



**TITLE: Supplier Size and Classification Certification**

**DOCUMENT #: 1009618**

**REV #: A**

**DOCUMENT CLASS: K-PURCH FRM**

**RESPONSIBLE DEPT: Purchasing**

**REF.**

**To: All suppliers of K&L Microwave, Inc.:**

In order to enter your company into our supplier database, we need to verify your business size and classification. We ask your cooperation by completing and returning this form. Your prompt response will avoid unnecessary delays in future business transactions. Please refer to Page 2 for business status definitions.

COMPANY NAME

DIVISION OR SUBSIDIARY (if applicable)

(CITY)

(STATE)

(ZIP CODE)

**Please check all appropriate categories.**

☐ **SMALL BUSINESS CONCERN**

(To check this category you must conform to definition No. 1 on page 2.)

☐ **SMALL DISADVANTAGED BUSINESS CONCERN**

(To check this category you must conform to definition No. 1 and 2 on page 2.)

☐ **WOMAN-OWNED SMALL BUSINESS CONCERN**

(To check this category you must conform to definition No. 1 and 3 on page 2.)

☐ **VETERANOWNED SMALL BUSINESS CONCERN**

(To check this category you must conform to definitions No. 1 and 4 on page 2.)

☐ **SERVICE DISABLED VETERANOWNED SMALL BUSINESS CONCERN**

(To check this category you must conform to definitions No. 1 and 5 on page 2.)

☐ **HUBZONE SMALL BUSINESS CONCERNS**

(To check this category you must conform to definition No. 1 and 6)

☐ **HISTORICALLY BLACK COLLEGE OR UNIVERSITY AND MINORITY INSTITUTION**

(To check this category you must conform to definition No. 7 on page 2.)

☐ **NON-PROFIT ORGANIZATION**

(To check this category you must conform to definition No. 8 on page 2.)

☐ **FOREIGN OWNED BUSINESS CONCERN**

(To check this category you must conform to definition No. 9 on page 2.)

☐ **LARGE BUSINESS CONCERN**

(To check this category you must conform to definition No. 10 on page 2.)

☐ **SMALL DISADVANTAGED WOMEN-OWNED BUSINESS CONCERN**

(To check this category you must conform to definition Nos. 1, 2 and 3 on page 2.)

Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small, HUBZone small, small disadvantaged, or women-owned small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall (i) Be punished by imposition of fine, imprisonment or both; (ii) Be subject to administrative remedies, including suspension and debarment; and (iii) Be ineligible for participation in programs conducted under the authority of the Act.

CERTIFICATION BY AN AUTHORIZED REPRESENTATIVE  
VERIFYING THE INFORMATION CONTAINED ON THIS FORM.

RETURN THIS FORM TO:

**K&L Microwave, Inc.**

ATTENTION: Purchasing Manager

DATE:

AUTHORIZED SIGNATURE:

2250 Northwood Drive, Salisbury, MD 21801

PRINTED NAME:

TITLE:



## **SUPPLIER SIZE AND CLASSIFICATION CERTIFICATION/RECERTIFICATION**

### **Definitions**

#### **1. Small Business Concern**

As defined in FAR 19.001, "Small business concern" means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on government contracts, and qualified as a small business under the criteria and size standards in 13 CFR part 121 (see 19.102). Such a concern is "not dominant in its field of operation" when it does not exercise a controlling or major influence on a national basis in a kind of business activity in which a number of business concerns are primarily engaged. In determining whether dominance exists, consideration shall be given to all appropriate factors, including volume of business, number of employees, financial resources, competitive status or position, ownership or control of materials, processes, patents, license agreements, facilities, sales territory, and nature of business activity.

#### **2. Small Disadvantaged Business Concern**

As defined in FAR 52.219-23, "Small disadvantaged business concern" means an offeror that represents, as part of its offer, that it is a small business under the size standard applicable to this acquisition; and either— (1) It has received certification by the Small Business Administration as a small disadvantaged business concern consistent with 13 CFR Part 124, subpart B; and

- (i) No material change in disadvantaged ownership and control has occurred since its certification;
  - (ii) Where the concern is owned by one or more disadvantaged individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and
  - (iii) It is identified, on the date of its representation, as a certified small disadvantaged business concern in the database maintained by the Small Business Administration (PRO-Net).
- (2) It has submitted a completed application to the Small Business Administration or a Private Certifier to be certified as a small disadvantaged business concern in accordance with 13 CFR Part 124, subpart B, and a decision on that application is pending, and that no material change in disadvantaged ownership and control has occurred since its application was submitted, in this case, in order to receive the benefit of a price evaluation adjustment, an offeror must receive certification as a small disadvantaged business concern by the Small Business Administration prior to contract award; or
- (3) Is a joint venture as defined in 13 CFR 124.1002(f).

#### **3. Women-Owned Small Business Concern**

As defined in FAR 52.219-1, a small business concern that is at least 51% owned by one or more women; or, in the case of any publicly owned business, at least 51% of the stock of which is owned by one or more women, and whose management and daily business operations are controlled by one or more women.

#### **4. Veteran-Owned Small Business Concern**

As defined in FAR 52.219-1, a small business concern that is at least 51% owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans and whose management and daily business operations are controlled by one or more veterans.

#### **5. Service-Disabled Veteran-Owned Small Business Concern**

As defined in FAR 52.219-1, a small business concern that is at least 51 percent owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans and whose management and daily business operations are controlled by one or more service-disabled veterans or, in the case of a veteran with a permanent and severe disability, the spouse or permanent caregiver of such veteran. Service-disabled veteran is as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).

#### **6. HUBZone Small Business Concern**

As defined in FAR 52.219-4, a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the SBA.

#### **7. Historically Black College or University or Minority Institution**

As defined in FAR 52.219-23, an institution determined by the Secretary of Education to meet the requirements of 34 CFR 608.2 for DoD, NASA, and the Coast Guard; the term also includes any nonprofit research institution that was an integral part of such a college or university before November 14, 1986. Minority Institution means an institution of higher education meeting the requirements of Section 1046(3) of the Higher Education Act of 1965 (20 U.S.C. 1067k, including a Hispanic-serving institution of higher education as defined in Section 316(b)(l) of the Act (20 U.S.C. 1101a))."

#### **8. Non-profit Organization**

An organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. 501(c)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 U.S.C. 501(a)), or any nonprofit scientific or educational organization qualified under a State nonprofit organization statute.

#### **9. Foreign Owned Business Concern**

A business concern organized or existing under the laws of a country other than the United States, its territories, or possessions.

#### **10. Large Business Concern**

A concern that does not qualify as a small business concern under the criteria and size standards in 13 CFR, Part 121.

CFR = Code of Federal Regulations  
FAR = Federal Acquisition Regulations

SBA = Small Business Administration  
USC = United States Code