

**BIDDER'S CHECKLIST  
SECTION 00300**

This checklist has been prepared and furnished to aid bidders in including all necessary supporting information with their bid. Bidder's submittals shall include, but are not limited to, the following:

	<u>CHECKED</u>
1. Bid Proposal (Form A)	<u>✓</u>
2. Bid Bond or other Security (Form B)	<u>✓</u>
3. Noncollusion Affidavit (Form C)	<u>✓</u>
4. Contractor License Affidavit (Form D)	<u>✓</u>
5. List of Subcontractors (Form E)	<u>✓</u>
6. List of References (Form F)	<u>✓</u>
7. Company Background Questionnaire (Form G)	<u>✓</u>
8. Company Safety Questionnaire (Form H)	<u>✓</u>
9. SSPC QP-2 Certification	<u>✓</u>

After acceptance of the conforming low bid by the Otay Water District's Board of Directors, the District will mail Forms I, J, K, and L to the low bidder. The forms are listed below for informational purposes.

1. Contract (Form I)
2. Performance Bond (Form J)
3. Labor and Materials Bond (Form K)
4. Contractor's Certificate Regarding Worker's Compensation (Form L)

END BIDDER'S CHECKLIST

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SECTION 00400  
BID PROPOSAL  
(FORM A)

To: Otay Water District  
2554 Sweetwater Springs Boulevard  
Spring Valley, California 91978-2004

Project Title: 711-1 & 711-2 RESERVOIR INTERIOR/EXTERIOR COATING & UPGRADES  
(CIP P2530 & P2529)

Bidder: Advanced Industrial Services, Inc.

Address: 11261 Weatherby Rd. Los Alamitos, CA 90720

Date: August 17, 2015

Bidder's contract person for additional information on this Proposal:

Name: Rex O. Johnston Jr. Telephone: (562) 940-8305

Contractor's license number and class: 631053 Expiration date: 1/31/16  
C33.A.B: H42

BIDDER'S DECLARATION AND UNDERSTANDING

The undersigned, hereinafter called the "Bidder," declares that the only persons or parties interested in this proposal are those named herein, that this proposal is, in all respects, fair and without fraud, that it is made without collusion with any official of the District, and that the proposal is made without any connection or collusion with any person submitting another proposal on this contract.

The Bidder further declares that the contract documents for the construction of the project were carefully reviewed, including materials and equipment, conditions of work involved, and including the fact that the description of the quantities of work and materials, as included herein, is brief and is intended only to indicate the general nature of the work and to identify the said quantities with the detailed requirements of the contract documents, and that this proposal is made according to the provisions and under the terms of the contract documents, which documents are hereby made a part of this proposal.

Submission of this proposal shall be conclusive evidence that the Bidder has investigated the availability of all equipment and materials required for the work specified and is satisfied that deliveries of equipment and materials can be scheduled so as to complete the work in all respects within the completion times specified herein.

The Bidder understands and agrees that if a contract is awarded, the employees of Bidder and Bidder's subcontractors shall be paid wages which are no less than the prevailing wage rates as determined by the California Department of Industrial Relations pursuant to the California Labor Code. The Bidder who is awarded the contract shall post at the job site a copy of the prevailing rates of per diem wages as determined by the California Department of Industrial Relations at the job site.

The Bidder understands that the District reserves the right to reject any and all bids and to waive any information in the bidding.

#### CONTRACT EXECUTION AND BONDS

After receiving the Notice of Award (NOA), the Bidder shall comply with the terms set forth in Section 00100, Article 23. The Bidder will, to the extent of this proposal, furnish all machinery, tools, apparatus, other means of construction, and do the work and furnish all the materials necessary to complete all work as specified or indicated in the contract documents.

If awarded a contract by the District's Board of Directors, the Bidder shall sign the contract in the blank space(s) provided therefore. If the Bidder is a sole proprietor, the true name of the fictitious business shall be set forth above, if operating under a fictitious name, together with the signature of the sole proprietor. If the Bidder is a partnership, the true name of the partnership shall be set forth above, together with the signature of the general partner authorized to sign contracts on behalf of the partnership. If the bidder is a corporation, the legal name of the corporation shall be set forth above, together with the signature of the president and secretary of the corporation. If the Bidder is another entity, the true name of the entity shall be set forth above, together with the signature of two senior officials authorized to sign contracts on behalf of the entity.

#### CONTRACT COMPLETION TIME

The Bidder further agrees to begin work and to complete the construction, in all respects, for which the proposal is accepted within **TWO HUNDRED TWENTY NINE (229)** calendar days from the date stated in the Notice to Proceed.

#### LIQUIDATED DAMAGES

Failure of the Contractor to complete the work within the time allowed will result in damages being sustained by the District. Such damages are, and will continue to be, impracticable and extremely difficult to determine. For each consecutive calendar day that the contractor fails to substantially complete all work in excess of the time period specified for the completion of the work, as may be adjusted in accordance with these contract documents, the contractor shall pay the District, or have withheld from monies due it, liquidated damages in the sum of **TWO THOUSAND DOLLARS (\$2,000)** per calendar day.

By execution of the contract, the Contractor and District agree that these liquidated damages and amounts are not unreasonable under the circumstances that exist at that time the invitations for bid were requested and at the time the contract was entered into and that the amount specified above per calendar day is the minimum value of the costs of actual damage caused by the failure of the contractor to complete the work within the allotted time. The liquidated damages shall not be construed as a penalty, and may be deducted from payments due the contractor if such delay occurs.

#### ADDENDA

The Bidder hereby acknowledges that he has received Addenda numbers 1, 2, 3, \_\_\_\_\_, \_\_\_\_\_ to these contract documents by inserting the number of each Addendum in the spaces provided above.



## SALES AND USE TAXES

The bidder agrees that all sales and use taxes are included in the stated bid prices for the work, unless provision is made herein for the Bidder to separately itemize the estimated amount of sales tax.

