



SEAGROATT & CAMPBELL SECURITIES SDN BHD (134631-U)
 (A Participating Organisation of Bursa Malaysia Securities Bhd)

11th - 14th FLOOR, CHULAN TOWER, NO. 3, JALAN CONLAY, 50450 KUALA LUMPUR.
 Tel: 03-2168 8800 FAX: 03-2168 8840

Application for Opening of Share Trading Account – INDIVIDUAL

To expedite processing, please enclose:
 a) Photocopy of IC (both sides)/passport
 b) Latest Income Tax Return Form J
 c) Latest salary slip/confirmation from employer
 d) Last 6 months bank statements
 e) Photocopy of fixed deposit certificates

1. Your Personal Data (IN CAPITAL LETTERS) – (Applicant must be above 18 years old)

Full Name (as per NRIC / Passport) _____

Date of Birth _____ NRIC / Passport No. _____ Nationality _____ Marital Status _____

Residence Address _____
 _____ Postcode _____

Postal Address _____
 _____ Postcode _____

Email Address _____ Tel. No. _____

Own Rented Company Parents Mortgaged

2. Your Employer

Name of Employer _____ Nature of Business _____

Office Address _____
 _____ Postcode _____

Position _____ Years of employment _____ Office Tel. No. _____

Gross Annual Income Below RM24K RM24K - RM48K RM48K - RM60K
 RM60K - RM100K RM100K-RM300K Above RM300K

Estimated Net Worth RM: _____

3. Your Spouse

Name of Spouse _____ I/C / Passport No. _____

Name of Employer _____ Nature of Business _____

Office Address _____
 _____ Postcode _____

Position _____ Years of employment _____ Office Tel. No. _____

Gross Annual Income Below RM24K RM24K - RM48K RM48K - RM60K
 RM60K - RM100K RM100K - RM300K Above RM300K

4. Investment Objective

Short Term Long Term Dividend Interest Capital Gain

Others (Please specify) _____

5. Other Information

- I. Do you know any Dealer/Remisier Yes No
- II. If yes, how long have you known the Dealer/Remisier _____ Years
- III. Years of experience in or knowledge of securities trading _____ Years
- IV. Do you have trading account with other broker Yes No
- V. Are you related to any staff/ director/ dealer or Remisiers of KAF-Seagroatt & Campbell Securities Sdn. Bhd. Yes No

If yes, please provide the following details:

Name	Designation	Relationship

6. Your Credit Reference

Current / Savings / Fixed Deposit Accounts

Bank	Branch	Type of A/c.	Account No.
I. _____	_____	_____	_____
II. _____	_____	_____	_____

7. Declaration By Applicant

I declare that the information stated in this application form is true and correct and have no objection for the company to make additional inquiries on any of the above matter.

Client's declaration on AMLA I/We am/are fully aware of the provisions of the Anti-Money Laundering Act 2001 (AMLA) and hereby declare that all information given hereunder is/are true and accurate and further agree that I/we shall, upon request by the Company, provide the Company with all the relevant documents to verify, including but not limited to my/our identity, domicile, legal capacity, occupation, etc.

I/We further agree and undertake that I/we will, on demand pay and make good to the Company the amount of any and all losses, damages and expenses which may be sustained or incurred by the Company in respect of any contravention by me/us of any provisions of AMLA.

I further declare that I have read KAF-Seagroatt & Campbell Securities Sdn Bhd's **Terms & Conditions For Operating A Securities Trading A/c** and agree to be bound by such terms and conditions at all times and to any new terms that may be impose by the company at its absolute discretion.

