STATE OF CONNECTICUT

DEPARTMENT OF CORRECTION PURCHASING DEPARTMENT 24 Wolcott Hill Road

Wethersfield, CT 06109

INVITATION TO BID

SPECIFICATIONS & BID DOCUMENTS ATTACHED

BID NUMBER: 4025 z BID OPENING DATE & TIME: April 24, 2007 @ 2:00 p.m.

PURCHASING CONTACT: Doris Carilli PHONE #: 860-692-6889

BID CLASS/SUB-CLASS & DESCRIPTION: 0088-202 - Repair of Cooling Tower Feeds

IMPORTANT NOTE: MANDATORY PRE-BID MEETING

IS SCHEDULE FOR April 17, 2007 @ 10:00 a.m.

AT CHESHIRE CI POWER HOUSE

52 JARVIS STREET, CHESHIRE, CT 0410

Please see Proposal Schedule for complete details

RETURN BID TO: Department of Correction

24 Wolcott Hill Road Wethersfield, CT 06109

ATTN: Doris Carilli

Or FAX TO: **860-692-6869**

OR E-MAIL TO: doris.carilli@po.state.ct.us

NOTES:

BIDS CANNOT BE ACCEPTED AFTER SPECIFIED BID OPENING TIME.
VENDORS WILL NOT BE ADMITTED TO STATE BUILDINGS WITHOUT PRIOR SECURITY
CLEARANCE AND A VALID PHOTO I.D.

STATE OF CONNECTICUT DEPARTMENT OF CORRECTION

BIDDER'S CHECKLIST

THIS FORM IS NOT TO BE RETURNED WITH YOUR BID. HOWEVERS, IT IS SUGGESTED THAT YOU REVIEW AND CHECK OFF EACH ACTION AS YOU COMPLETE IT.

- > The Bid Proposal must be signed by a duly authorized representative of the company (unsigned bids are automatically rejected) and the Proposal Schedule must be included with your bid.
- > The bid prices you have offered have been reviewed and verified.
- The price extensions and totals have been checked. (In case of discrepancy between unit prices and total prices, the <u>unit</u> price will govern the bid evaluation).
- Any errors, alterations, corrections or erasures to unit prices, total prices, etc. Must be initialed by the person who signs the bid proposal or his designee. Such change made and not initialed means automatic rejection of bid.
- The payment terms are <u>NET 45 Days</u>. Net Terms for periods less than 45 days (Ex. Net 30) may result in bid rejection. (You may offer cash discounts for prompt payment.) *Exception:* State of CT Small Business Set-Aside bids payment terms shall be in accordance with CGS 32-09h.
- Any technical or descriptive literature, drawing or bid samples that are required have been included with the bid.
- > The delivery information block has been completed. (Be specific: In most cases, "as ordered" or "as required" is not complete information.)
- Any addenda to the bid have been signed and included.
- The bid is mailed, e-mailed, faxed or hand-delivered in time to be received <u>no later than</u> the designated opening date and time. Late bids are not accepted under any circumstances. Please allow enough time if mailing in your bid.
- Read, sign and return the Department of Correction's Security Regulations for Contract Forces form (3 pages) with your Bid Proposal.
- All CHRO forms (4 pages) must be completed entirely regardless of the number of employees, even if the company is family owned and/or operated and must be submitted with each bid or bid may be rejected.
- > Complete, sign and notarize the OSHA CERTIFICATE OF COMPLIANCE form.
- Complete and sign the BIDDER'S STATEMENT OF QUALIFICATIONS.
- MAKE SURE TO INCLUDE THE ORIGINAL PROPOSAL SCHEDULE PAGE.
- ➤ VENDOR'S NAMES MUST APPEAR ON <u>ALL</u> PROPOSAL SCHEDULES.
- ➤ VERIFY THE FOLLOWING FORMS ARE INCLUDED IN YOUR BID PACKAGE
 - ➤ BID PROPOSAL 2 PAGES / COMPLETE AND SIGN
 - > SECURITY REGULATIONS 2 PAGES / REVIEW AND SIGN
 - ▶ BIDDER'S STATEMENT OF QUALIFICATIONS 2 PAGES / COMPLETE AND SIGN
 - OSHA CERTIFICATE OF COMPLIANCE COMPLETE, SIGN AND NOTARIZE
 - > CHRO FORMS 4 PAGES / COMPLETE AND SIGN
 - PROPOSAL SCHEDULE COMPLETE AND SIGN

STATE OF CONNECTICUT

DEPARTMENT OF CORRECTION

PURCHASING DEPARTMENT 24 Wolcott Hill Road

Wethersfield, CT 06109

BID PROPOSAL Page 1 of 2

Bid No:	Bid Opening Date:	Bid Opening Time:
4025 Z	April 24, 2007	2:00 pm

Note: Bidder means Individual/Sole Proprietor, Partnership or Corporation name.

IMPORTANT: Both pages of this form, Sections 1 through 3 must be completed, signed and returned by the bidder as part of the bid package. Failure to submit both pages constitutes grounds for rejection of your bid.