## BID LIST REQUIREMENTS AND UNDERSTANDING

Bids are to be submitted for the entire work. All bid items must be filled out, and extension carried out as appropriate. **A blank space will be considered non responsive, if zero is intended then a "0" must be entered for both unit price and amount.** In case of discrepancy between the unit and lump sum prices and in the total amounts set forth in extension, the unit and lump sum price shall prevail. For purposes of comparison, the total bid amount of the bid will be the total of the base bid plus additive bid item(s). In the event the total bid amount does not agree with the sum of the total amounts for each item, the unit and lump sum price bid for each item along with its corrected/uncorrected total amount extension, shall govern. The total bid amount will be corrected accordingly, and the contractor shall be bound by said correction. The Bidder further agrees to accept as full payment for the work specified herein, the amounts computed below based on the following lump sum and unit price amounts, it being expressly understood that the unit prices are independent of the exact quantities involved. The Bidder agrees that the lump sum amounts and unit price amounts represent a true measure of the labor, material, and equipment required to perform the work, including all allowances for overhead and profit. If so requested by the District, the Contractor shall substantiate any price or prices with additional detailed price breakdown.

During or after the award of the contract, the District reserves the right to increase or diminish the amount of any item of work or item(s) as may be deemed necessary. The unit prices shall remain unchanged for the duration of this contract even if the unit quantities are decreased by any amount or increased up to 150%. The District also reserves the right to delete any bid item(s) in its entirety.

Quantities for lump sum items are shown as "LS" under the unit column and shown as one (1), however all required work for that item is inclusive. For example, a lump sum item, for potholing may involve several potholes in order to perform the required work, and the unit price of that lump sum item shall remain unchanged for the duration of this contract.

If Bidder uses add/deduct items, the Bidder will be required to identify the specific item(s) being changed and amount(s) for each in order to be considered a responsive bidder. In case of discrepancy between the Change Amount and the Adjusted Bid Item Amount, the Change Amount shall prevail.

THE UNDERSIGNED DELCARES UNDER PENALTY OF PURJURY under the laws of the State of California that the representations made in this Bid are true and correct.

The Bidder is a (circle one):

Principal Corporation Partnership\* LLC Proprietorship

Named: Advanced Industrial Services, Inc.

Address: 11261 Weatherby Rd. Los Alamitos, CA 90720

Name: Rex D. Johnston Jr.

Title: President, V.P., Secretary & Treasurer

Contractor's License No.: 631053

Class of License: C33, A, B, Haz

Expiration Date: 11/31/16

Treasury I.D.: 83-0494739

Corporate Seal: \_\_\_\_\_

\*If the Bidder is a partnership, all partners must sign on a separate piece of paper, which must be attached to the Bid.

**BID LIST – ADDENDUM NO. 3**

711-1 & 711-2 RESERVOIR INTERIOR/EXTERIOR COATING & UPGRADES  
 (CIP P2530 & P2529)

**BID LIST**

Bid items are described in the Specifications, Section 01010 – Summary of Work, and the contract documents.

CIP P2530 – 711-1 (3.1 MG) RESERVOIR INTERIOR/EXTERIOR COATING AND UPGRADES					
ITEM NO.	DESCRIPTION	QTY	UNIT	UNIT PRICE	AMOUNT
1.1	Mobilization, Demobilization, Bonds	1	LS	\$ 16,900	\$ 16,900
1.2	Remove Existing Interior Coating & Surface Preparation	1	LS	\$ 193,000	\$ 193,000
1.3	Coat Interior Surfaces	1	LS	\$ 146,900	\$ 146,900
1.4	Full Containment	1	LS	\$ 39,000	\$ 39,000
1.5	Remove Existing Exterior Coating & Surface Preparation	1	LS	\$ 83,900	\$ 83,900
1.6	Coat Exterior Surfaces	1	LS	\$ 41,000	\$ 41,000
1.7	Roof Vent, D-Rings, and Lanyard Cables	1	LS	\$ 11,200	\$ 11,200
1.8	New Roof Railing	1	LS	\$ 5,600	\$ 5,600
1.9	Tank Penetrations/Miscellaneous Structural Modifications	1	LS	\$ 36,900	\$ 36,900
1.10	New Cathodic Hand Holes & Reference Cell Ports	20	EA	\$ 595	\$ 11,900
1.11	New 20' Sacrificial Anodes & Reference Cells	20	EA	\$ 880	\$ 17,600

SUBTOTAL ITEMS NOS. 1.1 THROUGH 1.11: \$ 603,900

SEE NEXT PAGE



**BID LIST - ADDENDUM NO. 3**

<b>CIP P2529 - 711-2 (2.3 MG) RESERVOIR INTERIOR/EXTERIOR COATING AND UPGRADES</b>					
<b>ITEM NO.</b>	<b>DESCRIPTION</b>	<b>QTY</b>	<b>UNIT</b>	<b>UNIT PRICE</b>	<b>AMOUNT</b>
2.1	Mobilization, Demobilization, Bonds	1	LS	\$ 16,900	\$ 16,900
2.2	Remove Existing Interior Coating & Surface Preparation	1	LS	\$ 159,000	\$ 159,000
2.3	Coat Interior Surfaces	1	LS	\$ 116,900	\$ 116,900
2.4	Full Containment	1	LS	\$ 31,900	\$ 31,900
2.5	Remove Existing Exterior Coating & Surface Preparation	1	LS	\$ 69,000	\$ 69,000
2.6	Coat Exterior Surfaces	1	LS	\$ 33,000	\$ 33,000
2.7	Roof Vent, D-Rings, and Lanyard Cables	1	LS	\$ 11,000	\$ 11,000
2.8	Tank Penetrations/Miscellaneous Structural Modifications	1	LS	\$ 33,900	\$ 33,900
2.9	New Cathodic Hand Holes & Reference Cell Ports	19	EA	\$ 595	\$ 11,305
2.10	New 20' Sacrificial Anodes & Reference Cells	19	EA	\$ 890	\$ 16,910

**SUBTOTAL ITEMS NOS. 2.1 THROUGH 2.10:** \$ 499,815

<b>UNIT PRICE AND ALLOWANCE ITEMS NOT INCLUDED IN THE CONTRACT DOCUMENTS BUT INCLUDED IN THE CONTRACT SCOPE</b>					
<small>Note: Quantities are an estimate for the purposes of comparing Bids only. Payment of these items will be based on actual quantities furnished, installed, or constructed in accordance with the Contract Documents</small>					
<b>ITEM NO.</b>	<b>DESCRIPTION</b>	<b>QTY</b>	<b>UNIT</b>	<b>UNIT PRICE</b>	<b>AMOUNT</b>
3.1	New Tension Arms for 711-2 Tank	<del>1</del> 50	LS EA	ALLOWANCES	\$ 10,000
3.2	Structural Modification Allowance	1	LS	ALLOWANCE	\$ 60,000
3.3	New Center Halo Support (Appendix E)	1	LS	\$ 21,980	\$ 21,980

