



# OVERVIEW OF DEA FORM-106

Guide On How Information Should  
Be Recorded On DEA Form 106

Susan D. Baker  
Unit Chief, Regulatory Unit





## REPORT OF THEFT OR LOSS OF CONTROLLED SUBSTANCES

Federal Regulations require registrants to submit a detailed report of any theft or loss of Controlled Substances to the Drug Enforcement Administration.

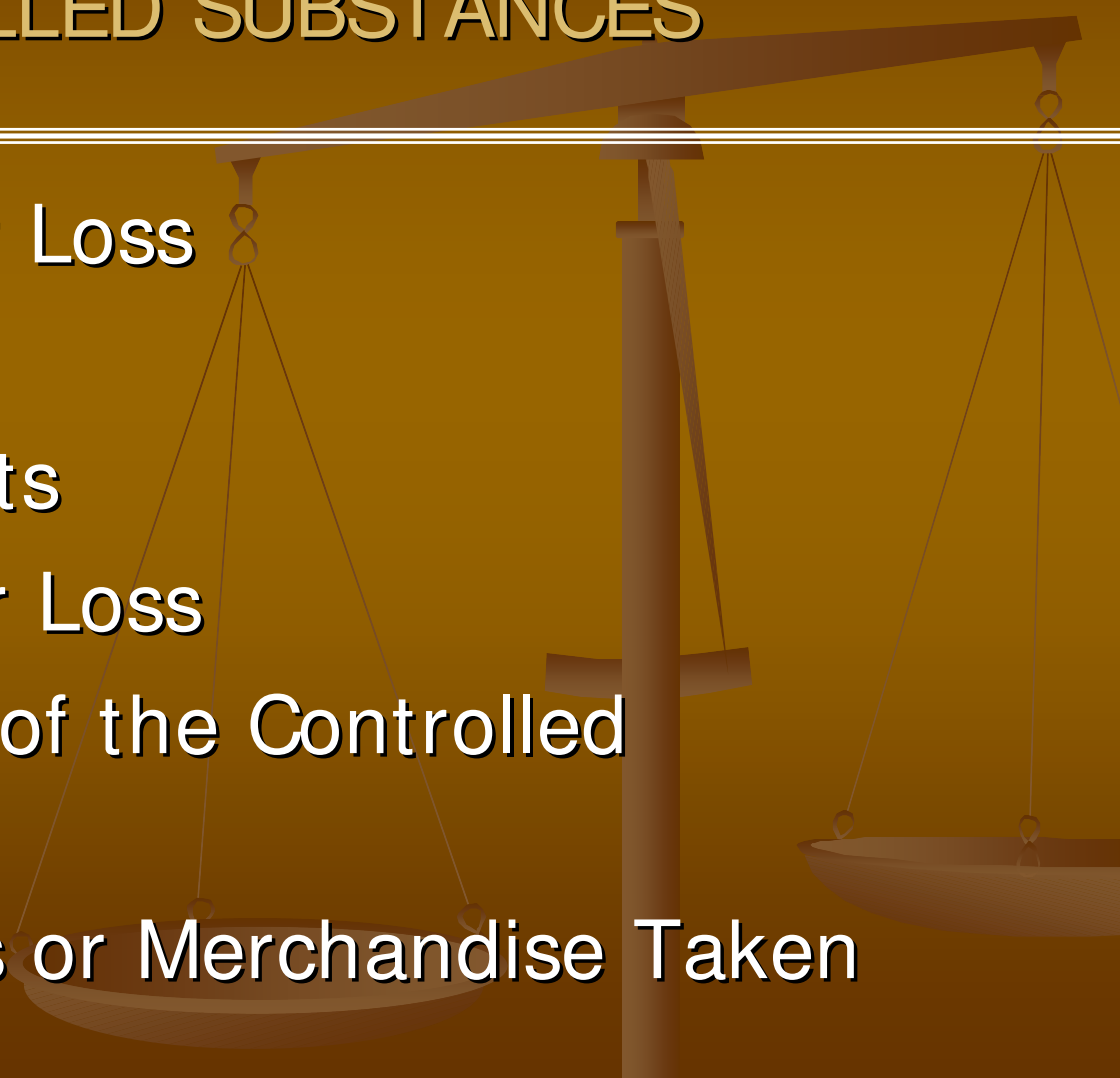
Complete the front and back of this form in triplicate. Forward the original and duplicate copies to the nearest DEA Office. Retain the triplicate copy for your records. Some states may also require a copy of this report.

