

LIONTRUST ADDITIONAL PERMITTED SUBSCRIPTION (APS) ISA

Allowance Transfer Form (Stocks and Shares only) to transfer an APS allowance to the Liontrust APS ISA for investment into the Liontrust Funds

Please complete, ALL sides of the Application Form in BLOCK CAPITALS and return to: Liontrust Fund Partners LLP, PO Box 11061, Chelmsford CM99 2YA.

This Form can be used if your late spouse (*) held an ISA with another ISA Plan Manager and you wish to transfer the APS allowance due to you from that ISA Plan Manager to your Liontrust APS ISA. Only the allowance can be transferred and not the assets held in the deceased's ISA. After the APS allowance has been transferred to Liontrust you will be able to make cash subscriptions to your Liontrust APS ISA up to your APS allowance.

Please complete the information required below and return this Form to us. We will then forward this authority to the ISA Plan Manager(s) of your late spouse, as detailed, to request the transfer of the APS allowance (which will be the value of your late spouses ISA with such ISA Plan Manager(s) on the date of death) to your proposed Liontrust APS ISA. We will then inform you after the APS allowance has been transferred. You will then need to complete and return to us the Liontrust Additional Permitted Subscription (APS) ISA Application Form, which will include your investment instructions detailing the Liontrust Funds you wish to invest in, together with your cheque for the amount to be invested.

This APS ISA Application Form can be requested by telephone (0844 892 1007) or downloaded from our website www.liontrust.co.uk. You can only invest once your allowance has been transferred.

If the deceased spouse had more than one ISA with other ISA Plan Managers and you want to transfer any APS allowance available to you from those ISA Plan Managers to your Liontrust APS ISA, please complete and return a separate Liontrust APS ISA Transfer Authority Form for each ISA held with those ISA Plan Managers.

Please be aware that once a subscription to an APS allowance has been made, any future additional subscriptions under that APS allowance MUST be made to that same ISA manager (i.e. Liontrust Fund Partners LLP) and be accompanied by an appropriate Application Form (which includes a properly completed APS Subscription Eligibility Declaration). Please note if multiple ISAs were held by the deceased with Liontrust and/or any other ISA Plan Manager at the time of death their value will be combined to form one APS allowance for your APS ISA.

(*) references to 'spouse' also include civil partner.

1 Application to open a Liontrust APS ISA and to transfer the APS Allowance from another ISA Plan Manager

You must complete this section. All fields within this section are mandatory, your application form cannot be processed without this information.

a) Your Personal Details

If you already have an Liontrust ISA please insert your account number

Account number:

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Title: (Mr/Mrs/Miss/Other) _____

Surname: _____

Forename(s) _____

Permanent residential address: _____

Postcode: _____

Date of Birth: ____ / ____ / ____ (DD/MM/YYYY)

Telephone number: _____

E-mail Address: _____

Nationality: _____

National Insurance Number: ____ / ____ / ____ / ____ / ____

☐ Tick here if you do not have a National Insurance (NI) number

*You should be able to find your NI number on a payslip, form P45 or P60, a letter from the HM Revenue & Customs, a letter from the DWP, or pension order book.

2 Transfer Authority Form

APS Allowance Transfer Information Details of the Deceased

Title: (Mr/Mrs/Miss/Other) _____	Date of marriage or civil partnership between the investor and the deceased _____
Surname: _____	National Insurance Number ____ / ____ / ____ / ____ / ____
Forename(s) _____	<input type="checkbox"/> Tick here if you do not have the National Insurance (NI) number for the deceased
Permanent residential address: _____ _____ _____	ISA Plan reference number(s) of the ISA Manager of the Deceased for the ISA(s) for which you wish to transfer the APS allowance to Liontrust Fund Partners LLP: _____ _____ _____
Postcode: _____	_____
Deceased Date of Birth: ____ / ____ / ____ (DD/MM/YYYY)	_____
Deceased Date of Death: ____ / ____ / ____ (DD/MM/YYYY)	_____

ISA manager of deceased spouse ("Deceased ISA Manager"):	
Address of this ISA Manager:	

Please be aware that an APS allowance can only be transferred once and only where no subscriptions have been made under that APS allowance. Once transferred subscriptions may only be made in cash.

3 APS Eligibility Declaration

This section must be completed to confirm the investor named on this authority is eligible to transfer an additional permitted subscription allowance in respect of the deceased named on this authority.

I (the investor) declare that:

- I am the surviving spouse / civil partner of the deceased
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down)
- I have not subscribed to and will not subscribe to the additional permitted subscription allowance with the existing ISA provider of the deceased in respect of the deceased named on this application
- I intend to make an additional permitted subscription application to an ISA with Liontrust Fund Partners LLP.

I authorise the existing ISA provider of the deceased as specified above to provide Liontrust Fund Partners LLP with any information, written or non-written, concerning the APS allowance and former ISA in respect of myself (the surviving spouse investor) and the deceased and to accept any instruction from them relating to the APS allowance being transferred.

I declare that this APS transfer application form has been completed to the best of my knowledge and belief.

Signature: _____

Date: ____ / ____ / ____ (DD/MM/YYYY)

4 Transfer Acceptance

We, Liontrust Fund Partners LLP are willing to accept this APS allowance transfer in line with the investor's instructions above. We confirm that, subject to relevant checks, we are willing to accept an additional permitted subscription application from the investor.

Liontrust Fund Partners LLP
2 Savoy Court, London WC2R 0EZ

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Website **www.liontrust.co.uk**

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