

U.S. DEPARTMENT OF JUSTICE

BUREAU OF ALCOHOL, TOBACCO, FIREARMS

AND EXPLOSIVES



IN COORDINATION WITH

U.S. CUSTOMS AND BORDER PROTECTION

AUTOMATED COMMERCIAL ENVIRONMENT



ATF Supplemental Guidance for ACE

Date of		Section(s)	
Change(s)	Version	Affected	Brief Description of Change(s)
4/13/2015	1.0	All	Original Document
4/22/2015	1.1	2.3, 2.3.x, 2.5	2.3 – Changed the PG14 status to Conditional; moved the PG50 grouping below PG02; 2.3.x – Added;
			2.5 – Moved the PG50 grouping to below PG02
5/4/2015	1.2	2.5	2.5 – Corrected color coding of example CATAIR
5/6/2015	1.3	2.3, 2.5	 2.3 – Added additional controls/fields; 2.5 – Added new controls.
5/15/2015	1.4	1.3, 2.5.x, 2.6, Appendix	 1.3 – Updated External References; 2.5.x – Added new examples; 2.6 – Added section "Importer Responsibilities"; Appendix – PG10 – Corrected Category Code for "Sporting Ammo"

Revision History

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1.0 Introduction

This document is intended as a supplemental guide to the Customs and Border Protection (CBP) Automated Commercial Environment (ACE) using the CBP and Trade Automated Interface Requirements (CATAIR), PGA Message Set chapter (also referred to as an implementation guide). The PGA CATAIR chapter outlines the overall data relationships and this document establishes the ATF specific requirements from Trade/Industry with regard to the CBP (ACE) system.

1.1 Purpose

The ATF will use this information to determine if a particular importation of a Firearm, Ammunition, Implement of War, or any combination thereof requires either a Federal Firearms License, Federal Explosives License, AECA Registration, and/or Permit (ATF Form 6/6A) at the point the Entry record is submitted and at the point the cargo is released.

The purpose of this process is to reduce violent crime and protect the public by verifying that commodities such as firearms, explosives, ammunition, and implements of war are importable under Federal laws and regulations.

1.2 Authority

The ATF is authorized to collect this information under authority of:

- Authority of AG/Director to promulgate rules, regulations, and forms: 18 U.S.C. §926; 27 C.F.R. §447.35; 27 C.F.R. §478.21; 27 C.F.R. §479.21
- Form 6: 18 U.S.C. §925(d); 27 C.F.R. §§447.41-46; 27 C.F.R. §§478.111-118; 27 C.F.R. §479.111
- Form 6A: 27 C.F.R. §447.45; 27 C.F.R. §§478.112-114
- Form 9: 26 U.S.C. §5812; 27 C.F.R. §§479.114-118

1.3 External References

This document relies on information presented in these external documents:

		Version /
Document Title	Document Name / URL	Access Date
ACE Automated Broker Interface	http://www.cbp.gov/trade/ace/catair	3/28/2015
(ABI) and CBP and Trade Automated		
Interface Requirements (CATAIR)		
PGA Message Set	http://www.cbp.gov/document/guidance/pga-message-set	v6
Appendix PGA	http://www.cbp.gov/document/guidance/appendix-pga	v8
Appendix C - Tariff Abbreviations	http://www.cbp.gov/document/guidance/appendix-c-tariff-	August 2012
	abbreviations	
Entry Summary Create / Update	http://www.cbp.gov/document/guidance/ace-abi-catair-	v8.2
	entry-summary-createupdate	
ATF Supplemental Guidance for ACE	http://www.cbp.gov/document/guidance/atf-supplemental-	v1.4
<this document=""></this>	guidance-ace	

1.4 Points of Contact

Name	Role	Contact Information
ATF Imports Helpdesk	ATF-CBP/ACE assistance (for Imports)	Imports-Helpdesk@atf.gov
ATF Exports Helpdesk	ATF-CBP/ACE assistance (for Exports)	Exports-Helpdesk@atf.gov

Please direct any ATF related questions and/or comments regarding this document to:

1.5 General Distribution

This document is released for "General Distribution"

2.0 Industry Guidance (IG)

This section serves multiple purposes. First, it defines the fields Trade must submit to CBP/ACE. Second, it gives a visual depiction of ATF required data groupings.