OMB APPROVAL  
No. 1117-0001

1. Name and Address of Registrant (include ZIP Code)					2. Phone No. (Include Area Code)	
ZIP CODE						
3. DEA Registration Number					4. Date of Theft or Loss	
2 ltr. prefix		7 digit suffix			5. Principal Business of Registrant (Check one)	
<input type="text"/> <input type="text"/>		<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			1 <input type="checkbox"/> Pharmacy 2 <input type="checkbox"/> Practitioner 3 <input type="checkbox"/> Manufacturer 4 <input type="checkbox"/> Hospital/Clinic 5 <input type="checkbox"/> Distributor 6 <input type="checkbox"/> Methadone Program 7 <input type="checkbox"/> Other (Specify)	
6. County in which Registrant is located		7. Was Theft reported to Police?		8. Name and Telephone Number of Police Department (Include Area Code)		
		<input type="checkbox"/> Yes <input type="checkbox"/> No				
9. Number of Thefts or Losses Registrant has experienced in the past 24 months		10. Type of Theft or Loss (Check one and complete items below as appropriate)				
		1 <input type="checkbox"/> Night break-in 2 <input type="checkbox"/> Armed robbery 3 <input type="checkbox"/> Employee pilferage 4 <input type="checkbox"/> Customer theft 5 <input type="checkbox"/> Other (Explain) 6 <input type="checkbox"/> Lost in transit (Complete Item 14)				
11. If Armed Robbery, was anyone:		12. Purchase value to registrant of Controlled Substances taken?		13. Were any pharmaceuticals or merchandise taken?		
Killed? <input type="checkbox"/> No <input type="checkbox"/> Yes (How many) _____ Injured? <input type="checkbox"/> No <input type="checkbox"/> Yes (How many) _____		\$		<input type="checkbox"/> No <input type="checkbox"/> Yes (Est. Value) \$		
14. IF LOST IN TRANSIT, COMPLETE THE FOLLOWING:						



## REPORT OF THEFT OR LOSS OF CONTROLLED SUBSTANCES

- 
- A large, faint background image of a balance scale is visible on the right side of the slide. The scale is tilted, with the right pan being lower than the left pan.
- Date of Theft or Loss
  - Notifying Police
  - Number of Thefts
  - Type of Theft or Loss
  - Purchase Value of the Controlled Substances
  - Pharmaceuticals or Merchandise Taken





14. IF LOST IN TRANSIT, COMPLETE THE FOLLOWING:

A. Name of Common Carrier	B. Name of Consignee	C. Consignee's DEA Registration Number
<hr/>		
D. Was the carton received by the customer?  <input type="checkbox"/> Yes <input type="checkbox"/> No	E. If received, did it appear to be tampered with?  <input type="checkbox"/> Yes <input type="checkbox"/> No	F. Have you experienced losses in transit from this same carrier in the past?  <input type="checkbox"/> No <input type="checkbox"/> Yes (How Many ) _____

15. What identifying marks, symbols, or price codes were on the labels of these containers that would assist in identifying the products?

16. If Official Controlled Substance Order Forms (DEA-222) were stolen, give numbers.

17. What security measures have been taken to prevent future thefts or losses?

**PRIVACY ACT INFORMATION**

AUTHORITY: Section 301 of the Controlled Substances Act of 1970 (PL 91-513).  
PURPOSE: Report theft or loss of Controlled Substances.

ROUTINE USES: The Controlled Substances Act authorizes the production of special reports required for statistical and analytical purposes. Disclosures of information from this system are made to the following categories of users for the purposes stated:

- A. Other Federal law enforcement and regulatory agencies for law enforcement and regulatory purposes.
- B. State and local law enforcement and regulatory agencies for law enforcement and regulatory purposes.

EFFECT: Failure to report theft or loss of controlled substances may result in penalties under Section 402 and 403 of the Controlled Substances Act.

In accordance with the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this collection of information is 1117-0001. Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.