Section 1 of 3 - BIDDER INFORMATION

COMPLETE BIDDER NAME (TRADE NAME, DOING BUSINESS AS)	SSN OR FEIN NUMBER
DIDDED ADDRESS CEDERA	CITY CODE
BIDDER ADDRESS STREET	CITY STATE ZIP CODE
CONTACT NAME (TYPED OR PRINTED) PHONE NUMBER (INC	CLUDE TOLL-FREE NUMBERS) FAX NUMBER
CONTACT NAME (TIPED OR PRINTED) PHONE NUMBER (INC	LUDE TOLL-FREE NUMBERS) FAX NUMBER
SIGNATURE OF PERSON AUTHORIZED TO SIGN BIDS ON BEHALF OF	THE ABOVE NAMED BIDDER DATE
SIGNATURE OF TERROTY RETRIEVALED TO STONE BIDS ON BEHALF OF	THE TEO VE IVENUE BIBBER
TYPE OR PRINT NAME OF AUTHORIZED PERSON	TITLE OF AUTHORIZED PERSON
BIDDER E-MAIL ADDRESS	BIDDER WEB SITE
IS YOUR BUSINESS A:PROPRIETORSHIP (INDIVIDUAL)PA	RTNERSHIP ORCORPORATION?
(TYPE OF CORPORATION)	
IS YOUR BUSINESS CURRENTLY A DAS CERTIFIED SMALL BUSINESS	ENTERPRISE? YES (ATTACH CERTIFICATE COPY TO BID)
NO	
IF YOUR BUSINESS IS A <i>PARTNERSHIP</i> , YOU MUST ATTACH THE NA	AMES AND TITLES OF ALL PARTNERS TO THIS BID WHEN RETURNED.
IF YOUR BUSINESS IS A <i>CORPORATION</i> , IN WHICH STATE ARE YOU	INCORPORATED?
IG VOLUME A GENERAL EMPLOYEE INDIGATE VOLUM DOGUTION	
IS YOU ARE A <i>STATE EMPLOYEE</i> , INDICATE YOUR POSITION, AGENCY NAME & ADDRESS:	
IS YOUR BUSINESS REPORTABLE TO THE IRS? YESNO IF	VEC A 1000/W2 WILL BE MALLED TO VOLLAT VEAD END
IS YOUR BUSINESS REPORTABLE TO THE IRS! YESNO IF	YES, A 1099/ W2 WILL BE MAILED TO YOU AT YEAR-END.
REMITTANCE INFORMATION: IN THIS BOX, INDICATE THE REMIT	TTANCE ADDRESS OF VOUR RUSINESS IF DEFERENT FROM A ROVE
REMITTANCE INFORMATION. IN THIS BOA, INDICATE THE REMIT	TAIVEL ADDRESS OF TOOK DUSINESS IF DEFFERENT FROM ABOVE.

STATE OF CONNECTICUT DEPARTMENT OF CORRECTION PURCHASING DEPARTMENT 24 Wolcott Hill Road

Wethersfield, CT 06109

BID PROPOSAL Page 2 of 2

Bid No: 4025 Z

Section 2 of 3 - IMPORTANT INFORMATION FOR BIDDERS

AFFIRMATION OF BIDDER: The undersigned bidder affirms and declares:

- That this proposal is executed and signed by said bidder with full knowledge and acceptance of the provisions of the STANDARD BID AND CONTRACT TERMS AND CONDITIONS (3 pages) of current issue and in effect on the date of bid issue. The form Standard Bid and Contract Terms and Conditions are made a part of the contract.
- That should any part of this proposal be accepted in writing by the Department of Correction within thirty (30) calendar days from the date of bid opening unless an earlier date for acceptance is specified by bidder in proposal schedule, said bidder will furnish and deliver the commodities and/or service for which this proposal is made, in the quantities and at the prices bid, and in compliance with the provisions of the STANDARD BID AND CONTRACT TERMS AND CONDITIONS, SECURITY REGULATIONS AND PROPOSAL SCHEDULE. Should award of any part of this proposal be delayed beyond the period of thirty (30) days of an earlier date specified by the bidder in proposal schedule, such award shall be conditioned upon bidder's acceptance.
- Acceptance of the conditions set forth herein, agreement in strict accordance therewith, and will furnish and deliver the commodities and/or services to the Department of Correction named in the PROPOSAL SCHEDULE at the prices bid therein.
- 4. Should the Department of Correction determine that bidder has not completed Section 3 Bidder Debarment and/or Suspension included as part of this document, then such determination may be just cause for disqualification from the evaluation of this bid.

Section 3 of 3 - BIDDER DEBARMENT AND/OR SUSPENSION

The undersigned bidder further affirms and declares that neither the bidder and/or any company official nor any subcontractor to the bidder and/or any company official has received any notices of debarment and/or suspension from contracting with the State of Connecticut or the Federal Government.

Yes No	
The undersigned bidder further affirms and declares that neither the bidder and/or any company official bidder and/or any company official has received any notices of debarment and/or suspension from cothe United States.	
Yes No	
If the undersigned bidder and/or company official or any subcontractor to the bidder and/or any com of debarment and/or suspension with the State of Connecticut, other states within the United States contices must be attached to this document when submitting this proposal.	

Number of notices attached _____.

SIGNATURE OF AUTHORIZED PERSON IN SECTION 1. CONSTITUTES AGREEMENT WITH ALL PROCEDURES INDICATED ABOVE.

STATE OF CONNECTICUT DEPARTMENT OF CORRECTION PURCHASING DEPARTMENT

STANDARD BID AND CONTRACT TERMS AND CONDITIONS Page 1 of 3

All Invitations For Bids issued by the awarding agency of the State of Connecticut will bind Bidders to the terms and conditions listed below, unless specified otherwise in any individual Invitation For Bids.

Incorporated by reference into this contract are applicable provisions of the Connecticut General Statutes including but not limited to Sections 4a-50 through 4a-80 and applicable provisions of the Regulations of Connecticut State Agencies including but not limited to Sections 4a-52-1 through 4a-52-30.

The contractor agrees to comply with the statutes and regulations as they exist on the date of this contract and as they may be adopted or amended from time to time during the term of this contract and any amendments thereto.

Submission of Bids

Bids must be submitted on forms supplied by the awarding state agency or as otherwise specified.

