

National Health and Nutrition Examination Survey (NHANES)

Home Urine Collection



January 2009



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1. HOME URINE COLLECTION

1.1 Background

NHANES is the source of national estimates of the prevalence of chronic kidney disease in the United States. An estimated 7.69 percent of adults aged 20 or older (15.5 million adults) have physiological evidence of chronic kidney disease determined as a moderately or severely reduced glomerular filtration rate.¹ The two main causes of chronic kidney disease are diabetes and high blood pressure, which are responsible for up to two-thirds of the cases. The third most frequent cause of chronic kidney disease, glomerulonephritis, is a group of diseases that cause inflammation and damage to the kidney's filtering units. Other causes of chronic kidney diseases include:

- Inherited diseases, such as polycystic kidney disease, which causes large cysts to form in the kidneys and damage the surrounding tissue.
- Malformations that occur as a baby develops in its mother's womb. For example, a narrowing may occur that prevents normal outflow of urine and causes urine to flow back up to the kidney. This causes infections and may damage the kidneys.
- Lupus and other diseases that affect the body's immune system.
- Obstructions caused by problems like kidney stones, tumors, or an enlarged prostate gland in men.
- Repeated urinary infections.

Microalbuminuria, also referred to as proteinuria (protein excretion in the urine), is an important indicator of early kidney disease. If a person has "persistent proteinuria" it means they have chronic kidney disease. When kidney disease progresses, it may eventually lead to chronic kidney failure, or end stage renal disease (ESRD), when the kidneys permanently fail to work. ESRD usually requires the patient to undergo dialysis or a kidney transplant.

A urine sample collected for the measurement of protein in the urine (albumin/creatinine ratio), as well as a blood test for serum creatinine, is recommended by the National Kidney Disease Education Program as the two diagnostic tests to ascertain early chronic kidney disease. The purpose of

¹ Coresh, J., Selvin, E., Stevens, L.A., Manzi, J., Kusek, J.W., Eggers, P., Van Lente, F., and Levey, A.S. Prevalence of chronic kidney disease in the United States. *Journal of the American Medical Association*. 2007;298(17): 2038–2047.

the Home Urine Collection is to measure the albumin/creatinine ratio to assess persistent microalbuminuria in the U.S. population. This requires the collection of a second urine specimen collected within 10 days of the mobile examination center (MEC) exam to compare the level of protein excretion with the first urine sample obtained when the SP was examined on the MEC. This second collection is also particularly important to determine proteinuria in children. Children commonly exhibit a benign finding referred to as "orthostatic proteinuria." Many children have a positive urine finding for protein in random urine samples, but don't have protein present in their urine when the first morning void is collected. Orthostatic proteinuria must be excluded by repeat measurement on a first morning specimen if the initial finding of proteinuria was obtained on a random specimen.

The 2009-2010 albumin/creatinine ratio pilot and main study of NHANES is sponsored by the following organizations:

- The Centers for Disease Control and Prevention (CDC)/National Center for Chronic Disease Prevention and Health Promotion/Division of Diabetes Translation; and
- The CDC National Center for Health Statistics (NCHS).

1.2 Overview of the Home Urine Collection Component (HUC)

All MEC examination staff including the MEC manager will be trained to perform the HUC, with the exception of the coordinator and physician. Study participants aged 6+ will be eligible for the HUC after the SP has provided a sufficient urine specimen as per MEC protocol for the first albumin/creatinine measurement. The exam takes place in trailer #1.

The participant is asked to take the kit home, fill the sample cup, and mail it to the contract lab within 10 days of the MEC exam. The kit will contain instructions on how to collect and mail the urine specimen to the testing laboratory. The MEC examiner will open the kit, explain the contents, and provide verbal, written, and visual instructions to the SP to reinforce these procedures. A self-addressed stamped shipping envelope containing a U.S. Postal Service Priority Mail shipping label to the analytical laboratory will be provided, which will also track the urine shipment to the contract laboratory. The examination procedure will be described in detail in Chapter 3, Examination Protocol. Participants who agree to provide a home urine specimen will receive a reminder postcard 10 days after the MEC exam if the specimen has not arrived at the contract laboratory. Upon receipt of the specimen at the laboratory, a remuneration check for \$40 is mailed to the participant.

The results of the albumin/creatinine ratio will be reported to the SP. Abnormal findings will result in an early notification letter from NCHS.

2. EQUIPMENT AND SUPPLIES

The equipment and supplies used in the Home Urine Collection (HUC) are described below:

2.1 Equipment

Dymo label printer.

2.2 Supplies

- Home specimen collection kit (pre-assembled in the HANES warehouse)
 - White cardboard outer box;
 - Styrofoam shipping container;
 - Refrigerant gel pack pouch (Polar Pack®);
 - One 60 milliliter plastic specimen cup w/ screw on lid;
 - One 5"X 5" Ziploc plastic bag with absorbent pad; and
 - Temperature monitoring strip (affixed to the inside lid of Styrofoam container).
- White Tyvek self-addressed postage-paid shipping envelope, containing:
 - 1. "Human Exempt" label;
 - 2. Shipping label;
 - 3. USPS postage stamp (\$4.80); and
 - 4. Priority Mail sticker.
- 10" x 13" Ziploc plastic bag;
- Hard-copy informational cover letter from DHHS/CDC (double-sided English/Spanish);

- Hard-copy color "Urine Collection and Shipping Instructions" (double-sided English/Spanish);
- Laminated hard-copy talking points;
- Laminated hard-copy color "Urine Collections and Shipping Instructions" (doublesided English/Spanish);
- 2 permanent markers, heavy point;
- 2 Dymo polyester labels—1" x 2¹/₈," 500 labels per roll;
- Monthly calendar;
- Confidentiality and image instruction label; and
- Jumbo paper clips.