2.1 Data Elements

All data elements are in fixed-length format. If all positions are not filled, the formatting rules are:

Code	Description	Fill rules
Α	The data element is alphabetic only (uppercase ONLY).	Left justify and Space fill.
AN	The data element is alphanumeric only (uppercase ONLY, for characters	Left justify and Space fill.
	A through Z).	
Ν	The data element is numeric only.	Right justify and zero-fill.
Х	The data element is alphanumeric or special characters (uppercase	Left justify and Space fill.
	ONLY for characters A through Z).	

Status codes are important to ensure that appropriate data is transmitted. Codes must be used where indicated or errors will occur.

Code	Description
М	Mandatory data element - must always be present.
0	Optional data element - generate data if available.
С	Conditional data element - data is provided if the conditions warrant.

General Rules for Data Submission:

- Unused fields, which are defined as numeric, should not be zero-filled. These fields should be space filled or data errors may result.
- Data should not be placed in fields defined as filler. If data is present in filler fields, an error message may be system generated.
- Transmit ONLY uppercase alphabetic characters A through Z. While some applications may not return specific error messages when detecting lower-case letters, CBP cannot guarantee the consistency of processing results for data transmitted in lower case.

2.2 PGA Grouping Rules

These are the relevant CATAIR rules regarding grouping as defined in the CATAIR:

- PG50 and PG51 are start and end grouping records which can be used to indicate that the data immediately following specific records should be associated together.
- Currently, the following records can be followed by a PG50/51: PG02, PG04, PG13, and PG14.
- The following records can appear in a group: 5, 6, 7, 10, 14, 19, 25, 26, 29.
- Only one level of grouping is allowed under a given record. There cannot be groupings within groupings.
- If the PG50 is used, a PG51 must also be transmitted. Multiple sequences of grouped data can be provided.

2.3 PGA Controls used by ATF

REMINDERS: The PGA Message Set does not stand-alone and must be submitted with an entry filing such as an ACE Entry Summary certified for cargo release.

There are times when ATF and CBP reporting may require the same data. In those instances, ATF will not ask for the data to be provided again in the PGA Message Set, if it is already collected by CBP. That is, the PGA Message Set data requirements for ATF will not duplicate those common data elements. Instead, the PGA Message Set is used to provide the additional data elements required for ATF reporting. In some instances, however, ATFs reporting requirements may require more specificity than CBP filing requirements. In those cases, ATF standardized format, provided for by the CATAIR, must be used regardless of how the data was captured by Customs.