- . The time and date bids are due is given in each bid issued. Bids received after the specified time and date shall not be considered.
- 2. Incomplete bid forms may result in the rejection of the bid. Amendments to bids received by the awarding state agency after the time specified for opening of bids shall not be considered. The original proposal schedule shall be returned to the awarding state agency. Bids shall be computer prepared, typewritten or handwritten in ink. Bids submitted in pencil shall be rejected. All bids shall be signed by a person duly authorized to sign bids on behalf of the bidder. Unsigned bids shall be rejected. The person signing the bid proposal or their authorized designee must initial errors, alterations or corrections on the original proposal schedule. In the event an authorized designee initials the correction, there must be written authorization from the person signing the bid proposal to the person initialing the erasure, alterations, or correction. Failure to do so shall result in rejection of bid for those items erased, altered or corrected and not initialed.
- 3. Conditional bids are subject to rejection in whole or in part. A conditional bid is defined as one which limits, modifies, expands or supplements any of the terms and conditions and/or specifications of the invitation for bids.
- 4. Alternate bids will not be considered. An alternate bid is defined as one which is submitted in addition to the bidders primary response to the invitation for bids.
- 5. Prices should be extended in decimal, not fraction, to be net, and shall include transportation and delivery charges fully prepaid by the Contractor to the destination specified in the bid, and subject only to cash discount.
- 6. Pursuant to Section 12-412 of the Connecticut General Statutes, the State of Connecticut is exempt from the payment of excise, transportation and sales taxes imposed by the Federal Government and/or the State. Such taxes must not be included in bid prices.
- 7. In the event of a discrepancy between the unit price and the extension, the unit price shall govern.
- 8. By its submission the Bidder represents that the bid is not made in connection with any other Bidder submitting a bid for the same commodity or commodities and is in all respects fair and without collusion or fraud.

Guaranty or Surety

9. Bid and or performance bonds may be required. Bonds must meet the following requirements: Corporation - must be signed by an official of the corporation above their official title and the corporate seal must be affixed over the signature; Firm or Partnership - must be signed by all the partners and indicate they are "doing business as"; Individual - must be signed by the owner and indicated as "Owner". The surety company executing the bond or countersigning must be licensed in Connecticut and the bond must be signed by an official of the surety company with the corporate seal affixed over their signature. Signatures of two witnesses for both the principal and the surety must appear on the bond. Power of attorney for the official signing the bond for the surety company must be submitted with the bond.

Samples

- 10. Accepted bid samples do not supersede specifications for quality unless sample is superior in quality. All deliveries shall have at least the same quality as the accepted bid sample.
- 11. Samples are furnished free of charge. Bidder must indicate if their return is desired, provided they have not been made useless by test. Samples may be held for comparison with deliveries.

Award

- 12. Award will be based on quality of the articles or services to be supplied, their conformance with specifications, delivery terms, price, administrative costs, past performance, and financial responsibility.
- 13. Procurement Services or the awarding state agency may reject any bidder in default of any prior contract or guilty of misrepresentation or any bidder with a member of its firm in default or guilty of misrepresentation.
- 14. The awarding state agency may correct inaccurate awards resulting from clerical or administrative errors.

STATE OF CONNECTICUT DEPARTMENT OF CORRECTION PURCHASING DEPARTMENT

STANDARD BID AND CONTRACT TERMS AND CONDITIONS Page 2 of 3

Contract

- 15. The existence of the contract shall be determined in accordance with the requirements set forth above. However, the award of the contract is not an order to ship.
- 16. The Contractor shall not assign or otherwise dispose of their contract or their right, title or interest, or their power to execute such contract to any other person, firm or corporation without the prior written consent of the Department of Administrative Services and the awarding state agency.
- 17. Bidders have ten days after notice of award to refuse acceptance of the award; after ten days the award will be binding on the Contractor. If the Contractor refuses to accept the award within the ten-day period, the award will be made to the next lowest responsible qualified bidder.
- 18. Failure of a Contractor to deliver commodities or perform services as specified will constitute authority for the awarding state agency to purchase these commodities or services on the open market. The Contractor agrees to promptly reimburse the State for excess cost of these purchases. The purchases will be deducted from the contracted quantities.
- 19. Rejected commodities must be removed by the Contractor from State premises within 48 hours. Immediate removal may be required when safety or health issues are present.
- 20. Contractor agrees to: hold the State harmless from liability of any kind for the use of any copyright or uncopyrighted composition, secret process, patented or unpatented invention furnished or used in the performance of the contract; guarantee their products against defective material or workmanship; repair damages of any kind, for which they are responsible to the premises or equipment, to their own work or to the work of other contractors; obtain and pay for all licenses, permits, fees etc. and to give all notices and comply with all requirements of city or town in which the service is to be provided and to the State of Connecticut; to carry proper insurance to protect the State from loss.
- 21. Notwithstanding any provision or language in this contract to the contrary, the Commissioner of Administrative Services or the Commissioner of the awarding state agency may terminate this contract whenever he/she determines in his/her sole discretion that such termination is in the best interests of the State. Any such termination shall be effected by delivery to the Contractor of a written notice of termination. The notice of termination shall be sent by registered mail to the Contractor address furnished to the State for purposes of correspondence or by hand delivery. Upon receipt of such notice, the Contractor shall both immediately discontinue all services affected (unless the notice directs otherwise) and deliver to the State all data, drawings, specifications, reports, estimates, summaries, and such other information and materials as may have been accumulated by the Contractor in performing his duties under this contract, whether completed or in progress. All such documents, information, and materials shall become the property of the State. In the event of such termination, the Contractor shall be entitled to reasonable compensation as determined by the Commissioner of the Department of Administrative Services or the Commissioner of the awarding state agency, however, no compensation for lost profits shall be allowed.

Delivery

- 22. All products and equipment delivered must be new unless otherwise stated in the bid specifications.
- 23. Delivery will be onto the specified State loading docks by the Contractor unless otherwise stated in the bid specifications.
- 24. Deliveries are subject to re-weighing on State sealed scales.
- 25. Payment terms are net 45 days after receipt of goods or invoice, whichever is later, unless otherwise specified.
- 26. Charges against a Contractor shall be deducted from current obligations. Money paid to the State by the Contractor shall be payable to the Treasurer, State of Connecticut.

Saving Clause

27. The Contractor shall not be liable for losses or delays in the fulfillment of the terms of the contract due to wars, acts of public enemies, strikes, fires, floods, acts of God or any other acts not within the control of or reasonably prevented by the Contractor. The Contractor will give written notice of the cause and probable duration of any such delay.

Advertising

28. Contractors may not reference sales to the State for advertising and promotional purposes without the prior approval of the Department of Administrative Services, Procurement Services.

