

U.S. DEPARTMENT OF ENERGY

Office of Congressional and Intergovernmental Affairs (CI)

CONGRESSIONAL GRANT/CONTRACT NOTIFICATION

TO: Office of Congressional & Intergovernmental Affairs  
ATTN: Contract Notification Coordinator  
U.S. Department of Energy  
1000 Independence Avenue, SW  
Washington, D. C. 20585

Telephone: 202-586-5450  
Fax: 202-586-5497  
Email: CI-ANA@hq.doe.gov

**1. Procuring Office:** \_\_\_\_\_

Name: \_\_\_\_\_

(Procurement Office Representative – CS/CO)

Telephone: (\_\_\_\_) \_\_\_\_\_

**2. Program Office/Project Office:**

Name: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_

**3. Contractor, Grantee or Offeror:**

Name: \_\_\_\_\_

Street: \_\_\_\_\_

City: \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

**4. Place of Performance:** (Required if different from #3)

Street: \_\_\_\_\_

City: \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

**5. Proposed Date of Award:** \_\_\_\_\_

Date of Public Announcement: \_\_\_\_\_

(If any)

**6. Contract, Grant, or Other Agreement No.:**

\_\_\_\_\_

(Specify Type of Instrument)

☐

New

☐

Renewal

☐

Modification (Total to date: \$ \_\_\_\_\_)

Does this award result from an Information For Bid?

☐

Yes

☐

No

**7. Obligated Cost or Price of this Action:** \_\_\_\_\_

\$ Estimate Cost or Price of Total Award: \_\_\_\_\_

\$ Recipient Cost Sharing (if applicable): \_\_\_\_\_

(For incrementally funded awards only. Report the initial obligation and total estimated award value.)

**8. Duration of Contract, Grant, or Other Agreement:**

From: \_\_\_\_\_ To: \_\_\_\_\_

**9. Brief Description.** (Please provide meaningful details. See instructions.)

TO BE COMPLETED BY OFFICIAL RESPONSIBLE FOR SUBMISSION

10. Method of Submission: ☐ Email

☐

Fax

Date: \_\_\_\_\_ Time: \_\_\_\_\_ ☐ A.M. ☐ P.M.

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Office: \_\_\_\_\_



## Instructions for Completing and Submitting DOE F 4220.10

There is an automated reporting process for Congressional notification on a contract or financial assistance action (award or modification), which utilizes the existing data in the system of record – Strategic Integrated Procurement Enterprise System (STRIPES) and generates the data into the new Advanced Notification of Awards System which is part of the DOE iPortal (<https://iportal.doe.gov>). For contract actions, refer to the Acquisition Guide Chapter 5.1 (October 2011) or latest version. For financial assistance actions, refer to Guide to Financial Assistance Chapter 2.6.1 (October 2011) or latest version.

### ➤ **Manual Reporting**

Manual reporting may be required at times. Unless the buyer, referred herein as the Procurement Office Representative CS/CO, is otherwise informed that a specific action requires manual reporting, the Program Office will notify the CS/CO when a manual report is necessary. Manual reporting is required when the action --

- Falls outside the normal reportable actions and dollar thresholds;
- Is a subcontract level action and a press release is to be issued by the Department of Energy (DOE); or
- Is a subcontract level action which is known to have been the subject of a Congressional inquiry.

Manual reporting is done by completing and submitting form DOE F 4220.10, Congressional Grant/Contractor Notification in accordance with the outline of Reportable Actions below. The form is located in STRIPES or at the DOE Forms Webpage:

<http://energy.gov/cio/forms>

Submission of the form shall be in accordance with the following procedures:

- Print and sign the completed form.
- Scan the form using Adobe Acrobat and create a PDF file. File naming conventions are contained in the File Naming Conventions document available at: <https://notification.pr.doe.gov/aviator/anareg.nsf/Naming%20Conventions?OpenPage>
- E-mail the completed document to the Advanced Notification of Awards System Coordinator at [CI-ANA@hq.doe.gov](mailto:CI-ANA@hq.doe.gov).

### ➤ **Reportable Actions**

- For financial assistance actions, the selection of a single offeror for negotiation when the estimated amount of the resulting award is over the prescribed dollar threshold. (Reporting of this selection obviates the need to report the related award or modification.
- An award or modification of a contract, grant, cooperative agreement, and other financial assistance over the prescribed dollar threshold.
- Modifications of the above instruments over the prescribed dollar threshold when the modification involves the addition of new work, or the exercise of an option. *Excluded are: administrative changes, including funding actions; changes within the scope of the instrument; orders or notices under clauses as a property clause, or a suspension of work clause; the definitization of a letter contract; and terminations. Terminations, regardless of type, will be reported through another notification procedure, for details for contracts see Acquisition Guide, Chapter 5.1, Congressional Notifications, or for financial assistance see Guide to Financial Assistance, Chapter 2.6.1, Congressional Notification,*
- Subcontract level actions are not reportable unless a press release is to be issued by the Department of Energy (DOE) or unless the subcontract level action is known to have been the subject of a Congressional inquiry. This is not a new requirement. All subcontract notifications must be done manually since this data does not exist in the system of record. Unless the CS/CO is otherwise informed, the Program Office is responsible to notify the CS/CO if a subcontract report is needed regardless of subcontract dollar amount.

### ➤ **Dollar Thresholds**

Based on the reportable actions described above, the prescribed thresholds for reporting an action are as follows:

- For financial assistance actions:
  - o An amount of \$2,000,000 or more for an award or modification with all types of recipients.
  - o An amount of \$2,000,000 or more for a selection of a single offeror for negotiation.
- For procurement actions for an amount of \$4,000,000 or more for an award or modification.

In calculating the threshold dollar amount of a modification, the amount of the instant modification is used, not the cumulative amount of the original instrument as modified. The dollar amount of an option item is excluded, except when reporting the exercise of the option. In a cost-sharing arrangement, the threshold is based on the DOE portion of the cost share agreement amount. *Actions which involve funding only are not reported.*

### ➤ **Other**

- Block 4 – Place of Performance - The data to complete this block is contained in the place of performance fields on the FPDS-NG data entry screen.
- Block 9 – Brief Description – Include enough information to describe the effort to be performed and its purpose. It is imperative that a complete description be provided that is sufficient for preparing a press release and/or providing a meaningful description when notifying interested parties. Use non-technical plain English language without acronyms.