

# Affidavit of Domicile

## Steps to certify the residence of a deceased account holder

- 1 **Complete** the entire form
- 2 **Include a certified copy of the death certificate** and any other required documentation listed in the Frequently Asked Questions below
- 3 **Sign** the form in front of a Notary Public or in the presence of someone able to provide a Medallion signature guarantee
- 4 **Send** in your forms by mail

**Mail document to:**

Capital One Investing, LLC  
PO Box 4249  
Seattle, WA 98194-0249

## Frequently Asked Questions

Customer Service: 800-747-2537

### What other documentation do I need to provide?

You will need to include the following additional documents for the request to be processed:

1. A certified copy of the death certificate
2. One of the following:
  - A court-certified copy of the certificate of appointment of the administrator or executor dated within 60 days.
  - OR
  - A small estate affidavit either court-certified or notarized depending on your state laws.
3. One of the following:
  - Notarized or signature guaranteed Letter of Authorization signed by the legal representative of the estate
  - OR
  - Notarized or signature guaranteed Transfer assets between Capital One Investing accounts form

### What is the difference between a Notary seal and a Medallion signature guarantee?

A Medallion signature guarantee is a stamp provided by a financial institution to verify that a signature is valid. You can obtain a Medallion Signature Guarantee at a commercial bank, credit union, brokerage firm, savings association, or any financial institution who is an approved member.

A Notary seal is provided by a Notary Public, a person who is authorized by the government to verify written documents. You can usually find such a person either at a financial institution (such as a bank or credit union) or in your local phone directory.

Securities are offered by Capital One Investing, LLC, a registered broker-dealer and Member [FINRA/SIPC](#). Advisory services are provided by Capital One Advisors, LLC, an SEC registered investment advisor. Insurance products are offered through Capital One Agency LLC. All are subsidiaries of Capital One Financial Corporation.

**Securities and services are: Not FDIC insured • Not bank guaranteed • May lose value • Not a deposit • Not Insured by any Federal Government Agency**

## Account Information of the Deceased

Account Owner  
(Name of the deceased) \_\_\_\_\_

Account Number \_\_\_\_\_

## Affidavit of Domicile

I \_\_\_\_\_, being duly sworn, deposes and says that  
Name of Executor

he/she resides at \_\_\_\_\_, State of \_\_\_\_\_  
Legal Address of Executor

Executor    Administrator    Surviving Tenant of the Estate \_\_\_\_\_  
Name of Deceased

who died at \_\_\_\_\_ On the \_\_\_\_\_ of \_\_\_\_\_ of \_\_\_\_\_  
Location of Death   Day   Month   Year

### Decedent's legal residence

That at the time of his/her death the domicile (legal residence) of the said was at \_\_\_\_\_  
State of \_\_\_\_\_; That the decedent resided at such address for \_\_\_\_\_ years, having commenced  
on \_\_\_\_\_ of \_\_\_\_\_ of \_\_\_\_\_;

### Decedent's voting history

The decedent last voted in the year \_\_\_\_\_ at \_\_\_\_\_, State of \_\_\_\_\_;

### Decedent's place of business

That the decedent's principal place of business at the time of his/her death was \_\_\_\_\_,  
State of \_\_\_\_\_;

### Decedent's Federal Income Tax

That the decedent's most recent Federal income tax return showed his/her legal residence as \_\_\_\_\_  
\_\_\_\_\_, State of \_\_\_\_\_;

That within three years prior to death, decedent  was/or  was not a resident of another state (if decedent resided in another State within three years prior to death, set forth the name of the State and facts as a change of residence and establishment of final domicile);

That any and all debts, taxes and claims against the estate have been paid or provided for that this affidavit is made for the purpose of securing the transfer or delivery of property owned by the decedent at the time of his/her death to a purchaser or the persons legally entitled thereto under the laws of the decedent's domicile and that any apparent inequality in distribution has been satisfied or provided for out of other assets of the estate.

# Authorization and Signature

The undersigned hereby irrevocably constitute and appoint Capital One Investing, LLC, to transfer the said stock, as the case may be, on the books of said company, with full power of substitution in the premises.

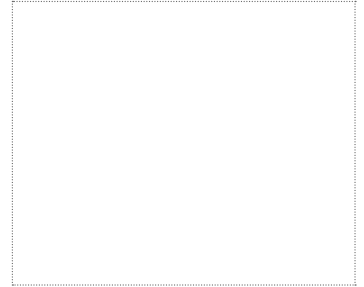
\_\_\_\_\_  Executor  Administrator  Surviving Tenant  
Signature

State of \_\_\_\_\_ Country of \_\_\_\_\_

On \_\_\_\_\_ before me, the undersigned, a Notary Public in and for the state, personally appeared \_\_\_\_\_ known to me to be the person whose name is subscribed to the instrument and acknowledged he/she executed the same.

WITNESS my hand and official seal.

\_\_\_\_\_  
Signature of Notary



Seal of Notary