2.3 Inventory Management

The HUC component will be managed by the health technologists. The par level for the component is set at the following levels (see Exhibit 2-1):

Home specimen collection kits	330
White Tyvek self-addressed postage-paid shipping envelopes	330
10" x 13" plastic Ziploc bags (Identified as Mini-Grip Bag on Inventory)	1 case
Permanent markers, black heavy point	2
Dymo polyester labels—1" x 2 ¹ / ₅ "	2 rolls
Informational cover letters from DHHS/CDC	330
Urine Collection and Shipping Instructions, English/Spanish	330
Confidentiality label – English/Spanish	2/1 boxes
Temperature monitoring strip	20
Paper clip, jumbo	

2.4 Urine Collection Kit Storage

The urine collection kits (330) are shipped from the warehouse with the start of stand supplies. The kits must not be stored in the belly compartments due to the temperature sensing strips; they will be stored in the former anthro room and in the HUC room in trailer #1.

3. HOME URINE COMPONENT EXAMINATION PROTOCOL

3.1 Eligibility Criteria

Sample persons (SPs) aged 6 years and older are eligible for the Home Urine Collection (HUC) pilot. There are no safety exclusion criteria for HUC. HUC will only be assigned if the SP has submitted a urine specimen that completes the MEC urine collection protocol for albumin/creatinine ratio—vessel no. 45. There are two ways the lab will determine HUC eligibility: (1) If the urine specimen volume is sufficient to fulfill the SPs protocol, HUC will be automatically enabled; or (2) If the urine specimen volume is not sufficient to fulfill the SPs protocol, but the lab has enough urine to process vessel no. 45, HUC will be enabled. If the SP has submitted a small quantity of urine, but vessel no. 45 has not been processed by the MEC laboratory staff, the coordinator will not be able to assign the SP to HUC.

Parents or guardians of children aged 16 years and younger will be required to respond for the children due to the detailed instructions for the specimen collection and mailing procedures. The children may accompany the parent to the component, but they are not required to be present.

When the health technologist attempts to recruit an SP who does not speak English and the health technologist does not speak the language of the SP, a translator who does speak the language of the SP assists the health technologist. The interpreter stays with the health technologist and the SP for the entire procedure.

An important aspect of the HUC is the successful recruitment of the SP to agree to participate in the exam. This requires careful patience on the part of the examiner to encourage the SP to accept the component and follow through with the collection of the home specimen. To assist the examiner, talking points have been developed (Appendix A), and a cover letter has been written that details the home collection in a FAQ format (Appendix B).

Gaining the cooperation of an SP is easier if the atmosphere in the examination room is pleasant and makes the SP feel comfortable. Below is a list of suggestions for creating a pleasant atmosphere in the examination room.

- Maintain a clean and uncluttered work area.
- Be aware of body image; a positive body image inspires confidence. Maintain a tidy appearance, erect posture, and a smile.
- Speak face-to-face with the subject and maintain eye contact. Staring at other areas in the room may cause the SP some uneasiness since it implies that he or she is not important. It also implies disinterest.
- Avoid nervous behaviors such as squirming and tapping that can be distracting. The SP may begin to feel nervous, hurried, and anxious because of such behaviors.

3.2 Examination Procedures

The Home Urine Collection ISIS application allows the technician to indicate that the participant consented to perform the second urine collection, print the specimen label, and scan the USPS airbill number into the system for tracking the specimen to the laboratory in Minnesota.

Using the standard ISIS format, open the home urine collection application and log the SP into the exam by scanning the bar code on the SP ID bracelet or manually typing the SP ID when the SP arrives in the examination room.

3.2.1 Recruiting the Participant to Accept the Home Collection

Invite the SP to participate in the home urine collection with the assistance of the **Recruitment Talking Points:**

English:

"NHANES is determining how many people have protein in their urine. To be sure if your urine has increased protein, we need a second urine specimen. Take this urine collection kit home with you so you can collect a urine specimen and mail it to the laboratory. Once the lab has received the urine, we will send you a check for \$40. If the sample is not received, you will not be paid.

Protein detected in urine may be an indicator of weak kidneys. This measure and a blood test for creatinine are recommended by the National Kidney Disease Education Program to detect early chronic kidney disease. You will get results of your urine tests."

Spanish:

"NHANES está tratando de determinar cuántas personas tienen proteína en la orina. Para estar seguros que la proteína en su orina ha aumentado con el tiempo, necesitamos una segunda muestra de orina. Llévese a su casa estos materiales para tomarse una muestra de orina en su casa y mandarla por correo al laboratorio. Una vez que el laboratorio reciba la muestra le enviaremos un cheque por \$40.00.

La detección de proteína en la orina podría indicar que los riñones son débiles. El Programa de Educación Nacional sobre la Enfermedad de los Riñones recomienda esta medición y un análisis de sangre para creatinina para detectar enfermedades crónicas del riñón en una fase temprana. Usted recibirá los resultados de los análisis de su orina."

If an SP initially refuses the component, ask questions to determine the reason for the refusal and try to address any concerns the SP has in order to have him or her complete the component. Provide reassurance and encouragement. Review the questions and answers in the cover letter with the SP (Appendix B). Show the SP the urine collection kit, and describe the procedures. If he or she still refuses, code the exam as an SP refusal.

3.2.2 Procedures When the SP Accepts the Home Urine Collection Component

Conveying clear and concise instructions on the home specimen collection and shipping procedures is essential to the response rate, and to specimen integrity as it is received by the laboratory. When the SP accepts the home urine component, the examiner will assemble the following materials.

- One urine collection kit
- One pre-assembled packet that contains:
 - 1. One informational cover letter (Eng/Span 2 pages)
 - 2. One set of color "What To Do: Urine Collection and Shipping Instructions" (Eng/Span 2 pages)
 - 3. One Tyvek self-addressed stamped envelope
 - 4. One 10" x 13" Ziploc plastic bag.
- Printed Dymo labels from HUC ISIS application
- ▶ 1 preprinted 3 1/3" X 4" confidentiality label for the larger Ziploc bag
- ➤ 1 preprinted date/time label

The packets will be assembled by MEC staff under the auspices of the chief health tech, who will assure that there are sufficient packets for each week. Any MEC staff can participate in the assembly of the packets.

