### Department of the Secretary of State **Bureau of Motor Vehicles**



Matthew Dunlap Secretary of State Patty A. Morneault Deputy Secretary of State

Garry Hinkley Director of Vehicle Services

Dear Prospective Applicant:

Enclosed please find the proper application forms to apply for a Dealer license.

The rules governing dealer licensing and the requirements that must be met, can be found on the State of Maine website at:

www.maine.gov/sos/cec/rules/29/250/250c103.doc

Compliance with these rules and regulations is necessary before you can be issued the type of dealer license you are requesting. It is recommended that you read these carefully prior to submitting your application to ensure that your application will be able to be processed.

If you have any questions concerning the application material, please do not hesitate to contact BMV at (207) 624-9000 Ext. 52143, or by mail to: Secretary of State, Bureau of Motor Vehicles, Dealer and Agent Services, 29 State House Station, Augusta, Maine 04333-0029.

Sincerely,

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Garry Hinkley Director of Vehicle Services



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# Information for Dealer License Applicants

In order for the Secretary of State to issue a dealer, transporter, loaner or auction license, the applicant must:

> File the proper **application and total fees**, including the filing fee, license fee(s) and plate fee(s).

If the license(s) and plate(s) cannot be issued, all license and plate fees will be refunded. The filing fee is **non-refundable** by law. The filing fee and questionnaire is waived on applications from finance companies and financial institutions or credit unions because there is no inspection required for these types of businesses.

- > Complete a questionnaire and have it properly *notarized*.
- On a "zoning" form provided, please furnish proof of compliance with building codes, zoning and land use ordinances. An official of the city/town must sign the "zoning" form where the business is being licensed. This form must also be *notarized*.
- If the business is a sole proprietorship (not incorporated) please indicate "Individual" ownership on the application. You may use a business name for your dealership, however, all of the application documents must be completed in that business name.
- If the business is a partnership, you must submit a copy of the partnership agreement with your application. You must register your partnership in the city/town where the business is located.
- If the business is a Maine Corporation, Limited Liability Company (LLC), Limited Liability Partnership (LLP), Limited Partnership (LP), etc. please submit a copy of the appropriate organizational documents for your registered business entity (e.g. Articles of Incorporation) that must be obtained from the Secretary of State, Division of Corporations. If the owner is a foreign (out of state) Corporation, Limited Liability Company (LLC), Limited Liability Partnership (LLP), or Limited Partnership (LP), please submit a copy of your Application for Authority to do Business in Maine that must be obtained from the Secretary of State, Division of Corporations at (207) 624-7752.

If, in addition to the legal name on file with the Secretary of State, Division of Corporations, your business intends to operate under an assumed name, you must file an application to use an assumed name. For more information regarding this assumed name filing, please contact the Division of Corporations at (207) 624-7740.

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- In addition to the copies of your organizational documents from the Division of Corporations you must provide a sworn affidavit that includes the names, titles and percentage of ownership of all involved in the business.
- If the property is not owned by the applicant, you must submit a copy of your lease agreement. This lease agreement must be signed by both the lessee and lessor and both signatures must be properly witnessed or notarized.
- Submit a copy of the **plot plan**.
- Obtain a sales tax number. You must contact Maine Revenue Services at (207) 624-9693 to obtain the application materials for your sales tax number.
- Obtain a Federal ID Number or Owners Social Security Number. You must contact Internal Revenue Services at (207) 622-1508 to obtain the application materials for your Federal ID number.
- Submit a State Police Bureau of Identification background check (SBI) for each owner, officer, director, shareholder, member, manager, and partner with your application. You may obtain the SBI check by contacting State Police at 624-7277 or to request a background check online, visit the State Police website at <u>www.Maine.gov</u> and follow the link to Public Criminal Records under Online Services.
- Submit to an **inspection** of your dealership by a Motor Vehicle Detective to ensure that your facility meets at least the minimum requirements for the type of license(s) you are applying for.
- You must then contact your insurance agent and request that an R-1348 insurance filing be submitted to this office for insurance on your dealer plates. If you are applying for a light trailer, equipment or mobile home only dealer license and do not wish to have the dealer plates, the insurance filing is not needed.
- You must also contact your insurance agent to request a surety bond be submitted to this office. If you are applying for light trailer or equipment only, you are not required to file a bond.

The value of the surety bond is based on the number of sales per year. The bond amounts are as follows:

Number of Sales	<b>Bond Value</b>
0 – 50 Vehicles	\$ 5,000
51-100 Vehicles	\$ 10,000
101-150 Vehicles	\$ 15,000
151-200 Vehicles	\$ 20,000
200 Vehicles +	\$ 25,000

Once all of the above requirements have been met and your application has been approved, your dealer license(s), registration(s) and plate(s) will be issued to you. All dealer licenses expire on the last day of the month, one year from the date of issuance.

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## Department of the Secretary of State **Bureau of Motor Vehicles**



Matthew Dunlap Secretary of State Patty A. Morneault Deputy Secretary of State

Garry Hinkley Director of Vehicle Services

Dear Dealer Applicant:

In order to process your application for a dealer license, you must submit a completed Maine State Police, Bureau of Identification (SBI) search record. If you are an individual owner, please submit your own record; if it is a partnership, a record for each partner; and if it is a corporation, a record for each owner or corporate officers on the application.

To obtain your SBI record, complete the attached form and mail to:

Maine State Police SBI Record Search State House Station #42 Augusta, Me 04333-0042

Phone: 1-800-452-4664

Or through the internet at: <u>www.informe.org/PCR/</u>

If processing a SBI record by mail, a check must be made payable to Treasurer, State of Maine, in the amount of \$31.00 per owner listed. Payment by a credit card is needed for processing a SBI record through the internet.

If you have any questions regarding this matter, please feel free to contact us at 624-9000 ext. 52143.

Sincerely,

Earry Hally

Garry Hinkley Director of Vehicle Services

MVD-389-A Rev. 3-2013

# **STATE BUREAU OF IDENTIFICATION**

# 45 COMMERCE DRIVE, SUITE 1 STATE HOUSE STATION #42 AUGUSTA, MAINE 04333

In accordance with provisions as set forth in Title 16 M.R.S.A. Sec. 611 et seq., the State Bureau of Identification is authorized to disseminate adult, conviction data to anyone for any purpose and other information as authorized by law.

To obtain this information you must put your request in writing on letterhead stationery, if available, clearly identifying the following:

#### 1. NAME OF PERSON INQUIRED UPON AND ANY OTHER NAMES USED

PREVIOUSLY, i.e.; MAIDEN NAME, OTHER MARRIED NAMES, ALIASES

- 2. COMPLETE DATE OF BIRTH
- 3. PURPOSE OF REQUEST, i.e.; EMPLOYMENT, VISA
- 4. INQUIRER'S NAME, ADDRESS AND TELEPHONE NUMBER
- 5. FEE OF \$31.00 (\$41.00 if notarized copy is required) FOR EACH PERSON INQUIRED UPON, DUE AT THE TIME THE INQUIRY IS MADE. (Make check payable to: *TREASURER*, *STATE OF MAINE*.

#### 6. MAIL INQUIRY TO ADDRESS LISTED AT TOP OF THIS NOTICE.

(The fee is required of all persons, non-governmental agencies, out-of state government agencies and federal government agencies. Public Schools within the State of Maine are exempt from the fee. Governmental agencies within the State of Maine, for licensing purposes where a fee is charged for the license and the record check is <u>NOT</u> mandated by law, are subject to the fee of \$31.00. Government agencies within the State of Maine, for licensing purposes where no fee is collected or record check is mandated by law, are exempt from the fee.)

If you have questions, please call (207) 624-7240.



#### STATE OF MAINE Department of Public Safety Maine State Police State Bureau of Identification 45 Commerce Drive, Suite 1 42 State House Station Augusta, Maine 04333-0042 207-624-7240

PAUL R LEPAGE GOVERNOR

JOHN E. MORRIS COMMISSIONER COL. PATRICK J. FLEMING CHIEF

LT. COL. ROBERT A. WILLIAMS DEPUTY CHIEF

As authorized by 16 M.R.S.A. C.3, SubC. VIII, section 615, I request all conviction data on file within the Maine State Bureau of Identification on the person listed below. (Please print clearly)

Purpose of Request:	
Name:	Date of Birth:
Aliases, Maiden Name, Married Names:	
Address	
Person Inquiring:	
Address:	
Phone Number:	
Signature:	Date:
* Checks or Money Orders payable to: <b>Trea</b> request if you wish to have it notarized)	surer, State of Maine (\$31 per request or \$41.00 pe

\* Mail to the address given above.

