

THIS NOTICE OF PRIVACY PRACTICES ("NOTICE") DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

This Notice, which became effective on September 1, 2010, applies to any health care facility or physician practice now or in the future controlled by or under common control with Advocate Health Care Network and any of its affiliates or subsidiaries (collectively referred to as "Advocate Health Care") which includes without limitation the following:

Hospitals and Medical Staffs

Advocate BroMenn Medical Center

Advocate Christ Medical Center

Advocate Eureka Hospital

Advocate Condell Medical Center

Advocate Hope Children's Hospital

Advocate Good Samaritan Hospital

Advocate Good Shepherd Hospital

Advocate Illinois Masonic Medical Center

Advocate Lutheran General Hospital

Advocate Lutheran General Children's Hospital

Advocate South Suburban Hospital

Advocate Trinity Hospital

Medical Groups

Advocate Medical Group Dreyer Medical Clinic

Other

Advocate Home Care Products, Inc.

Advocate Home Health Services

Advocate Hospice

ACL Laboratories

Advocate High Technology, Inc.

Advocate Occupational Health

Advocate Family Care Network

Disclaimer

This Advocate Health Care site has decided to use a joint Notice of Privacy Practices and a joint Acknowledgement Form with independent physicians who are not employed by Advocate Health Care. The use of these joint forms rather than the use of separate notices and forms is being done only for the patient's convenience and to improve the access to patient Medical Information by the patient's physician.

Although this Notice does address the sites listed above, any independent physicians are and remain independent contractors and are not agents, servants or employees of Advocate Health Care and are solely responsible for their judgment and (medical) conduct in treating or providing professional services to the patient and for their compliance with state and federal privacy laws. Nothing in this Notice is meant to imply, infer or create any agency or employment relationship between any independent physicians and Advocate Health Care, either actual or implied; nor is it intended to create reliance on the part of the patient; nor is this Notice intended to alter or limit any other consents for treatment or procedures the patient may sign during the time the patient is provided care at this site.

UNDERSTANDING YOUR MEDICAL INFORMATION

We understand that medical information about you and your health is personal. We are committed to protecting your medical information. Each time you visit a hospital, physician, or other health care provider, they document information about you and your visit. Typically, this record contains, among other information, your name, symptoms, health history, examination and test results, diagnoses, current and future treatment, and billing-related information ("Medical Information"). This Medical Information is used to provide you with quality care and to comply with certain legal requirements.

This Notice will tell you how we may use and disclose Medical Information about you. It also describes your rights and certain obligations we have regarding the use and disclosure of your Medical Information.

We are required by law to:

- Maintain the privacy of your Medical Information.
- Provide you with this Notice of our legal duties and privacy practices with respect to information we collect and maintain about you.
- Follow the terms of this Notice or a Notice that is in effect at the time Advocate Health Care uses or discloses your Medical Information.

USES AND DISCLOSURES OF YOUR MEDICAL INFORMATION

The following categories describe different ways in which we may use and disclose your Medical Information. With respect to use and disclosure of your Medical Information for Treatment, Payment and Health Care Operations, we may share your Medical Information with any of the entities referenced in this Notice, or any physician or other health care provider as allowed by law.

For Treatment. We may use your Medical Information to provide, coordinate or manage your medical treatment and related services. Your Medical Information can be shared with physicians, nurses, technicians and others involved in your care and these individuals will collect and document information about you in your medical record. To assure immediate continuity of care, we may disclose information to a physician or other health care provider who will be assuming your care. For example, different departments may share your Medical Information to coordinate the different services you may need such as prescriptions, lab work, meals and X-rays or other diagnostic tests.

For Payment. We may use and disclose your Medical Information so that the treatments and services you receive may be billed and payment may be collected from you, an insurance company or a third party. For example, we may need to give information about the surgery you received to your health plan so your health plan will pay us or reimburse you for the surgery. We also may tell your health plan about a treatment you are going to receive to obtain prior approval or to determine whether your plan will cover the treatment.

For Health Care Operations. We may use and disclose your Medical Information in connection with our health care operations including, but not limited to the following:

- Quality assessment and improvement activities.
- Related functions that do not include treatment.
- Competence or qualification reviews of health care professionals.
- Training programs, accreditation, certification, licensing or credentialing activities.

Directory (Hospitals Only). When you are a patient in our hospital, we may list your name, room location, general condition (such as fair or stable), and religious affiliation in the hospital's inpatient directory. This directory information, except for your religious affiliation, may be provided to people who ask for you by name. We may disclose your name, room location, general condition, and religious affiliation to a member of the clergy who asks for you by your name or by your listed religious affiliation. We may also disclose your name and general condition to a member of the media who asks for you by name. If you do not want to be listed in our hospital directory or do not want us to give such information to members of either the clergy, media, or general public, you must inform your nurse or a registration coordinator. Please note that if you are not listed in our hospital directory, we will tell all individuals who ask for you at the visitors' desks or who call the operator that you are not currently a patient.

If you are receiving mental health or alcohol/substance abuse services in an inpatient behavioral health unit during this hospitalization, we will not disclose any information without your prior written authorization

Individuals Involved in Your Care or Payment for Your Care. We may disclose the minimum necessary Medical Information about you to a family member, other relative, close personal friend or any other person you identify who is involved in your medical care. We also may disclose the minimum necessary information to someone who helps pay for your care. In an emergency or other situation where you are not able to identify your chosen person(s) to receive communications about you, we may exercise our professional judgment to determine whether such a disclosure is in your best interest, who is the appropriate person(s) and what Medical Information is relevant to their involvement with your health care. We may also disclose your Medical Information to an organization, such as the American Red Cross which is assisting in a disaster relief effort, so that your family can be notified about your condition, status and location.

