

UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO: 76/689338

MARK: IDEA

76689338

CORRESPONDENT ADDRESS:

DAVID M. KELLY
Finnegan, Henderson, Farabow, Garrett
901 New York Avenue, N.W.
Washington, DC 20001-4413

RESPOND TO THIS ACTION:

<http://www.uspto.gov/teas/eTEASpageD.htm>

GENERAL TRADEMARK INFORMATION:

<http://www.uspto.gov/main/trademarks.htm>

APPLICANT: U.S. Securities and Exchange Commission

CORRESPONDENT'S REFERENCE/DOCKET NO:

08090.0031

CORRESPONDENT E-MAIL ADDRESS:

OFFICE ACTION

TO AVOID ABANDONMENT, THE OFFICE MUST RECEIVE A PROPER RESPONSE TO THIS OFFICE ACTION WITHIN 6 MONTHS OF THE ISSUE/MAILING DATE.

ISSUE/MAILING DATE:

The referenced application has been reviewed by the assigned trademark examining attorney. Applicant must respond timely and completely to the issues below. 15 U.S.C. §1062(b); 37 C.F.R. §§2.62, 2.65(a); TMEP §§711, 718.03.

Search Results

The Office records have been searched and there are no similar registered or pending marks that would bar registration under Trademark Act Section 2(d), 15 U.S.C. §1052(d). TMEP §704.02.

However, applicant must respond to the following requirement.

Unsigned Application/Declaration

The application was not signed and verified, both of which are application requirements. 15 U.S.C. §§1051(b), 1126(d)-(e); 37 C.F.R. §§2.33, 2.34(a)(2)-(4). Therefore, applicant must verify, in a signed affidavit or declaration under 37 C.F.R. §§2.20, 2.33, the statements specified further below. 15 U.S.C. §§1051(b)(3), 1126(d), (e); 37 C.F.R. §2.33(b)(2), (c); TMEP §§804.02, 806.01(b)-(d).

If applicant responds to this Office action online via the Trademark Electronic Application System (TEAS), applicant may satisfy this requirement by answering "yes" to the TEAS response form wizard question relating to submitting a "signed declaration," and properly signing the declaration appearing towards the end of the TEAS response form by either (1) entering in the signature block any combination of letters, numbers, spaces and/or punctuation marks that the filer has adopted as a signature, placed between two forward slash (/) symbols (e.g., /john doe/); (2) e-mailing the completed form from within TEAS to a second party for his/her electronic signature, which will then be automatically returned to the original preparer for submission with the response form; or (3) attaching a jpg or pdf image of a declaration under 37 C.F.R. §2.20 (see statements and declaration paragraphs below), together with a pen-and-ink signature. See 37 C.F.R. §§2.33(d), 2.193(c)(1)(iii); TMEP §804.05. For each method, applicant must either personally sign or manually enter his/her electronic signature and provide the date of "signing." TMEP §804.05; see TMEP §804.01(b). Applicant should also set forth the signatory's name and position beneath the signature. See TMEP §§712 *et seq.*, 804.05.

If applicant responds to this Office action on paper, via regular mail, applicant may satisfy this requirement by providing the following statements and declaration at the end of the response, personally signed and dated. See 37 C.F.R. §§2.20, 2.33; TMEP §§804.02, 804.05, 806.01(b)-(d).

STATEMENTS: He/she is properly authorized to execute this application on behalf of the applicant; he/she believes the applicant to be entitled to use the mark in commerce; that applicant has had a bona fide intention to use the mark in commerce on or in connection with the goods and/or services listed in the application as of the application filing date; that the facts set forth in the application are true and correct; that to the best of his/her knowledge and belief no other person, firm, corporation, or association has the right to use the mark in commerce, either in the identical form thereof or in such near resemblance thereto as to be likely, when used on or in

connection with the goods/services of such other person, to cause confusion, or to cause mistake, or to deceive.

DECLARATION: The undersigned being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements and the like may jeopardize the validity of the application or document or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true; and all statements made on information and belief are believed to be true.

(Signature)

(Print or Type Name and Position)

(Date)

Conclusion

If applicant has questions about its application or needs assistance in responding to this Office action, please telephone the assigned trademark examining attorney.