Control	Length / Class	Position	Status	Field Name	Example	Note
OI	70X	11-80	М	Commercial_Description	XXXXXX	
PG01	3N	5-7	М	PGA Line Number	001	
	3AN	8-10	М	Agency_Code	ATF	Always [ATF]
	3X	11-13	М	Agency_Program	ATF	Always [ATF]
	1A	18	М	Confidential_Info	Y	Always [Y]
PG02	1A	5	М	Item_Type	Р	Always [P]
PG50		-	-	<a distinct<="" each="" for="" grouping="" td=""><td>et "Category Code" imported></td><td></td>	et "Category Code" imported>	
PG07	35X	5-39	0	Model	XXXX	(Form 6 'g')
	15X	40-54	0	Caliber_Gauge_Size	XXXX	(Form 6 'c')
PG10	6AN	5-10	М	Category_Type_Code	AT1	Always [AT1]
	5AN	11-15	М	Category_Code	SG,RI,PI,	(Form 6 'b') Appendix PGA (PG10 – Category Code)
	4X	16-19	0	Commodity Qualifier	PC9	Always [PC9]
	57X	24-80	0	Commodity_Description	XXXX	
PG19	3AN	5-7	0	Entity Role	MF	Always [MF]
	32X	26-57	0	Manufacturer Name	XXXX	(Form 6 'a')
PG20	2A	66-67	0	Manufacturer_Country	US	(Form 6 'a') Appendix B (ISO)
PG26	1N	5	М	Packaging Qualifier	6	Always [6]
	12N	6-17	М	Quantity	123456789	(Form 6 'd')
PG29	3AN	35-37	С	Unit Of Measure Net	IN	Always [IN]
	12N	38-49	0	Barrel_Length	123	(Form 6 'h')
	3AN	50-52	С	Unit_Of_Measure_Gross	IN	Always [IN]
	12N	53-64	0	Overall_Length	123	(Form 6 'i')
PG51		-	_	-	-	-
PG14	3AN	6-8	М	LPCO_Type	AT2	Always [AT2]
	33X	9-41	С	FFL_Number	1-23-456-78-9A-01234	
	9X	72-80	0	FFL_Exemption_Code	1, 2, <empty></empty>	Appendix PGA (PG14 – Exemption Codes)
PG14	3AN	6-8	М	LPCO_Type	AT3	Always [AT3]
	33X	9-41	С	FEL_Number	1-23-456-78-9A-01234	
	9X	72-80	0	FEL_Exemption_Code	1, 2, <empty></empty>	Appendix PGA (PG14 – Exemption Codes)
PG14	3AN	6-8	М	LPCO_Type	AT4	Always [AT4]
	33X	9-41	С	Permit_Number	123456789	
	9X	72-80	0	Permit_Exemption_Code	1, 2, <empty></empty>	Appendix PGA (PG14 – Exemption Codes)
PG14	3AN	6-8	М	LPCO_Type	AT5	Always [AT5]
	33X	9-41	С	AECA_Number	A-12-345-6789	
	9X	72-80	0	AECA_Exemption_Code	1, 2, <empty></empty>	Appendix PGA (PG14 – Exemption Codes)

This is the suggested format to meet all of the requirements of the ATF:

Control	Length / Class	Position	Status	Field Name	Example	Note
PG30	1A	5	М	Date_Type	Α	Always [A]
	8N	6-13	М	Anticipated_Arrival_Date	12312015	(MMDDCCYY)
	50X	22-71	0	Arrival_Location	Brunswick, GA	
PG32	2AN	5-7	М	Commodity_Routing	198	Always [198]
	2A	8-9	М	Export_Country	XX	Appendix B (ISO)

NOTE: Each entry must have one or more Category Codes (PG10) grouping; there is no limit to them.

2.3.1 Mandatory Controls

All fields marked with a Status of "M" are MANDATORY. Here are the special cases:

- The <PG01> record is mandatory.
- The <PG02> record is mandatory.
- The <PG10> record is mandatory; however there can only be one per <PG50/51> "grouping".
- The <PG26> record is mandatory; however there can only be one per <PG50/51> "grouping".
- The <PG30> record is mandatory.
 - <PG30 (22-71)> "Arrival Location" is optional.
- The <PG32> record is mandatory.

2.3.2 Conditional Controls

All fields marked with a Status of "C" are CONDITIONAL. Here are the special cases:

- The <PG14> record(s) are conditional depending if they are required based on Appendix PGA (PG10 Category Code).
 - If submitted, the <PG14 (6-8)> "LPCO Type" is mandatory.
 - If submitted, the <PG14 (9-41)> FFL/FEL/AECA/Permit Number is conditional depending if there is an Exemption declared in <PG14 (72-80)>.
 - If there is no exemption, then it is mandatory.
 - If there is an exemption, then it is optional; however, if it is entered, it must be a valid.
 - If submitted, the <PG14 (72-80)> "Exemption Code" is optional.

2.3.3 Optional Controls

All fields marked with a Status of "O" are OPTIONAL.

