

Home Address: City:		State:		
Inspection Guidelines	Must Correct	Builder Verified ¹	Rater Verified	N/A
1. High-Performance Fenestration	r _			
1.1 <i>Prescriptive Path:</i> Fenestration shall meet or exceed ENERGY STAR requirements ²				
1.2 Performance Path: Fenestration shall meet or exceed 2009 IECC requirements ²				
2. Quality-Installed Insulation				
2.1 Ceiling, wall, floor, and slab insulation levels shall meet or exceed 2009 IECC levels ^{34,5}				
2.2 All ceiling, wall, floor, and slab insulation shall achieve RESNET-defined Grade I installation or, alternatively, Grade II for surfaces with insulated sheathing (see Checklist				
Item 4.4.1 for required insulation levels) 3. Fully-Aligned Air Barriers ⁶				1
At each insulated location noted below, a complete air barrier shall be provided that is fully alig	ned with t	he insulation	as follows:	
At interior or exterior surface of ceilings in Climate Zones 1-3; at interior surface of ceilings				
 barrier at interior edge of attic eave in all climate zones using a wind baffle that extends to baffle in every bay or a tabbed baffle in each bay with a soffit vent that will also prevent wir At exterior surface of walls in all climate zones; and also at interior surface of walls for Climate zones. 	the full heind washing the zones	ght of the in of insulations 4-8 ⁷	sulation. Inclu n in adjacent	ide a bays
At interior surface of floors in all climate zones, including supports to ensure permanent co	ontact and	blocking at e	exposed edge	^{8,9}
3.1 Walls ¹⁰			_	
3.1.1 Walls behind showers and tubs				
3.1.2 Walls behind fireplaces				
3.1.3 Attic knee walls				
3.1.4 Skylight shaft walls				
3.1.5 Wall adjoining porch roof				
3.1.6 Staircase walls				
3.1.7 Double walls				
3.1.8 Garage rim / band joist adjoining conditioned space				
3.1.9 All other exterior walls				
3.2 Floors		1		
3.2.1 Floor above garage				
3.2.2 Cantilevered floor				
3.2.3 Floor above unconditioned basement or unconditioned crawlspace				
3.3 Ceilings ¹⁰				1
3.3.1 Dropped ceiling / soffit below unconditioned attic				
3.3.2 All other ceilings				
4. Reduced Thermal Bridging				
4.1 For insulated ceilings with attic space above (i.e., non-cathedralized ceilings), uncompressed insulation extends to the inside face of the exterior wall below at the following levels: CZ 1 to 5: ≥ R-21; CZ 6 to 8: ≥ R-30 ¹¹				
4.2 For slabs on grade in CZ 4 and higher, 100% of slab edge insulated to \geq R-5 at the depth specified by the 2009 IECC and aligned with thermal boundary of the walls ^{4,5}				
 4.3 Insulation beneath attic platforms (e.g., HVAC platforms, walkways) ≥ R-21 in CZ 1 to 5; ≥ R-30 in CZ 6 to 8 				
4.4 Reduced thermal bridging at above-grade walls separating conditioned from unconditioned using one of the following options: ^{12,13}	l space (rir	n / band jois	ts exempted)	
 4.4.1 Continuous rigid insulation, insulated siding, or combination of the two; ≥ R-3 in Climate Zones 1 to 4, ≥ R-5 in Climate Zones 5 to 8 ^{14,15}, OR; 				
4.4.2 Structural Insulated Panels (SIPs), OR ;				
4.4.3 Insulated Concrete Forms (ICFs), OR ;				
4.4.4 Double-wall framing ¹⁶ , OR ;				
4.4.5 Advanced framing, including all of the items below:				
4.4.5 Advanced training, including all of the items below. 4.4.5a All corners insulated \geq R-6 to edge ¹⁷ , AND ;				
4.4.5b All headers above windows & doors insulated ¹⁸ , AND ;	1			
 4.4.5c Framing limited at all windows & doors ¹⁹, AND; 4.4.5d All interior / exterior wall intersections insulated to the same R-value as the rest 				
of the exterior wall ²⁰ , AND ;				
4.4.5e Minimum stud spacing of 16" o.c. for 2x4 framing in all Climate Zones and, in Climate Zones 5 through 8, 24" o.c. for 2x6 framing unless construction documents specify other spacing is structurally required ²¹				