; Stand:410 Session:410180 09/05/2004 08:30 am - 12:30 pm			_ (#) ×
Ele View Utilities Reports Window Help			
Bome Urine Collection: Stand:410 Session:410180 09/05/2004 08	:30 am - 12:30 pm		
	s Gender: Male Date: 12/19/2008 Time: 10:3	7 AM	
Home Urine Collection			
Did the SP tak	e a kit home with them?		
Scan airbill barcode h	ere		
I I I I of 2	End of Section Close Exam Einish		
Ready	MEC Layer: 12/11/2008	Application: 7.0.1	Not connected to Coordinator 10:38 AM
🏄 Start 🚱 📴 : Stand:410 Session:410			💫 👧 🧶 🏷 👬 10:38 AM

Exhibit 3-1. Home Urine Collection screen

Access the ISIS application drop-down box adjacent to the question, "Did the SP take a kit home with them?" The drop-down box indicates either Yes or No. If No is selected, the reason must be identified on the Finish exam screen from the drop-down box.

<mark>19</mark> : Stand:410 Session:410180 09/05/2004 08	30 am - 12:30 pm	_ <u>8</u> ×
<u>File Yiew Utilities Reports Window Help</u>		
🛎 🗋 🗉 🦓 兽 🤜 🔽 💡 📭		
😇 Home Urine Collection: Stand:410 Session	410180 09/05/2004 08:30 am - <mark>12:30 pm</mark>	
SP ID: 625088 Name: WEBSTER, ROBBIE	Age: 6 years Gender: Male Date: 12/19/2008 Time: 10:37 AM	
Home Urine Collection		
	Did the SP take a kit home with them?	
	Scan airbill barcode here	
	,	
M 1 D of 2	End of Section Close Exam Einish	•
Ready	MEC Layer: 12/11/2008 Application: 7.0.1 No	t connected to Coordinator 10:42 AM
🐮 Start 🥶 📴 : Stand:410 Session:4		🍡 🐢 🔍 🏷 🍡 🎦 10:42 AM

Exhibit 3-2. Access the drop-down box

Select "Yes." The following screen will appear with a field activated for the shipping envelope bar-code screen.

🧧 : Stand:410 Session:410180 09/05/2004 08:30 am - 12:30 pm	_ # ×
Eile View Utilities Reports Window Help	
1 1 1 8 8 9 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
Bome Urine Collection: Stand:410 Session:410180 09/05/2004 08:30 am - 12:30 pm	
SP ID: 625088 Name: WEBSTER, ROBBIE Age: 6 years Gender: Male Date: 12/19/2008	Fime: 10:37 AM
Home Urine Collection	
Did the SP take a kit home with them? Yes 💌	
Scan airbill barcode here	
I I I I I I I I I I I I I I I I I I I	Einish
Ready MEC Layer: 1	2/11/2008 Application: 7.0.1 Not connected to Coordinator 10:42 AM
🐉 Start 🤌 🞯 🧧 : Stand:410 Session:4	🍡 🐢 🧐 🏂 🏰 10:43 AM

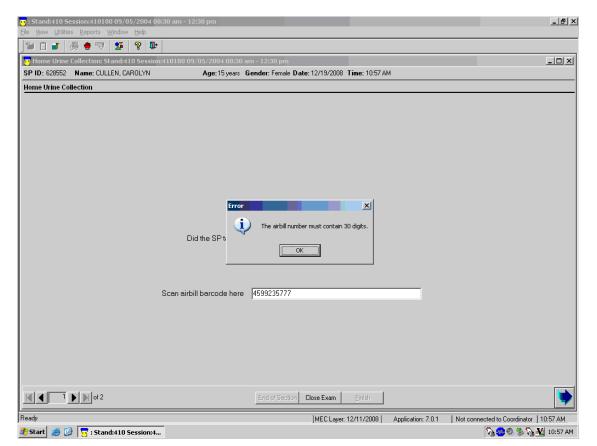
Exhibit 3-3. HUC Screen—SP answers "Yes."

The USPS bar code will be scanned into this field. All USPS airbill numbers contain 30 numerals. If the airbill field does not contain the requisite number of characters, a hard-edit stop will alert the examiner to review the field, and the application will not advance to the next screen. When a hard edit occurs, delete the airbill entry, and re-scan the airbill. If the bar code on the envelope cannot be scanned, set this envelope aside and retrieve another Tyvek envelope. Return the envelope to the warehouse with an explanation that the bar code is not scannable.



Exhibit 3-4. USPS Airbill on white Tyvek shipping envelope

Exhibit 3.5 Hard-stop edit



🧧 : Stand:410 Session:410180 09/05/2004 08	30 am - 12:30 pm	_ 8 ×
Eile ⊻iew Utilities Reports Window Help		
🎽 🗋 💣 🧶 🗮 😵 🗣		
Home Urine Collection: Stand:410 Session	410180 09/05/2004 08:30 am - 12:30 pm	_ _ _ _ _ _ _ _ _ _
SP ID: 625088 Name: WEBSTER, ROBBIE	Age: 6 years Gender: Male Date: 12/19/2008 Time: 10:37 AM	
Home Urine Collection		
	Did the SP take a kit home with them? Yes 💌	
	Scan airbill barcode here 123456789123456789123456789123	
K ◀ 1 ▶ ▶ of 2	End of Section Close Exam Einish	•
Ready	MEC Layer: 12/11/2008 Application: 7.0.1	Not connected to Coordinator 10:43 AM
🧨 Start 🛛 🗃 🚱 📅 : Stand:410 Session:4		💊 👧 📎 🌭 🚮 10:44 AM

Exhibit 3-6. USPS Airbill scanned successfully

When the USPS bar code is scanned into the application, select the right lower arrow on the ISIS HUC application to launch the final examination screen. When the screen is advanced to the Finish screen, the Dymo printer will automatically print three labels on waterproof polyester material. One label contains the SP ID number and bar code, and a line that indicates the fill line on the specimen cup. Two other labels are printed containing matching pictorial images. It is important to note that under no circumstance should the specimen cup display any identifiable SP personal information, including the age, first name, last name, or initials. In order to ensure that the SP collects the specimen in the correct specimen cup, a labeling system using an image matching system has been devised, and is described below.