/tfrazier/
Tamara G. Frazier
Trademark Examining Attorney
Law Office 116
Phone: (571) 272-8256
Fax: (571) 273-8256

RESPOND TO THIS ACTION: Applicant should file a response to this Office action online using the form at <http://www.uspto.gov/teas/eTEASpageD.htm>, waiting 48-72 hours if applicant received notification of the Office action via e-mail. For *technical* assistance with the form, please e-mail TEAS@uspto.gov. For questions about the Office action itself, please contact the assigned examining attorney. **Do not respond to this Office action by e-mail; the USPTO does not accept e-mailed responses.**

If responding by paper mail, please include the following information: the application serial number, the mark, the filing date and the name, title/position, telephone number and e-mail address of the person signing the response. Please use the following address: Commissioner for Trademarks, P.O. Box 1451, Alexandria, VA 22313-1451.

STATUS CHECK: Check the status of the application at least once every six months from the initial filing date using the USPTO Trademark Applications and Registrations Retrieval (TARR) online system at <http://tarr.uspto.gov>. When conducting an online status check, print and maintain a copy of the complete TARR screen. If the status of your application has not changed for more than six months, please contact the assigned examining attorney.

*** User:Tfrazier ***

#	Total Marks	Dead Marks	Live Viewed Docs	Live Viewed Images	Status/ Search Duration	Search
01	2944562	N/A	0	0	0:03	dead[ld]
02	1528	N/A	0	0	0:02	"idea"[bi,ti]
03	1296	N/A	0	0	0:04	2 and ("009" "016" "036")[cc]
04	552	N/A	0	0	0:01	3 not 1
05	205	0	205	126	0:02	4 and ("009" "016" "036" a b 200)[ic]
06	2116	N/A	0	0	0:02	*idea*[bi,ti] not (1 5)
07	1665	N/A	0	0	0:01	6 and ("009" "016" "036")[cc]
08	471	0	8	299	0:02	6 and ("009" "016" "036" a b 200)[ic]

Session started 8/21/2008 3:58:35 PM

Session finished 8/21/2008 4:47:14 PM

Total search duration 0 minutes 17 seconds

Session duration 48 minutes 39 seconds

Default NEAR limit=1ADJ limit=1

Sent to TICRS as Serial Number: 76689338

FILING RECEIPT FOR TRADEMARK APPLICATION

May 17, 2008

This acknowledges receipt on the FILING DATE of the application for registration for the mark identified below. The FILING DATE is contingent upon all minimum filing date requirements being met. Your application will be considered in the order in which it was received. Please review the status of your application every six months from the filing date of your application. You can check the status of your application on-line at <http://tarr.uspto.gov/>, or by contacting the Trademark Assistance Center at 1-800-786-9199. Also, documents in the electronic file for pending applications can be viewed and downloaded at <http://www.uspto.gov/>.

DAVID M. KELLY
Finnegan, Henderson, Farabow, Garrett
901 New York Avenue, N.W.
Washington, DC 20001-4413

**ATTORNEY
REFERENCE NUMBER**
08090.0031

PLEASE REVIEW THE ACCURACY OF THE FILING RECEIPT DATA.

A request for correction to the filing receipt should be submitted within 30 days. Such requests may be submitted by mail to: COMMISSIONER FOR TRADEMARKS, P.O. BOX 1451, ALEXANDRIA, VIRGINIA 22313-1451; by fax to 571-273-9913; or by e-mail to tmfiling_receipt@uspto.gov. The USPTO will review the request and make corrections when appropriate.

SERIAL NUMBER: 76/689338
FILING DATE: May 6, 2008
REGISTER: Principal
MARK: IDEA
MARK TYPE(S): Trademark; Service Mark
DRAWING TYPE: Standard Character Mark
FILING BASIS: Sect. 1(b) (Intent to Use)

ATTORNEY: David M. Kelly
OWNER: U.S. Securities and Exchange Commission (UNITED STATES, Federal Agency)
100 F Street, N.E.
Washington, D.C. 205499612

FOR: Computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the US Securities and Exchange Commission; computer software for use in searching, manipulating, and analyzing financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission; downloadable electronic publications in the nature of manuals for computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the US Securities and Exchange Commission and for searching, manipulating, and analyzing such filings
INT. CLASS: 009
FIRST USE: NONE USE IN COMMERCE: NONE