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5. Air Sealing					
5.1 Penetrations to unconditioned space fully s	ealed with solid blocking or flashing as needed a	and gaps	sealed with c	aulk or foam	
5.1.1 Duct / flue shaft					
5.1.2 Plumbing / piping					
5.1.3 Electrical wiring					
5.1.4 Bathroom and kitchen exhaust fans					
gasketed. Also, if in insulated ceilin insulated ceilin insulated to <u>></u> R-10 in CZ 4 and hig	to unconditioned space ICAT labeled and fully g without attic above, exterior surface of fixture her to minimize condensation potential.				
5.1.6 Light tubes adjacent to unconditione unconditioned and conditioned spa	ed space include lens separating ce and are fully gasketed ²²				
5.2 Cracks in the building envelope fully sealed	t			-	
	ed space sealed to foundation or sub-floor with eneath sill plate if resting atop concrete or ed space.				
5.2.2 At top of walls adjoining uncondition blocking using caulk, foam, or equition	oned spaces, continuous top plates or sealed ivalent material				
equivalent material. Either apply se	attic / wall interfaces using caulk, foam, or ealant directly between sheetrock and top plate om the attic above. Construction adhesive shall				
5.2.4 Rough opening around windows &	exterior doors sealed with caulk or foam ²³				
5.2.5 Marriage joints between modular h conditions fully sealed with gasket	ome modules at all exterior boundary				
manufacturer's instructions	ated Panels (SIPs) foamed and / or taped per				
	etween the drywall shaft wall (i.e. common wall) a units fully sealed at all exterior boundaries				
5.3 Other openings					
5.3.1 Doors adjacent to unconditioned sp ambient conditions gasketed or ma					
5.3.2 Attic access panels and drop-down insulated cover that is gasketed (i. when occupant is not accessing th	e., not caulked) to produce continuous air seal				
5.3.3 Whole-house fans equipped with a					
Rater Name:	Rater Pre-Drywall Inspection Date:		Rater Initia	als:	
Rater Name:				als:	
Builder Employee:	Builder Inspection Date:			tials:	_

Notes:

- 1. At the discretion of the Rater, the builder may verify up to eight items specified in this Checklist. When exercised, the builder's responsibility will be formally acknowledged by the builder signing off on the checklist for the item(s) that they verified.
- 2. For Prescriptive Path: All windows, doors, and skylights shall meet or exceed ENERGY STAR Program Requirements for Residential Windows, Doors, and Skylights Version 5.0 as outlined at www.energystar.gov/windows. For Performance Path: All windows, doors and skylights shall meet or exceed the component U-factor and SHGC requirements specified in the 2009 IECC Table 402.1.1. If no NFRC rating is noted on the window or in product literature (e.g., for site-built fenestration), select the U-factor and SHGC value from tables 4 and 14, respectively, in 2005 ASHRAE Fundamentals, Chapter 31. Select the highest U-factor and SHGC value among the values listed for the known window characteristics (e.g., frame type, number of panes, glass color, and presence of low-e coating). Note that the U-factor requirement applies to all fenestration while the SHGC only applies to the glazed portion. The following exceptions apply:
 - a. An area-weighted average of fenestration products shall be permitted to satisfy the U-factor requirements;
 - b. An area-weighted average of fenestration products ≥ 50% glazed shall be permitted to satisfy the SHGC requirements;
 - c. 15 square feet of glazed fenestration per dwelling unit shall be exempt from the U-factor and SHGC requirements, and shall be excluded from area-weighted averages calculated using a) and b), above;



- d. One side-hinged opaque door assembly up to 24 square feet in area shall be exempt from the U-factor requirements and shall be excluded from area-weighted averages calculated using a) and b), above;
- e. Fenestration utilized as part of a passive solar design shall be exempt from the U-factor and SHGC requirements, and shall be excluded from area-weighted averages calculated using a) and b), above. Exempt windows shall be facing within 45 degrees of true south and directly coupled to thermal storage mass that has a heat capacity > 20 btu / ft³x^oF and provided in a ratio of at least 3 sq. ft. per sq. ft. of south facing fenestration. Generally, thermal mass materials will be at least 2 in. thick.
- 3. Insulation levels in a home shall meet or exceed the component insulation requirements in the 2009 IECC Table 402.1.1. The following exceptions apply:
 - a. Steel-frame ceilings, walls, and floors shall meet the insulation requirements of the 2009 IECC Table 402.2.5. In CZ 1 and 2, the continuous insulation requirements in this table shall be permitted to be reduced to R-3 for steel-frame wall assemblies with studs spaced at 24 in. on center. This exception shall not apply if the alternative calculations in d) are used;
 - b. For ceilings with attic spaces, R-30 shall satisfy the requirement for R-38 and R-38 shall satisfy the requirement for R-49 wherever the full height of uncompressed insulation at the lower R-value extends over the wall top plate at the eaves. This exemption shall not apply if the alternative calculations in d) are used;
 - c. For ceilings without attic spaces, R-30 shall satisfy the requirement for any required value above R-30 if the design of the roof / ceiling assembly does not provide sufficient space for the required insulation value. This exemption shall be limited to 500 square ft. or 20% of the total insulated ceiling area, whichever is less. This exemption shall not apply if the alternative calculations in d) are used;
 - d. An alternative equivalent U-factor or total UA calculation may also be used to demonstrate compliance, as follows:

An assembly with a U-factor equal or less than specified in 2009 IECC Table 402.1.3 complies.