- The <PG07> record is optional; however there can only be one per <PG50/51> "grouping".
 - The <PG19> record is optional; however there can only be one per <PG50/51> "grouping".
 - If submitted, the <PG19 (5-7)> "Entity Role" field is mandatory.
 - If submitted, the <PG19 (26-57)> "Manufacturer Name" field is mandatory.
- The <PG20> record is optional; however there can only be one per <PG50/51> "grouping".
 If submitted, the <PG20 (66-67)> "Manufacturer Country" field is mandatory.
- The <PG29> record is optional; however there can only be one per <PG50/51> "grouping".
 If submitted, the "unit of measure" and applicable "length" field(s) are mandatory.
- The <PG50/51> record is optional; however if there is more than one <PG10> "Category Code" submitted per <PG01> "Line Number", then there must be a "grouping surrounding each distinct "Category Code" submitted.

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2.4 ATF Guidance

These are the relevant ATF rules regarding submission of an Entry:

- It is OPTIONAL to complete a grouping for each line item on an ATF issued permit (Form 6/6A). • However, there MUST be a grouping for each distinct <PG10> "Category Code" imported.
- Only the fields marked as mandatory (status = "M") must be submitted; all others are conditional/optional.
 Only the PG14 line(s) required per "Category Code" instructions must be included.
- For each PG01 Line Number:
 - There can only be a single FFL (AT2) entered.
 - There can only be a single FEL (AT3) entered.
 - There can only be a single Permit (AT4) entered.
 - There can only be a single AECA (AT5) entered.
 - If a Permit (AT4) is entered, only the FFL, FEL, or AECA number(s) associated with that permit may be entered.
- PG30 is used for the "Anticipated Arrival" ONLY; the ATF is not using this field for inspections.

2.5 ATF Examples

This section provides examples which should visually demonstrate various situations.

Key:

- Black text on white background is mandatory (exactly as demonstrated).
- Red text on yellow background is mandatory/conditional (permit and/or commodity information).
- Black text on green background is optional.

2.5.1 Example 1 (Single Commodity type Imported)

In this example, one weapon type "Category Code" is imported from Canada (CA):

- Category Code (EXP) "EXPLOSIVES" requires an FEL and Permit.
 - \circ Quantity = 100;
 - There are no Exemption Codes.
- Anticipated Arrival Date of (31 December 2015).

NOTES:

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• Since (EXP) requires a Permit AND it also requires an FEL, the FEL number must be associated with that Permit.

123456789	51234	56789	31234	15678	9 3′ 1 2	3456	57895	1	234	567	895 ⁷	12	345	6789⊅	12	345	678	90 970	12	345	678	9 0
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PG02P																						
PG50																						
PG10AT1	ΕXΡ	PC 9	P	4 O D E L	A N D	/ 0 R	DESC	R	ΙPΤ	ΙΟN	1											
PG266 <mark>0000</mark>	0010	000																				
PG51																						
PG14 AT31	- 23 - 4	56-78·	- 9 A - (01234																		
PG14 AT41	23456	789																				
PG30A <mark>1231</mark>	2015		ARI	RIVAL	LOC	ATIC	N															
PG32198 <mark>CA</mark>																						

2.5.2 Example 2 (Single Commodity type Imported with an Exemption)

In this example, one weapon type "Category Code" is imported from Canada (CA):

- Category Code (AMM) "SPORTING AMMO" requires an FFL, Permit, and AECA.
 - \circ Quantity = 100;
- There is an Exemption for the FFL.
- Anticipated Arrival Date of (31 December 2015).

NOTES:

- Since (AMM) requires a Permit AND it also requires an FFL/AECA, the FFL and the AECA numbers must be associated with that Permit.
- Since there is an Exemption reported for the FFL, there will be no FFL associated with the Permit.

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123	4 5	6	78	95	1	2 3	4	56	5 7	8	93	1	2	3 4	1 5	6	7 8	3 9	Ω	1:	2 3	34	5	6	7 8	3 9	8	1	2	3 4	15	6	78	9	л 0 1	2	3 4	5	67	8	98	12	2 3	4 5	6	78	3 9 3	70	. 2	34	15	67	89	Ω Ω
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P G 3			3 <mark>C</mark> 2	A																																																		t

2.5.3 Example 3 (Multiple Commodity types Imported Under the Same Permit)

In this example, two distinctly different weapon types "Category Code" are imported from Canada (CA):

- Category Code (API) "ARMOR PIERCING INCENDIARY" requires an FFL, FEL, Permit, and AECA.
 Quantity = 100; (length does not apply, but it was used to provide an example of 18 inches).
 - Category Code (EXP) "EXPLOSIVES" requires an FEL and Permit.
 - \circ Quantity = 100;
- There are no Exemption Codes.
- Anticipated Arrival Date of (31 December 2015).

