

SEEC FORM CEP 10

Citizens' Election Program-Affidavit of Intent to Abide
 CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION
 Rev. 10/07
 Page 1 of 4

**AFFIDAVIT TYPE**

- INITIAL
 AMENDED

AFFIDAVIT OF INTENT TO ABIDE BY EXPENDITURE LIMITS AND OTHER CITIZENS' ELECTION PROGRAM REQUIREMENTS

2008 General Assembly Candidates

The candidate and the campaign treasurer must each review all information provided on this Affidavit, and sign or initial the designated lines requiring signatures or initials. Any deputy treasurer designated by the candidate must complete and sign the Deputy Treasurer Affidavit. This Affidavit must be completed, signed, and received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date, or the twenty-fifth (25th) day before the special election date as set forth in subsection (a) of section 9-703 of the Connecticut General Statutes.

Section A. Identifying Information

1. ELECTION DATE (mm/dd/yyyy)		2. OFFICE SOUGHT			3. DISTRICT NUMBER		
4. CANDIDATE NAME							
Prefix	First	MI	Last	Suffix			
5. CANDIDATE RESIDENCE ADDRESS				6. CANDIDATE MAILING ADDRESS (if different)			
Street Address				Address			
City	State	Zip Code	City	State	Zip Code		
7. CANDIDATE TELEPHONE (Include Area Code)			8. CANDIDATE E-MAIL ADDRESS				
() —							
9. TREASURER NAME							
Prefix	First	MI	Last	Suffix			
10. TREASURER TELEPHONE (Include Area Code)			11. TREASURER E-MAIL ADDRESS				
() —							
12. DEPUTY TREASURER NAME							
Prefix	First	MI	Last	Suffix			
13. DEPUTY TREASURER TELEPHONE (Include Area Code)			14. DEPUTY TREASURER E-MAIL ADDRESS				
() —							
15. PARTY AFFILIATION							
<input type="checkbox"/> Major Party		Name of party _____					
<input type="checkbox"/> Minor Party		Name of party _____					
<input type="checkbox"/> Petitioning		Name of party (if affiliated) _____					
16. COMMITTEE NAME				17. OTHER TELEPHONE (optional)			
				() —			

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.

SEEC FORM CEP 10

Citizens' Election Program-Affidavit of Intent to Abide
CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION
Page 2 of 4



Section B. Candidate Certification

The candidate must read each paragraph below and indicate agreement to each numbered paragraph by writing his/her initials at each numbered space below. Affidavits missing initials for any item will not be deemed complete. A completed affidavit must be received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date, or the twenty-fifth (25th) day before the special election date if you elect to be a participating candidate.

1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that I intend to participate in the Citizens' Election Program (the "Program") established by Chapter 157 of the Connecticut General Statutes ("Conn. Gen. Stat.") and that I understand my obligation to abide by and will abide by the Program's requirements, including the expenditure limits, which are set forth in Conn. Gen. Stat. § 9-702(c). I further affirm, certify, and state that I have thus far abided by the Program's expenditure limits and that my candidate committee has not made expenditures in excess of the expenditure limits applicable to the office I am seeking, as set forth in Conn. Gen. Stat. § 9-702(c).
2. I certify that my candidate committee will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of Conn. Gen. Stat. § 9-607(g), as amended, and with any regulations adopted by the State Elections Enforcement Commission ("SEEC") under Conn. Gen. Stat. § 9-706(e). I certify that I understand that I am personally liable and must repay to the Citizens' Election Fund any moneys that are not expended in accordance with the provisions of Conn. Gen. Stat. § 9-607(g), as amended, and with any regulations adopted by the SEEC under Conn. Gen. Stat. § 9-706(e).
3. I certify that I understand that prior to applying for a grant I must return any contribution or contributions from any one contributor that exceed or exceeds in the aggregate the \$100 contribution limit set forth in Chapter 157 of the Connecticut General Statutes. I further understand that prior to applying for a grant I must return any contributions from any sources not authorized under Chapter 157, and I must transmit any excess qualifying contributions to the Citizens' Election Fund.
4. I certify that I have abided by and will continue to abide by the provisions of the Program governing use of the candidate's personal funds and the provisions of the Program governing loans, as set forth in Conn. Gen. Stat. § 9-710.
5. I certify that I understand that I am required to comply with the requirements of the Program, including all applicable statutes, regulations and declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations relating to the Program may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the Connecticut General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction. I further understand that I am jointly and severally liable, with the treasurer of my candidate committee, for paying any excess expenditure in accordance with Conn. Gen. Stat. § 9-711(a)(1).
6. I certify that I understand that if my candidate committee exceeds any applicable expenditure limit during the period in which I am seeking qualifying contributions and before my candidate committee receives a grant, my grant application will not be approved, and penalties may be assessed for not abiding by the expenditure limit.
7. I certify that I understand that intentionally making a false written statement under oath or pursuant to a form bearing notice, authorized by law, which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to Conn. Gen. Stat. § 53a-157b(a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000. I certify and verify that the information on this document is true and complete to the best of my knowledge and belief.