# REPORT OF THEFT OR LOSS OF CONTROLLED SUBSTANCES

- Lost In Transit
  - customer files if shipment is accepted by the customer
  - supplier files if customer refuses shipment and returns it to supplier
  - consignee's name & DEA registration is the supplier if customer is filing the DEA 106
  - placement of controlled substances in a secure area after the receipt of a shipment & inventorying ensures that if internal pilferage takes place it would not be considered an in transit loss
- Number of In Transit Losses
- What Security Measures



FORM DEA-106 (Nov. 2000) Pg. 2

**LIST OF CONTROLLED SUBSTANCES LOST**

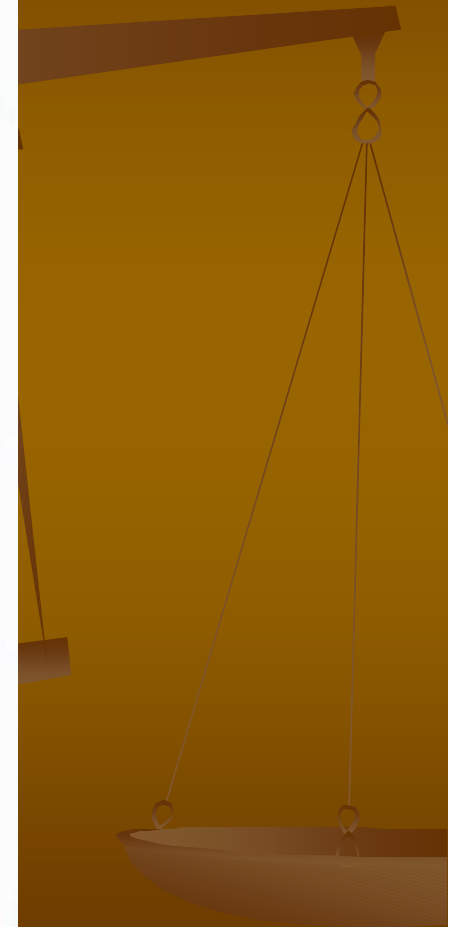
Trade Name of Substance or Preparation	Name of Controlled Substance in Preparation	Dosage Strength and Form	Quantity
<b>Examples:</b> Desoxyn	Methamphetamine Hydrochloride	5 mg Tablets	3 x 100
Demerol	Meperidine Hydrochloride	50 mg/ml Vial	5 x 30 ml
Robitussin A-C	Codeine Phosphate	2 mg/cc Liquid	12 Pints
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I certify that the foregoing information is correct to the best of my knowledge and belief.

Signature

Title

Date





FORM DEA-106 (Nov. 2000) Pg. 2

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- Trade Name of Substance or Preparation
- Name of Controlled Substance in Preparation
- Dosage Strength and Form
- Quantity

U.S. Department of Justice  
Drug Enforcement Administration  
Office of Diversion Control



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I certify that the foregoing information is correct to the best of my knowledge and belief.

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Signature

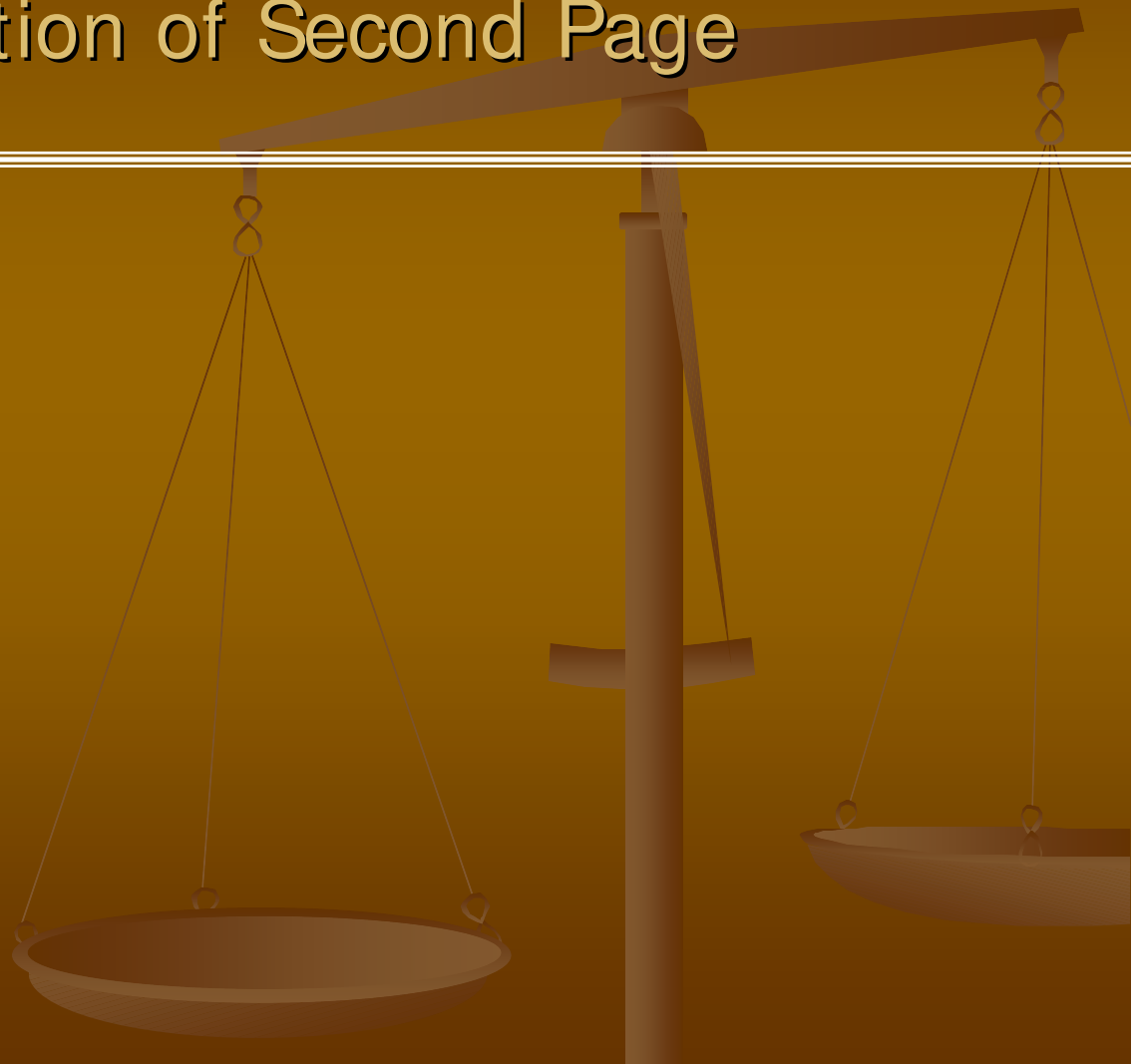
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## Lower Portion of Second Page