Exhibit 3-7. Dymo label printout







Confidentiality Label

Experience from the pilot test has shown that SPs tend to write their name somewhere on the kit. To assist the SP, a specimen cup identification system using computer generated images has been developed to assure that the SP is using the correct specimen cup. Two labels will be automatically printed per SP, using the household data to assure that no two SPs in the dwelling unit are given the same symbol. One label is applied to the specimen cup, the other is applied on the large 10" X 13" Ziploc bag where the SP's name is written. Instructions to the SP are provided verbally by the examiner, and written by way of a label (Confidentiality Label):

- English: In order to protect your confidentiality, please do not write your name anywhere on the urine collection kit. However, it is very important that you urinate into the correct specimen cup. Please make sure that the symbol on this label matches the label on the specimen cup.
- Spanish: Para proteger su confidencialidad, por favor no escriba su nombre en ninguna parte de los materiales para tomar la muestra de orina. Sin embargo, es muy importante que orine dentro del envase correcto para tomar la muestra. Por favor asegúrese de que el símbolo de esta etiqueta coincide con la etiqueta en el envase para la muestra.

Date/Time Label

A label that contains fields for the SP to write the date and time of the specimen collection will be applied to the outside lid of the Styrofoam shipping container.

English: Please write the date and time you collected the urine specimen.

Spanish: Por favor anote la fecha y la hora en que tomó la muestra de orina.

Both the confidentiality and date/time labels are printed at the Westat home office and sent to the warehouse. These items are present on the HUC inventory list.

3.2.3 Kit Preparation

After the SP has been successfully recruited, the USPS airbill has been scanned, and the Dymo labels have printed, follow these steps to label the kit. Prepare the kit by following the instructions below.

Labeling the Kit

- Open the urine collection kit. Confirm that the temperature monitoring strip is affixed to the inside lid of the Styrofoam shipping container.
- Apply the Dymo bar-code label to the specimen cup, aligning the fill line on the label with the 30 ml line of the specimen cup.
- Write the SP's name with permanent black marker on the 10" x 13" plastic Ziploc bag. NOTE: This is the <u>only</u> location on the kit where the SP name is identified.
- Apply the appropriate language confidentiality label to the 10" X 13" Ziploc bag.
- Apply one image label to the plastic bag beside the instructional label.
- Apply the second image label to the specimen cup beside the sp id label on the side of the specimen cup. Do NOT place the image label on the lid of the specimen cup.
- On the Urine Collection and Shipping Instructions, complete the field: "Mail the kit no later than ______" with the date 10 days from the current date.
- Apply the Date/Time Label to the outside lid of the Styrofoam shipping container.

While the kit is being labeled, the examiner can also present the urine collection and shipping instructions. All points below are contained in the cover letter, or the Urine Collection and Shipping Instructions.

3.2.4 Urine Collection and Shipping Instructions

After the examiner is comfortable with the labeling process, the examiner will be able to easily present the urine collection and shipping instructions at the same time they prepare the kit. Both the cover letter and color instruction forms contain all of the information the examiner needs to provide instructions to the SP. Use them to discuss the procedure, and follow this guideline:

- 1. Present the two-page informational cover letter and the two-page "What to Do, Urine Collection and Shipping Instructions." (English or Spanish)
- 2. Emphasize that the SP should urinate (pee) into the cup <u>first thing in the morning</u>.
- 3. While reviewing the steps contained in the "What to Do, Urine Collection and Shipping Instructions," stress the following specimen collection points: (all of the following points are contained in either the cover letter or the color instructions.)
 - * The urine sample should be collected within the next 10 days. Point out the top of the first page of the "What to Do, Urine Collection and Shipping Instructions" contains a line: Mail the kit no later than _____.
 - * The cup should not be filled to the top—this will avoid spills or leakage during shipment. Instruct the SP to fill the cup to the line on the label.
 - * Stress to the SP to screw the lid tightly on the specimen cup.
 - * Emphasize to the SP that it is very important not to mix up specimen cups when there are multiple participants in one family.
 - * Make sure that the date and time of the urine sample is written on the label on the top of the Styrofoam lid.
 - * Saturday, Monday, and Tuesday are the best days to collect and mail the urine to assure that the laboratory can process the urine before the weekend.
 - * The Polar Pack gel refrigerant pack should be placed in the freezer overnight, or for at least 6 hours. It will freeze solid, but it will still fit into the Styrofoam container.
 - * The sample must be mailed the same day that it is collected; instruct the SP not to collect the sample unless it can be mailed on the same day.
 - * Point out the toll-free telephone number in the cover letter if the SP has any questions when he or she returns home.
 - * Inform the SP that a reminder postcard will be sent to him or her if the urine specimen has not been received by the laboratory in 10 days.

* Instruct the SP that when they remove the kit from the plastic bag, do not discard the plastic bag until the urine collection process is complete. When the sample has been collected and prepared for shipment, the outer plastic bag should be discarded—emphasize to the SP that they should <u>not</u> mail the outer plastic bag to the laboratory.

3.2.5 Packing the Urine Collection Kit for the SP to Take Home

- 1. Place the urine collection kit into the Ziploc bag.
- 2. On the top of the first page of the Urine Collection and Shipping Instructions, write the date of the 10th day after the SP's MEC exam, using the calendar provided.
- 3. Fold the cover letter and "Urine Collection and Shipping Instructions" together and place into the plastic bag with the urine collection kit and seal the plastic bag.
- 4. Place the kit into the SP's basket with his or her belongings after the examination is completed and the SP is released from the component.

