

Format for Declaration of Self Certification for New cadre of Distributors

AMFI Registration Number (ARN-SD): _____

Date: _____

From: _____

* Landline:

* Mobile:

* Email:

To,
CAMS,
AMFI unit,
Chennai

Sub: Declaration of Self-Certification (DSC): Period / Financial Year _____

"This is to certify that, in the course of my business in the distribution of mutual fund products during the period / financial year ended March 31, _____, I have adhered to the Code of Conduct contained in SEBI circular no. SEBI/IMD/CIR No. 8/174648/2009 dated August 27, 2009, Code of Conduct for Mutual Fund Distributors prescribed by AMFI and to the requirements as prescribed in SEBI's circular no. MFD/CIR/20/23230/2002 dated November 28, 2002 and the AMFI circular no. CIR/ARN-09/08-09 dated July 18, 2008, except for clause no. 3.2 of Common Minimum Criteria for Empanelment. "

In compliance with SEBI Circular no. SEBI/ IMD/CIR/No.4/168230/09 dated June 30, 2009, I have disclosed to investors all the commissions (in the form of trail commission or any other mode) payable to me for the different competing schemes of various mutual funds from amongst which the scheme was recommended to our investors.

I further confirm that I have only sold units of simple and performing mutual fund schemes as per SEBI circular CIR/IMD/DF/21/2012 dated September 13, 2012

I further certify that I have adhered to the SEBI and AMFI Guidelines issued from time to time.

Kindly update this DSC on your records and with all the Fund Houses where I am empanelled.

Signature

** Information is desirable for all important communication to be disseminated efficiently*