FOR: Printed publications, namely, manuals for computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the Securities and Exchange Commission and for searching, manipulating, and analyzing such filings
INT. CLASS: 016
FIRST USE: NONE USE IN COMMERCE: NONE

FOR: Financial information provided by electronic means, namely, the receipt, acceptance, compilation, and dissemination of information in the nature of financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission; providing on-line and electronic computer databases in the nature of financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission
INT. CLASS: 036
FIRST USE: NONE USE IN COMMERCE: NONE

ALL OF THE GOODS/SERVICES IN EACH CLASS ARE LISTED

ADDITIONAL INFORMATION MAY BE PRESENT IN THE USPTO RECORDS

Applicant: U.S. Securities and Exchange Commission

Address: 100 F Street, N.E.
Washington, D.C. 20549-9612

Attorneys: David M. Kelly
Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
901 New York Avenue, N.W.
Washington, D.C. 20001-4413
Telephone: (202) 408-4000

Attorney Docket: 08090.0031

Goods/Services: Computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the US Securities and Exchange Commission; computer software for use in searching, manipulating, and analyzing financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission; downloadable electronic publications in the nature of manuals for computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the US Securities and Exchange Commission and for searching, manipulating, and analyzing such filings, in Class 9

Printed publications, namely, manuals for computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the Securities and Exchange Commission and for searching, manipulating, and analyzing such filings, in Class 16

Financial information provided by electronic means, namely, the receipt, acceptance, compilation, and dissemination of information in the nature of financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission; providing on-line and electronic computer databases in the nature of financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission, in Class 36.

IDEA



05-06-2008

U.S. Patent & TMO/c/TM Mail Rcpt. Dt. #51



76689338

76689338

TRADEMARK APPLICATION SERIAL NO.-----

U.S. DEPARTMENT OF COMMERCE
AND PATENT AND TRADEMARK OFFICE
FEE SHEET

05/07/2008 EHARLEY 00000665 060916 76689338

01 FC:6001 375.00 DA



FINNEGAN
HENDERSON
FARABOW
GARRETT &
DUNNER LLP



101 New York Avenue, NW ▪ Washington, DC 20001-4413 ▪ 202.408.4000 ▪ Fax 202.408.4400
www.finnegan.com

DAVID M. KELLY

202.408.4050

david.kelly@finnegan.com

May 6, 2008

COMMISSIONER FOR TRADEMARKS
2900 Crystal Drive
Arlington, Virginia 22202-3514

ATTN: BOX NEW APP FEE

New U.S. Trademark/Service Mark Application
Mark: IDEA
Applicant: U.S. Securities and Exchange Commission
Attorney Docket: 08090.0031

Sir:

We enclose the following for filing in the U.S. Patent and Trademark Office:

1. A written application based on intent-to-use under Section 1(b);
2. A drawing of the mark;
3. Petition for Waiver of Fees under 37 C.F.R. § 2.146.

If the enclosed Petition for Waiver of Fees is denied, please charge the filing fee to Deposit Account No. 06-0916.

Respectfully submitted,

David M. Kelly

DMK/jm

Enclosures: As Stated

1586339_1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mark: IDEA

International Classes: 9, 16, and 36

**TO THE ASSISTANT COMMISSIONER FOR TRADEMARKS
P.O. Box 1451
Alexandria, Virginia 22313-1451**

Applicant: U.S. Securities and Exchange Commission
United States Federal Agency

Address: 100 F Street, N.E.
Washington, D.C. 20549-9612

PETITION FOR WAIVER OF FEES UNDER 37 C.F.R. § 2.146

Applicant requests waiver of all fees associated with this application, including the fee for this petition and any registration issuing from it.


Applicant is a United States Federal Agency, and as such waiver of these fees is appropriate under § 31(b) of the Trademark Act of July 5, 1946, 15 U.S.C. § 1113(b).

If this Petition is denied, Applicant requests the Commissioner to accept this application, to give it a filing date, and to charge the filing fee to our Deposit Account No. 06-0916.