Rights

- 29. The State has sole and exclusive right and title to all printed material produced for the State and the contractor shall not copyright the printed matter produced under the contract.
- 30. The Contractor assigns to the State all rights title and interests in and to all causes of action it may have under Section 4 of the Clayton Act, 15 USC 15, or under Chapter 624 of the general statutes. This assignment occurs when the Contractor is awarded the contract.

STATE OF CONNECTICUT DEPARTMENT OF CORRECTION PURCHASING DEPARTMENT

STANDARD BID AND CONTRACT TERMS AND CONDITIONS Page 3 of 3

- 31. Contractor agrees that it is in compliance with all applicable federal, state and local laws and regulations, including but not limited to Connecticut General Statutes Sections 4a-60 and 4a-60a. The Contractor also agrees that it will hold the State harmless and indemnify the State from any action which may arise out of any act by the contractor concerning lack of compliance with these laws and regulations.
- 32. All purchases will be in compliance with Section 22a-194 to Section 22a-194g of the Connecticut General Statutes related to product packaging This contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill promulgated June 16, 1971, the provisions of Executive Order No. Seventeen of Governor Thomas J. Meskill promulgated February 15, 1973 and section 16 of P.A. 91-58 nondiscrimination regarding sexual orientation, and the provisions of Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999 regarding Violence in the Workplace Prevention Policy.

Incorporated by reference into this contract is Section 4-61dd(g)(1) and 4-61dd(3) and (f) of the Connecticut General Statutes which prohibits contractors from taking adverse action against employees who disclosed information to the Auditors of Public Accounts or the Attorney General.

33. Contractor(s) shall be required to complete and sign "State of Connecticut Contract for General Letter Purchases" form upon award of bid.

STATE OF CONNECTICUT DEPARTMENT OF CORRECTION

SECURITY REGULATIONS (Page 1 of 2)

Facility Admittance

- 1. Due to the location and nature of the work, the contractors shall be required to issue identification badges to each of their employees. These badges shall be worn in plain sight at all times within the confines of the Correctional Facility.
- 2. All bidders and contracted personnel shall provide to the Facility Maintenance Supervisor the following information at least 72 hours in advance of being admitted on site:
 - a. Name
 - b. Date of Birth
 - c. Social Security Number
 - d. Driver's License Number

Official Working Rules

The following are a list of Official Working Rules submitted by the Department of Correction which the Contractor shall be obligated to follow.

- No verbal or personal contact with any inmates.
- All workmen will work under the observation of an assigned correctional officer or supervisor who will check them in and out.
- Equipment will be checked daily and, when not in use, locked in a secure place as the facility officials may direct.
- Hacksaws, blades, and files will remain in the custody of the officer assigned, except when actually being used.
- The correctional officials reserve the right to refuse admittance to any workman for any cause the correctional officials deem sufficient.
- In the event of any emergency, all outside workmen will be escorted outside the facility by the correctional official.
- All questions pertaining to interruptions of service or to safety of the facility, will be taken up with the appropriate correctional official.
- Work at the facility shall be carried on during the time between 8:00 a.m. and 12:00 Noon and between 12:30 p.m. and 4:30 p.m., the maximum allowable working day being 8 hours. No work shall be carried on at the facility on any Saturday, Sunday or Holiday, unless there is an emergency requirement.
- The contractor shall insure that when all apparatus is not in use, it will be made unusable or be supervised to prevent use by inmates for escape.
- The contractor shall supply, to the agency, a copy of all material safety data sheets for all products used in the process of construction, construction materials, and products brought onto the premises.
- Vendors shall provide a detailed list of tools brought into the institution including length of hacksaws, drill bits, etc. Institutions shall conduct inventories of contractor tools upon entering the institution.

"Rules and Regulations of the Dept. of Correction Facility"

All persons employed or entering the Dept. of Correction facility shall read the following rules and regulations and extracts of the laws governing the introduction and control of contraband. Each employee or person shall sign this paper to assure that he/she understands the penalties imposed for violation of these rules and regulations.

Restricted Areas

All persons except Correctional personnel employees, upon entering the grounds are restricted to the immediate area of their work assignment. In order to go to other areas, written permission must be granted by the supervisory correctional official in charge. Only persons having official business will be admitted to construction sites.

Inmates

There may be times when inmates may be working adjacent to or in the same area as construction personnel. All persons are prohibited from accepting or giving anything to an inmate. Inmates are accountable to Correctional personnel only, no other person shall have any conversation or dealings with inmates without the approval of the Correction authorities.

Vehicle Control

Any persons entering upon the Correction grounds, shall remove the ignition keys and lock the vehicle when they leave it for any reason. A Correction Officer shall remain with the contractors' vehicle(s) while inside the compound. Vendors shall insure that equipment on trucks are secured while in the compound.

STATE OF CONNECTICUT DEPARTMENT OF CORRECTION

SECURITY REGULATIONS (Page 2 of 2)

Contraband

Clothing or contraband shall not be brought into or onto the Correction facility's grounds or left in a vehicle. Contraband is defined in subsequent paragraphs and all persons are subject to these Department of Correction Facility Rules and Regulations concerning contraband when on the Correction facility's grounds.

The introduction or attempt to introduce into or upon the grounds of the Correction facility or the taking or attempt to take or send therefrom anything whatsoever without the knowledge of the Facility supervisor is prohibited.

Contraband may be defined as any article, whatsoever which is unauthorized under the circumstances and may include letters, stamps, tools, weapons, papers, floor implements, writing materials, messages (written and verbal) instruments and the like. Any questions which may arise regarding such matters should be promptly discussed with the Facility supervisor.

Failure or negligence in complying with these regulations will result in immediate disciplinary action and/or removal from the site.

Cigarettes are considered contraband in the institutions and shall NOT be brought into the institution.