3.2.6 Completing the Exam

🥶 Home Urine Collection		
<u>File View Utilities Reports Window Help</u>		
🎽 🗋 💣 🦓 🜻 😴 🧏 🗣		
Home Urine Collection: Stand:410 Session:410370	09/24/2004 08:30 am - 12:30 pm	
SP ID: 535130 Name: LONG, TERENCE	Age: 30 years Gender: Male Date: 10/03/2008 Time: 11:14	AM
Status Screen		
	Status	
	 Complete 	
	 Partial 	
	O Not Done	
	Comments	
	Other text	
	End of Section Close Exam	
		7
Finish slide show	MEC Layer: 9/25/2008 Application: 7.0.0	Connected to Coordinator SP assigned to room 11:16 AM
🏄 Start 🔞 📀 📲 : Stand:410 Session:410 🧧 He	me Urine Collection	« 🕗 🏹 👥 11:17 AM

Exhibit 3-8. Finish exam

4. USPS LABEL PRINTING UTILITY

4.1 Warehouse Responsibility

Warehouse staff members prepare the HUC kits. This includes printing the USPS shipping label. This shipping label routes the kit from the SP to the contract laboratory. This label contains an air bill number which is used to track the shipment from the SP's home to the analysis laboratory.

The blank white Tyvek envelopes, stamps, and address labels are sent to the field individually and assembled in the MEC as needed. On a weekly basis, the MEC examiners make up a set number (as determined by the chief health tech) of shipping envelopes by putting a preprinted Avery USPS air bill label and a \$4.95 postage label on the white Tyvek envelope. At the end of a stand, the remaining envelopes are counted as part of the end of stand inventory process, left onboard the MEC, and continue onto the next MEC site. The stamps are counted and then returned to the warehouse via "transfer inventory to warehouse inventory sheet." The remaining preprinted address labels are discarded at the end of each stand

4.2 USPS Label Utility

The purpose of the USPS Label Printing Utility is to provide the warehouse staff with an easy way to print USPS mailing labels when preparing the HUC kits.

To print the USPS air bills, click on the USPS Label Generation icon on the desktop. The application screen is illustrated in Exhibit 4-1.

Select a Return Address (this is the Westat Mailing Address) and Destination Address (this is the address of the Contract Lab) by selecting a choice from the two "saved" drop-down lists. Access the drop-down box.

Shipmen	t Track	cing Lai	bel Ma	iker	1
Return Add	lress				
Saved					~
Name					
Company	-				
Address 1					
Address 2	2				
City					
State	-				
Zip 5					
Zip 4)				
Destination Saved	Address				8
Name					
Company					
Address 1					
Address 2					
City					
State					
Zip 5	[
Zip 4					
Weight	12				
tri orgens	10000000				

Exhibit 4-1. Shipment Tracking Label Maker blank

The window displays with the correct data in the various fields as illustrated in Exhibit 4-2.

Shipmer	t Tracking Label Maker
Return Add	dress
Saved	NHANES Warehouse
Name	NHANES Warehouse
Company	
Address 1	15835 Gaither Road
Address 2	GA-W10
City	Gaithersburg
State	MD
Zip 5	20877
Zip 4	
Destinatior	Address
Saved	Department of Pediactrics
Name	Microalbumin Laboratory
Company	Department of Pediactrics
Address 1	420 Delaware St. S.E.
Address 2	MMC 491
City	Minneapolis
State	MN
Zip 5	55455
Zip 4	
Weight	12
weight	14

Exhibit 4-2. Shipment Tracking Label Maker completed

Enter the quantity of labels and select the print button.

Once the print button has been selected, the system will connect to the USPS web site and generate and print the requested number of air bills.

A sample air bill is illustrated in Exhibit 4-3.

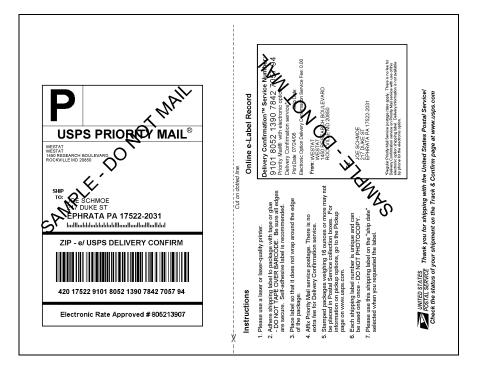


Exhibit 4-3. Sample air bill

Appendix A

Talking Points & Home Collection Kit Preparation 1. Present the two-page informational cover letter and the two-page "What to Do, Urine Collection and Shipping Instructions." (English or Spanish).

2. Emphasize that the SP should urinate (pee) into the cup <u>first thing in the</u> <u>morning</u>.

3. While reviewing the steps contained in the "What to Do, Urine Collection and Shipping Instructions," stress the following specimen collection points: (All of the following points are contained in either the cover letter or the color instructions.)

- * The urine sample should be collected within the next 10 days. Point out the top of the first page of the "What to Do, Urine Collection and Shipping Instructions" contains a line: Mail the kit no later than _____.
- * The cup should not be filled to the top—this will avoid spills or leakage during shipment. Instruct the SP to fill the cup to the line on the label.
- * Stress to the SP to screw the lid tightly on the specimen cup.
- * Emphasize to the SP that it is very important not to mix up specimen cups when there are multiple participants in one family.
- * Make sure that the date and time of the urine sample is written on the label on the top of the Styrofoam lid.
- * Saturday, Monday, and Tuesday are the best days to collect and mail the urine to assure that the laboratory can process the urine before the weekend.
- * The Polar Pack gel refrigerant pack should be placed in the freezer overnight, or for at least 6 hours. It will freeze solid, but it will still fit into the Styrofoam container.
- * The sample must be mailed the same day that it is collected; instruct the SP not to collect the sample unless it can be mailed on the same day.
- * Point out the toll-free telephone number in the cover letter if the SP has any questions when he or she returns home.
- * Inform the SP that a reminder postcard will be sent to him or her if the urine specimen has not been received by the laboratory in 10 days.
- * Instruct the SP that when they remove the kit from the plastic bag, do not discard the plastic bag until the urine collection process is complete. When the sample has been collected and prepared for shipment, the outer plastic bag should be discarded—emphasize to the SP that they should <u>not</u> mail the outer plastic bag to the laboratory.