**SUBTOTAL ITEMS NO. 3.1 THROUGH 3.3:** \$ 91,980

SEE NEXT PAGE

**BID LIST – ADDENDUM NO. 3**

ADDITIONS OR DEDUCTIONS *	CHANGE (+ ) or (-)	ADJUSTED BID ITEM AMOUNT
Addition (+) or Deduction (-) to Bid Item _____	\$ _____	\$ <i>NONE</i>
Addition (+) or Deduction (-) to Bid Item _____	\$ _____	\$ <i>NONE</i>
Addition (+) or Deduction (-) to Bid Item _____	\$ _____	\$ <i>NONE</i>

**\* Note Regarding Use of Addition (+) or Deduction (-) Items Above:**  
Provision is made for the Bidder to include an addition or deduction in the Bid, if so desired, to reflect any last minute adjustments in prices. The addition or deduction, if made, will be applied to the Bid Items listed. **It is the Bidders responsibility to identify any ADDITIONS OR DELETIONS with a (+) or (-), accordingly.**

Should the bidder elect not adjust his bid, the bidder shall enter the words "NONE" for each of the Addition/Deduction blocks above.

TOTAL ITEMS NOS. 1.1 THROUGH 3.3: \$ 1,195,695

TOTAL ITEMS NOS. 1.1 THROUGH 3.3 IN WORDS IS: One-million,  
one hundred, ninety-five thousand, six-hundred  
ninety-five dollars.

*Bid evaluations shall be based upon bid items 1.1 through 3.3. District reserves the right to implement any alternative bid items.*





SURETY

If the Bidder is awarded a construction contract pursuant to this proposal, the surety who provides the Performance Bond and the Labor and Materials Bond will be

Travelers Casualty and Surety Company of America whose address is

21688 Gateway Center Drive Diamond Bar, CA 91765  
Street City State Zip

BIDDER

The name of the Bidder submitting this proposal is Advanced Industrial Services, Inc.

doing business at 11261 Weatherby Rd. Los Alamitos CA 90720  
Street City State Zip

which is the address to which all communications concerned with this proposal and with the contract shall be sent. The names of the principal officers of the corporation submitting this proposal, or of the partnership, or of the entity, or of all persons interested in this proposal as principals are as follows:

Rex D. Johnston Jr. President, VP, Sec. & Treasurer

\_\_\_\_\_

\_\_\_\_\_

The Bidder swears under penalty of perjury that all information provided by the Bidder is true and correct.

IF A SOLE PROPRIETOR OR PARTNERSHIP, SIGN HERE:

IN WITNESS hereto the undersigned has set his (its) hand this

\_\_\_\_\_ day of \_\_\_\_\_ 2015.

\_\_\_\_\_  
Name of Firm

\_\_\_\_\_  
Signature

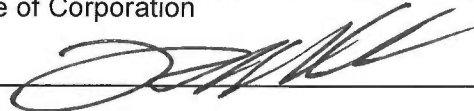
\_\_\_\_\_  
Title

IF CORPORATION, SIGN HERE:

IN WITNESS WHEREOF the undersigned corporation has caused this instrument to be executed and its seal affixed by its duly authorized officers this 17 day of August 2015.

(SEAL)

Advanced Industrial Services, Inc.  
Name of Corporation

By 

Rex D. Johnston Jr.  
President

By 

Rex D. Johnston Jr.  
Secretary

IF OTHER ENTITY, SIGN HERE:

IN WITNESS hereto the undersigned has set his (its) hand this \_\_\_\_\_ day of \_\_\_\_\_ 2015.

\_\_\_\_\_  
Name of Entity

By \_\_\_\_\_

\_\_\_\_\_  
Title

By \_\_\_\_\_

\_\_\_\_\_  
Title

Attest \_\_\_\_\_

\*\*\*\*\*

SECTION 00410  
BID BOND  
(FORM B)

BOND NO. Included

AMOUNT: \$ 10% of Bid Amount

Know all men by these presents, that Advanced Industrial Services, Inc

as Principal, and Travelers Casualty and Surety Company of America

a corporation duly organized under the laws of the State of Connecticut

having its principal place of business at 21688 Gateway Center Drive, Diamond Bar, CA 91765

in the State of California, and authorized to do business in the State of California, as \_\_\_\_\_

Surety, are held and firmly bound unto Otay Water District, hereinafter "Obligee", in the penal sum of Ten Percent of Bid Amount

\_\_\_\_\_ Dollars (\$ 10% of Bid Amount ), for the payment of which we bind

ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.



SECTION 00410  
BID BOND  
(FORM B)

THE CONDITION OF THIS BOND IS SUCH THAT:

Whereas the Principal is herewith submitting his or its bid proposal for Contractor Services, said bid proposal, by reference thereto, being hereby made a part hereof.

Now, therefore, if the bid proposal submitted by the Principal is accepted, and the contract awarded to the Principal, and if the Principal shall execute the proposed contract and shall furnish such Performance Bond and Labor and Materials Bond as required by the contract documents, insurance certificates and policies, certification of worker's compensation insurance and other required documents within the time fixed by the contract documents, then this obligation shall be void. If the Principal shall fail to execute the proposed contract, furnish the required bonds and other required documents within the time specified in the contract documents, the Surety hereby agrees to pay to the Obligee the penal sum as liquidated damages.

Signed and sealed this 31st day of July, 2015.

Advanced Industrial Services, Inc.