State Laws Governing Contraband

P.A. 73-639 Section 16. Section 53a-174 of the 1971 noncumulative supplement of General Statutes, as amended by number 12 in lieu thereof:

- 1. Any person not authorized by law who conveys or passes or causes to be conveyed or passed, into any corrections or humane institution or the grounds or buildings thereof, or to an inmate of such an institution who is outside the premises thereof and known to the person so conveying or passing or causing such convey or passing to be such an inmate any controlled drug, as defined in Section #443, any intoxicating littering, and firearm, weapon, DANGEROUS INSTRUMENTS or explosives of any kind, any United States currency, or any rope, ladder or other instrument or device for use in making, attempting or aiding an escape, for a Class D Felony per Sec. 53a-35 subsection a. b, c, d is a term not to exceed five (5) years.
- 2. The unauthorized conveying, passing, or possessing of any rope or ladder or other instrument or device, adapted for use in making or aiding an escape, into any such institution or the grounds or building thereof, shall be presumptive evidence that it was so conveyed, passed or possessed for such use.
- 3. Any person not authorized by law who conveys into any such institution any letter or other missive which is intended for any person confined therein, or who conveys within the enclosure to the outside of such institution any letter or other missive written or given by person confined therein, shall be guilty of a Class A misdemeanor. Penalty for a Class "All misdemeanor per Sec. 53a-36 subsection 1, the term is not to exceed one (1) year.
- 4. Any person or visitor who enters or attempts to enter a Correctional Institution or facility by using a misleading or false name or title shall be guilty of a Class A misdemeanor.

Sec. 17 Section 53a-174a of said supplement is repealed and the following is substituted in lieu thereof:

- A person is guilty of possession of a weapon or DANGEROUS INSTRUMENT in a Correctional Institution when, being an inmate of such
 institution, he knowingly makes, conveys from place to place or has in his possession or under his control any firearm, weapons DANGEROUS
 INSTRUMENT, explosive, or any other substance or thing designed to kill, injure or disable.
- 2. Possession of a weapon or DANGEROUS INSTRUMENT in a Correctional Institution is a Class "B" felony. Penalty for a Class "B" felony per Sec. 53a-35 subsection a, b, c, d is a term not to exceed twenty (20) years.

Signed:	Date:

BIDDER QUALIFICATIONS SP-14 new 11/97

STATE OF CONNECTICUT BIDDER'S STATEMENT OF QUALIFICATIONS

Bid Number: 4025 Z

Page 1 of 2

This form will be used in assessing a Bidder's Qualifications and to determine if the bid submitted is from a responsible bidder. State law designates that contracts be awarded to the lowest responsible qualified bidder. Factors such as past performance, integrity of the bidder, conformity to the specifications, etc. Will be used in evaluating bids. Attach additional sheets if necessary

COMPANY NAME: & ADDRESS:					
Nun	MBER OF YEARS COMPANY HAS BE	EN ENGAGED IN BUSINESS UN	DER THIS NAME:	YEARS	
ACTUALLY PERI	AWARDS TO YOUR COMPANY BY FORMED SERVICE AGAINS AME AND TELEPHONE NUMBER OF	ST. INDICATE WHICH STATE	TE AGENCY, AND I	PROVIDE CONTRA	
CONTRACT NO.	CONTRACT NAME	STATE AGENCY	PURCHASING.	AGENT	TEL. No.
LIST ANY CONTRACT	T AWARDS TO YOUR COMPANY B	THE STATE OF CONNECTION	CUT WITHIN THE LA	ST THREE (3) YE.	ARS. INDICATE
	CY, AND PROVIDE CONTRACT NAM				
CONTRACT NO.	CONTRACT NAME	STATE AGENCY	Purchasing.	AGENT .	TEL. No.
LIST OTHER NAMES Y	OUR COMPANY GOES BY:				
LIST PREVIOUS COMP	PANY NAME (S)				
	EE COMPLETED PROJECTS SIMILAR TO PERFORM THE REQUIRED SERV		TATION FOR BII	OS WHICH DEMON	ISTRATES YOUR
<u>C</u>	Company Name and Address	Telep	hone No.:	Dollar Value:	
1					
2					
3					

BIDDER QUALIFICATIONS SP-14 new 11/97

STATE OF CONNECTICUT **BIDDER'S STATEMENT OF QUALIFICATIONS**

Bid Number: 4025 Z

Page 2 of 2

COMPANY NAME:			
SIZE OF COMPANY OR CORPORATION:	NUMBER OF EMPLOYEES:	FULL TIME	PART TIME
	COMPANY VALUE:	EQUIPMENT ASSETS	TOTAL ASSETS
IS YOUR COMPANY F	REGISTERED WITH THE OFFIC	E OF THE CONNECTICUT SE	CRETARY OF STATE? YES NO
	REGISTRATION D	OATE, IF AVAILABLE:	
	ILD YOUR COMPANY PROVIDI NECTICUT SECRETARY OF ST		TIFICATE YES NO
LIST OF EQUIPM	ENT TO BE USED FOR TH	IIS SERVICE (INCLUDE M	ODEL, YEAR & MANUFACTURER):
	MODEL	YEAR	Manufacturer
	_		
		(Attach additional sheets i	f necessary)
LIST ANT RELEVAN	T CENTIFICATIONS, LICENSE.	OF THIS BID.	IICH QUALIFY YOUR COMPANY TO MEET THE REQUIREMENTS
		(Attach additional sheets i	<u> </u>
		(Attach additional sheets i	necessary)
REGARDING YOUR OF FEDERAL COURT. I AGENCY SUCH AS T	COMPANY OR CORPORATION NCLUDE A LISTING OF OSHA HE DEPARTMENT OF CONSU	I. THIS WOULD INCLUDE A VIOLATIONS AND ANY AC MER PROTECTION, THE DEI	STATE OR DETERMINATIONS THAT THE STATE HAS MADE COURT JUDGEMENTS AND SUITS PENDING BY A STATE OR CTIONS OR ORDERS PENDING OR RESOLVED WITH ANY STATE PARTMENT OF ENVIRONMENTAL PROTECTION, ETC. DETAIL HOULD BE FOR THE LAST THREE (3) YEARS.
I HEREBY CERTI	FY THAT ALL THE INFOR	RMATION SUPPLIED IS	COMPLETE AND TRUE.
	SIGNATURE		DATE
	TITLE		-

OSHA COMPLIANCE SP-12 NEW 11/97

STATE OF CONNECTICUT

Bid Number: 4025 Z

Certificate of Compliance with Connecticut General Statute Section 31 - 57b

I hereby certify that all of the statements herein contained below have been examined by me, and to the best of my knowledge and belief are true and correct.

