

SG UK Kick-out Plan (UK Four)

Application for Trust and Company investment

If you are applying for a Plan in your capacity as a trustee(s) including corporate trustee(s), please complete parts 1a, 2, 3, 4, 5.

If you are a single corporate investor and are not a trustee, please complete parts 1b, 2, 3, 4, 5.

Please note that applications from trusts and companies can only be accepted for direct investment.

Applications for trustee and company investments will only be accepted via a UK or EU Intermediary who is regulated by the FCA.

Return this form and your cheque made payable to **'Walker Crips Stockbrokers Limited'** to
 Walker Crips Structured Investments, Finsbury Tower, 103–105 Bunhill Row, London EC1Y 8LZ.

The closing date for applications is by **5pm on Friday 27 September 2013**.

If you are already a client of Walker Crips or have previously invested in a
 Walker Crips Structured Investments Plan please provide your account number:

1a. TRUSTS – core details (for companies, please proceed to page two)

Trust details

Name of trust
 (the account
 will be opened
 in this name)

Name(s) of
 beneficiaries

Correspondence address

Company
 name

Address

Postcode

For the
 attention of

Trustee one

Name

Address

Postcode

Trustee two

Name

Address

Postcode

Trustee three

Name

Address

Postcode

Trustee four

Name

Address

Postcode

1b. COMPANIES – core details

Name of company	<input type="text"/>
Registered office	<input type="text"/>
	<input type="text"/>
	<input type="text" value="Postcode"/>
Primary Contact Name and Correspondence address	<input type="text"/>
	<input type="text"/>
	<input type="text" value="Postcode"/>
Registered number	<input type="text"/>

2. Investment details

i. Total amount being sent (i.e. amount on cheque)

£

ii. Adviser charge deducted (if any)

£

iii. I apply to subscribe the following Net Investment Amount

£

(min £10,000)

Please make cheques payable to **‘Walker Crips Stockbrokers Limited’**.

If you are attaching a building society cheque, please request that your building society references the trust or company name on the cheque.

3. Financial Advice and Adviser Charging

Firm name

Adviser name

Have you paid the adviser charges?

☐ Yes, I have paid the adviser charges separately.

☐ No, I have not paid the adviser charges and would like you to pay the amount detailed in section 2 to my financial adviser. Please note that the maximum charge we are able to facilitate is 4% of your total investment.

(Please continue overleaf)

4. Declaration and authorisation

We, the Trustees/Authorised Signatories of this Trust/Company, request Walker Crips Stockbrokers Limited (WCSB) to arrange for the purchase of the Plan(s) on our behalf, in accordance with the Plan brochure.

We declare that:

We have read the Terms and Conditions of the Plan and accept the Terms and Conditions under which our investment will be managed.

We have full power to invest in the Plan and have taken all necessary action to authorise the making of this application. The person(s) signing this application has full power and authority to do so on our behalf.

We are not prohibited under legal, regulatory provisions or the terms of a Trust/Company from investing in this Plan. In particular, we are not, and are not acting on behalf of, a resident of the United States and we will not assist any person who is so resident to acquire investment within the Plan. We will inform you immediately if we become resident of the United States.

The application form and this declaration have been completed to the best of our knowledge and belief and the information provided is true and complete.

We authorise Walker Crips Stockbrokers Limited:

To purchase the Plan in accordance with this application and understand and agree that any investment in the Plan will be allocated in accordance with our instructions.

By signing, we confirm that we have read, understood and agreed to be bound by this declaration, the information supporting this application form, the brochure relating to the Plan and the Terms and Conditions used and disclosed.

Money laundering regulations

Under the regulations, there is a legal requirement to prove the identity of investors. Please submit documentary evidence of the existence of the Trust or Company and identity verification certificates for all Directors or Trustees.

The exercise of any options under the Terms and Conditions of the Plan must be authorised by the requisite number of authorised signatories set out in the Scheme's governing document or, where a number is not stipulated, by at least one authorised signature. Please provide the names and sample signatures of all those who will be Authorised Signatories. If you require more than four, please continue on a separate sheet of paper. Where there is any change to the Authorised Signatories, please notify Walker Crips Stockbrokers Limited in writing giving the date of change at: Walker Crips Structured Investments, Finsbury Tower, 103–105 Bunhill Row, London EC1Y 8LZ. (Walker Crips Stockbrokers Limited will be entitled to rely on the previous list until they are informed to the contrary).

Adviser Charges

By signing this application I can confirm that I am in agreement with the Adviser Charge being deducted as indicated in section 2 and paid to my Financial Adviser.

My adviser has fully explained their charges to me and I understand that, should I exercise my cancellation rights after the Adviser Charge has been paid, Walker Crips Stockbrokers Ltd will not return any Adviser Charges to me. I will need to contact my Financial Adviser regarding any refund.

I understand that Walker Crips Stockbrokers Limited is simply facilitating Adviser Charges and any queries regarding these payments will need to be discussed with my Financial Adviser.

Signed Authorised Signatory	<input type="text"/>
Print name	<input type="text"/>
Date	<input type="text" value="DD MM YYYY"/>
Signed Authorised Signatory	<input type="text"/>
Print name	<input type="text"/>
Date	<input type="text" value="DD MM YYYY"/>

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Print name	<input type="text"/>
Date	<input type="text" value="DD MM YYYY"/>
Signed Authorised Signatory	<input type="text"/>
Print name	<input type="text"/>
Date	<input type="text" value="DD MM YYYY"/>

Applications must be submitted via a Financial Adviser

5. Financial Adviser Declaration (THIS SECTION MUST BE COMPLETED IN FULL)

Where I have provided advice and made a personal recommendation to the investor(s) in accordance with the FCA Handbook, I confirm that I have assessed the suitability of this product in relation to my client's circumstances and investment objectives.

I declare that this application form has been completed to the best of my knowledge and belief and I have fully disclosed any Adviser Charge, if applicable, to the investor(s).

I understand that any Adviser Charge being facilitated will be paid after the start date of the Plan subject to a fully completed Terms of Business agreement being in place.

☐ I confirm that I have completed the appropriate verification of identity checks and attach the **Identity Verification Certificate***, I have sighted the original documents and that any requiring a signature were presigned.

* Identity Verification Certificates can be downloaded from www.wcgpplc.co.uk/idvc

Company name	IFA signature
IFA name	
Address or IFA company stamp	
Postcode	Contact number
	FCA number