A total building thermal envelope UA that is less than or equal to the total UA resulting from the U-factors in Table 402.1.3 also complies. The insulation levels of all non-fenestration components (i.e., ceilings, walls, floors, and slabs) can be traded off using the UA approach under both the Prescriptive and the Performance Path. Note that fenestration products (i.e., windows, skylights, doors) shall not be included in this calculation. Also, note that while ceiling and slab insulation can be included in trade-off calculations, the R-value must meet or exceed the minimum values listed in Items 4.1 through 4.3 of the Checklist to provide an effective thermal break, regardless of the UA tradeoffs calculated. The UA calculation shall be done using a method consistent with the ASHRAE Handbook of Fundamentals and shall include the thermal bridging effects of framing materials. The calculation for a steel-frame envelope assembly shall use the ASHRAE zone method or a method providing equivalent results, and not a series-parallel path calculation method.

- 4. Consistent with the 2009 IECC, slab edge insulation is only required for slab-on-grade floors with a floor surface less than 12 inches below grade. Slab insulation shall extend to the top of the slab to provide a complete thermal break. If the top edge of the insulation is installed between the exterior wall and the edge of the interior slab, it shall be permitted to be cut at a 45-degree angle away from the exterior wall.
- 5. Where an insulated wall separates a garage, patio, porch, or other unconditioned space from the conditioned space of the house, slab insulation shall also be installed at this interface to provide a thermal break between the conditioned and unconditioned slab. Where specific details cannot meet this requirement, partners shall provide the detail to EPA to request an exemption prior to the home's qualification. EPA will compile exempted details and work with industry to develop feasible details for use in future revisions to the program. A list of currently exempted details is available at: www.enegystar.gov/slabedge.
- 6. For purposes of this Checklist, an air barrier is defined as any durable solid material that blocks air flow between conditioned space and unconditioned space, including necessary sealing to block excessive air flow at edges and seams and adequate support to resist positive and negative pressures without displacement or damage. EPA recommends, but does not require, rigid air barriers.

Open-cell or closed-cell foam shall have a finished thickness \geq 5.5 in. or 1.5 in., respectively, to qualify as an air barrier unless the manufacturer indicates otherwise.

If flexible air barriers such as house wrap are used, they shall be fully sealed at all seams and edges and supported using fasteners with caps or heads \geq 1 in. diameter unless otherwise indicated by the manufacturer. Flexible air barriers shall not be made of kraft paper, paper-based products, or other materials that are easily torn. If polyethylene is used, its thickness shall be \geq 6 mil.

- 7. EPA highly recommends, but does not require, inclusion of an interior air barrier at band joists in Climate Zone 4 through 8.
- 8. Examples of supports necessary for permanent contact include staves for batt insulation or netting for blown-in insulation. Batts that completely fill a cavity enclosed on all six sides may be used to meet this requirement without the need for supports, even though some compression will occur due to the excess insulation, as long as the compressed value meets or exceeds the required insulation level. Specifically, the following batts may be used in six-sided floor cavities: R-19 batts in 2x6 cavities, R-30 batts in 2x10 cavities, and R-49 batts in 2x12 cavities. For example, in a home that requires R-19 floor insulation, an R-30 batt may be used in a six-sided 2x8 floor cavity.
- 9. Fully-aligned air barriers may be installed at the exterior surface of the floor cavity in all Climate Zones if the insulation is installed in contact with this exterior air barrier and the perimeter rim and band joists of the floor cavity are also sealed and insulated to comply with the fully-aligned air barrier requirements for walls.