The			HAS / HAS NOT
	Company Name		(Cross out Non-applicable)
(OSHA) or of any star the bid, provided such Health Act of 1970, an appeal to the appropria more criminal convicti	ndard, order or regulation promulgant violations were cited in accordant and not abated within the time fixed	ated pursuant to such act, durince with the provisions of a by the citation and such citation or HAS / HAS NOT (Cro	cupational Safety and Health Acting the three year period preceding ny State Occupational Safety and on has not been set aside following ss out Non-applicable) received one or ar period preceding the bid.
	11 /		
	(Name of Firm, C	Organization or Corporation)	
Signed:	Written Signature:		
	Name Typed:	(Corp	oration Seal)
Title:	(Title of	Above Person, typed)	
Dated:			
State of)		
County of) s	ss: A.D.,	20
Sworn to and personall	ly appeared before me for the above		anization, Corporation)
Signer and Sealer of th	e foregoing instrument of and ackn	, , , , , , , , , , , , , , , , , , ,	
al an		, and his/her free act and deed	d as
(Name of Person appea	aring in front of Notary or Clerk)		
Title of Person appear	ring in front of Notary or Clerk)	_·	
My Commission Expir	res:	(Notary Public)	(Seal)

Page 1 of 4

STATE OF CONNECTICUT

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES (CHRO) CHRO-4

Bid Number: 4025 Z

CONTRACT COMPLIANCE REGULATIONS NOTIFICATION TO BIDDERS

The contract to be awarded is subject to contract compliance requirements mandated by Sections 4a-60 and 4a-60a of the CONN. GEN. STAT.; and, when the awarding agency is the State, Sections 46a-71(d) and 46a-81i(d) of the CONN. GEN. STAT. There are Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies which establish a procedure for the awarding of all contracts covered by Sections 4a-60 and 46a-71(d) of the CONN. GEN. STAT.

According to Section 46a-68j-30(9) of the Contract Compliance Regulations, every agency awarding a contract subject to the Contract Compliance Requirements has an obligation to "aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors, and suppliers of materials." "Minority business enterprise" is defined in Section 4a-60 of the CONN. GEN. STAT. as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: "(1) Who are active in daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of section 32-9n." Minority groups are defined in section 32-9n of the CONN. GEN. STAT. as "(1) Black Americans... (2) Hispanic Americans... (3) persons who have origins in the Iberian Peninsula... (4) Women... (5) Asian Pacific American and Pacific Islanders; (6) American Indians..." A business owned by an individual(s) with a physical disability is also a minority business enterprise as provided by Section 32-9e of the CONN. GEN. STAT. The above definitions apply to the contract compliance requirements by virtue of Section 46a-68j-21(11) of the Contract Compliance

The awarding agency will consider the following factors when reviewing the bidder's qualifications under the contract compliance requirements:

- (A) the bidder's success in implementing an affirmative action plan;
- (B) the bidder's success in developing an apprenticeship program complying with Sections 46a-68-1 to 46a-68-17 inclusive, of the Regulations of Connecticut State Agencies;
- (C) the bidder's promise to develop and implement a successful affirmative action plan;
- (D) the bidder's submission of EEO-1 data indicating that the composition of its workforce is at or near parity when compared to the racial and gender composition of the workforce in the relevant labor market area; and,
- (E) the bidder's promise to set aside a portion of the contract for legitimate minority business enterprises. See Section 46a-68j-30(10)(E) of the Contract Compliance Regulations.

INSTRUCTIONS AND OTHER INFORMATION

The following two (2) sided <u>BIDDER CONTRACT COMPLIANCE MONITORING REPORT</u> must be completed in full, signed, and submitted with the bid for this contract.

The contract awarding agency and the Commission on Human Rights and Opportunities will use the information contained thereon to determine the bidder's compliance to Sections 4a-60 and 4a-60a CONN. GEN. STAT., and Sections 46a-68j-23 of the Regulations of Connecticut State Agencies regarding equal employment opportunity, and the bidder's "good faith efforts" to include minority business enterprises as subcontractors and suppliers for the work of the contract.

1) Definition of Small Contractor

Section 32-9e CONN. GEN. STAT. defines a small contractor as a company that has been doing business under the same management and control and has maintained its principal place of business in Connecticut for a one year period immediately prior to its application for certification under this section, had gross revenues not exceeding ten million dollars in the most recently completed fiscal year, and at least fifty-one percent of the ownership of which is held by a person or persons who are active in the daily affairs of the company, and have the power to direct the management and policies of the company, except that a non-profit corporation shall be construed to be a small contractor if such nonprofit corporation meets the requirements of subparagraphs (A) and (B) of subdivision 32-9e CONN. GEN. STAT.

Page 2 of 4

STATE OF CONNECTICUT

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES (CHRO) CHRO-4 Bid Number: 4025 Z

2) Description of Job Categories (as used in Part IV Bidder Employment Information)

Officials, Managers and Supervisors - Occupations requiring administrative personnel who set broad policies, exercise over-all responsibility for execution of these policies, and direct individual departments or special phases of a firm's operations. Includes officials, executives, middle management, plant managers, department managers, and superintendents, salaried forepersons who are members of management, purchasing agents and buyers, and kindred workers.

<u>Professionals</u> - Occupations requiring either college graduation or experience of such kind and amount as to provide a comparable background. Includes: accountants and auditors, airplane pilots and navigators, architects, artists, chemists, designers, dietitians, editors, engineers, lawyers, librarians, mathematicians, natural scientists, personnel and labor relations workers, physical scientists, physicians, social scientists, teachers, kindred workers.