Labeling the Kit

- Open the urine collection kit. Confirm that the temperature monitoring strip is affixed to the inside lid of the Styrofoam shipping container.
- Apply the Dymo bar-code label to the specimen cup, aligning the fill line on the label with the 30 ml line of the specimen cup.
- Write the SP's name with permanent black marker on the 10" x 13" plastic Ziploc bag. NOTE: this is the <u>only</u> location on the kit where the SP name is identified.
- Apply the appropriate language confidentiality label to the 10" X 13" Ziploc bag.
- Apply one image label to the plastic bag beside the instructional label.
- Apply the second image label to the specimen cup beside the sp id label on the side of the specimen cup. Do NOT place the image label on the lid of the specimen cup.
- On the Urine Collection and Shipping Instructions, complete the field:
 "Mail the kit no later than ______" with the date 10 days
 from the current date.
- Apply the Date/Time Label to the outside lid of the Styrofoam shipping container.

Appendix B

Cover Letters (English and Spanish)



DEPARTMENT OF HEALTH & HUMAN SERVICES

Centers for Disease Control and Prevention

National Center for Health Statistics 3311 Toledo Rd. Hyattsville, Maryland 20782

Dear Participant,

Recently, you participated in the National Health and Nutrition Examination Survey (NHANES) operated by the Centers for Disease Control and Prevention. When you took part in the survey we tested your urine for kidney health. We are writing to ask that you provide us with a second urine sample.

Collection of urine is safe and will not cause discomfort. Many studies with children and adults have used home urine collection kits. By collecting this second sample we hope to learn more about the kidney health of the United States.

Why are you asking me to provide a second urine sample?

Collecting a second urine specimen will help us better understand how many people in the US have kidney disease. People with protein in their urine may have early kidney disease or their kidneys may be normal. Checking a second urine sample for protein gives us more information.

Was my first urine sample normal or abnormal?

The result of your first test may have been normal or it may have indicated increased protein. If you had a lot of protein in your first urine sample, a separate letter will be mailed to you.

What am I supposed to do with this package?

We are asking you to provide a urine sample using the enclosed kit provided. Your participation is voluntary.

Inside the package you will find

- "What to do" Urine Collection and Shipping Instruction sheet
- White cardboard outer box
- Styrofoam shipping container
- White refrigerant gel pack pouch
- Plastic screw cap collection cup with a paper Label
- Zip-lock bag with absorbent sheet
- Temperature monitoring strip
- White shipping envelope with Human Exempt and postage paid labels

What should I do with the PolarPackTm ice pack?

Place the PolarPack in your refrigerator freezer overnight (or at least 6 hours) **before** collecting the urine.

What am I supposed to do with the cup?

You will use the cup to collect the urine specimen. Please read and follow the instructions on the "What to do" Urine Collection and Shipping Instruction sheet provided with the kit.

What days should I complete the home urine collection and mail the box?

Saturday, Monday, and Tuesday are the best days to collect and mail the urine. Do not fill the urine cup unless you can mail the box on the same day.

Drop the envelope in any United States Postal Service mailbox as soon as possible after collection. When we receive the box, we will mail you a check for \$40.

Is there a special time of day to collect the specimen?

This is <u>very</u> important. You should urinate (pee) into the cup <u>first</u> thing in the morning. Fill the cup to the line as soon as you get out of bed.

What do I do after I have I have urinated (peed) into the cup?

Put the lid on tightly, wipe off the outside, and follow the instructions for mailing the cup.

Who do I contact if I have questions?

Our staff will answer any questions you have Monday-Friday from 8:30 am to 6:00 pm Eastern Time at 1-888-458-4762.

You will get the results of your urine test by mail in 3 months.



Centers for Disease Control and Prevention

National Center for Health Statistics 3311 Toledo Rd. Hyattsville, Maryland 20782

Estimado(a) Spanish Participant

Recientemente, usted participó en la Encuesta Nacional de Examen de Salud y Nutrición (NHANES) que administran los Centros para el Control y la Prevención de Enfermedades. Cuando participó en la encuesta le hicimos una prueba de orina para examinar la salud del riñón. Le estamos escribiendo para pedirle que proporcione una segunda muestra de orina.

Tomar una muestra de orina es seguro y no le causará malestar. Muchos estudios en niños y adultos han usado materiales para tomar muestras de orina en el hogar. Al tomar esta segunda muestra esperamos saber más acerca de la salud del riñón de las personas que viven en Estados Unidos.

¿Por qué me pide que proporcione una segunda muestra de orina?

Recolectar una segunda muestra de orina nos ayudará a saber cuántas personas en Estados Unidos tienen una enfermedad de los riñones. Las personas que tienen proteína en la orina posiblemente tienen una enfermedad de los riñones en la fase inicial., o puede que sus riñones sean normales. Observar una segunda muestra de orina en busca de proteína nos dará más información.

¿Fue mi primera muestra de orina normal o anormal?

El resultado de su primera prueba puede haber sido normal o puede haber indicado aumento de la proteína. Si usted tenía mucha proteína en su primera muestra de orina, se le informará en una carta separada.

¿Qué debo hacer con este paquete?

Le pedimos que proporcione una muestra de orina usando los materiales que se adjuntan. Su participación es voluntaria.

Dentro del paquete encontrará...

- Hoja de instrucciones "Qué hacer" para tomar y enviar la muestra de orina
- Caja de cartón blanco
- Caja de poliestireno expandido para envío
- Paquete blanco de hielo-gel PolarPackTm
- Envase de plástico con tapa y etiqueta de papel para poner la muestra
- Bolsa con cierre (Zip-lock) con hoja absorbente
- Dispositivo para monitorear la temperatura
- Sobre blanco de envío con etiqueta "EXEMPT HUMAN SPECIMEN" y costo prepagado

¿Qué debo hacer con el paquete blanco de hielo-gel PolarPackTm?

Ponga el paquete blanco de hielo-gel "PolarPack" en el congelador de su refrigerador durante la noche (o por lo menos durante 6 horas) **antes** de tomar la muestra de orina.

¿Qué debo hacer con el envase de plástico para la muestra de orina?