PRINCIPAL

By 

Travelers Casualty and Surety Company of America  
SURETY

By 

Attorney-in-fact Megan B. Gaines

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

**CIVIL CODE § 1189**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )  
County of Ventura )

On July 31, 2015 before me, Jodie Lee Doner, Notary Public,  
*Date Here Insert Name and Title of the Officer*  
personally appeared Megan B. Gaines  
*Name(s) of Signer(s)*

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Jodie Lee Doner  
*Signature of Notary Public*

*Place Notary Seal Above*

**OPTIONAL**

*Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.*

**Description of Attached Document**

Title or Type of Document: Bid Bond Document Date: August 18, 2015  
Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_  
 Corporate Officer — Title(s): \_\_\_\_\_  
 Partner —  Limited  General  
 Individual  Attorney in Fact  
 Trustee  Guardian or Conservator  
 Other: \_\_\_\_\_

Signer's Name: \_\_\_\_\_  
 Corporate Officer — Title(s): \_\_\_\_\_  
 Partner —  Limited  General  
 Individual  Attorney in Fact  
 Trustee  Guardian or Conservator  
 Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

Travelers Casualty and Surety Company of America



POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company

Attorney-In Fact No. 227861

Certificate No. 006381636

KNOW ALL MEN BY THESE PRESENTS: That Farmington Casualty Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company are corporations duly organized under the laws of the State of Connecticut, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Michael Cresswell, Megan B. Gaines, Tim McClain, and Jodie Lee Doner

of the City of Westlake Village, State of California, their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed and their corporate seals to be hereto affixed, this 27th day of May, 2015.

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company



State of Connecticut
City of Hartford ss.

By: [Signature]
Robert L. Raney, Senior Vice President

On this the 27th day of May, 2015, before me personally appeared Robert L. Raney, who acknowledged himself to be the Senior Vice President of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal.
My Commission expires the 30th day of June, 2016.



[Signature]
Marie C. Tetreault, Notary Public



SECTION 00420  
NONCOLLUSION AFFIDAVIT  
(FORM C)

(TO BE EXECUTED BY BIDDER  
AND SUBMITTED WITH BID)

State of California )  
County of ~~San Diego~~ <sup>Los Angeles</sup> ) ss.

Rex D. Johnston Jr., being first duly sworn, deposes and says  
that he or she is President of Advanced Industrial Services, Inc.  
Title Entity Name

the party making the foregoing bid. The bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid is genuine and not collusive or sham. The bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or to refrain from bidding. The bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder. All statements contained in the bid are true. The bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, to effectuate a collusive or sham bid, and has not paid, and will not pay, any person or entity for such purpose.

Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder.

Bidder: Advanced Industrial Services, Inc.  
By: [Signature] - Rex D Johnston Jr.  
Title: President  
By: \_\_\_\_\_  
Title: \_\_\_\_\_

Subscribed and sworn to before me,

\_\_\_\_\_ Notary  
on this attached day of \_\_\_\_\_, 2015.



**CALIFORNIA JURAT WITH AFFIANT STATEMENT**

**GOVERNMENT CODE § 8202**

- See Attached Document (Notary to cross out lines 1-6 below)
- See Statement Below (Lines 1-6 to be completed only by document signer[s], *not* Notary)

1 \_\_\_\_\_  
 2 \_\_\_\_\_  
 3 \_\_\_\_\_  
 4 \_\_\_\_\_  
 5 \_\_\_\_\_  
 6 \_\_\_\_\_

Signature of Document Signer No. 1

Signature of Document Signer No. 2 (if any)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

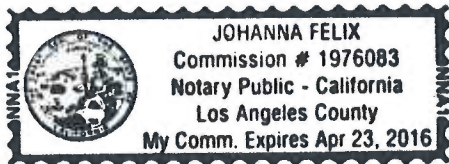
State of California  
 County of Los Angeles

Subscribed and sworn to (or affirmed) before me  
 on this 17 day of August, 2015,  
 by Date Month Year

(1) Rex D. Johnston Jr.

(and (2) \_\_\_\_\_),  
*Name(s) of Signer(s)*

proved to me on the basis of satisfactory evidence  
 to be the person(s) who appeared before me.



Signature \_\_\_\_\_  
*Signature of Notary Public*

*Seal*  
 Place Notary Seal Above

**OPTIONAL**

*Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.*

**Description of Attached Document**

Title or Type of Document: Non Collusion Affidavit Document Date: \_\_\_\_\_

Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

**SECTION 00420  
NONCOLLUSION AFFIDAVIT  
(FORM C)**

This page is intentionally blank.

SECTION 00430  
CONTRACTOR LICENSE AFFIDAVIT  
(FORM D)

BUSINESS AND PROFESSIONAL CODE 7028.15:

"A licensed contractor shall not submit a bid to a public agency unless his or her contractor's license number appears clearly on the bid, the license expiration date is stated, and the bid contains a statement that the representations made therein are made under penalty of perjury. Any bid not containing this information, or a bid containing information which is subsequently proven false, shall be considered nonresponsive and shall be rejected by the public agency."

State of California     )  
                                  ) ss  
                                  )


Rex D. Johnston Jr. being first duly sworn, deposes and says that he is President  
of Advanced Industrial <sup>Service</sup> Inc., the party making the foregoing bid, is a  
licensed contractor and understands the information shown below shall be included with the bid  
and understands that any bid not containing this information, or if this information is  
subsequently proven to be false, shall be considered nonresponsive and shall be rejected by  
the District \_\_\_\_\_

031053 C-33, A, B, Haz 11/31/14  
(State license number and classification) License Expiration Date

I certify under the penalty of Perjury under the laws of the State of California that the foregoing  
is true and correct.

Subscribed at:  
Paramount                    Los Angeles     CA  
(City)                                    (County) (State)

on August 17, 2015.  
(Date)

  
031053 C-33, A, B, Haz  
(State license number and classification)

NOTARY TO AFFIX SEAL  
AND CERTIFICATE OF  
ACKNOWLEDGMENT

11261 Weatherby Rd.  
(Address)

Los Alamitos, CA 90720  
(City)                                    (State) (Zip Code)

562 940 8305  
(Telephone)

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

**CIVIL CODE § 1189**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )

County of Los Angeles )

On August 17, 2015 before me, Johanna Felix, Notary Public  
Date Here Insert Name and Title of the Officer

personally appeared Rex D. Johnston Jr.  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Handwritten Signature]  
Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

**Description of Attached Document**

Title or Type of Document: Contractor License Affidavit Document Date: 8/17/15

Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_

- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Individual  Attorney in Fact
- Trustee  Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

- Corporate Officer — Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Individual  Attorney in Fact
- Trustee  Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_



**SECTION 00430  
CONTRACTOR LICENSE AFFIDAVIT  
(FORM D)**

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**SECTION 00440  
LIST OF SUBCONTRACTORS  
(FORM E)**

The Bidder shall identify all proposed subcontractors and subconsultants who will be performing work that has a value in excess of one-half (0.5) of one (1) percent of the total amount of this proposal. The Bidder certifies that the following subcontracting firms or businesses will be awarded subcontracts for the indicated portions of the work in the event that the Bidder is awarded the contract.