- Signature
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- Date of Form





## TITLE 21 CODE OF FEDERAL REGULATIONS, PART 1301.74 (c)

- The registrant shall notify within the DEA within one business day *of discovery* of the theft or loss.
- All in-transit losses of controlled must be reported.
- The registrant shall complete and submit a DEA Form 106.





## WHAT CONSTITUTES A SIGNIFICANT (MEANINGFUL) LOSS

- The actual quantity of controlled substance lost in relation to the type of business.
- The specific controlled substances lost.
- Whether the loss of the controlled substances can be associated with access to those controlled substances by specific individuals, or whether the loss can be attributed to unique activities that may take place involving the controlled substances.



## WHAT CONSTITUTES A SIGNIFICANT (MEANINGFUL) LOSS

- A pattern of losses over a specific time period, whether the losses appear to be random, and the results of efforts taken to resolve the losses; and if known;
- Whether the specific controlled substances are likely candidates for diversion;
- Local trends and other indicators of the diversion potential of the missing controlled substances.



## DEA Diversion Control Information

PUBLICATIONS
ON-LINE FORMS & APPLICATIONS
OFFICES & DIRECTORIES
DRUG REGISTRATION
TITLE 21 REGULATIONS & CODIFIED CSA
ELECTRONIC COMMERCE INITIATIVES
FEDERAL REGISTER NOTICES
QUOTAS
IMPORT AND EXPORT
CONTROLLED SUBSTANCE SCHEDULES
DRUGS & CHEMICALS OF CONCERN
REPORTS REQUIRED BY 21 CFR
ARCOS
CHEMICALS
MEETINGS & EVENTS
CAREER OPPORTUNITIES
LINKS

A screenshot of the DEA Diversion Control Program website. The header includes the U.S. Department of Justice Drug Enforcement Administration logo and the text "DIVERSION CONTROL PROGRAM". Below the header is a navigation menu with links: Home, What's New, Hot Items, Site Map, Search, FAQ, Links, Career, Contact Us. The main content area features a large heading "Welcome to the Diversion Control Program" and a graphic of the DEA seal. Below the graphic is a section titled "Office of Diversion Control" with links for "What's New", "Hot Items", and "On-Line Forms". At the bottom, there is a toll-free registration number: 1-800-882-9539, a link to "Please Read this Privacy and Security Statement", and a link to "Contact Us".

For Additional  
Information

[www.DEAdiversion.usdoj.gov](http://www.DEAdiversion.usdoj.gov)  
or [www.dea.gov](http://www.dea.gov)



## Comments & Questions ?