SBI USE ONLY

MVD-389-C (Rev. 7/12)

Office Use

#### Bureau of Motor Vehicles Application for a Dealer License

Type:		
□ New Application	□ Additional License Type	$\Box$ Annex Location $\Box$ Other (Specify)
□ Change of Status	□ Change of Location	□ Secondary Location
Owner Name		
Business Name		Phone Number
<b>Business Physical Locatio</b>	n	
City or Town		Zip Code
<b>Business Mailing Address</b>		
Franchise(s) Held		
Sales Tax Number	Federal	ID Number
E-mail Address:		
Website Address:		

# Please list any annex or secondary location(s) where business will be conducted under same license: LOCATION(S) Phone Number

In the columns below please check off the types of licenses you are applying for and enter the appropriate fee. Total the far right column and enter the amount at the bottom.

Types of Licenses	License	License Fee	Total Fee
New Car Dealer License		@150.00 ea.	
Used Car Dealer License		@150.00 ea.	
Loaner License		@150.00 ea.	
Equipment Dealer License		@150.00 ea.	
Transporter License		@150.00 ea.	
Recycler License (no fee if licensed as new, used, or equipment dlr.)		@150.00 ea.	
Auction License		@150.00 ea.	
Heavy Trailer License (over 3,000 lbs.)		@150.00 ea.	
Light Trailer License (3,000 lbs. or less)		@ 50.00 ea.	
Motorcycle Dealer License		@ 50.00 ea.	
Annex License		@150.00 ea.	
Secondary Location (within 1 mile of EPB)		@100.00 ea.	
Filing Fee - If you are applying for a new license, changing a business			
location, or changing ownership or corporate structure. Add \$150.00			
TOTAL (Total Amount from Back and Front)			

Please list below the name, address, date of birth, and title of **each** owner, partner, or officer in your business.

Name

Address

Address

Date of Birth

Date of Birth

Title

Title

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Name

Office Use

Is your business: (Check One)

□ Individual □ Partnership

□ Corporation

If a corporation, what state are you incorporated in?

Within the past (5) five years, have you or any partner, director or officer of your business been found guilty of any:

1.	Felony	Yes_	No	2. Criminal violation under Title 29-A or Title 17-A Yes	No
3.	Any civil	judgn	nent involvi	ing fraud, misrepresentation or conversion Yes No	
If	yes to any	give l	ocation, dat	te and violation	

Write the number of plates you need to match the type of license you applied for on the front of this application. Multiply the number of plates times the plate fee, enter the amount in the far right column and total the column.

Type of Plate	No. of Plates	Plate Fee	Total Fee
New Car Dealer Plate		@ 20.00 ea.	
New Car Dealer Vanity Plate		@ 50.00 ea.	
New Car Loaner Vanity Plate		@ 50.00 ea.	
Used Car Dealer Plate		@ 20.00 ea.	
Loaner Plate		@ 20.00 ea.	
Equipment Dealer Plate		@ 20.00 ea.	
Transporter Plate		@ 20.00 ea.	
Trailer/Mobile Home Dealer Plate (over 3,000 lbs.)		@ 20.00 ea.	
Light Trailer Dealer Plate (3,000 lbs. or less)		@ 5.00 ea.	
Motorcycle Dealer Plate		@ 5.00 ea.	
Light Wrecker Plate (26,000 lbs. or less)		@ 50.00 ea.	
Heavy Wrecker Plate (80,000 GVW or less)		@ 200.00 ea.	
Service Vehicle Plate New or used car only		@ 50.00 ea.	
Equipment Service Vehicle Plate		@ 20.00 ea.	
TOTAL (carry total to front side)			

Signature of Owner

Official Title

Date

#### To process this application, the Owner's Signature is required.

Add the total fees on both sides of this sheet and send your check, made payable to the Secretary of State, to: Bureau of Motor Vehicles, Dealer and Agent Services, 29 State House Station, Augusta, Maine 04333. Payment may be made by credit card:

Type: 🗆 Visa 🗖 Mastercard Number:

If you have any questions, please call Dealer and Agent Services at (207) 624-9000 Ext. 52143.

MVD-350 (Rev. 11/12)