The Bidder shall identify the type of work the subcontractor shall perform; include the specific bid item(s) that the subcontractor(s) will perform work on; and state the percent (%) of the bid item that the subcontractor will perform.

TYPE OF WORK:

Cathodic Protection

1.11, 2.10 3%  
Bid Item Percent

Corpro Companies, Inc.  
Name

10260 Matern Place Santa Fe Springs, CA 90670  
Street City

License No. /Type 764878 / A.cw,cs7

\*\*\*\*\*

TYPE OF WORK:

\_\_\_\_\_  
Bid Item Percent

\_\_\_\_\_  
Name

\_\_\_\_\_  
Street City

License No. /Type \_\_\_\_\_

\*\*\*\*\*

TYPE OF WORK:

\_\_\_\_\_  
Bid Item Percent

\_\_\_\_\_  
Name

\_\_\_\_\_  
Street City

License No. /Type \_\_\_\_\_

TYPE OF WORK:

\_\_\_\_\_

Bid Item \_\_\_\_\_ Percent \_\_\_\_\_

Name \_\_\_\_\_

Street \_\_\_\_\_ City \_\_\_\_\_

License No. /Type \_\_\_\_\_

\*\*\*\*\*

TYPE OF WORK:

\_\_\_\_\_

Bid Item \_\_\_\_\_ Percent \_\_\_\_\_

Name \_\_\_\_\_

Street \_\_\_\_\_ City \_\_\_\_\_

License No. /Type \_\_\_\_\_

\*\*\*\*\*

TYPE OF WORK:

\_\_\_\_\_

Bid Item \_\_\_\_\_ Percent \_\_\_\_\_

Name \_\_\_\_\_

Street \_\_\_\_\_ City \_\_\_\_\_

License No. /Type \_\_\_\_\_

BIDDER: Advanced Industrial Services, Inc.

Signature: 

Date: 8/17/15

**SECTION 00450  
LIST OF REFERENCES  
(FORM F)**

A minimum of five (5) project references are required for the Contractor and a minimum of three (3) project references are required for the Project Manager to be assigned to the subject work. The referenced projects must have been completed within the past five (5) years and be similar in scope including type, size, duration, value, etc. to the aforementioned project. Bidder's failure to provide current reference contact information could impact District's ability to check references. Lack of valid references could delay award of a contract to Bidder and jeopardize award.

**CONTRACTOR**

Project Title: \* Please see attachment Year Completed: \_\_\_\_\_

Type of Project \_\_\_\_\_ Contract Amount: \$ \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_

\*\*\*\*\*

Project Title: \_\_\_\_\_ Year Completed: \_\_\_\_\_

Type of Project \_\_\_\_\_ Contract Amount: \$ \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_

\*\*\*\*\*

Project Title: \_\_\_\_\_ Year Completed: \_\_\_\_\_

Type of Project \_\_\_\_\_ Contract Amount: \$ \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_

\*\*\*\*\*



*\* Please see attachment*

Project Title: \_\_\_\_\_ Year Completed: \_\_\_\_\_

Type of Project \_\_\_\_\_ Contract Amount: \$ \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_

\*\*\*\*\*

Project Title: \_\_\_\_\_ Year Completed: \_\_\_\_\_

Type of Project \_\_\_\_\_ Contract Amount: \$ \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_

\*\*\*\*\*

Project Title: \_\_\_\_\_ Year Completed: \_\_\_\_\_

Type of Project \_\_\_\_\_ Contract Amount: \$ \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_

\*\*\*\*\*

Project Title: \_\_\_\_\_ Year Completed: \_\_\_\_\_

Type of Project \_\_\_\_\_ Contract Amount: \$ \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_

\*\*\*\*\*

PROJECT MANAGER

Project Manager: \* Please see attachment

Project Title: \_\_\_\_\_ Year Completed: \_\_\_\_\_

Type of Project \_\_\_\_\_ Contract Amount: \$ \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_

\*\*\*\*\*

Project Title: \_\_\_\_\_ Year Completed: \_\_\_\_\_

Type of Project \_\_\_\_\_ Contract Amount: \$ \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_

\*\*\*\*\*

Project Title: \_\_\_\_\_ Year Completed: \_\_\_\_\_

Type of Project \_\_\_\_\_ Contract Amount: \$ \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_

BIDDER: Advanced Industrial Services, Inc.

Signature: 

Date: 8/17/15



## PROJECT HISTORY AND REFERENCES

- **AGENCY:** CITY OF CAMARILLO  
**ADDRESS:** 601 CARMEN DR. CAMARILLO, CA 93010  
**PHONE:** 805-388-5376 **CONTACT:** LUCIA MCGOVERN  
**PROJECT:** RESERVOIR 1,3,4 **YEAR COMPLETED:** 7/2011 **AMOUNT:** \$655,919.00  
**SCOPE OF WORK:** BLAST/COAT INTERIOR/EXTERIOR OF (3) POTABLE WATER RESERVOIR  
**PROJECT MANAGER:** BRETT JOHNSTON **CALENDAR DAYS:** 95
  
- **AGENCY:** MOJAVE WATER AGENCY  
**ADDRESS:** 13846 CONFENCE CENTER DR., APPLE VALLEY, CA 92307  
**PHONE:** 760-946-7020 **CONTACT:** DARRELL REYNOLDS  
**PROJECT:** WARREN VISTA RESERVOIR **YEAR COMPLETED:** 7/2012 **AMOUNT:** \$587,900.00  
**SCOPE OF WORK:** CLEANING, MODIFICATION AND REHABILITATION OF LINING/COATING  
**PROJECT MANAGER:** BRETT JOHNSTON **CALENDAR DAYS:** 150
  
- **AGENCY:** MOULTON NIGUEL WATER DISTRICT  
**ADDRESS:** 27500 LA PAZ RD., LAGUNA NIGUEL, CA  
**PHONE:** 949-795-6798 **CONTACT:** RAY MCDOWELL  
**PROJECT:** RESERVOIR SEVILLE NO. 1 & 2 **YEAR COMPLETED:** 2/2013 **AMOUNT:** \$844,500.00  
**SCOPE OF WORK:** BLAST/COAT INTERIOR/EXTERIOR OF (2) 2MG POTABLE WATER RESERVOIR  
**PROJECT MANAGER:** BRETT JOHNSTON **CALENDAR DAYS:** 185
  
- **AGENCY:** SOUTH COAST WATER DISTRICT  
**ADDRESS:** 31592 WEST ST., LAGUNA BEACH, CA 92651  
**PHONE:** 949-289-0010 **CONTACT:** MARISSA POTTER  
**PROJECT:** RES.4B1 **YEAR COMPLETED:** 3/2013 **AMOUNT:** \$303,790.00  
**SCOPE OF WORK:** BLAST/COAT INTERIOR/EXTERIOR OF 1MG POTABLE WATER RESERVOIR  
**PROJECT MANAGER:** BRETT JOHNSTON **CALENDAR DAYS:** 105
  
- **AGENCY:** CARLSBAD MUNICIPAL WATER DISTRICT  
**ADDRESS:** 1635 FARADAY AVE., CARLSBAD, CA 92008-7314  
**PHONE:** 760-602-4677 **CONTACT:** DAVID AHLES  
**PROJECT:** D1 & D2 **YEAR COMPLETED:** 5/2013 **AMOUNT:** \$667,718.00  
**SCOPE OF WORK:** BLAST/COAT INTERIOR/EXTERIOR OF (2) 1MG POTABLE WATER RESERVOIR  
**PROJECT MANAGER:** BRETT JOHNSTON **CALENDAR DAYS:** 215
  
- **AGENCY:** WALNUT VALLEY WATER DISTRICT  
**ADDRESS:** 271 SOUTH BREA CANYON RD., WALNUT, CA 9178  
**PHONE:** 909-595-1268 **CONTACT:** TOM MONK  
**PROJECT:** RIDGE LINE **COMPLETED:** 6/2013 **AMOUNT:** \$923,900.00  
**SCOPE OF WORK:** BLAST/COAT INTERIOR/EXTERIOR OF (3) 3MG POTABLE WATER RESERVOIR  
**PROJECT MANAGER:** BRETT JOHNSTON **CALENDAR DAYS:** 270

- AGENCY: OTAY WATER DISTRICT

ADDRESS: 2554 SWEETWATER SPRINGS BLVD., SPRING VALLEY, CA 91978-2004  
PHONE: 619-670-2248 CONTACT: KEVIN CAMERON  
PROJECT: 803-3 & 832-2 COMPLETED: 12/2013 AMOUNT: \$873,561.37  
SCOPE OF WORK: BLAST/COAT INTERIOR/EXTERIOR OF 8MG POTABLE RESERVOIR  
PROJECT MANAGER: BRETT JOHNSTON CALENDAR DAYS: 305

- AGENCY: CITY OF CAMARILLO

ADDRESS: 601 CARMEN DR. CAMARILLO, CA 93010  
PHONE: 805-388-5376 CONTACT: LUCIA MCGOVERN  
PROJECT: RESERVOIR 2,5,6 YEAR COMPLETED: 2014 AMOUNT: \$414,155.00  
SCOPE OF WORK: BLAST/COAT INTERIOR OF (3) 500k GAL POTABLE RESERVOIR  
PROJECT MANAGER: BRETT JOHNSTON CALENDAR DAYS: 180

- AGENCY: RANCHO CALIFORNIA WATER DISTRICT

ADDRESS: 42135 WINCHESTER ROAD TEMECULA, CA 92590  
PHONE: 951-296-6900 CONTACT: RHONDA BARKEY  
PROJECT: D1741+RSVR YEAR COMPLETED: 2014 AMOUNT: \$2,390,544.00  
SCOPE OF WORK: BLAST/COAT (4) TANK INTERIORS, (3) TANK EXTERIORS  
PROJECT MANAGER: BRETT JOHNSTON CALENDAR DAYS: 175

- AGENCY: OTAY WATER DISTRICT

ADDRESS: 2554 SWEETWATER SPRINGS BLVD., SPRING VALLEY, CA 91978-2004  
PHONE: 619-670-2248 CONTACT: KEVIN CAMERON  
PROJECT: 624-2 COMPLETED: 2014 AMOUNT: \$1,180,769.78  
SCOPE OF WORK: BLAST/COAT INTERIOR/EXTERIOR OF (2) 3MG POTABLE RESERVOIRS  
PROJECT MANAGER: BRETT JOHNSTON CALENDAR DAYS: 130

- AGENCY: SOUTH COAST WATER DISTRICT

ADDRESS: 31592 WEST ST., LAGUNA BEACH, CA 92651  
PHONE: 949-289-0010 CONTACT: BOBBY YOUNG  
PROJECT: GRF YEAR COMPLETED: 2014 AMOUNT: \$66,900.00  
SCOPE OF WORK: WASH AND OVERCOAT PUMP FACILITY  
PROJECT MANAGER: BRETT JOHNSTON CALENDAR DAYS: 60

- AGENCY: CITY OF LOMITA

ADDRESS: 24300 NARBONNE AVE., LOMITA, CA 90717  
PHONE: 310-325-7110 CONTACT: TOM SHAHBAZI  
PROJECT: HARBOR HILLS WATER STORAGE TK. YEAR COMPLETED: 10/2014 AMOUNT: \$221,000.00  
PROJECT MANAGER: BRETT JOHNSTON

- AGENCY: WALNUT VALLEY WATER DISTRICT

ADDRESS: 271 SOUTH BREA CANYON RD., WALNUT, CA 9178  
PHONE: 909-595-1268 CONTACT: TOM MONK  
PROJECT: ARMITOS AND HILLRISE RESERVOIR COMPLETED: 10/2014 AMOUNT: \$1,083,900.00  
PROJECT MANAGER: BRETT JOHNSTON



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**SECTION 00460  
COMPANY BACKGROUND QUESTIONNAIRE  
(FORM G)**

Company Name: Advanced Industrial Services, Inc.

