APPENDIX D

OFF-ROAD EQUIPMENT

PROJECT APPLICATION

March 10, 2006

Carl Moyer Memorial Air Standards Attainment Program MULTIDISTRICT OFF-ROAD EQUIPMENT PROJECT <u>APPLICATION</u>

This application is for incentive funds to offset the incremental cost of reducing emissions from (1) off-road equipment permanently located at a maritime port or rail yard, or (2) off-road construction and agricultural equipment which operates in more than one air district. All projects must be multidistrict projects, achieve emission reductions beyond all regulatory requirements, meet the minimum Carl Moyer Program eligibility criteria described in the January 6, 2006 Carl Moyer Program Guidelines and the 2006 Carl Moyer Program Advisories, and comply with the specific requirements of this solicitation. The 2006 Carl Moyer Program Guidelines and Advisories can be viewed at: http://www.arb.ca.gov/msprog/moyer/moyer.htm. Cargo handling equipment at ports is eligible for funding only for emission reductions occurring three years prior to the compliance dates in the ARB Cargo Handling Rule, as stated in Section 2479, Title 13 of the California Code of Regulations. Applicants are referred to Tables 1-3 of the Rule for specific compliance dates.

Applicants are required to submit all items in the checklist on page D-2. Project implementation plans should, at the very least, indicate who will do the work, whether or not they have successfully completed similar projects in a timely manner, and when the work will be completed. Project cost information, including cost-effectiveness calculations, vendor quotes and other documentation substantiating cost data requested in this application must also be provided.

A pre-bid conference will be held Monday, April 3, 2006, from 1:00 p.m. to 4:00 p.m. in Training Room 1 at the Air Resources Board facility located at 1001 I Street, Sacramento, California. Applicants choosing to attend may do so in person or via conference call. The call-in number is (866) 709-8551 and the participant code is 3291241. The intent of the pre-bid conference is to provide potential project applicants with an opportunity to ask clarifying questions regarding general project requirements and specific technology questions. Written questions submitted before the bidders conference will be given priority. Questions may be e-mailed to Ms. Stacy Dorais at sdorais@arb.ca.gov, or faxed to Ms. Dorais at (916) 322-3923. Questions may be submitted up to the close of business Wednesday, March 29, 2006. The questions and answers will be posted on the ARB website no later than Thursday, April 6, 2006. Questions will not be answered before or after the pre-bid conference. Any verbal communication with an ARB employee concerning this solicitation is not binding on the State and shall in no way alter a specification, term or condition of the solicitation.

In accordance with the Guidelines, applicants must disclose if they have applied for or received public financial assistance that reduces the project cost, including tax credits or deductions, grants, or any other public financial assistance given for the vehicles and

engines listed in this application. The applicant must reduce the incremental cost of the project by the amount of any current financial incentive received. Any applicant failing to report additional funding for this project may be banned from future participation in the Carl Moyer Program and be subject to criminal sanctions.

Final project selection will be completed by June 16, 2006 and successful applicants will be notified immediately. Questions regarding the application process should be directed to Ms. Stacey Dorais at (916) 322-2383.

CHECK LIST FOR APPLICATION ITEMS

Be sure the following items are included with the application submittal.

- □ Completed and signed application.
- □ Project implementation plan.
- □ Project cost documentation including vendor quotes and other substantiating data to support cost estimates provided in this application.
- □ Calculations of project cost-effectiveness and emission reductions (see Appendix D of Carl Moyer Program Guidelines).

Eligibility Criteria

To be eligible for funding, projects must meet the criteria described in the 2006 Carl Moyer Program Guidelines and the 2006 Carl Moyer Program Advisories. These criteria include but are not limited to the following:

- Emission reductions obtained through Carl Moyer Program projects must not be required by any federal, state or local regulation, memorandum of agreement/understanding with a regulatory agency, settlement agreement, mitigation requirement, or other legal mandate.
- Projects must meet a cost-effectiveness of \$14,300 per weighed ton of NOx + ROG + twenty times combustion PM10 reduced, calculated in accordance with the cost-effectiveness methodology discussed in the Guidelines.
- No emission reductions generated by the Carl Moyer Program shall be used as marketable emission reduction credits, or to offset any emission reduction obligation of any person or entity.

