive counting, fine motor skills, gross motor skills, and personal and social skills). The program in Washington used the Parents as Teachers curriculum for child development topics,
Family and Child Education Program (FACE)	The FACE program was designed to address the achievement gap for American Indian children, particularly those living on rural reservations, and to better prepare American Indian children for school. The goals of the program are (1) to support parents in their role as their child's first teacher; (2) to increase family literacy; (3) to strengthen connections among family, school, and community; (4) to promote the early identification of children with special needs; (5) to increase parent participation in their child's learning and expectations for academic achievement; (6) to support and celebrate the unique cultural and linguistic diversity of each American Indian community served by the program; and (7) to promote lifelong learning.	To achieve these goals, families enrolled in the FACE program participate in home visits and a center-based component delivered through elementary schools.	Study 1: The FACE program was a modification of three national models to explicitly include the language and culture of the tribal communities served. Study 2: The FACE program was a modification of two national models: Parents as Teachers and the National Center for Family Literacy.

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Program Model Name	Goals	Model Components	Content
Family Spirit	The Family Spirit program was developed to address newborn care and maternal life skills among young American Indian pregnant and parenting mothers living on reservations. The program's goals are to (1) increase mothers' parenting knowledge and involvement, infants' social and emotional behavior, and the quality of the home environment; and (2) reduce stress, depression, and substance use among mothers.	Families participating in Family Spirit participate in home visits.	The program was modeled on Healthy Families America (HFA), a national program founded on 12 research-based principles to ensure quality of home visiting interventions for at-risk families. The content of the home-visiting intervention was derived from extensive community input on what teen parents needed to learn and was based on the American Academy of Pediatrics Guide to Baby Care: Caring for Your Baby and Young Child: Birth to Age 5. Cultural adaptations—including style, graphics, delivery, and content—were achieved through a community-based participatory process.
Home Activity Program for Parents and Youngsters (HAPPY) Rural Outreach Project	The HAPPY Rural Outreach Program was designed to meet the needs of families with children with developmental delays that live in remote, rural areas of Nevada where daily homeor center-based services are not practical. The outreach project was a collaborative effort of the Nevada Departments of Education and Human Resources, colleges within the University of Nevada, Reno, rural Nevada Inter-Tribal Council Head Starts, rural Nevada Head Starts, rural community service providers, and rural local education agencies.	Families participating in HAPPY received monthly home visits, quarterly progress reviews, and semi-annual assessments by a child development specialist; initial in-home evaluations, and regular video and telephone consultation with speech, physical, occupational therapists and other related service personnel; and recommendations of individualized early intervention and therapeutic activities to be done by the parents with their child in the home.	Not specified.

Program Model Name	Goals	Model Components	Content
Healthy Families America/Healthy Families Arizona/ Healthy Families Alaska	HFA aims (1) to reduce child maltreatment; (2) to increase utilization of prenatal care; (3) to improve parent-child interactions and school readiness; (4) to ensure healthy child development; (5) to promote positive parenting; (6) to promote family self-sufficiency and decrease dependency on welfare and other social services; (7) to increase access to primary care medical services; and (8) to increase immunization rates. Healthy Families Arizona is a state-based program that is guided by six community-based statewide steering committees (focused on training, policies and procedures, credentialing, excellence, community partnerships, and advocacy).	To achieve its goals, enrolled families participate in home visits that including screenings and assessments.	HFA is based upon a set of critical elements that serve as the framework for program development and implementation. HFA program components are theoretically rooted in a strength-based approach that recognizes that all families have strengths and that programs should build on these strengths rather than focus on correcting weaknesses.
Indian Family Wellness Project	The Indian Wellness Project was a federally funded research project with the dual goals of developing a culturally grounded, family-centered preventive intervention and facilitating the development of tribal research infrastructure.	The intervention had two components: home visitation and parent/child curricula. The program, delivered through Head Start centers, included a classroom component designed to build relationships among intervention staff, Head Start staff, and families. Families then participated in parent group meetings and home visits.	The curricula for parents and children were based on six tribal stories/legends and focused on reintroducing the practice of storytelling. The stories selected for the intervention were made into brief videos (narrated by tribal elders), in which footage interposed scenes of stories being told with historical photographs, tribal artwork, and scenes of cultural events.
ITCM Healthy Start project	Healthy Start-Home Visiting was authorized under Title III, Part D, Section 330H of the Public Health Service Act; (42 USC 254 c-8). The Healthy Start Initiative provided program funds to local agencies committed to community-driven strategies to mitigate the causes of infant mortality, low birth weight, and other poor perinatal outcomes. The purpose of Healthy Start-Home Visiting was to address significant disparities in perinatal health, especially disparities experienced by at-risk populations. The program also aimed to enhance the capacity of a community's perinatal and women's health service system. The Inter-Tribal Council of Michigan's (ITCM) Healthy Start project aimed to improve birth outcomes among American Indians living in Michigan.	During visits with families, staff referred clients to appropriate services, and then followed up with clients and providers to ensure that adequate care was provided.	Not specified