Person Completing Form (Print): Johanna Felix

Signature:  Date: 8/17/15

Title: Admin. Phone Number: 562 9408305

**IMPORTANT: Falsifying information or failure to provide known information could jeopardize or delay award of a contract.**

**1. COMPANY HISTORY**

	Yes	No
1. Have there been any previous changes to the company name or changes in ownership that have occurred within the past ten (10) years?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2. Has any owner or officer of the company operated as a contractor under any other name or license number in the last ten (10) years?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3. Have there been any previous changes to the company's license number(s) in the past ten (10) years?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4. Is your company a subsidiary, parent, holding company or affiliate of another construction company?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

If 'Yes' to any of the above provide details for each on the lines below including, but not limited to, previous company name and/or number, date of name change, date of change in ownership.

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**2. COMPANY FINANCIAL RECORD**

	Yes	No
1. Was your company in bankruptcy at any time during the last ten (10) years or currently filing for bankruptcy?		✓

If 'Yes' to the above provide details on the lines below including, but not limited to, case number, bankruptcy court, and the date the petition was filed.

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**3. CIVIL COURT ACTIONS**

	Yes	No
1. Has your company, or any owner or officer of your company, ever been found liable in a civil suit?		✓
2. Have there been any judgments against your company or any owner or officer of your company within the past ten (10) years?		✓
3. In the past ten (10) years, has your company or any owner or officer of your company made any claim in excess of \$50,000 against a project owner and filed that claim in court or arbitration?		✓
4. In the past ten (10) years, have there been any claims in excess of \$50,000 that have been filed in court or arbitration against your company?		✓

If 'Yes' to any of the above provide details for each on the lines below including, but not limited to, project name, date of the claim, name of the claimant, name of the entity (or entities) against whom the claim was filed, brief description of the claim, the court and case number, if applicable, brief description of the status (i.e. pending, resolved, a description of the resolution, etc.).

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**4. CRIMINAL ACTIONS**

	Yes	No
1. Has your company or any owner or officer of your company ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?		✓
2. Has your company or any owner or officer of your company ever been found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?		✓
3. Has any state or local agency taken any disciplinary action against your company or any owner or officer of your company?		✓

If 'Yes' to any of the above provide details for each on the lines below including, but not limited to, the person or persons convicted, the name of the victim, the date of conviction, the court and case number, the crime and year convicted.

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**5. CONTRACT AWARD**

	Yes	No
1. Has your company ever been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?		✓
2. In the past ten (10) years, has your company or any owner or officer of your company been listed on the Excluded Parties List System (EPLS), debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?		✓

If 'Yes' to any of the above provide details for each on the lines below including, but not limited to, year of the event, the owner, the project, entity denying the award, the basis for the finding by the public agency, name of the organization debarred.

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**SECTION 00470  
COMPANY SAFETY QUESTIONNAIRE  
(FORM H)**

Company Name: Advanced Industrial Services, Inc.  
 Person Completing Form (Print): Eduardo Ortega  
 Signature: *[Signature]* Date: 8/17/15  
 Title: Safety Manager Phone Number: 562-940-8305

**IMPORTANT: Falsifying information or failure to provide known information could jeopardize or delay award of a contract.**

**SAFETY PERFORMANCE**

1. List your company's Interstate Experience Rating Modifier (ERM) for the three most recent years.

20	<u>15</u>	<u>.60</u>
20	<u>14</u>	<u>.56</u>
20	<u>13</u>	<u>.66</u>

2. List your company's number of injuries/illnesses from your OSHA 300 logs for the three most recent years.

	20 <u>14</u>	20 <u>13</u>	20 <u>12</u>
a. Fatalities	<u>0</u>	<u>0</u>	<u>0</u>
b. OSHA recordable incidents	<u>1</u>	<u>1</u>	<u>1</u>
c. Lost work day incidents	<u>0</u>	<u>1</u>	<u>0</u>
d. Total lost work days	<u>0</u>	<u>71</u>	<u>0</u>
e. Total hours worked	<u>174,536</u>	<u>185,875.4</u>	<u>172,803</u>

3. Please provide copies of the following upon award:

Check if Available

- |   |                                     |
|---|-------------------------------------|
| a. OSHA 300 logs for the most recent three years and current year-to-date | <input checked="" type="checkbox"/> |
| b. Verification of ERM from your insurance carrier                        | <input checked="" type="checkbox"/> |
| c. Injury/Illness Report  | <input checked="" type="checkbox"/> |
| d. Complete written Safety Program  | <input checked="" type="checkbox"/> |
| e. Training Plans   | <input checked="" type="checkbox"/> |
| f. Training Certificates for Employees                                    | <input checked="" type="checkbox"/> |
| g. Emergency Response Training  | <input checked="" type="checkbox"/> |

*Primary contractors must submit all information requested on No. 3 (a-g) to the District.  
 Subcontractors must submit information (a-c) to the District and d-g to the primary contractor and should be made available to the District upon request.*

4. Company Safety Contact

a. Name: Eduardo Ortega  
 b. Phone: 562-940-8305 or 562-458-6949

## SAFETY PROGRAM

### 1. SAFETY PROGRAM DOCUMENTATION

Circle One

a. Do you have a written safety program manual?

Yes No

Last revision date 10/14/2014

b. Do you have a written safety field manual?

Yes No

c. Are all workers given a booklet that contains work rules, responsibilities and other appropriate information?

Yes No

### 2. POLICY AND MANAGEMENT SUPPORT

a. Do you have a safety policy statement from an officer of the company?

Yes No

b. Do you have a disciplinary process for enforcement of your safety program?

Yes No

c. Does management set corporate safety goals?

Yes No

d. Does executive management review:

- Accident reports?
- Inspection reports?
- Safety statistics?

e. Do you safety pre-qualify subcontractors?

Yes No

f. Do you have a written policy on accident reporting and investigation?

Yes No

g. Do you have a light-duty, return-to-work policy?

Yes No

h. Is safety part of your supervisor's performance evaluation?

Yes No

i. Do you have a personal protective equipment (PPE) policy?