<u>Technicians</u> - Occupations requiring a combination of basic scientific knowledge and manual skill which can be obtained through about 2 years of post high school education, such as is offered in technical institutes and junior colleges, or through equivalent on-the-job training. Includes: draftspersons, engineering aides, junior engineers, mathematical aides, nurses, photographers, radio operators, scientific assistants, surveyors, technical illustrators, technicians (medical, dental, electronic, physical sciences), and kindred workers.

<u>Sales Workers</u> - Occupations engaging wholly or primarily in direct selling. Includes: advertising agents and sales persons, insurance agents and brokers, real estate agents and brokers, stock and bond salespersons, demonstrators, sales people and sales clerks, and kindred workers.

Office and Clerical Workers - Includes all clerical type work regardless of level of difficulty, where the activities are predominantly non-manual though some manual work not directly involved with altering or transporting the products is included. Includes: bookkeepers, cashiers, collectors (bills and accounts), messengers and office workers, office machine and computer operators, shipping and receiving clerks, stenographers, typists and secretaries, telegraph and telephone operators, and kindred workers.

Skilled Workers - Manual workers of relatively high skill level having a thorough and comprehensive knowledge of the processes in their work. They exercise considerable independent judgment and usually receive an extensive period of training. Includes: building trades hourly paid forepersons and leadpersons who are not members of management, mechanics and repair people, skilled machining occupations, compositors and typesetters, electricians, engravers, job setters (metal), motion picture projectionists, pattern and model makers, stationary engineers, tailors, and kindred workers.

<u>Semi-Skilled Workers</u> - Workers who operate machine or processing equipment or perform other factory type duties of intermediate skill level which can be mastered in a few weeks and require only limited training.

<u>Unskilled Workers</u> - Workers in manual occupations which generally require no special training. Perform elementary duties that may be learned in a few days and require application of little or no independent judgment. Includes: garage laborers, car washers and greasers, gardeners (except farm) and grounds keepers, longshore persons and stevedores, wood cutters and choppers, laborers performing lifting, digging, mixing, loading, and pulling operations, and kindred workers.

<u>Service Workers</u> - Workers in both protective and non-protective service occupations. Includes: attendants (hospital and other institution, professional, and personal service), barbers, cleaning workers, cooks (except house-hold), counter and fountain workers, fire fighters, police officers and detectives, security workers and doorkeepers, stewards, janitors, porters, food servers and kindred workers.

<u>Apprentices</u> - Persons employed in a program including work training and related instruction to learn a trade or craft which is traditionally considered an apprenticeship, regardless of whether the program is registered with a state or federal agency.

<u>Trainees</u> - Persons engaged in a formal training for craft worker when not trained under an apprenticeship program. Includes: operatives, laborer and service occupations. Also includes persons engaged in formal training for official, managerial, professional, technical, sales, office, and clerical occupations.

3) Definition of Racial and Ethnic Terms (as used in Part IV Bidder Employment Information)

<u>White</u> (not of Hispanic Origin) - All persons having origins in any of the original peoples of Europe, North Africa, or the Middle East.

<u>Black</u> (not of Hispanic Origin) - All persons having origins in any of the Black racial groups of Africa.

<u>Hispanic</u> All persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.

<u>Asian or Pacific Islander</u> All persons having origins in any of the original peoples of the Far East, Southeast Asia, Indian subcontinent or Pacific Islands. Includes China, India, Japan, Korea, Philippine Islands, & Samoa.

<u>American Indian or Alaskan Native</u> All persons having origins in any of the original peoples of North America, and who maintain cultural identification through tribal affiliation or community recognition.

Page 3 of 4

STATE OF CONNECTICUT

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES (CHRO) CHRO-4

Bid Number:	
4025 Z	

BIDDER CONTRACT COMPLIANCE MONITORING REPORT

PART I - Ridder Information

PART 1 - Bidder Information	
Company Name	Bidder Federal Employer
Street Address	Identification Number (FEIN)
City & State	or
Chief Executive	Social Security Number (SSN)
Major Business Activity	Bidder Identification
(brief description)	(response optional/definitions on page 1)
(0.101 4000.1.p.1.01.)	-Is bidder a small contractor?
Bidder Parent Company (if any)	-Is bidder a minority business enterprise? Yes No
Brader Farent Company (Francy)	If Yes, check ownership category
	Black Hispanic Asian American
Other Locations in CT (if any)	American Indian/Alaskan Native
out zooutono in er (ir un)	☐ Individual(s) with a Physical Disability ☐ Female
	-Is bidder certified as above by the State of CT (DAS)? Yes No
PART II - Bidder Non-Discrimination Policies & Pro	7. Do all of your company contracts and purchase orders contain non-
statement posted on company bulletin boards?	discrimination statements as required by Sections 4a-60 & 4a-60a of the Conn. Gen. Stat.? Yes No
2. Does your company have a written sexual harassment in the workplace policy posted on company bulletin boards?	8. Do you, upon request, provide reasonable accommodation to employees or applicants for employment who have physical or mental disability? Yes No
3. Do you notify all recruitment sources in writing of your company non-discrimination employment policy?	9. Does your company have a mandatory retirement age for all employees? ☐ Yes ☐ No
4. Do your company advertisements contain a written statement that you are an Equal Opportunity Employer? ☐ Yes ☐ No	10. If your company has 50 or more employees, have you provided at least two (2) hours of sexual harassment training to all of your supervisors? Yes No NA
5. Do you notify the CT State Employment Service of all employment openings with your company? ☐ Yes ☐ No	11. If your company has apprenticeship programs, do they meet the equal opportunity requirements of the apprenticeship standards of the CT Dept. of Labor? Yes No NA
6. Does your company have a collective bargaining agreement with workers?	12. Does your company have a written affirmative action plan? Yes No
6a. If yes, do the collective bargaining agreements contain non-	13. Is there a person in your company who is responsible for Equal
discrimination clauses covering all workers Yes No	Employment Opportunity? Yes No
6b. Have you notified each union, in writing, of your commitments	If yes, provide name and phone number.
under the non-discrimination requirements of contracts with the	
State of CT? Yes No	
PART III - Bidder Subcontracting Practices	
 Will the work of this contract include subcontractors or suppliers? 1a. If yes, list all the subcontractors and suppliers and report if they are page 1). Attach additional sheets if necessary. 	Yes \sum No e a small contractor and/or a minority business enterprise (as defined on
1b. Will the work of this contract require additional subcontractors or s	sumpliers other than those identified in 1a above?

