



Tennessee Credit Union League

INTERNET WEBCAST - DISTANCE LEARNING OPPORTUNITY

Patriot Act – Bank Secrecy Update



For many credit unions, BSA Compliance is still a common weakness. If there has not been sufficient reason to develop an applicable compliance program in the past, then four recent events, September 11 and related OFAC developments, passage of the Patriot Act, amendment of the Bank Secrecy Act along with regulatory reviews beginning in October 2003, recent revisions to SAR and CTR, and recent emphasis on regulatory enforcement with multi-million dollar fines should give all credit unions pause to be concerned. On February 11, noted compliance attorney R. Todd Sherpy will be addressing these issues during our live interactive web cast.

PATRIOT ACT – BANK SECRECY UPDATE

This program will cover the following:

- Policies, procedures and contracts.
- Documentation and issues that front-line employees deal with on a daily basis.
- Discussion of all required elements of a compliance program as well as an easy to follow guide to both BSA and OFAC compliance. This includes samples and worksheets for CTR/4789 compliance, TIN, (W-9, W-8BEN, W-7, etc., and other relevant compliance materials.
- Session will address both operations and front-line application to allow training on all relevant issues and prepare attendees to train others at their credit union.

FEATURED PRESENTER R. TODD SHERPY, ATTORNEY

R. Todd Sherpy is a shareholder in the South Carolina law firm of Edwards and Sherpy, P.A. Todd and his team, which includes two Certified Credit Union Compliance Experts, are dedicated to serving all the legal needs of credit unions, and have attained a national reputation for their legal-auditing, compliance and bankruptcy/collections work. The firm provides compliance auditing, training, and consulting services to credit unions throughout the United States.

TARGET AUDIENCE

CEO's, officers that are in charge of compliance, and lending and marketing professionals.

PARTICIPATION BENEFITS

- Convenience through Internet access (streamed specifically for either dial-up or high-speed access) or CD-Rom.
- Single, low fee covers any number of your employees, any number of PCs and branches or alternate locations without ever having to *leave home*.
- Enhanced interaction with the presenter via e-mail and toll-free call-in options during the live broadcast.
- Multi-channel delivery including video and audio of the presenter as well as PowerPoint or PDF formatted handout materials.
- Continuous access (24/7) to the program - archived for 60 days following the webcast - 24/7 access for employees that were unable to make the broadcast.
- Two separate live two-hour test streams prior to the broadcast to ensure a quality learning experience.
- Additional technical assistance on the day of the broadcast via a toll free 800 number as well as an e-mail help desk address.
- If for any reason you select the live broadcast and experience technical difficulties, you will receive a complimentary CD-Rom version of the broadcast.

3 VIEWING OPTIONS!

1. Live — Wednesday, February 11, 2004
2:00 – 4:00 EDST.
2. Internet Archive Version — Unlimited on-line access for 60 days after broadcast.
3. CD-Rom — Available approximately four weeks after broadcast.



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TECHNICAL REQUIREMENTS

In order to receive the maximum benefit from the live broadcast, your computer system should meet the following requirements:

- 56K computer modem or higher.
- Full sound card in your computer.
- Windows 98 or higher.
- Latest version of Windows Media Player - this program can be downloaded free from the webcast site four weeks prior to the broadcast.
- Password access is given to participating credit unions prior to broadcast.
- You will be notified of the dates to test the live streaming video prior to the broadcast.
- Access to a Frequently Asked Questions (FAQ) information page to assist you with Media Player and technical issue.

This is the first in a series of four jointly sponsored webcasts for 2004 that addresses the needs of credit unions. Take advantage of this convenient training opportunity by registering today...You will be glad you did!

Please Print or Type

CU Name _____ CU Asset Size _____
 Person Completing Form _____ Telephone _____
 FAX _____ Email Address _____

2 Great Ways to Provide Information for an Unlimited Number of Staff

- Yes, sign our credit union up for the February 11, 2004 webcast.**

Important: How many connection points do you anticipate during the live broadcast? _____
 Your system should have 56K or higher access and have full sound card capabilities.
 Please check one: Dial Up Broadband or T1 Line

Educational Investment (Per Credit Union) — League Member CUs Only

Individual Broadcast Fee: \$199

Includes:

- Unlimited usage per credit union
- Program handout materials
- Ability to ask questions through a toll-free number or email
- 24/7 access to the broadcast for 60 days after the presentation.

How many connection points do you anticipate during the live broadcast?:

⚠ We will not be participating in the WebCast. However, we would like to order a copy of the WebCast on CD-Rom (same pricing schedule individual or package fee schedule).

Cancellation Policy: Substitutions are always welcome. Registration fee refunds (less a \$50 processing fee per credit union) are granted for cancellations received in writing 10 days prior to the broadcast

Two Easy Payment Methods to Participate in Webcast— Please Check One

- Enclosed is a share draft/check for \$_____ payable to Tennessee Credit Union League.
- A share draft payable to the Tennessee Credit Union League will follow.
- I authorize Tennessee Credit Union League to debit the credit union account for \$_____.
 Account # _____ Authorized Signature _____

Three Convenient Ways to Register

Mail Tennessee Credit Union League Attn: Conference Registrations P.O. Box 21550 Chattanooga, TN 37424	Fax (423) 892-9541	Visit www.yourleague.org
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Questions?

Call (800) 572-7359, Ext. 119 or 138 (423) 899-2425	E-mail cdunn@yourleague.org	Sign up today to take advantage of this great educational opportunity!
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