# Applicant Questionnaire for the Licensing of Dealers, Transporters, Loaners or Recyclers

Type: New Application Change of Status	<ul><li>Additional License Type</li><li>Change of Location</li></ul>	□ Annex Location □ 0 □ Secondary Location _	
Owner Name		PhoneNumber	
Business Name		Phone Number	
<b>Business Physical Locatio</b>	n		
City or Town		Zip Code	
<b>Business Mailing Address</b>			
City or Town		Zip Code	
What type of business are	you licensing?		
1	on by check marking either Yes e previously a licensed dealership a be of dealership		□ Yes □ No
If Yes, were you an own 2. Is there any other busine	er of this dealership?		$\begin{array}{ c c c } \square & Yes & \square & No \\ \square & Yes & \square & No \end{array}$
3. Do you own that busines	ss?		□ Yes □ No
5. Do you currently have o If Yes, please list the dea <u>Dealershi</u>	wnership in any other dealership? alership(s) name and license types ip(s) Name	License Type & Number	
	y & buildings? y & buildings? of the lease)		<ul> <li>☐ Yes</li> <li>☐ No</li> <li>☐ Yes</li> <li>☐ No</li> <li>☐ Yes</li> <li>☐ No</li> <li>☐ Yes</li> <li>☐ No</li> </ul>
<i>NOTE: Recyclers/Salve</i> 1. Does your business have 2. Do you lease your repair 3. Do you lease your repair		e must be provided to BMV. hnician?	□ Yes □ No □ Yes □ No □ Yes □ No
<ol> <li>Does the sign contain the</li> <li>Do you have the tools at</li> <li>Do you have an air comp</li> <li>Do you have a hydraulic</li> <li>Do you have a full set of</li> </ol>	jack or lift?	ne number? service vehicles properly?	□ Yes       □ No         □ Yes       □ No

<ul> <li>These questions ask about your business office:</li> <li>1. Do you have an office with at least 64 sq. ft. to keep records and conduct business?</li> <li>2. Is your office heated?</li> <li>3. Does your office have at least 1 desk, 2 chairs, and a filing cabinet?</li> <li>4. Is your office completely enclosed by floor to ceiling construction?</li> <li>5. Is your office located in or adjoining your business building?</li> <li>These questions ask about your business sign:</li> <li>1. Is your business identified by an exterior sign?</li> <li>2. Is the sign permanently affixed to land or building?</li> <li>3. Is the sign at least 12 square feet in size?</li> <li>5. What does the sign say?</li> </ul>	<ul> <li>☐ Yes</li> <li>☐ No</li> </ul>
If you are applying for a recycler dealer license, you <u>must</u> also complete the last set of	questions by checking
<ul> <li>Yes or No to each question below.</li> <li>1. Is there a storage area in or adjoining the building?</li> <li>2. Is the business within 1,500 feet of a state or federally owned cemetery?</li> <li>3. Did your salvage yard exist before December 5, 1983? If No, what date did your salvage yard begin?</li> </ul>	☐ Yes ☐ No ☐ Yes ☐ No ☐ Yes ☐ No ☐ Yes ☐ No
<ul><li>4. Is this an expansion of an existing salvage yard?</li><li>5. Do you maintain a business inventory of all vehicles, component parts, body, chassis, or</li></ul>	□ Yes □ No
transmissions that are received or disposed of as required by law?	□ Yes □ No
5a. Explain your record keeping procedures:	
<ul><li>6. Are you currently a licensed dealer in Maine?</li><li>7. Are you currently a licensed dealer in any other state?</li></ul>	□ Yes □ No □ Yes □ No
Signature of ApplicantTitleD	Date
Notarization Required         Before me personally appeared, who by me bein oath says that the statements set forth above are true and correct.         Sworn to and subscribed before me at, Maine, on day of, 20	this
Notary Public	

My Commission Expires:

#### SECRETARY OF STATE BUREAU OF MOTOR VEHICLES STATE HOUSE STATION 29 AUGUSTA, MAINE 04333

Applicant's Name, Business Name and Business Address

#### BUILDING CODE, ZONING AND LAND USE REGULATORY ORDINANCE CLEARANCE

Dear Sir:

As required by the Secretary of State, the above named applicant, at the location shown, is in compliance with all local building codes and land use regulatory ordinances for the **initial application for a dealer license and/or for the application for additional dealer license types** as they pertain to a commercial building, a vehicle display area, and sale and service of vehicles and the display of a permanently mounted sign.

A local sell	ler's license:				
	Is required		Is not required		
	Has been issued Will be issued				
			Signature - Authorized C	ity/Town (	Official
			Title		
NOTARIZ	ATION REQUIRED				
	MAINE - County of ne above AUTHORIZ		Date, OWN OFFICIAL named	20	Then personally
free act and		cknowledge	the foregoing instrument und	er oath to	be
	NOT	CARY PUBI	LIC or ATTORNEY		
			My commission expires:		

# **Dealership Plot Plan**

Dealership Name: \_

Dealership Location (Physical Location)

Please us the area provided below to draw a layout of your facility. Include the dimensions of the office area, repair area, and the display area. Also indicate where the sign will be posted. If there are any other businesses operating at this same location, show their area as well.