Yes No

j. Do you have a written substance abuse program?  
If yes, check which apply:

Yes No

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Pre-employment testing   | <input checked="" type="checkbox"/> Return to duty testing |
| <input checked="" type="checkbox"/> Random testing           | <input checked="" type="checkbox"/> Disciplinary process   |
| <input checked="" type="checkbox"/> Reasonable cause testing | <input checked="" type="checkbox"/> Alcohol Testing        |
| <input checked="" type="checkbox"/> Post accident testing    | <input type="checkbox"/> National Institute on Drug Abuse  |
| <input checked="" type="checkbox"/> Panel Screen             |  |

k. Does each level of management have assigned safety duties and responsibilities?

Yes No

3. TRAINING AND ORIENTATION

Circle One

- a. Do you conduct safety orientation training for each employee?  Yes  No
- b. Do you conduct site safety orientation for every person new to the job?  Yes  No
- c. Does your safety program require safety training meetings for each supervisor (foreman and above)? How often?  
 Weekly  Monthly  Quarterly  Annually  Yes  No
- d. Do you hold tool box/tailgate safety meetings focused on your specific work operations/exposures?  
 Weekly  Daily  Yes  No
- e. Do you require equipment operation/certification training?  Yes  No

4. ADMINISTRATION AND PROCEDURES

- a. Does your written safety program address administrative procedures?  Yes  No

If yes, check which apply:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Pre-project/task planning  | <input checked="" type="checkbox"/> Emergency procedures              |
| <input checked="" type="checkbox"/> Record keeping             | <input checked="" type="checkbox"/> Audits/inspections                |
| <input checked="" type="checkbox"/> Safety committees          | <input checked="" type="checkbox"/> Accident investigations/reporting |
| <input checked="" type="checkbox"/> HAZCOM                     | <input checked="" type="checkbox"/> Training documentation            |
| <input checked="" type="checkbox"/> Substance abuse prevention | <input checked="" type="checkbox"/> Hazardous work permits            |
| <input checked="" type="checkbox"/> Return-to work             | <input checked="" type="checkbox"/> Subcontractor prequalification    |

- b. Do you have project safety committees?  Yes  No
- c. Do you conduct job site safety inspections? How often?  
 Daily  Weekly  Monthly  Yes  No
- d. Do these inspections include a routine safety inspection of equipment (e.g. scaffold, ladders, fire extinguishers, etc.)?  Yes  No
- e. Do you investigate accidents? How are they reported?  Yes  No
- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Total company | <input type="checkbox"/> By superintendent                  |
| <input type="checkbox"/> By project               | <input type="checkbox"/> By project manager                 |
| <input type="checkbox"/> By foreman               | <input checked="" type="checkbox"/> In accordance with OSHA |
- f. Do you discuss safety at all preconstruction and progress meetings?  Yes  No
- g. Do you perform rigging and lifting checks prior to lifting?  Yes  No
- For personnel  For equipment  Heavy lifts (more than 10,000 lbs)



5. WORK RULES

Circle One

a. Do you periodically update work rules?

Yes  No

When was the last update? Jan 2015

b. What work practices are addressed by your work rules?  
Check all that apply.

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> CPR/first aid                                     | <input checked="" type="checkbox"/> Access-entrances/stairs             |
| <input checked="" type="checkbox"/> Barricades, signs, and signals                    | <input checked="" type="checkbox"/> Respiratory protection              |
| <input checked="" type="checkbox"/> Blasting  | <input checked="" type="checkbox"/> Material handling/storage           |
| <input checked="" type="checkbox"/> Communications                                    | <input checked="" type="checkbox"/> Temporary heat                      |
| <input checked="" type="checkbox"/> Compressed air and gases                          | <input checked="" type="checkbox"/> Vehicle Safety                      |
| <input type="checkbox"/> Concrete work  | <input type="checkbox"/> Traffic control                                |
| <input checked="" type="checkbox"/> Confined-space entry                              | <input type="checkbox"/> Site visitor escorting                         |
| <input type="checkbox"/> Cranes/rigging and hoisting                                  | <input type="checkbox"/> Public protection                              |
| <input checked="" type="checkbox"/> Electrical grounding                              | <input checked="" type="checkbox"/> Equipment guards and grounding      |
| <input checked="" type="checkbox"/> Environmental controls and<br>Occupational health | <input checked="" type="checkbox"/> Monitoring Equipment                |
| <input checked="" type="checkbox"/> Emergency procedures                              | <input checked="" type="checkbox"/> Flammable material handling/storage |
| <input checked="" type="checkbox"/> Fire protection and prevention                    | <input type="checkbox"/> Site sanitation                                |
| <input checked="" type="checkbox"/> Floor and wall openings                           | <input checked="" type="checkbox"/> Trenching and excavating            |
| <input checked="" type="checkbox"/> Fall protection                                   | <input checked="" type="checkbox"/> Lockout/Tagout                      |
| <input checked="" type="checkbox"/> Housekeeping                                      | <input checked="" type="checkbox"/> Energized/pressurized equipment     |
| <input checked="" type="checkbox"/> Ladders and scaffolds                             | <input checked="" type="checkbox"/> Personal protective equipment       |
| <input type="checkbox"/> Mechanical equipment   | <input checked="" type="checkbox"/> Tools, power and hand               |
| <input checked="" type="checkbox"/> Welding and cutting (hot work)                    | <input checked="" type="checkbox"/> Electrical power lines              |
|   | <input checked="" type="checkbox"/> Other <u>Lead Training</u>          |

6. OSHA INSPECTIONS

a. Have you been inspected by OSHA in the last three years?

Yes  No

b. Were these inspections in response to complaints?

Yes  No

c. Have you been cited as a result of these inspections?

Yes  No

If yes, describe the citations:

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Advanced Industrial Services, Inc.

of

Los Alamitos, CA

has met or exceeded the requirements set forth in the  
SSPC Painting Contractor Certification Program for

**FIELD APPLICATION OF COATINGS  
COMPLEX STRUCTURES  
SSPC-QP1**



*[Signature]*  
.....  
President, SSPC

March 31, 2015– March 31, 2016

.....  
Validation Period

Owners are advised to contact SSPC at 412-281-2331 ext. 2235 or ext. 2209 to verify authenticity of certification.



Advanced Industrial Services, Inc.

of Los Alamitos, CA

has met or exceeded the requirements set forth in the  
SSPC Painting Contractor Certification Program for

**INDUSTRIAL HAZARDOUS  
PAINT REMOVAL  
SSPC-QP2**

"A"

Category

March 31, 2015 – March 31, 2016

Validation Period



President, SSPC