

FORM 16 – CERTIFICATION FOR APPLICANTS

CERTIFICATION of INDIVIDUAL APPLICANT for REGISTRATION or DIRECTOR APPROVAL

I, the undersigned, hereby certify that I have reviewed my application for registration or director approval with the Designated Individual ("DI") of the mortgage broker to which I seek to be registered to or act for as a director. The DI has printed a copy of my application information, which has been or will be submitted to the Registrar's office. I have certified on that copy that the information is true, correct and complete to the best of my knowledge, information and belief. I undertake to notify the Registrar's office immediately in writing of any material change in my application information.

I hereby authorize the Registrar and its Agent, the Financial Institutions Commission ("FICOM") to inquire and conduct a suitability review to determine if it is in the public's interest that I be granted registration or be approved as a director for a mortgage broker under the *Mortgage Brokers Act* ("the Act"). I authorize the Registrar and FICOM to verify any information pursuant to my application from any source. In making this application, I hereby consent to the Registrar's and FICOM's collection, use, and disclosure of my personal information for registration and other regulatory purposes under the Act and in accordance with the *Freedom of Information and Protection of Privacy Act*.

I consent to the Registrar and FICOM making such enquiries as it sees fit of government institutions, agencies or others for the purpose of investigating my suitability to be registered under the Act as a mortgage broker or submortgage broker, or act as a director of a mortgage broker, including other regulatory or law enforcement bodies.

Applicant Name

Applicant Signature

Date

CERTIFICATION of DESIGNATED INDIVIDUAL

I, the undersigned, declare that I have made sufficient enquires of the Applicant for registration or director approval and conducted all appropriate due diligence in order to complete the Applicant's application, and I have printed a copy of the application information. I further certify that I have obtained the Applicant's certification on the application that the application information is true, correct and complete to the best of the Applicant's knowledge, information and belief.

I have examined one piece of government issued identification, which contains a photograph of the Applicant and I am satisfied, from my review of the identification, that it is that of the Applicant. Attached to this Form 16, is a copy of the identification of the Applicant which I have examined for the purpose of making this declaration.

Designated Individual Name
(or Director, or person appointed by the Designated Individual to confirm ID)

Designated Individual Signature

Date