Program Model Name	Goals	Model Components	Content
Kheth'Impilo Community- Based Adherence Support (CBAS)	The intervention is designed to provide adherence and psychosocial support to families with children living with HIV. The primary goal is the achievement of virological suppression.	The program consists of home visits and group workshops.	During the initial visit, the home visitor, called a patient advocate, assesses a family's tuberculosis and HIV-testing status, food security, substance use, domestic violence risk, social assistance grant eligibility, and adherence challenges. A multidisciplinary team discusses the family's challenges and develops strategies to facilitate adherence. The patient advocate works with the child's caregiver to implement the adherence strategies, discusses psychosocial problems, supervises medication administration, and conducts adherence checks.
Obesity Prevention + Parenting Support	The intervention was designed to promote parenting skills that facilitate healthy attitudes and interactions around eating and activity and ultimately to promote short- and long-term weight regulation for children.	To achieve its goals, enrolled families participated in home visits.	The curriculum emphasized the child's psychological and behavioral goals, logical and natural consequences, mutual respect, and encouragement techniques, as well as specifically targeted how improved parenting skills could facilitate the development of appropriate eating and exercise behaviors in children.
Oklahoma Community- Based Family Resource and Support (CBFRS) Program	The model was designed to enhance maternal and child health and development. Specifically, the program sought to positively affect mothers' parenting knowledge and skill, use of community services, family planning, household safety, and child immunization. The program also aimed to increase mothers' knowledge of the effects of second-hand smoke on their children and decrease the number of cigarettes smoked.	The program consisted of home visits beginning prenatally and continuing to the child's first birthday.	The program followed a standardized curriculum that covered (1) maternal and child health, (2) child growth and development, and (3) parenting skills. Curriculum topics included characteristics of newborns and growing infants; bonding and attachment; play activities that emphasize touching and talking with the infant; healthy and safe living environments; and guidance and discipline.

Program Model Name	Goals	Model Components	Content
The Parent-Child Home Program	The Parent-Child Home Program focuses on (1) promoting positive parenting skills and building positive parent-child interaction, (2) enhancing the child's conceptual and social-emotional development, and (3) developing early literacy skills. The Parent-Child Home Program home visitors use a "light touch" approach that is non-didactic and empowers parents. The program aims to enhance the quality (including enhanced vocabulary, a reduction in discouragements, and an increase in encouragements used by the parent) and quantity of parent-child interaction to promote children's cognitive and social-emotional development and language and early literacy skills.	Enrolled families participate in home visits and receive toys and books, referrals to community services, and assistance with transition to the next educational step for the child.	Not specified
Parents as First Teachers (PAFT) New Zealand; an adaptation of Parents as Teachers (PAT)	PAFT is based on the Parents as Teachers model and is designed to support families' in their efforts to foster their children's development, to enhance children's school readiness, to promote children's health, to prevent child abuse and neglect, and to support parents' involvement in their children's education.	To achieve these goals, families participate in home visits and group meetings.	The PAFT program utilizes two curricula: an adapted version of the Parents as Teachers' Born to Learn curriculum and Āhuru Mōwai, a curriculum developed for the program that is based on Māori traditional beliefs and child-rearing practices. The home visits include rapport building, child assessment, discussion of parenting issues and child development, a parent-child activity and a summary of the session.
Perinatal intervention program	The perinatal intervention program was designed to encourage earlier entry to prenatal care and change of health risk habits among American Indian women. Program objectives included the need (1) to identify pregnancies early, (2) to decrease the interval between diagnosis of pregnancy and initial maternity care visit, (3) to increase the numbers of prenatal visits per patient, (4) to provide health education (including topics on pregnancy, nutrition, preterm labor, smoking cessation, prepared childbirth, breastfeeding, immunizations, well-child checks, and infant safety), and (5) to develop a system to ensure uninterrupted prenatal care when traveling between city and reservation.	Women participating in the program received two home visits (one prior to delivery and one postpartum). Additional contact occurred by telephone, during drop-in or scheduled visits to the nurse's or outreach worker's offices, through outreach programs such as WIC, during medical appointments, and during program offerings to the community (such as Lamaze childbirth education classes).	Not specified