## SUREIY BOND FOR MOTOR VEHICLE DEALER

KNOW ALL MEN BY THESE PRESEN	NTS: Bond#
That we, of	, State of Maine, as Principal, and
(Name of Dealership)	(City/ town)
	anized and existing under the laws of
(Name of Bonding Company)	
Maine, in the penal sum ofdollars	Taine, as Surety, are held and firmly bound unto the Secretary of State, State of (\$), lawful money of the United States of America, for which payment well selves, our heirs, executors, administrators, personal representatives and assigns,
SIGNED, SEALED and DATED this	day of, 20
license authorizing said Principal to engage in the	about to make application to the Secretary of State, Bureau of Motor Vehicles, for a he business of a motor vehicle dealer in accordance with Title 29A M.R.S.A., and all tary of State, and if so licensed, said Principal is required to furnish a Surety Bond
	n is such that if the said Principal shall faithfully observe the provisions of Title 29-A M. Ier relating to the business of a motor vehicle dealer, then this Obligation shall be null effect.
This bond shall be for the use and benefit of the suffer loss because the Principal fails to comply	e Secretary of State, State of Maine, and for any person, firm or operation who may with the above-mentioned laws and Rules.
Two types of Bonds are accepted by the Secre	stary of State, State of Maine from the principal and Surety.
terminate at 11:59 p. m. on the last day	e period of the motor vehicle dealer's license granted to the Principal and shall y of the period of such license. If a continuation certificate is provided reflecting an at date. It will be continued in full force only if another continuation certificate is cretary of State, State of Maine.
effect for any renewal thereof, and sha	th the period of the license granted to the Principal, and <b>shall remain in full force and</b> Il remain in full force until cancelled and provide the total liability of the surety ply to each license year. This bond will be continuous bond and remain in effect until
The surety may cancel this bond at any time by of State, State of Maine, Motor Vehicle Division	giving thirty (30) days written notice by registered or certified mail to the Secretary n.
Principal and Surety agree to be bound by the la	aws of the State of Maine as to the interpretation of this Instrument.
Ву	Ву:
Witness	Principal
Ву:	Surety Company BY:
Witness	Attorney-in-fact

# SAMPLE LEASE

I, *Lessor Name*, agrees to lease *to Lessee Name & Business Name*, a parcel of land and building thereon located on *Street Address & Town* 

This parcel consists of a lot <u>Size of Lot</u>, and a building, <u>Size of Building</u>. This building consists of an office, <u>Size of Office</u>, and a repair area, <u>Size of Repair Area</u>.

This lease will be valid for one year from this date, <u>**Beginning Date of Lease**</u>, and will be renewed yearly thereafter. This lease may not be terminated by either party without 30 days written notice.

Witness

Lessee

Witness

Lessor

This "Sample Lease" is provided for your convenience in submitting the required

lease, if you presently lease/rent your place of business.

MVD-386 (Rev. 11/2013)

# SAMPLE LEASE

I,, ag	grees to lease	,
a parcel of land and building there	eon located on	,
	, and a building,	
building consists of an office,	, and a repair area,	<u> </u>
	ear from this date, This lease may not be terminated by e	
Witness	Lessee	

Witness

Lessor

This "Sample Lease" is provided for your convenience in submitting the required lease, if you presently lease/rent your place of business.

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# SAMPLE PARTNERSHIP AGREEMENTS

# EXAMPLE "A"

January 1, 2012

We, *John Doe and Barbara Smith*, have formed a partnership in connection with the business of *John & Barbara's Used Cars*, located on the *Brown Road* in *Caribou Maine*.

# EXAMPLE "B"

January 1, 2012

We, John Doe and Barbara Smith, have formed a partnership in connection with the business <u>of</u> John & Barbara's Used Cars, located on <u>the Brown Road</u> in <u>Caribou Maine</u>. This is <u>a</u> <u>60/40</u> partnership, with John Doe</u> at 60% owner of the business.

## **EXAMPLE "C"**

January 1, 2012

We, *John Doe and Barbara Smith, & Dexter Jones* have formed a partnership in connection with the business *of J-B-D Used Cars*, located *on Route 1* in *Caribou Maine*. This is a *50/30/20* partnership with the ownership as follows:

John Doe50%Barbara Smith30%Dexter Jones20%

These samples are provided for your convenience to assist you in writing your own partnership agreement. However, the above formats are not mandatory forms. For legal reasons, you may wish to have a lawyer assist you with your partnership papers.

If you choose to use on of the above forms, it must be signed by both or all partners, and each signature must be individually witnessed and notarized. These papers must then be recorded with the city hall or town office of the municipality in which your business is located.