NOTES:

- Since (API) requires a Permit AND it also requires an FFL/FEL/AECA, each of those numbers must be associated with that Permit.
- Since (API) and (EXP) both require an FFL/FEL/Permit, then both must be authorized under the same FFL/FEL/Permit. Alternatively, these could have been submitted on separate PG01 lines.

1234567	7895	1234	456	78	9 3 1	23	45	67	8 9	⊰ 5 1	23	4	56	78	9	3 1	. 2	3 4	15	6 7	8 9	70	1 2	3 4	15	6 7	8 9	96	1 2	3 4	15	67	8	970	12	23	4 5	6 '	7 8	9 ⁸ 0
OI		EXAN	ΜΡL	E	I M P	OR	Т	IW	ΤН	Т	WC)]	DI	SI	II	N C	Т	V	νE	A P	O N	I	ТΥ	ΡE	I S															
PG01001	ATF	ATF		Y											П	T	Т						Т	П	П		П						П	Т						ΠT
PG02P												Π			Π		Т	Π	П		Π				П		Π			Π		T	Π	Т		П	T	Π		\square
PG50																																								
PG07MOI	DEL														0	CA	L	ΙE	3 E	R							Π			Π	П	T	Π	Т			T	П		\square
PG10AT1	L	API	P	C 9			DΕ	SC	RΙ	ΡT	ΙC	N																												
PG19MF								MA	N U	FA	СI	UI	RΕ	R	NZ	A	1 E													Π		T	Π	Т		П	T	Π		П
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PG51																																								
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PG10AT	L	ΕXΡ	P	C 9			ΜO	DE	L	AN	D/	01	R	DE	S	CF	lΙ	ΡΊ	ΓI	ΟN																				
PG266 <mark>0</mark>	0000	0010	000	0								П			П	Т	Г		П				Т	П	П		П			П			П	Т		П		П		
PG51																																								
PG14 A	Г2 <mark>1</mark> -	23-4	4 5 6	- 7	8 - 9	A –	01	23	4		П	П			П		Г	\square			Π			П	П		Π				П	T	Π	Т			П	П		\square
PG14 A	Г 3 <mark>1 -</mark>	23-4	456	- 7	8 – 9) A –	43	21	0			Π			Π		Т	Π	П		Π				П		Π					T	Π	Т		П	T	Π		П
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PG14 A	Г 5 <mark>А –</mark>	12-3	3 4 5	- 6	789	•									Π		Τ		Т						П		Π				Tİ		\square	\square		\top	T			
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PG32198	3 C A																Τ								П								П			\top	T			

2.5.4 Example 4 (Multiple Commodity types Imported Under Separate Permits)

In this example, two distinctly different weapon types "Category Code" are imported from Canada (CA); however, one of them is being imported under two separate permits:

- Category Code (API) "ARMOR PIERCING INCENDIARY" requires an FFL, FEL, Permit, and AECA.
 Quantity = 100; (length does not apply, but it was used to provide an example of 18 inches).
- Category Code (EXP) "EXPLOSIVES" requires an FEL and Permit.
 - Quantity = 100 (on permit 123456789);
 - Quantity = 100 (on permit 987654321);
- There are no Exemption Codes.
- Anticipated Arrival Date of (31 December 2015).

NOTES:

- Since (API) requires a Permit AND it also requires an FFL/FEL/AECA, each of those numbers must be associated with that Permit.
- Since (API) and (EXP) both require an FFL/FEL/Permit, then both must be authorized under the same FFL/FEL/Permit. Alternatively, these could have been submitted on separate PG01 lines.



2.6 Importer Responsibilities

Importers are required to follow all existing rules, regulations, and reporting requirements. The CBP (ACE) system will facilitate some reporting requirements but not all of them.

2.6.1 ATF Reporting Requirements satisfied by ACE

By using the CBP (ACE) system within the context of this Supplemental Guidance, the following ATF reporting requirements will be satisfied:

- ATF Form 6A (5330.3C revised August 2011):
 - Section II "Certification of Release From U.S. Customs and Border Protection" in its entirety.

2.6.2 ATF Reporting Requirements NOT satisfied by ACE

All other reporting requirements not specifically enumerated in this section are still required to be satisfied by other ATF approved methods.

Glossary / Acronyms

This document uses the following acronyms and terms.

Acronym	Term
ABI	ACE Automated Broker Interface
ACE	Automated Commercial Environment
AECA	Arms Export Control Act
ATF	Bureau of Alcohol, Tobacco, Firearms and Explosives
CATAIR	Customs and Trade Automated Interface Requirements
CBP	U.S. Customs and Border Protection
FEL	Federal Explosives License
FFL	Federal Firearms License
FLS	Federal Licensing System
ITDS	International Trade Data System (ATF)
LCS	Licensing Consolidated Schema
NFA	National Firearms Act
PGA	Partner Government Agencies
RFC	Request For Change
URL	Uniform Resource Locator (hyper-link)

Appendix PGA (ATF)

ATF requires these codes in the CBP/ACE "Appendix PGA".

PG01 – Agency Program Codes (ATF)

Code	Definition	
ATF	Applicable to All ATF Programs	

PG10 – Category Type Codes

Code	Name	Definition
AT1	ATF non-USML Category Code	ATF Codes not related to defense

PG10 - ATF - Category Code - AT1

Weapon		FFL	FEL	Permit	AECA	
Category		(AT2)	(AT3)	(AT4)	(AT5)	
Code	Weapon Type Description	Required	Required	Required	Required	Note
ABB	ABLATIVE MATERIAL					1
ABL	AMMO BLTG & LNKG MAC					1
ADD	AMMO DD	Х		Х	Х	
AM	AMMO MFG MACHINES					1
AMM	SPORTING AMMO	Х		Х	Х	
AMP	AMMO COMPONENTS	Х		Х	Х	
AMX	AMMO ACCESSORIES			Х	Х	
AOW	ANY OTHER WEAPON	Х		Х	Х	
AP	ARMOR PIERCING AMMO	X		Х	Х	
API	ARMOR PIERCING INCENDIARY	X	Х	Х	Х	
AR	AIRCRAFT			Х	Х	
ARP	ARTILLERY PROJECTORS	X		Х	Х	
ARPP	ARTILLERY PROJ PARTS			Х	Х	
AV	AMPHIBIOUS VEHICLES			Х	Х	
BAC	BARRELED ACTIONS	X		Х	Х	
BBL	BARRELS			Х	Х	
BMB	BOMB	X	Х	Х	Х	
BMP	BOMB PARTS			Х	Х	
С	COMBINATION GUN	X		Х	Х	
СН	CHEMICALS			Х	Х	
DDE	DEST DEVICE EXPLOS	X	Х	Х	Х	
DDF	DEST DEVICE FIREARM	X		Х	Х	
DET	DETECTION DEVICES			Х	Х	
DETP	DETECTION DEVICE PARTS			Х	Х	
ESP	SMOKELESS POWDER	X	Х	Х	Х	
EXP	EXPLOSIVES		Х	Х		
EXX	DEMO BLCKS BLST CAPS		Х	Х	Х	
FG	FLARE GUN	Х		Х	Х	
FP	FIREARM PARTS			Х	Х	
FT	FLAMETHROWER			Х	Х	
FWK	FIREWORKS		Х			

Weapon Category		FFL (AT2)	FEL (AT3)	Permit (AT4)	AECA (AT5)	
Code	Weapon Type Description	Required	Required		Required	Note
GM	GAS MASKS	A		i		1
GMP	GAS MASK PARTS					1
GRN	GRENADE	Х		Х	Х	
GRP	GRENADE PARTS		Х			
HTZ	HOWITZER	Х		Х	Х	
IN	INERT AMMO	Х		Х	Х	
INC	INCENDIARY AMMO	Х	Х	Х	Х	
LAU	LAUNCHER	Х		Х	Х	
LAUP	LAUNCHER PARTS			Х	Х	
MAG	MAGAZINE			Х	Х	
MG	MACHINEGUN	Х		Х	Х	
MIN	MINE	Х	Х	Х	Х	
MINP	MINE PARTS			Х	Х	
MIS	MISSILE	Х	Х	Х	Х	
MISP	MISSILE PARTS			Х	Х	
MTR	MORTAR	Х		Х	Х	
MTRP	MORTAR PARTS			Х	Х	
MV	MILITARY VEHICLES			Х	Х	
MVP	MILITARY VEH PARTS			Х	Х	
NSA	NON-SPORTING AMMO	Х		Х	Х	
NSP	NONSPORTING AMMO COMPONENTS	Х		Х	Х	
NV	NAVAL VESSELS			Х	Х	
NVP	NAVAL VESSEL PARTS			Х	Х	
NW	NUCLEAR WEAPONS	Х	Х	Х		2
NWD	NUC WPN DESIGN EQUIP			Х	Х	
OCEP	OCEANOGRAPHIC EQ PTS			Х	Х	
PI	PISTOLS	Х		Х	Х	
RE	REVOLVERS	Х		Х	Х	
REC	RECEIVERS/FRAMES	Х		Х	Х	
RI	RIFLES	Х		Х	Х	
ROC	ROCKET	Х		Х	Х	
ROCP	ROCKET PARTS			Х	Х	
SBR	SHORT BARREL RIFLE	Х		Х	Х	
SBS	SHORT BARREL SHOTGUN	Х		Х	Х	
SG	SHOTGUNS	Х		Х	Х	
SI	SILENCER	Х		Х	Х	
SUB	SUBMERSIBLE VESSELS			Х	Х	
SUBP	SUB VESSEL PARTS			Х	Х	
TG	TEAR GAS LAUNCHER			Х	Х	
TOX	TOXICOLOGY AGENTS			Х	Х	
TRA	TRACER AMMO	Х	Х	Х	Х	
TRP	TORPEDO	Х		Х	Х	
TRPP	TORPEDO PARTS			Х	Х	
UNK	UNKNOWN	Х		Х	Х	
WHD	WARHEAD	Х	Х	Х	Х	

Weapon		FFL	FEL	Permit	AECA	
Category		(AT2)	(AT3)	(AT4)	(AT5)	
Code	Weapon Type Description	Required	Required	Required	Required	Note
WHP	WARHEAD COMPONENTS			X		

NOTE (1): These items are no longer regulated by the ATF.

NOTE (2): These items must have a permit; and an AT2 and/or AT3 is required depending on sub-type.

PG14 – Type Codes

Code	Name	Definition
AT2	ATF Federal Firearms	A license issued under the provisions of the Gun Control Act (GCA) to
	License Number	manufacture, import or deal in firearms and/or ammunition.
AT3	Federal Explosive License Number	A license or permit issued to anyone who wishes to transport, ship, and cause to be transported, or receive explosives materials under 27 CFR 555.41.
AT4	ATF Import Permit	A permit that authorizes the importation of firearms, ammunitions, and
	Number	implements of war into the United states or any possession thereof, except
		for certain exempt importations prescribed in 27 CF& parts 447, 478 and 479.
AT5	ATF Importer's	Registered importers of firearms, ammunition firearms parts or implements
	Registration Number	of war other, than sporting shotguns, shotguns shell, or shotgun parts, must
		also register under the Arms Export Control Act of 1976.

PG14 – Exemption Codes

Code	Name	Definition
1	Government Contract	A U.S. Government contract which requires the use of the indicated
		Weapon Type Category Code
2	ATF Exemption Letter	ATF Issued Special Exemption Letter