- 10. All insulated vertical surfaces are considered walls (e.g., exterior walls, knee walls) and must meet the air barrier requirements for walls. All insulated ceiling surfaces, regardless of slope (e.g., cathedral ceilings, tray ceilings, conditioned attic roof decks, flat ceilings, sloped ceilings), must meet the requirements for ceilings.
- 11. The minimum designated R-values must be achieved regardless of the trade-offs determined using an equivalent U-factor or UA alternative calculation. Note that if the minimum designated values are used, they must be compensated with higher values elsewhere using an equivalent U-factor or UA alternative calculation in order to meet the overall insulation requirements of the 2009 IECC. Also, note that these requirements can be met by using any available strategy, such as a raised-heel truss, alternate framing that provides adequate space, and / or high-density insulation. In Climate Zones 1 through 3, one option that will work for most homes is to use 2x6 framing, an R-21 high-density batt, and a wind baffle that only requires 0.5 in. of clearance.
- 12. Up to 10% of the total exterior wall surface area is exempted from the reduced thermal bridging requirements to accommodate intentional designed details (e.g., architectural details such as thermal fins, wing walls, or masonry fireplaces; structural details, such as steel columns). It shall be apparent to the Rater that the exempted areas are intentional designed details or the exempted area shall be documented in a plan provided by the builder, architect, designer, or engineer. The Rater need not evaluate the necessity of the designed detail to qualify the home.
- 13. Mass walls utilized as the thermal mass component of a passive solar design (e.g., a Trombe wall) are exempt from this item. To be eligible for this exemption, the passive solar design shall be comprised of the following five components: an aperture or collector, an absorber, thermal mass, a distribution system, and a control system. For more information, see: http://www.energysavers.gov/your home/designing remodeling/index.cfm/mytopic=10270.

Mass walls that are not part of a passive solar design (e.g., CMU block or log home enclosure) shall either utilize the strategies outlined in Section 4.4 or the pathway in the assembly with the least thermal resistance shall provide \geq 50% of the applicable component insulation requirement in the 2009 IECC – Table 402.1.1.

- 14. If used, insulated siding shall be attached directly over a water-resistive barrier and sheathing. In addition, it shall provide the required R-value as demonstrated through either testing in accordance with ASTM C 1363 or by attaining the required R-value at its minimum thickness. Insulated sheathing rated for water protection can be used as a water resistant barrier if all seams are taped and sealed. If non-insulated structural sheathing is used at corners, advanced framing details listed under Item 4.4.5 shall be met for those wall sections.
- 15. Steel framing shall meet the reduced thermal bridging requirements by complying with Item 4.4.1 of the Checklist.
- 16. Double-wall framing is defined as any framing method that ensures a continuous layer of insulation covering the studs to at least the R-value required in Section 4.4.1 of the Checklist, such as offset double-stud walls, aligned double-stud walls with continuous insulation between the adjacent stud faces, or single-stud walls with 2x2 or 2x3 cross-framing. In all cases, insulation shall fill the entire wall cavity from the interior to exterior sheathing except at windows, doors and other penetrations.
- 17. All exterior corners shall be constructed to allow access for the installation of ≥ R-6 insulation that extends to the exterior wall sheathing. Examples of compliance options include standard-density insulation with alternative framing techniques, such as using three studs per corner, or high-density insulation (e.g., spray foam) with standard framing techniques.
- 18. Header insulation shall be ≥ R-3 for wall assemblies with 2x4 framing, or equivalent cavity width, and ≥ R-5 for all other assemblies (e.g., with 2x6 framing). Compliance options include continuous rigid insulation sheathing, SIP headers, other prefabricated insulated headers, single-member or two-member headers with insulation either in between or on one side, or an equivalent assembly, except where a framing plan provided by the builder, architect, designer, or engineer indicates that full-depth solid headers are the only acceptable option. The Rater need not evaluate the structural necessity of the details in the framing plan to qualify the home. Also, the framing plan need only encompass the details in question and not necessarily the entire home. R-value requirement refers to manufacturer's nominal insulation value.
- 19. Framing at windows shall be limited to a maximum of one pair of king studs and one pair jack studs per window opening to support the header and window sill. Additional jack studs shall be used only as needed for structural support and cripple studs only as needed to maintain on-center spacing of studs.
- 20. Insulation shall run behind interior / exterior wall intersections using ladder blocking, full length 2x6 or 1x6 furring behind the first partition stud, drywall clips, or other equivalent alternative.
- 21. Vertical framing members shall either be on-center or have an alternative structural purpose (e.g., framing members at the edge of pre-fabricated panels) that is apparent to the Rater or documented in a framing plan provided by the builder, architect, designer, or engineer. The Rater need not evaluate the structural necessity of the details in the framing plan to qualify the home. Also, the framing plan need only encompass the details in question and not necessarily the entire home. No more than 5% of studs may lack an apparent or documented structural purpose, which is equivalent to one vertical stud for every 30 linear feet of wall, assuming 16 in. o.c. stud spacing.
- 22. Light tubes that do not include a gasketed lens are required to be sealed and insulated \geq R-6 for the length of the tube.
- 23. In Climate Zones 1 through 3, stucco over rigid insulation tightly sealed to windows and doors shall be considered equivalent to sealing rough openings with caulk or foam.
- 24. Examples of durable covers include, but are not limited to, pre-fabricated covers with integral insulation, rigid foam adhered to cover with adhesive, or batt insulation mechanically fastened to the cover (e.g., using bolts, metal wire, or metal strapping).