

POINTS BASED SYSTEM FORM (VAF9 APRIL 2014) APPENDIX 2: TIER 1 (INVESTOR) SELF-ASSESSMENT

This form is for use outside the UK only This form is provided free of charge.

For official use only

► READ THIS FIRST

This form must be completed in blue or black ink.

Only complete after you have obtained your points score from the electronic Self-Assessment Points Calculator (www.ukba.homeoffice.gov.uk/pointscalculator). Please follow the guidance notes carefully and complete all questions as indicated.

Please ensure you submit all relevant, original documents as indicated in the sections. It is better to explain why you do not have a document than to submit a false document. Your application will be automatically refused, and you may be banned from coming to the UK for 10 years if you use a false document, lie or withhold relevant information. You may also be banned if you have breached immigration laws in the UK.

Please ensure you also complete the main Personal Details form.
Part 1 About You
1.1 Given name(s)
1.2 Family name
1.3 Occupation (this is your current or most recent job)
1.4 Please tick to confirm that you are 16 years old or over Put a cross (x) in the relevant box
1.5 If you are between 16-18 years old, please tick to confirm you have provided a document to confirm your parents or legal >> guardians support your application and consent to the arrangements for your care in the UK Put a cross (x) in the relevant box
Attributes: You need to score a total of 75 points using original evidence described in either Part 2 or Part 3. You should refer to the Immigration Rules and Tier 1 (Investor) Policy Guidance.
Are you relying on your own funds? >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>
Go to Part 2 Yes - Go to Part 3
Part 2 £1 Million available for investment - your own money You hold money of your own, under your own control, held in a regulated financial institution and disposable in the United Kingdom amounting to no less than £1 million [75 points available].
2.1 You must provide evidence in the form of original documents from one or more of the sources below to establish the amount of money you have available for investment in the UK. Put a cross (x) in the relevant box(es) below to confirm the documents submitted as evidence for this claim.
Portfolio produced by a regulated financial institution covering the three consecutive months from the period immediately before the application. This portfolio must be in your name and/

or the name of your spouse/civil partner/unmarried partner/

same sex partner) and confirm that the required amount of

cash has been held for the full 3 months immediately before

this application.

(or your name and/or the name of your spouse/civil partner/

unmarried partner/same sex partner) and confirm that the

required amount of money has been held in investments for

the full 3 months immediately before this application.