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Program Model Name	Goals	Model Components	Content
Philani Outreach Programme	The Philani Outreach Programme aims to build community relationships and encourage pregnant women and mothers to engage in healthy practices to improve maternal and child nutrition and health.	To achieve its goals, families participate in regular home visits.	During prenatal visits, the home visitor discusses the importance of maternal nutrition, regular prenatal clinic appointments, HIV testing, the prevention of the transmission of HIV, and the cessation of alcohol use. After the child is born, the home visitor weighs the participating child during visits and discusses his/her progress with the mother. The home visitor also makes sure that the mother has the social grants she might be entitled to and that she understands proper nutrition and hygiene. The home visitors stress the importance of breastfeeding, the proper time to introduce solids, frequent feeding, and a mixed diet including vegetables and fruit. She checks to see if immunizations are up-to-date and if the child has been dewormed.
SafeCare	SafeCare is designed to improve caregiving and parent-child interactions, and ultimately to reduce the incidence of child maltreatment.	To achieve its goals, home visits are offered at least weekly for about six months.	SafeCare focuses on three areas: (1) infant and child health care, (2) home safety, and (3) parent-child interaction. During visits, home visitors conduct ongoing measurement of observable behaviors, model skills, observe and provide feedback on parents' practice, and train parents.
SafeCare Augmented		SafeCare Augmented, consisted of the regular SafeCare model with the addition of Motivational Interviewing (Miller & Rollnick, 2004), as well as training of the home visitors on identification of and response to imminent child maltreatment and risk factors of substance abuse, depression, and intimate partner violence.	

Program Model Name	Goals	Model Components	Content
SHARE-ACTION	The SHARE-ACTION program was designed to reduce energy intake and increase physical activity among Aboriginal families by influencing participants' health behavior, modeling health behaviors, and reinforcing healthy lifestyle changes.	To achieve its goals, the program included regular home visits by Aboriginal health counselors who were trained to assess and set dietary and physical activity goals for each household member. In addition, families received weekly deliveries of spring water.	Not specified
SIDS risk factor program	This intervention aimed to improve parental knowledge of SIDS risk factors and thus reduce child deaths from SIDS. To achieve its goals, program developers created baby blankets with nine risk factors for SIDS printed on them.	Services were delivered through a home visiting program for American Indian mothers and families.	Nursing or home visiting staff distributed the blankets to families and reviewed the information on the blankets
Toddler Overweight and Tooth Decay Prevention Study (TOTS)	The goals of TOTS were to (1) increase breastfeeding initiation and duration, (2) limit the introduction of sugar-sweetened beverages to infants and toddlers, and (3) promote the consumption of water for thirst among toddlers. The TOTS enhancement also included the following goals: (1) promoting the appropriate introduction of solid foods, (2) decreasing sedentary behavior among infants and toddlers, and (3) increasing infant and toddler motor and movement skills.	Services included a community-wide intervention and a family intervention delivered through home visits.	The first two visit clusters were intended to establish rapport, solidify contact guidelines between participants and their assigned community health workers, and collect baseline data. In the original TOTS, community health workers created a client-specific plan for initiating and maintaining breastfeeding along with water and sugar-sweetened beverage interventions in clusters 1-3. Clusters 4-7 consisted of intervention implementation and monitoring and the final cluster covered closure activities. In the TOTS enhancement, clusters 3 and 4 focused on promoting breastfeeding and connecting families to community resources. Clusters 5 and 6 focused on feeding issues and maintaining breastfeeding, Clusters 7-15 consisted of the introduction of solid foods, discussion of sugar sweetened beverage consumption, and the introduction of physical activity topics.
Universal Health Home Visit offered through Families First	The Universal Health Home Visit offered through Families First aims to connect at-risk families to child and family services.	The program offers one home visit to all newborn infants within two weeks of birth. The other services provided are not specified.	During the home visit, the nurses assess the family's needs, provide support and parent education, and refer families to a range of health and community support services.





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