That I/we hereby consent to the collection and processing of my/our Personal Data in accordance with the Privacy Statement set out in KAF's Corporate Portal Website at www.kaf.com.my

Date _____ Signature of Applicant _____
(in presence of KAF executive/commissioner of oath/bank manager)

8. Dealer's/Remisier's Undertaking

In consideration of the company opening a share Trading Account for Mr / Mrs / Miss _____ NRIC / Passport No. _____ being the remisier / dealer for the above account and hereby undertake to be fully responsible and liable for any liabilities that may arise from the non-performance or default of any of the terms and condition for operating a securities trading account with KAF-Seagroatt & Campbell Securities Sdn. Bhd. I recommend a trading limit of **RM** _____

Date : _____ Dealer's/Remisier's Signature : _____

For Office Use

Client code _____ CDS A/c No. _____ Dealer/Remisier _____ Brokerage _____

Comments : _____

Processed by : _____ Approved by : _____
Date : _____ Date : _____

RULE 5.15

DISCLOSURE AND DECLARATION BY CLIENT FOR OPENING OF TRADING ACCOUNT

Name of Participating Organisation : **KAF Seagroatt & Campbell Securities Sdn Bhd**
(A Participating Organisation of Bursa Malaysia Securities Bhd)

Name of client :

NRIC/Company No. :

Client Account No. :

Address :

Name of Authorised Signatory(ies) :

Designation of Authorised Signatory(ies) :

I/We declare that the dealing in securities in respect of my/our trading account are/shall be carried out -

For me/us as principal

AS AN AUTHORISED NOMINEE for and on behalf of the party(ies) of which the identity(ies) as per list attached.

AS AN EXEMPT AUTHORISED NOMINEE for and on behalf of the party(ies) of which the identity(ies) and information shall be made readily available to the relevant authorities.

We further declare that we are aware of our obligation, as per Rule 5.15 (8) of Bursa Malaysia, to disclose information and to provide documents in relation to any dealing in securities in respect of our trading account. In event of a breach to the Rule, the Exchange may direct KAF-Seagroatt & Campbell Securities Sdn Bhd to refrain me/us from trading and/or from effecting any dealing in securities.

The Exchange may impose any other terms and conditions it deems fit.

Applicant(s) Signature

Witness Signature

Name :

NRIC No. :

Date

:



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(A Participating Organisation of Bursa Malaysia Securities Bhd)

Fax : 03-2168 8840

NOTIFICATION OF E-MAIL ADDRESS

Client's Name		Client's Code	
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I/We wish to apply for the E-Statement service and hereby notify you of my/our e-mail address.

E-mail address: _____

The above e-mail address shall remain in force until I/we notify the Company otherwise.

Thank you

Client's Signature
NRIC No. :

Date

Witness Signature: _____
Name:
NRIC No:

Date

FOR OFFICE USE	
Verified By : _____	Date : _____
Approved By : _____	Date : _____
Data Entry By : _____	Date : _____



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(A Participating Organisation of Bursa Malaysia Securities Bhd)

11th - 14th FLOOR, CHULAN TOWER, NO. 3, JALAN CONLAY, 50450 KUALA LUMPUR.

Tel: 03-2168 8800 FAX: 03-2168 8840

TERMS AND CONDITIONS FOR OPERATING A SECURITIES TRADING ACCOUNT WITH KAF- SEAGROATT & CAMPBELL SECURITIES SDN BHD

In consideration of KAF-Seagroatt & Campbell Securities Sdn Bhd (134631-U) (hereinafter called "KAF") agreeing to open a securities trading account for the client or account holder (hereinafter called "the Client") on the Client's request, the Client hereby covenants, undertakes and agrees to the following terms and conditions:-

1. Laws, Rules and Regulations

- 1.1 The Client have read and understood or is deemed to have read and understood all the laws, rules and regulations governing the trading of securities quoted on the Bursa Malaysia Securities Bhd (BMSB) and agrees to be bound by such laws, rules, and regulations at all times. These laws, rules and regulations are incorporated under the following regulations:-
 - 1.1.1 The Capital Market and Services Act 2007 (CMSA)
 - 1.1.2 The Securities Industry (Central Depositories) Act 1991
 - 1.1.3 The rules of Bursa Malaysia Securities
 - 1.1.4 The rules of Bursa Malaysia Depository
 - 1.1.5 The Securities Commission Act 1993
 - 1.1.6 Any other laws, rules and regulation governing the trading of securities quoted on the BMSB
- 1.2 The Client also undertakes and agrees to be legally bound by any future amendments or changes to the above laws, rules and regulations.

2. Opening of Trading Account

- 2.1 The Client have submitted the formal Opening Of Account Form to KAF and declares that all the information stated in the form is true and correct.
 - 2.1.1 The Client have submitted the following documents together with the Opening Of Account Form:-
 - 2.1.1.1 Photocopy of NRIC or International Passport (for non-Malaysian)
 - 2.1.1.2 Last 3 years Income Tax Form 'B' or 'J'
 - 2.1.1.3 Last 6 months Bank Statement of Account & Last 2 month's salary slip
 - 2.1.2 For corporations
 - 2.1.2.1 Certificate of Incorporation
 - 2.1.2.2 Register of Directors, Managers and Secretaries (Form 49), Situation Registered Office (Form 44), Return of Allotment of Shares (Form 24)
 - 2.1.2.3 Memorandum and Article of Association
 - 2.1.2.4 Last 3 years Audited Accounts & Last 6 months Bank Statement of Account
 - 2.1.2.5 Board Resolution:-
 - 2.1.2.5.1 Authorizing Opening of Share Trading Account with KAF
 - 2.1.2.5.2 Names of Personnel authorized to operate the share Trading Account
 - 2.1.2.5.3 Names of Personnel authorized to sign all documents relating to the opening of account
- 2.2 The Client agrees that the acceptance and continuation of this account shall be entirely at the discretion of KAF and that the operations of this account can be terminated by KAF entirely at KAF's own discretion and without giving any reasons/notice whatsoever.
- 2.3 The Client declares that this account is for personal use and not for the benefits of any third party.

3. Trading and Confirmation

- 3.1 The Client shall trade through an appointed Dealer or Remisier who is licensed with a Dealer's Representative License issued under the Capital Market and Services Act 2007 (CMSA)
- 3.2 The Client shall give the orders through the telephone, facsimile, telex, in person or through other electronic devices.
- 3.3 The order is deemed to have been entered and executed if it is confirmed by BMSB.

4. Contract Notes

- 4.1 The contract note is deemed to have been received by the Client if the same is sent by ordinary postal services or any other modes of delivery.
- 4.2 The contract note shall be delivered by ordinary post or any other modes of delivery to the last known address of the Client in KAF's record. Any changes in the Client's address must be notified in writing by the Client to KAF.
- 4.3 In the absence of gross technical or typographical error the contents of the contract notes are deemed to be correct unless notified by the client and the original contract note returned to KAF within 4 business days from the date of contract.
- 4.4 Notwithstanding the above KAF reserves the absolute right to make any adjustment and/or amendment to the contract note arising from any technical or typographical error on the part of KAF.

5. Fixed Delivery & Settlement System

- 5.1 The Client agrees to be bound by the BMSB rules on the Fixed Delivery & Settlement System (hereinafter called "the FDSS") for all deliveries and settlement of contracts transacted on the BMSB and to any future changes in the FDSS.
 - 5.1.1 Buying-in and Selling-out
 - 5.1.1.1 Where the Client have failed to deliver securities sold on due date, KAF reserves the absolute right to buy-in against the Client without giving any notice to the Client.
 - 5.1.1.2 Where the Client have failed to settle a purchase contract on due date, KAF reserves the absolute right to sell-out such shares without giving any notice to the Client.

6. Delivery of Securities.

- 6.1 The Client undertakes to deliver securities which are deemed "good for delivery" under BMSB rules.
- 6.2 The Client declares that it has good title to the securities delivered or that it has beneficial interest in the securities delivered.
- 6.3 In circumstances where the securities delivered by the Client are not good for delivery under the BMSB rules, the Client undertakes to make good the delivery of the same, failing which the Client will be subjected to the buying-in as mentioned above.
- 6.4 In circumstances where the securities delivered by the Client are discovered to be not good for delivery for any reasons whatsoever after the contract had been settled, the Client undertakes to make good such delivery notwithstanding the settlement of such contract. If after receiving such notice from KAF the client fails to make good the delivery, KAF reserves the absolute right to buy-in the securities against the Client.