Respectfully submitted,

U.S. SECURITIES AND EXCHANGE
COMMISSION
United States Federal Agency

Date: May 6, 2008

By: 
David M. Kelly
Attorney for Applicant

1586337_1

05/07/2008 EHARLEY 00000065 060916 76689338
02 FC:6005 100.00 DA

Adjustment date: 05/08/2008 KGIBBONS
05/07/2008 EHARLEY 00000065 060916 76689338
02 FC:6005 100.00 CR

Adjustment Date: 05/08/2008 KGIBBONS
05/07/2008 EHARLEY 00000065 060916 76689338
02 FC:6005 100.00 CR

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR TRADEMARK/SERVICE MARK REGISTRATION**

Mark: IDEA

International Classes: 9, 16, and 36

**Commissioner for Trademarks
P.O. Box 1451
Alexandria, Virginia 22313-1451**

Applicant: U.S. Securities and Exchange Commission
United States Federal Agency

Address: 100 F Street, N.E.
Washington, D.C. 20549-9612

The applicant requests registration of the mark shown in the accompanying drawing in the U.S. Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946, 15 U.S.C. §§ 1051 et seq. as amended, for the following goods and services:

Computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the US Securities and Exchange Commission; computer software for use in searching, manipulating, and analyzing financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission; downloadable electronic publications in the nature of manuals for computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the US Securities and Exchange Commission and for searching, manipulating, and analyzing such filings, in Class 9

Printed publications, namely, manuals for computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the Securities and Exchange Commission and for searching, manipulating, and analyzing such filings, in Class 16

Financial information provided by electronic means, namely, the receipt, acceptance, compilation, and dissemination of information in the nature of financial filings, financial disclosures, and other submissions made to the

US Securities and Exchange Commission; providing on-line and electronic computer databases in the nature of financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission, in Class 36.

The applicant has a bona fide intention to use the mark in commerce on and in connection with the goods and services recited above. 15 U.S.C. § 1051(b).

The mark is presented in standard character format without claim to any particular font style, size, or color.

The applicant hereby appoints David M. Kelly, and each of the attorneys of Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P., all of 901 New York Avenue, N.W., Washington, D.C. 20001-4413, both jointly and separately as its attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent and Trademark Office connected therewith, to receive all correspondence, and to receive the certificate of registration.

All correspondence relating to the application should be directed to David M. Kelly at the address identified above.

The undersigned, being warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of this application or any resulting registration, declares that he/she is properly authorized to execute this application on behalf of the applicant; he/she believes the applicant to be entitled to use in commerce the mark sought to be registered; to the best of his/her knowledge and belief no other person, firm, corporation, or association has the right to use the mark in commerce, either in the identical form or in such near resemblance thereto as may be likely, when applied to the goods or services of such other person, to cause confusion, or to cause

mistake, or to deceive; all statements made herein of his/her own knowledge are true;
and all statements made herein on information and belief are believed to be true.

U.S. SECURITIES AND EXCHANGE
COMMISSION

By: _____

Name: _____

Title: _____

Date: _____

1586333_1

Applicant: U.S. Securities and Exchange Commission

Address: 100 F Street, N.E.
Washington, D.C. 20549-9612

Attorneys: David M. Kelly
Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
901 New York Avenue, N.W.
Washington, D.C. 20001-4413
Telephone: (202) 408-4000

Attorney Docket: 08090.0031

Goods/Services: Computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the US Securities and Exchange Commission; computer software for use in searching, manipulating, and analyzing financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission; downloadable electronic publications in the nature of manuals for computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the US Securities and Exchange Commission and for searching, manipulating, and analyzing such filings, in Class 9

Printed publications, namely, manuals for computer software for use in the compiling, editing, validating, and transmitting of electronic filings to the Securities and Exchange Commission and for searching, manipulating, and analyzing such filings, in Class 16

Financial information provided by electronic means, namely, the receipt, acceptance, compilation, and dissemination of information in the nature of financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission; providing on-line and electronic computer databases in the nature of financial filings, financial disclosures, and other submissions made to the US Securities and Exchange Commission, in Class 36.

IDEA



05-06-2008

U.S. Patent & TMO/c/TM Mail Rcpt. Dt. #51



76689338