I hereby swear, under penalty of false statement, that the above certifications are true and complete.

CANDIDATE (SIGNATURE)

DATE (mm/dd/yyyy)

Sworn and subscribed before me on this ___ day of _____, 200_

Commissioner of the Superior Court/
Notary Public

Notice: Making a false statement on this form may subject you to criminal penalties, including, but not limited to, imprisonment, a fine, or both.

SEEC FORM CEP 10

Citizens' Election Program-Affidavit of Intent to Abide
CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

Page 3 of 4



Section C. Treasurer Certification

The campaign treasurer must read each paragraph below and indicate agreement to each numbered paragraph by writing his/her initials at each numbered space below. Affidavits missing initials for any item will not be deemed complete. A completed affidavit must be received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date, or the twenty-fifth (25th) day before the special election date if the candidate elects to be a participating candidate.

1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that I understand that the candidate named in Section A intends to participate in the Citizens' Election Program (the "Program") established by Chapter 157 of the Connecticut General Statutes ("Conn. Gen. Stat.") and that I understand my obligation as such candidate's designated treasurer to abide by and will abide by the Program's requirements, including the expenditure limits, which are set forth in Conn. Gen. Stat. § 9-702(c). I further affirm, certify, and state that I have thus far abided by the Program's expenditure limits and that the candidate committee named in Section A, for which I am the designated treasurer, has not made expenditures in excess of the expenditure limits applicable to the office the candidate is seeking, as set forth in Conn. Gen. Stat. § 9-702(c).
2. I certify as the treasurer of the candidate committee named in Section A that I will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of Conn. Gen. Stat. § 9-607(g), as amended, together with any regulations adopted by the State Elections Enforcement Commission ("SEEC") under Conn. Gen. Stat. § 9-706(e). I certify that I understand that the candidate is personally liable and must repay to the Citizens' Election Fund any moneys that are not expended in accordance with the provisions of Conn. Gen. Stat. § 9-607(g), as amended, together with any regulations adopted by the SEEC under Conn. Gen. Stat. § 9-706(e).
3. I certify that I understand that prior to applying for a grant I must return any contribution or contributions from any one contributor that exceed or exceeds in the aggregate the \$100 contribution limit set forth in Chapter 157 of the Connecticut General Statutes. I further understand that prior to applying for a grant I must return any contributions from any sources not authorized under Chapter 157, and I must transmit any excess qualifying contributions to the Citizens' Election Fund.
4. I certify that I have abided by and will continue to abide by the provisions of the Program governing use of the candidate's personal funds and the provisions of the Program governing loans, as set forth in Conn. Gen. Stat. § 9-710.
5. I certify that I understand that I am required to comply with the requirements of the Program, including all applicable statutes, regulations and declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations relating to the Program may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the Connecticut General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction. I further understand that I am jointly and severally liable with the candidate for paying any excess expenditure in accordance with Conn. Gen. Stat. § 9-711(a).
6. I certify that I understand that if the candidate committee exceeds any applicable expenditure limit during the period in which the candidate is seeking qualifying contributions and before the candidate committee receives a grant, the grant application will not be approved, and penalties may be assessed for not abiding by the expenditure limit.
7. I certify that I understand that intentionally making a false written statement under oath or pursuant to a form bearing notice, authorized by law, which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to Conn. Gen. Stat. § 53a-157b(a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000. I certify and verify that the information on this document is true and complete to the best of my knowledge and belief.