- No project funded by the Carl Moyer Program shall be used for credit under any federal or state emission averaging banking and trading program.
- Funded projects must have at least 75 percent of their operation take place in California.
- All engines in new vehicle purchases and repower projects must be certified by the ARB for sale in California and must comply with durability and warranty requirements.

Funding Disclosure

Have any engines or vehicles listed in this application been awarded funding from another public agency or are any being considered for funding?

□ Yes □ No

If "yes", complete the following for each engine or vehicle:

Agency applied to	
Date/Number of Agency Solicitation	
Funding Amount Requested	
Old Engine Serial Number	
Status	

OFF-ROAD HEAVY-DUTY EQUIPMENT APPLICATION

Please print clearly or type all information on this application and on all attachments.

A. APPLICANT INFORMATION

1. Company name/ Organization name/ Individual name:			
2. Business type:			
3. Contact name and title:			
4. Person with contract signing authority (if different from above):			
5. Business mailing address and contact information:			
Street:			
City:	State:		Zip code:
Phone: ()		Fax: ()	
E-mail:			
6. Project address (if different from above):			
7. How many engines are being applied for?			

I hereby certify that all information provided in this application and any attachments are true and correct.

Printed Name of Responsible Party:	Title:
Signature of Responsible Party:	Date:

Third Party Certification

I have completed the application, in whole or in part, on behalf of the applicant.

Printed Name of Third Party:	Title:
Signature of Third Party:	Date:
Amount Being Paid for Application Completion in Whole or Part:	Source of funding to 3 rd party:

B. PROJECT DESCRIPTION

1. Project name:			
2. Project location: Street:			
olieel.			
City:	State:	Zip code:	
3. Total annual hours of operation or gallons of fuel consumed (specify):			
4. Percent Operation in California:			
4. Port or rail yard in which the equipment operates (if any):			
5. Counties in which the equipment operates and percent of total hours or fuel consumption in each:			

C. EQUIPMENT INFORMATION

1. Equipment Type/Function:
2. Equipment Make:
3. Equipment Model:
4. Equipment Model Year:
5. Equipment Serial Number:
6. Number of Main Engines on this Equipment:

D. NEW PURCHASE PROJECTS

1. Projected Year of Purchase of New Equipment :			
2. Engine Information (for each project engine):			
a. New Engine Family:		b. New Engine Make:	
c. New Engine Model:		d. New Engine Serial Number:	
e. New Engine Horsepower:		f. New Engine Tier:	
g. Fuel Type:	h. Baseline Cost:		i. New Equipment Cost:

E. REPOWER PROJECTS

1. Number of Main Engines to be Repowered:			
2. Engine Information (for each project engine):			
a. Baseline Engine Make:	b. Baseline Engine Model:		
c. Baseline Engine Year:	d. Baseline Engine Horsepower:		
e. Baseline Engine Tier:	f. Baseline Engine Serial Number:		
3. Baseline Annual Gallons of Fuel Consumed or Hours of Operation:			
4a. Reduced Emission Engine Fuel Type:	b. Reduced Emission Engine Make:		
c. Reduced Emission Engine Model:	d. Reduced Emission Engine Year:		
e. Reduced Emission Engine Horsepower	f. Reduced Emission Engine Tier:		
g. Reduced Emission Engine Serial Number (if available):	h. Reduced Emission Engine Family:		
5. Baseline Engine Rebuild Cost:			
6a. Reduced Emission Engine Cost:			
b. Reduced Emission Engine Installation Cost (optional):			

F. RETROFIT PROJECTS

1a. Engine Make:		b. Engine Model:	
c. Engine Horsepower:	d. Engine Serial Number:		e. Engine Tier:
2a. Retrofit Device Serial Nu	umber (if available):		
b. Retrofit Device Verification Level:			
3a. ARB – Verified Retrofit Device Name:			
b. ARB – Verified NOx Reduction (%):			
c. ARB – Verified PM Reduction (%):			
d. ARB – Verified ROG Reduction (%):			
4. Retrofit Device ARB Executive Order Number:			
5a. Cost of Retrofit:		b. Cost of Inst	allation (optional):
c. Cost of Maintenance for Life of Project (optional):			