7. Payment Due to Client.

- 7.1 KAF reserves the right to withhold any payment due to the Client and to set-off such payment against any outstanding liability of the Client without giving any notice whatsoever to the Client.

8. Receipt from Client.

- 8.1 All receipts from the Client for any outstanding purchases or other payment can be in form of cash, cheques and/or other modes of payment acceptable by KAF.
- 8.2 All cheques and other negotiable instruments must be of current dates and no post-dated cheques will be accepted.
- 8.3 KAF reserves the right to clear all cheques received before crediting the proceeds to the Client's account.
- 8.4 Bank charges must be included for all cheques drawn on outstation bankers but KAF reserves the right to accept or reject such mode of payment. All payments must be acknowledged by KAF's official receipt as evidence of payment.
- 8.5 KAF reserves the right to "mark" the Client's cheques whenever it considers fit.

9. Interest on Late Payment.

- 9.1 KAF is entitled to charge the Client interest for any payment whatsoever that is overdue from the Client.
- 9.2 The interest rate charged is at KAF's prevailing rate (currently stands at 13.5% per annum) and KAF reserves the absolute right to vary the interest rate charged without notice at any time and from time to time and they shall become effective on such date as KAF may elect to adopt.

10. Advance Deposit/Payment.

- 10.1 KAF reserves the right to demand for advance deposit/payment from the Client for any purchases by giving appropriate notice.
- 10.2 KAF reserves the right to decide at its absolute discretion that the advance deposit/payment may be for the total sum of the purchase contract value or for a percentage thereof.

11. Contra Trade

- 11.1 KAF has the absolute discretion whether to allow the Client to trade on contra basis or otherwise by giving the appropriate notice and subject to the terms and conditions imposed by KAF from time to time. KAF shall be entitled to charge contra charges on all contra transactions at the rate to be determined by KAF in its absolute discretion.