I hereby swear, under penalty of false statement, that the above certifications are true and complete.

TREASURER (SIGNATURE)

DATE (mm/dd/yyyy)

Sworn and subscribed before me on this ___ day of _____, 200_

Commissioner of the Superior Court/
Notary Public

Notice: Making a false statement on this form may subject you to criminal penalties, including, but not limited to, imprisonment, a fine, or both.

SEEC FORM CEP 10

Citizens' Election Program-Affidavit of Intent to Abide
CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION
Page 4 of 4



Section D. Deputy Treasurer Certification

The deputy treasurer must read each paragraph below and indicate agreement to each numbered paragraph by writing his/her initials at each numbered space below. Affidavits missing initials for any item will not be deemed complete. A completed affidavit must be received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date or the twenty-fifth (25th) day before the special election date if the candidate elects to be a participating candidate.

In the event I become responsible for discharging any of the duties required of the treasurer:

1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that I understand that the candidate named in Section A intends to participate in the Citizens' Election Program (the "Program") established by Chapter 157 of the Connecticut General Statutes ("Conn. Gen. Stat.") and that I understand my obligation as such candidate's designated deputy treasurer to abide by and will abide by the Program's requirements, including the expenditure limits, which are set forth in Conn. Gen. Stat. § 9-702(c). I further affirm, certify, and state that I have thus far abided by the Program's expenditure limits and that the candidate committee named in Section A, for which I am the designated deputy treasurer, has not made expenditures in excess of the expenditure limits applicable to the office the candidate is seeking, as set forth in Conn. Gen. Stat. § 9-702(c).
2. I certify as the deputy treasurer of the candidate committee named in Section A that I will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of Conn. Gen. Stat. § 9-607(g), as amended, together with any regulations adopted by the State Elections Enforcement Commission ("SEEC") under Conn. Gen. Stat. § 9-706(e). I certify that I understand that the candidate is personally liable and must repay to the Citizens' Election Fund any moneys that are not expended in accordance with the provisions of Conn. Gen. Stat. § 9-607(g), as amended, together with any regulations adopted by the SEEC under Conn. Gen. Stat. § 9-706(e).
3. I certify that I understand that prior to applying for a grant I must return any contribution or contributions from any one contributor that exceed or exceeds in the aggregate the \$100 contribution limit set forth in Chapter 157 of the Connecticut General Statutes. I further understand that prior to applying for a grant I must return any contributions from any sources not authorized under Chapter 157, and I must transmit any excess qualifying contributions to the Citizens' Election Fund.
4. I certify that I have abided by and will continue to abide by the provisions of the Program governing use of the candidate's personal funds and the provisions of the Program governing loans, as set forth in Conn. Gen. Stat. § 9-710.
5. I certify that I understand that I am required to comply with the requirements of the Program, including all applicable statutes, regulations and declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations relating to the Program may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the Connecticut General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction. I further understand that I am jointly and severally liable, with the candidate for paying any excess expenditure in accordance with Conn. Gen. Stat. § 9-711(a).
6. I certify that I understand that if the candidate committee exceeds any applicable expenditure limit during the period in which the candidate is seeking qualifying contributions and before the candidate committee receives a grant, the grant application will not be approved, and penalties may be assessed for not abiding by the expenditure limit.
7. I certify that I understand that intentionally making a false written statement under oath or pursuant to a form bearing notice, authorized by law, which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to Conn. Gen. Stat. § 53a-157b(a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000. I certify and verify that the information on this document is true and complete to the best of my knowledge and belief.

I hereby swear, under penalty of false statement, that the above certifications are true and complete.

DEPUTY TREASURER (SIGNATURE)

DATE

Sworn and subscribed before me on this ___ day of _____, 200_

Commissioner of the Superior Court/
Notary Public

Notice: Making a false statement on this form may subject you to criminal penalties, including, but not limited to, imprisonment, a fine, or both.