

Outsourcing Your Audit Function

Registration Form:

Registrant Name _____

Title _____

Bank/Company _____

Street Address _____

City _____

State _____

Zip _____

Phone Number _____

Fax Number _____

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Select Seminar:

April 2, OCC6548-1

April 3, OCC6548-2

Please check here if you are unable to receive your materials via the Internet. We will ship you a hard copy. *(To receive via the Internet, you will need Acrobat Reader, available free at www.adobe.com.)*

Site registration and one set of written materials

National Banks: \$99 each session

Others: \$125 each session

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Registrations after 03/21/02, please add \$8 for expedited handling.

Total Payment: _____

Who Should Participate?

- Chief executive officers
- Directors
- Senior management
- Internal auditors
- External auditors
- Risk management officers
- Consultants

Price:

Participation is \$99 per connection for each national bank listening site and \$125 per connection for each non-national bank listening site.

Questions for Speakers?

If you would like to submit questions to the speakers in advance, please e-mail your questions to OCCTeleSeminar@occ.treas.gov or fax them to (415) 442-5388.

Convenient • Informative • Cost Effective

Outsourcing Your Audit Function

Tuesday, April 2, 2002

2:00 p.m. — 3:30 p.m. EST

and again on

Wednesday, April 3, 2002

11:00 a.m. — 12:30 p.m. EST

This 90-minute telephone seminar allows an unlimited number of people to listen to OCC experts and to discuss with them their experiences and policy imperatives over individual telephones or with colleagues on speaker-phones. The experts' interaction with you, the listener, will make the program a valuable learning experience and enjoyable to listen to. And you can ask questions from wherever you are.

There is no rush-hour traffic, no parking, no travel time or expenses. You can remain in the office and share information with a large group of colleagues for one price per site. A moderator guides the presentation and the open forum, much like participating in a talk-radio program.



Welcome by
John D. Hawke, Jr.,
Comptroller of the Currency

- The various ways that banks oversee outsourcing
- The potential benefits of outsourcing
- Insight into the OCC's expectation for sound internal audit outsourcing
- What approaches the OCC uses in examining outsourced internal audit
- Other best practices in managing outsourcing relationships

Discussion Topics:

- What are the potential changes in independence rules for CPAs, and how could they affect current outsourcing arrangements in banks?
- What are some of the most effective bank structures for overseeing outsourcing arrangements?
- What are the potential efficiencies in resources and costs of internal audit versus outsourced internal audit?
- What are the major challenges in managing either "co-sourced" (special or limited-area review) or "outsourced" (100 percent of the internal audit work) services, when the same firm also provides the external audit?
- Is your outsourced internal audit function coordinated with your bank's ongoing risk management system?

Interact with OCC Experts:



Zane D. Blackburn
OCC Chief Accountant



Mark Blair
*National Bank Examiner,
Northeastern District*

- FLOOR PLANS



C. Scott Schainost
*Assistant Deputy Comptroller,
Wichita Field Office*



Robert T. Riordan,
*National Bank Examiner,
OCC District Accountant*



Wynne E. Baker
*Chairman, AICPA Financial
Services Panel*

How to Register: