

## Supplementary Know Your Client (KYC) Form

The Application Form should be completed in BLOCK LETTER only.

DATE :        /        /

## 1. UNIT HOLDER INFORMATION

**a. EXISTING UNIT HOLDER INFORMATION** (If you have existing folio, please fill in section 1 and proceed to section 3)

Folio No.

The details in our records under the folio number mentioned alongside will apply for this application.

## b. NAME OF FIRST / SOLE APPLICANT

Mr.	Ms.	M/s.
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Application Form No.

## 2. FIRST/ SOLE APPLICANT OTHER DETAILS (Mandatory) (Refer instruction 1)

a. Status of First/ Sole Applicant [Please tick (✓)] ☒ Individual ☐ Non - Individual [Please attach mandatory Ultimate Beneficial Ownership (UBO) Declaration Form] (Refer Instruction 2)

☐ Resident Individual ☐ NRI-Repatriation ☐ NRI-Non Repatriation ☐ Partnership ☐ Trust ☐ HUF ☐ AOP ☐ PIO ☐ Company ☐ Flls ☐ Minor through guardian ☐ BOI ☐ OCI

☐ Body Corporate ☐ LLP ☐ Society / Club ☐ Foreign National Resident in India ☐ QFI ☐ FPI ☐ Sole Proprietorship ☐ Non Profit Organisation ☐ Others (please specify)

**b. Occupation Details [Please tick (✓)]**    ☐ Service    ☐ Private Sector    ☐ Public Sector    ☐ Government Service    ☐ Student    ☐ Professional    ☐ Housewife    ☐ Business

☐ Retired    ☐ Agriculture    ☐ Proprietorship    ☐ Others (please specify) \_\_\_\_\_

c. Gross Annual Income (Rs.) [Please tick (✓)] ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

OR

**c. Net-worth** (Mandatory for Non-Individuals) Rs. \_\_\_\_\_ as on \_\_\_\_\_ (Not older than 1 year)

d. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

**e. Non-Individual Investors involved/ providing any of the mentioned services**

e. Non-Individual Investors involved/ providing any of the mentioned services ☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services  
☐ Money Lending / Pawning ☐ None of the above

## 3. DETAILS OF SECOND APPLICANT. If any (Refer instruction 1)

a. Occupation Details [Please tick (✓)] ☐ Service ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional ☐ Housewife ☐ Business

☐ Retired    ☐ Agriculture    ☐ Proprietorship    ☐ Others (please specify) \_\_\_\_\_

b. Gross Annual Income (Rs.) ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore OR Net worth Rs.

c. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

## 4. DETAILS OF THIRD APPLICANT, If any (Refer instruction 1)

**a. Occupation Details [Please tick (✓)]**    ☐ Service    ☐ Private Sector    ☐ Public Sector    ☐ Government Service    ☐ Student    ☐ Professional    ☐ Housewife    ☐ Business

☐ Retired    ☐ Agriculture    ☐ Proprietorship    ☐ Others (please specify) \_\_\_\_\_

b. Gross Annual Income (Rs.) ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore OR Net worth Rs.

c. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

## DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We aware that I/We may be held liable for the same.

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

### Acknowledgement Slip - Supplementary know your client form

## Application

Received Form

Date \_\_\_\_\_

## INSTRUCTIONS

- 1. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/network and Politically Exposed Person (PEP)\* status mentioned under section 2 & 3 which was forming part of uniform KYC form are now part of the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for first/sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.**

**The said details are mandatory for both Individual and Non Individual applicants.**

\*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

- ## 2. Ultimate Beneficial Owners(s)

Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of '**Ultimate Beneficial Owner(s) (UBO(s))**'. In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided. Non-individual applicants/investors are mandated to provide the details on '**Ultimate Beneficial Owner(s) (UBO(s))**' by filling up the declaration form for '**Ultimate Beneficial Ownership**'. Please contact the nearest Investor Service Centre (ISC) of Taurus Mutual Fund or log on to '**Download**' section on our website [www.taurusmutualfund.com](http://www.taurusmutualfund.com) for the Declaration Form