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Portfolio not managed by a regulated financial institution covering the three consecutive months from the period immediately before the application. This portfolio must be in your name (or your name and/or the name of your spouse/civil partner/unmarried partner/same sex partner) and confirm that the required amount of cash has been held in investments for the full 3 months immediately before this application.	A letter from your bank confirming that you (or you and/or your spouse/civil partner/unmarried partner/same sex partner) have held the required amount of cash for the full 3 months immediately before this application.
2.2 Is the money shown as being in your portfolio/accounts (or in unmarried partner/same sex partner) consistently for a full 3 mont	
Yes - Go to Question 2.4	No - Go to Question 2.3
2.3 You must provide evidence to show the source of funds. Unler Put a cross (x) in all boxes that show the origin of the funds that have be points. At least one box must be ticked, then go to Question 2.4.	
Irrevocable memorandum of gift and confirmation from a legal adviser that the memorandum is valid (2 pieces of evidence).	Deeds of sale of assets and confirmation from a legal adviser that the sale was genuine and the money is available (2 pieces of evidence).
Notarised copy of a divorce settlement/dissolution of civil partnership showing the amount of money awarded to you (or to you and or your spouse/civil partner/unmarried partner/same sex partner) and a letter from a legal adviser confirming the validity of the settlement (2 pieces of evidence);	Business financial accounts that meet statutory requirements covering 3 consecutive months from the period immediately prior to submission of the application, showing the full amount of the available money; plus a letter from your legal adviser stating that you can lawfully extract the money from the business (2 pieces of evidence);
Notarised copy of a will showing that you (or you and/or your spouse/civil partner/unmarried partner/same sex partner) have inherited the funds, and confirmation from a legal adviser that the will is valid (2 pieces of evidence);	A letter from an organisation issuing a financial award or winnings, with details of the amount and date of the winnings, and confirmation from a legal adviser that the award was made (2 pieces of evidence);
If you (or you and/or your spouse/civil partner/unmarried partner/same sex partner) have received a sum from a source not covered above you must provide evidence of the source of funds, and independent corroboration (2 pieces of evidence).	
2.4 Are you relying on funds held either jointly with your spouse/c your spouse/civil partner/unmarried partner/same sex partner?	
Yes - Go to Question 2.5	No - Go to Question 2.6
2.5 You must establish that you will have control of the funds in the sections a), b) and c) below to confirm what evidence you have provide must be in the form of original documents.	
a. Evidence of the relationship.	
A marriage certificate or civil partner document to confirm the rel	lationship; or
At least three pieces of evidence of joint commitments from the	following list:
A bank statement or letter from a bank confirming a joint bank	account (i.e. in both names).
Official document such as a mortgage agreement showing a journal of the state	oint mortgage.
Official documents such as deeds of ownership or a mortgage	e agreement showing a joint investment, e.g. property, business.
Joint rent agreement.	
Any other official correspondence linking both partners to the:	same address e.g. Council Tax and utility bills.
Life insurance policy naming other party as beneficiary.	
Birth certificates of any children of the relationship, showing both	oth partners as parents.

• We may also consider any other evidence that adequately demonstrates a couple's long term commitment to one another.

b. Declaration that you (the applicant) have			
A declaration from your spouse/civil par funds used to claim points.	tner/unmarried or same s	sex partner that they will permit you to have control of all joint/pers	sona
c. Confirmation from a legal adviser			
Confirmation from a legal adviser that tr	ne declaration from your s	spouse/civil partner/unmarried or same sex partner is valid.	
		ng on to claim points for this application can be transferred in a relevant box below to confirm that the evidence has been supplied	
Money already in the UK.			
Confirmation from your bank or final Pounds Sterling. The document must be		e money can be transferred into the UK and are convertible	le to
Part 3 and have money under your of	nich, taking into account ar control held in a regulated fi	borrowed money any liabilities to which you are subject, have a value exceeding £2 million financial institution which is disposable in the United Kingdom amounting you, provided that it was loaned by a regulated financial institution.	ing
Put a cross (X) in' the box below to confirm the	at the original evidence h	nas been supplied to establish this claim.	
Letter of confirmation from a UK regulat	_		
the amount of money available on the		sued; and	
the money is available to you in the Uthat you have a personal net worth ex			
- that you have a personal het worth of	Roccaing £2 million.		
Part 4 Summary Sheet			
	ation form and the Tier 1	ve claimed and the documentation provided. You must provide the (Investor) Policy Guidance. All documents must be original, unled to refusal of the application.	
Points Scoring Area	Points Claimed	Documents Provided (please list)	
£1 million under your control - in a regulated financial institution and disposable in the UK (Part 2)			1
£1 million funds loaned by a UK regulated			٦
Financial Institution (Part 3)			
Total			
I confirm I have completed the Personal Applicant's signature	Details separate form	and accept the contents of the declaration. Date	
		D D M M Y Y Y	
YOU MUST SUBMIT A PA	PER COPY OF TH	HIS APPENDIX WITH YOUR SUPPORTING	

OU MUST SUBMIT A PAPER COPY OF THIS APPENDIX WITH YOUR SUPPORTING DOCUMENTS. ENSURE YOU ALSO COMPLETE THE PERSONAL DETAILS FORM EITHER ON PAPER OR ON LINE. YOU SHOULD SIGN THE DECLARATION AND SUBMIT THIS WITH YOUR OTHER DOCUMENTS