Use el envase de plástico para poner la muestra de orina. Por favor lea y siga las instrucciones para tomar y enviar la muestra de orina de la hoja "Qué hacer" que se proporciona junto con los materiales.

¿Qué días debo tomar la muestra de orina en el hogar y enviar la caja?

Sábado, lunes y martes son los mejores días para tomar y enviar la muestra de orina. Es importante que no llene el envase de orina a menos que pueda enviar la caja el mismo día que tome la muestra.

Ponga el sobre en cualquier buzón del Servicio Postal de Estados Unidos tan pronto como sea posible después de tomar la muestra. Cuando recibamos la caja, le enviaremos un cheque por \$40 dólares.

¿Hay una hora especial del día para tomar la muestra de orina?

Esto es <u>muy</u> importante. Debe orinar en el envase de plástico para la muestra de orina tan pronto como se despierte por la mañana. Inmediatamente después de levantarse, orine en el envase de plástico llenándolo hasta la línea.

¿Qué hago después de orinar en el envase de plástico para la muestra de orina?

Ponga y ajuste firmemente la tapa, limpie la parte de afuera y siga las instrucciones para enviar el envase de plástico por correo.

¿Con quién me puedo comunicar si deseo hacer alguna pregunta?

Nuestro personal responderá cualquier pregunta que desee hacer de lunes a viernes desde las 8:30 de la mañana a 6:00 de la tarde hora del Este en el 1-888-458-4762. Recibirá los resultados de su prueba de orina por correo dentro de 3 meses.

Appendix C

"What To Do" Urine Collection and Shipping Instructions English and Spanish

"WHAT TO DO"

Urine Collection and Shipping Instructions

Collect a urine sample and ship it to the laboratory using these instructions.

Collect your urine sample as soon as possible - ideally within the next 10 days. However, if you cannot collect it within 10 days then please collect and ship it at your earliest convenience.

We will send you a check for \$40.00 as soon as you ship the package.

The bag you received in the mobile examination center includes:

- Large zip closable bag with a confidentiality statement, your name written on the bag, and your personal picture sticker
- "WHAT TO DO" Urine Collection and Shipping Instructions sheet
- Department of Health and Human Services Cover Letter
- The home urine collection kit

Your Personal Picture Sticker:

Your picture sticker is unique to you and it is found on:

- The large zip closable bag with your name written on it
- Your urine collection cup
- The back of your shipping envelope

The picture sticker identifies your kit from kits assigned to other people in your household. When collecting and shipping your urine, make sure you use the collection cup and shipping envelope marked with your unique picture sticker.

Home Urine Collection Kit Contents:

- A. White cardboard outer box
- B. Styrofoam shipping container
- C. Temperature monitoring strip
- D. White shipping envelope with Exempt Human Specimen, picture sticker, and postage paid labels
- E. White refrigerant gel pack pouch
- F. Plastic screw cap urine collection cup with your picture sticker
- G. Small zip closable bag with absorbent sheet



ΠD



Kit Preparation:

- 1. Remove kit from the large zip closable bag.
- 2. Open the white cardboard box.
- 3. Slide the Styrofoam shipping container out of the cardboard box.
- 4. Open the Styrofoam container.



Collect the Urine Sample:

Collect the sample when you first wake up for the day.

Whenever possible, mail the sample on the same day you collect the sample.

- 1. Before you collect your urine, verify that the picture sticker on the cup matches the picture sticker on the zip closable bag that has your name written on it.
- 2. Wash hands with soap and water.
- 3. Take the cap off the cup before urinating.
- 4. Urinate (pee) into the collection cup until it is half full. The inside of the cup and cap should not touch or come into contact with any part of the body, clothing, or external surfaces.
- 5. Tighten the cap on the half-filled urine cup and wipe off the outside of the cup.
- 6. Write the date and time you collected the urine on the label on the top of the Styrofoam container.

Pack the Urine Sample:

Pack the sample in the shipping container with the ice pack immediately after collection, even if you do not mail the package until later in the day.

Do not include these instructions, the cover letter, or the large zip closable bag with your name in the shipping envelope. Do not add additional packaging.

- 1. Place the half-filled urine cup back into the zip closable bag.
- 2. Push the air out of the zip closable bag and securely seal the bag.
- 3. Place the sealed bag into the Styrofoam container.
- 4. Place the frozen gel pack from your freezer into the Styrofoam container.

- 5. Remove the white refrigerant gel pack pouch and place it in the freezer compartment of your home freezer for at least 6 hours.
- 6. Remove the small zip closable bag from the Styrofoam container.
- Remove the plastic screw cap collection cup from the small zip closable bag (Do not remove the absorbent sheet.)







Pack the Urine Sample continued:



- 5. Place the Styrofoam lid onto the top of the Styrofoam bottom.
- 6. Slide the Styrofoam container into the white cardboard shipping box, fold the flaps down, and tuck in the tabs.
- 7. Verify that the picture sticker on the shipping envelope matches the picture sticker on the bag that has your name written on it.
- 8. Place the white shipping box inside the white shipping envelope.
- 9. Remove the adhesive strip, fold the edge over, and seal shut.

Ship the Urine Sample:

Use the US Postal Service (USPS) to send the package.

The best way to send the package is to take it to the Post Office.

Or, send the package by one of the following methods:

- Place the kit in your mailbox
- Give the kit to a USPS mail carrier





If you have any questions call 1-888-458-4762.





Drop the kit in a USPS mailbox



"QUÉ HACER"

Instrucciones para recolección y envío de la muestra de orina

Recolecte una muestra de orina y use estas instrucciones para enviarla al laboratorio.

Tome su muestra de orina tan pronto como sea posible - idealmente dentro de los próximos 10 días. Sin embargo, si no puede tomar la muestra dentro de los siguientes 10 días entonces tómela y envíela tan pronto como pueda.

Le mandaremos un cheque por \$40.00 dólares tan pronto como envíe el paquete.