- 12. Contra Payment**
- 12.1 All contra losses and contra charges are due and payable immediately upon realization of the same.
- 12.2 Interest at KAF prevailing rate shall be charged on the Client's account for any delay in payment of contra losses and contra charges.
- 12.3 All-payment of contra gains will be payable if the Client has no outstanding position or liability with KAF.
- 12.4 KAF reserves the right to set-off the contra gains against any outstanding liability in the Client's account without giving any notice to the Client.
- 13. Indemnity**
- 13.1 The Client shall be liable to pay KAF all legal costs (including but not limited to legal costs on a solicitor and client basis), charges, penalties and expenses which KAF may incur in enforcing or seeking to enforce these terms and conditions or in obtaining or seeking to obtain payment of all or any part of the monies owing by the Client.
- 13.2 The Client further undertakes to hold KAF harmless and to indemnify KAF against any liability for loss, damage, cost, penalties and expenses (legal or otherwise) which KAF may incur by reason of provisions herein or in the enforcement of its rights hereunder.
- 14. Application of Payments**
- 14.1 Payments by the Client to KAF shall not be considered to have been made until the relevant funds have been received for the value by KAF. All payments by cheques must include bank charges where applicable. Payments made by the Client to KAF whether by direct debit to the Client's account or otherwise will be applied in the following order:-
- 14.1.1 In or towards payment of all billings and charges.
- 14.1.2 In or towards payment of all late payment charges or interest and
- 14.1.3 In or towards repayment of all principal amounts (including contra losses and/or other losses) in such order and/or manner as KAF may at its sole and absolute discretion deem fit.
- 15. Set-Off**
- 15.1 KAF shall at its absolute discretion apply (without notice) at any time and from time to time all sales proceed, contra gains, dividend, pledged securities, trust money and any monies due to the Client towards satisfaction of losses howsoever suffered, billings and/or any other amount due and payable by the Client to KAF.
- 16. Waiver**
- 16.1 KAF's acceptance of late payments or partial payments or cheques or money orders marked as constituting payment in full or any waiver by KAF of its rights or indulgence granted to the Client shall not operate to prevent KAF from enforcing any of its rights under the terms and conditions to collect the amounts due hereunder nor shall such acceptance operate as consent to the modification of these terms and conditions in any respect.
- 17. Force Majeure**
- 17.1 Without Prejudice to any of the provisions of these terms and conditions, the Client agrees not to hold KAF liable in any event that KAF is unable to perform in whole or in part any of its obligations under these terms and conditions, attributable directly or indirectly to the failure of any mechanical or electronic device, data processing system, transmission line, electrical failure, industrial dispute, unconfirmed trade, any act beyond any KAF control or due to any factor in a nature of a force majeure.
- 18. Severability**
- 18.1 If any of the provisions of these terms and conditions become invalid, illegal or unenforceable in any respect under any law the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired.
- 19. Disclosure**
- 19.1 The Client hereby expressly give its consent and authorises KAF as and when KAF may at its absolute discretion deem fit and necessary to do the following things hereinafter set forth without reference to the Client:-
- 19.1.1 to give, produce, divulge, reveal, publish or otherwise disclose, or make a record of relating to the Client's account and such other information or document whatsoever relating to the Client's affairs or accounts (including the statement of accounts in relation thereto) and any other credit facility which the Client may have with KAF to any person who has guaranteed or may guarantee or otherwise has provided security or may provide security in institution, association, credit bureau, credit agencies, financial institution or other entity which may be conducting a credit check on the Client, The BMSB, Securities Commission, any other body or authority (governmental or otherwise), any person who KAF deem fit under any applicable law, regulation, guidelines, regulatory requirement or directive (whether having the force of law or otherwise), any person who KAF deems necessary for the purpose of recovering any monies due and owing from the Client to KAF.
- 19.1.2 to lodge reports (including Police reports) and/or circulars and/or insert advertisements or publish or display notices (including any advertisements in any media) incorporating any or all of the Client's personal particulars of the Client's personal particulars, particulars of his/her accounts (including but not limited to the Client's account and financial status) which KAF may deem necessary for the purpose of recovering any monies due and owing from the Client to KAF.
- 19.1.3 to check the credit standing of an applicant for the Client's personal particulars, dealings and credit standing with whatsoever companies, individuals, agencies, financial institutions or other bodies and to obtain therefrom such information as may be required by KAF, whether by way of oral communication or through documents.
- 20. Further documents**
- 20.1 The Client undertakes to sign such further documents as may be requested by KAF from time to time and the Client hereby expressly covenants and agrees that at the election of KAF such further documents may be deemed to take effect retrospectively.
- 21. Service**
- 21.1 The Client hereby irrevocably consents to the service of any notice under these terms and conditions or any court process by ordinary post to the last known address of the Client in KAF's record and such service shall be deemed effective two (2) days after posting. Service of court process may also be effected by any other manner permitted by the law.
- 21.2 The client must promptly notify KAF in writing of any changes in employment or business address (office or resident).
- 22. Conclusive Evidence Certificate**
- 22.1 A certificate by an officer of KAF as to the amount for the time being due and owing to KAF from or by the Client shall be conclusive evidence against the Client in any legal proceeding. Any judgment recovered by KAF against the Client in respect of such indebtedness shall be binding and conclusive in all courts of law in Malaysia and elsewhere.
- 23. Appointment of Agents**
- 23.1 In amplification and not in derogation of its rights under these terms and conditions, KAF shall have the right, at its sole discretion, to appoint an agent of its choice to collect all and any sums due to KAF from the Client under these terms and conditions.
- 24. Successors**
- 24.1 These terms and conditions shall be binding upon the heirs, personal representatives and successors-in title of the Client and on the successors-in-title and assigns of KAF.
- 25. Time of the Essence**
- 25.1 Time wherever mentioned shall be the essence of the terms and conditions.
- 26. Law Applicable**
- 26.1 These terms and conditions shall be deemed to be a contract made under the laws of Malaysia and shall for all purposes be governed by and construed in accordance with the laws of Malaysia and the parties hereto hereby agree to submit to the non exclusive jurisdiction of the Courts of Malaysia at Kuala Lumpur or the courts of such other competent jurisdiction as KAF may in its sole discretion elect to submit and further agree that service of any legal process may be effected in the manner set out herein.
- 27. Exclusion of Liability**
- 27.1 KAF shall not be liable to the Client for any losses (including any loss of interest, expenses incurred, loss of contracts or profits or other consequential loss, whether direct or indirect) howsoever suffered (whether by the way of KAF's negligence (gross or otherwise) or omission or other default) in relation to the Client's account.
- 28. Other Terms and Conditions**
- 28.1 The client declares and confirms the above terms and conditions for trading in shares with KAF are not exhaustive and that KAF is at liberty to add, delete, alter or amend any of these terms and conditions at any time and from time to time with or without notice and they shall become effective on such date as KAF may to adopt.
- 28.2 KAF may, without notice to the Client, combine, consolidate any or all trading accounts including margin account (and liabilities/losses of any nature) the Client may have with KAF, set-off and/or transfer any securities, monies and dividend of such account in or towards the satisfaction of the Client's liabilities to KAF.
- 28.3 Notwithstanding any provisions hereof, it is hereby expressly agreed that upon default or breach by the Client of any terms and conditions herein, KAF shall have the right to exercise all or any of the remedies available whether by this terms and conditions or by statute or otherwise and shall be entitled to exercise such remedies concurrently, including pursuing all remedies to these terms and conditions or any agreement and civil suit to recover all monies due and owing to KAF.
- 29. KAF Online Trading Services**
- 29.1 The Client acknowledged having received a copy of KAF Online Trading Services Terms, Procedures and Practices and have read the same and fully understand its nature and consequences and agree to abide and be bound by the said KAF Online Trading Services Terms, Procedures and Practices.

For KAF-Seagroatt & Campbell Securities Sdn Bhd.

STRUCTURED WARRANT(S) RISK DISCLOSURE STATEMENT

1. This statement is provided to you in accordance with the directive to the Committee of the Bursa Malaysia Securities Berhad dated 6 December 1994 pursuant to Article 50(c) of the Articles of the Exchange.
2. The purpose of this statement is to inform you that the risk of loss in purchasing structured warrants can be substantial. You should therefore assess if the purchase of structured warrants is suitable for you in light of your financial circumstances. In deciding whether to purchase structured warrants, you should be aware of the following:
 - (i) The purchaser of structured warrant is subject to the risk of losing the full purchase price of the structured warrants and all transaction costs;
 - (ii) In order to realise any value from structured warrants, it is necessary to sell the structured warrants or exercise the structured warrants on or before their expiry date;
 - (iii) Under certain conditions, it may become difficult to sell the structured warrants;
 - (iv) Upon exercise of the structured warrants, the issuer may settle its obligations via actual delivery of the underlying assets, in cash or a combination of both depending on the terms of the issue of the structured warrants;
 - (v) Placing of contingent orders such as `stop-loss_ or `stop-limit_ orders, will not necessary limit your losses to the intended amount. Market conditions may not make it possible to execute such orders; and
 - (vi) The high degree of leverage that is obtainable from structured warrants because of the small initial outlay can work against you as for you. The use of leverage can lead to large losses as well as gain.
3. This brief statement cannot disclose all the risks and other aspects of purchasing structured warrants. You should therefore carefully study the terms and conditions of any structured warrants before you decide to purchase. If you are in doubt in relation to any aspects of this statement or the terms of structured warrants, you should consult your broker.

ACKNOWLEDGEMENT OF RECEIPT OF THIS RISK DISCLOSURE STATEMENT

I/We acknowledge that I/we have received a copy of the STRUCTURED WARRANTS RISK DISCLOSURE STATEMENT and understand its contents which have been explained to me/us.

Signature : _____

Full Name : _____

CDS A/C No. : 053-001- _____

Client Code : _____

NRIC No./
Co. Reg. No./

Passport No. : _____

Date : _____