STATE OF CONNECTICUT

Page 4 of 4

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES (CHRO) CHRO-4

Bid Number: 4025 Z

PART IV - Bidder Employment Information

JOB CATEGORY	OVERALL TOTALS	(NOT OF I	IITE Hispanic Gin)	(NOT OF	ACK HISPANIC GIN)	HISPANIC		ASIAN / PACIFIC ISLANDER		AMERICAN INDIAN OR ALASKAN NATIVE	
		Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Officials/Managers											
Professionals											
Technicians											
Sales Workers											
Office/Clerical											
Craft Workers (Skilled)											
Laborers (Unskilled)											
Service Workers											
TOTALS ABOVE											
Total One Year Ago											
FORMAL ON-THE-JOB TRAINEES (ENTER FIGURES FOR THE SAME CATEGORIES AS ARE SHOWN ABOVE)											
Apprentices											
Trainees					1.0					1 1 1	

According to the above employment report, is the composition of your workforce at or near parity when compared with the racial and gender composition of the workforce in the relevant labor market area?

Yes
No

PART V - Bidder Hiring and Recruitment Practices

1. Which of the following re	cruitmen	t source	s are used by	2. Check (✓) any of the		3. Describe below any other practices or actions
you? (Check yes or no, and	report per	rcentage	used)	requirements listed below that		that you take which show that you hire, train, and
		_	,	you use	e as a hiring qualification.	promote employees without discrimination.
			% of applicants			
			provided by			
SOURCE	YES	NO	source	(✔)		
State Employment Service					Work Experience	
Private Employment					Ability to Speak or	
Agencies					Write English	
Schools and Colleges					Written Tests	
Newspaper Advertisement					High School Diploma	
Walk Ins					College Degree	
Present Employees					Union Membership	
Minority/Community					Personal	
Organizations					Recommendation	
Labor Organizations					Height or Weight	
Others (please identify)					Car Ownership	
				_	Arrest Record	
_					Wage Garnishment	

Certification (Read this form and check your statements on it CAREFULLY before signing). I certify that the statements made by me on this BIDDER CONTRACT COMPLIANCE MONITORING REPORT are complete and true to the best of my knowledge and belief, and are made in good faith. I understand that if I knowingly make any misstatement of facts, I am subject to be declared in non-compliance with Section 4a-60, 4a-60a, and related sections of the CONN. GEN. STAT.

Signature	Title	Date Signed	Telephone

STATE OF CONNECTICUT

DEPARTMENT OF CORRECTION

PURCHASING DEPARTMENT

24 Wolcott Hill Road Wethersfield, CT 06109

PROPOSAL SCHEDULE

BID NO.	DELIVERY:	TERMS	CASH DISCOUNT:	
4025 Z			% Days	
BIDDER NAME:		SSN OR FEIN #:		
IDDER ADDRESS:		TELEPHONE/EXT NO	: FAX NO:	

Payment terms are net 45 days. Any deviation may result in bid rejection. Bid prices shall include all transportation charges FOB state agency.

ISSUED BY: DEPARTMENT OF CORRECTION		ATTENTION: DORIS CARILLI	BID NO: 4025 Z	
ADDRESS: 24 WOLCOTT HILL ROAD, WETHERSFIELD, CT 06109			DATE ISSUED: 04/10/07	
SHIP PREPAID TO (ABOVE AGENCY AT ADDRESS SHOWN UNLESS OTHER ADDRESS IS ENTERED HERE) CHESHIRE CI POWER HOUSE 52 JARVIS STREET, CHESHIRE, CT 06410			DATE AND TIME BID REQUIRED: April 24, 2007 @ 2:00 p.m.	
SIGNED FOR AGENCY:	TITLE: FAO	TELEPHONE: 860-692-6889	DATE MATERIAL REQUIRED: SEE BELOW	

Item No.	Description of Commodity and/or Service	Quantity	Unit of Measure	Unit Price	Total Price
	Scope of Repairs to Cheshire CI Power House Cooling Tower Feeds:				
1.)	• Replacement of piping and all related valves and hangers on cooling tower feeds from pumps to valves on main tower feeds – approximately 45 feet of pipe is involved.	1	Job		
	• The existing pipe is PVC schedule 80. The temperature of the water passing through is between 60° F and 90° F. Operating pressure is 20 to 80 psi. The piping that is to be replaced is from the vibration damper flanges on both pumps to flanges after the valves on bother tower feeds. All valves including by-pass are to be replaced. All fittings, hangers and supports are to be replaced by contractor.				
	Welded steel or Victaulic piping or other options both have been approved for use with the current water treatment program.				

	• Site visit is required for commaterial list.	nplete				
	IMPORTANT NOTICE: MA PRE-BID MEETING IS SCHEI TUESDAY, APRIL 17, 2007 AT AT CHESHIRE POWER HOUS AT 52 JARVIS STREET, CHES 06410.	DULED FOR Γ 10:00 A.M. SE LOCATED				
	NON-ATTENDANCE AUTOM DISQUALIFIES ANY BID.	ATICALLY				
	Est. earliest start date					
	Est. completion date					
	Please fax your bid to 860-692-6869					
	Attention: Doris Carilli					
	Kotsch-Hallabeck/ Cheshire Power House / 0	2-26-07				
DATE SUBM		PURCHASE ORDER A	DDRESS (If dif	ferent from bid	ders address above)	TOTAL:
AUTHORIZED SIGNATURE		PRINTED NAME:			\$	