La bolsa que recibió en el centro móvil de examen incluye:

- Una bolsa grande con cierre (Ziploc) con una declaración de confidencialidad, su nombre escrito en la bolsa y una calcomanía impresa con un símbolo elegido únicamente para usted.
- Hoja "QUÉ HACER" Instrucciones para recolección y envío de la muestra de orina
- Carta del Departamento de Salud y Servicios Humanos
- Materiales para tomar la muestra de orina en el hogar

Calcomanía con su símbolo personal:

El símbolo impreso en la calcomanía es únicamente para usted y se encuentra en:

- La bolsa grande con cierre (Ziploc) que lleva su nombre escrito
- El envase para la muestra de orina
- El sobre de envío para devolver los materiales

El símbolo distingue sus materiales de los materiales asignados a otras personas de su hogar. Cuando tome y envíe su muestra de orina, asegúrese de que usa el envase para la muestra y el sobre marcado con el símbolo asignado únicamente para usted.

<text><text>

Los materiales para tomar la muestra de orina en el hogar contienen:

- A. Caja de cartón blanco
- **B.** Caja de poliestireno para envío
- C. Cinta de monitoreo de la temperatura
- D. Sobre blanco de envío con etiquetas marcadas "Exempt Human Specimen", el símbolo suyo y franqueo pre pagado
- E. Paquete blanco de hielo-gel refrigerante.
- F. Envase de plástico con tapa para poner la muestra, marcado con su símbolo.
- G. Bolsa pequeña con cierre (Ziplock) con toalla absorbente





Preparación de los materiales:

- 1. Saque los materiales de la bolsa grande con cierre (Zip-lock).
- 2. Abra la caja de cartón blanco.
- 3. Saque la caja de poliestireno para envío, de la caja de cartón
- 4. Abra la caja de poliestireno.



Recolección de la muestra de orina:

Tome la muestra de orina cuando usted se levante.

Si es posible, envíe el paquete con los materiales el mismo día que tome la muestra de orina.

- 1. Antes de tomar la muestra de orina, verifique que el envase lleva el mismo símbolo que la bolsa con cierre (Zip-lock) con su nombre escrito.
- 2. Lávese las manos con jabón y agua.
- 3. Quite la tapa del envase de plástico antes de orinar.
- Orine dentro del envase de plástico para la muestra y llene hasta la mitad. Ni el interior del envase ni el de la tapa deben tocar o estar en contacto con ninguna parte del cuerpo, ropa o superficies externas.
- 5. Ajuste la tapa del envase de plástico lleno hasta la mitad y limpie la parte de afuera del envase.
- 6. Anote la fecha y la hora en que se tomó la muestra en la etiqueta que hay en la tapa de la caja de poliestireno.

Empaque la muestra de orina:

Empaque la muestra en la caja de envío con la bolsa de hielo-gel refrigerante inmediatamente después de tomar la muestra, incluso si no la va a enviar hasta más tarde en el día.

No incluya en el sobre de envío estas instrucciones, la carta informativa ni la bolsa grande con cierre (Ziplock) con su nombre. No añada material adicional de empaque.

- 1. Ponga el envase de plástico con la orina lleno hasta la mitad en la bolsa con cierre (Zip-lock).
- 2. Saque el aire de la bolsa con cierre (Zip-lock) y ciérrela bien.
- 3. Ponga la bolsa cerrada dentro de la caja de poliestireno.
- Ponga la bolsa blanca de hielo-gel de su congelador dentro de la caja de poliestireno.

- 5. Saque la bolsa blanca de hielo-gel refrigerante y póngala en el congelador de su casa por no menos de 6 horas.
- Saque la bolsa pequeña con cierre (Zip-lock) de la caja de poliestireno.
- 7. Saque el envase para la muestra de orina de la bolsa pequeña con cierre (Zip-lock). (No saque la toalla absorbente.)





poliestiren

Empaque la muestra de orina continuación:



- 5. Tape la caja de poliestireno para envío
- 6. Ponga la caja de poliestireno dentro de la caja de cartón blanco, doble las aletas de la caja hacia abajo y métalas en las ranuras.
- 7. Verifique que el sobre de envío lleva el mismo símbolo que la bolsa con su nombre escrito.
- 8. Ponga la caja de cartón blanco dentro del sobre blanco para envío.
- 9. Quite la cinta adhesiva, doble en el borde y selle para cerrar.

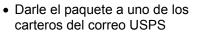
Envíe la muestra de orina:

Use el Servicio Postal de Estados Unidos (USPS) para mandar el paquete.

La mejor manera de enviar el paquete es llevarlo a la Oficina de Correos.

- O, mandar el paquete por uno de los siguientes métodos:
- Poner el paquete en su buzón de correo









 Echar el paquete en un buzón de correo del USPS



Si desea hacer alguna pregunta llame al 1-888-458-4762.

Appendix D

Sample Reminder Postcards (English and Spanish)

Reminder NHANES Urine Collection Kit



You recently completed a health examination as part of the National Health and Nutrition Examination Survey ("NHANES"). You received a urine collection kit before you left the exam center.

Please remember to mail the urine sample to the laboratory using the kit that was given to you.

Once the laboratory receives the urine sample, we will mail you a check for \$40.00, as promised.

We appreciate your participation and interest in NHANES.

If you have any questions, please call the toll free number 1-888-458-4762.

NHANES RP 5055F 1650 Research Blvd. Rockville, MD 20850-9973 Project #8056.00.01.04



Recordatorio

Materiales de NHANES para tomar la muestra de orina



Recientemente usted completó un examen de salud como parte de la Encuesta Nacional de Examen de Salud y Nutrición ("NHANES"). Antes de irse del centro de examen usted recibió los materiales para tomar una muestra de orina.

Por favor recuerde enviar la muestra de orina al laboratorio usando los materiales que se le dieron.

Tan pronto como el laboratorio reciba la muestra de orina, le enviaremos un cheque por \$40 dólares, como prometimos.

Agradecemos su participación e interés en NHANES.

Si desea hacer alguna pregunta, por favor llame gratis al número 1-888-458-4762.

NHANES RP 5055F 1650 Research Blvd. Rockville, MD 20850-9973 Project #8056.00.01.04