Research. Under certain circumstances, we may use or disclose your Medical Information to identify you as a potential candidate for a research study that has been approved by an Institutional Review Board. This approval is given after an evaluation of a proposed research project and its uses of Medical Information, and always with an effort to balance the requirements of sound research with patients' need for privacy of their Medical Information. We may disclose Medical Information about you to people preparing to conduct a research project, for example, to help them look for patients with specific medical needs, so long as the Medical Information they review does not leave the site.

To Avert a Serious Threat to Health or Safety. As required by law, we may disclose Medical Information about you when necessary to prevent a serious threat to your health or safety or the health and safety of the public or another person. Any disclosure of this kind, however, would be made only to someone able to help prevent the threat.

Business Associates. We provide some services through other persons or companies that need access to your health information to carry out these services. The law refers to these persons or companies as our Business Associates. We may disclose, as allowed by law, your health information to our Business Associates so that they can do the job we have contracted with them to do. Examples of Business Associates include companies that assist with billing services or copying medical records. We may send other business associates called registries (such as a Cancer Registry) summarized information about patients who have been treated with similar problems such as cancer or trauma, to help physicians improve the quality of care for other patients with similar problems. We require through a written contract that our Business Associates use appropriate safeguards to ensure the privacy of your Medical Information.

Fundraising. Advocate Health Care is a not-for-profit organization that relies on charitable gifts to support its mission. In the continuing effort to enhance Advocate Health Care's capacity to conduct its mission of service to patients and families, periodic communications and invitations to donate may be sent to patients' families and friends of Advocate Health Care by Advocate Charitable Foundation. The law allows us to share minimal information about you with our fundraising foundation; however, we will not share your information with other organizations for fundraising purposes. If you do not wish to receive communications from Advocate Charitable Foundation, please write to Advocate Charitable Foundation, 205 W. Touhy, Suite 225, Park Ridge, IL 60068, call 847-384-3400, or email acf-hipaa@advocatehealth.com.

Advocate Charitable Foundation may on occasion visit you during your stay in the hospital in order to inquire about the quality of your stay or to offer any needed assistance. If you do not want Advocate Charitable Foundation to be informed about your hospital stay, please inform your nurse or a registration coordinator during your stay at the hospital.

Other Communications with You. We may use and disclose your Medical Information to contact you at the address and telephone numbers you give us about scheduled or canceled appointments with your physicians or other health care team members, registration or insurance updates, billing and/or payment matters, information about patient care issues, treatment choices and follow-up care instruction, and other health-related benefits and services that may be of interest to you. Unless you tell us otherwise, we may leave messages about appointments or other reminders on your telephone or with a person who answers the phone.

Special Situations

Lawsuits and Disputes. We may disclose your Medical Information in the course of a judicial and administrative proceeding, in response to an order of a court or other tribunal to the extent that such disclosure is authorized and, in certain conditions, in response to a subpoena, discovery request or other lawful process.

Law Enforcement. We may disclose your Medical Information to the police or other law enforcement officials as part of law enforcement activities, in investigations of criminal conduct, in response to a court order, in emergency circumstances, or when otherwise required to do so by law.

Coroners, Medical Examiners and Funeral Directors. We may release Medical Information about you to a coroner or medical examiner as necessary to identify a deceased person or to determine the cause of death. We also may release your Medical Information to funeral directors as necessary for them to carry out their duties.

Organ and Tissue Donation. If you are an organ donor, we may release your Medical Information to organizations that obtain organs or handle organ, eye or tissue transplantation. We may also release your Medical Information to an organ bank to arrange for organ or tissue donation and transplantation.

Military and Veterans. If you are a member of the military or a veteran, we may release your Medical Information to the proper authorities so they may carry out their duties under the law.

Inmates. If you are an inmate in a correctional institution or in the custody of a law enforcement official, we may disclose Medical Information about you to the correctional institution or law enforcement official as necessary so that their duties can be carried out under the law.

Workers Compensation. We may disclose your Medical Information as allowed or required by Illinois law relating to workers' compensation benefits for work-related injuries or illness or to other similar programs.

Public Health Activities. We may be required to report your Medical Information to authorities to help prevent or control disease, injuries or disability. This may include using your Medical Information to report certain diseases, injuries and birth and death information. This also may include reporting certain drug reactions with products or notification of product recalls. We also may be required to report to your employer certain work-related illnesses or injuries so that your workplace can be monitored for safety. The appropriate government authorities may also be notified if we believe a patient has been the victim of child or elder abuse, neglect or domestic violence. These reports will be made in compliance with state and federal law and will be limited to the requirements of the law.

Health Oversight Activities and Specialized Government Functions. We may disclose your Medical Information to local, state or federal government authorities or agencies that oversee health care systems and ensure compliance with the rules of government health programs, such as Medicare or Medicaid and, under certain circumstances, to the U.S. Military or U.S. Department of State.

Marketing. We will not use or disclose your Medical Information for marketing purposes without your written authorization.

Uses and Disclosures Not Covered in this Notice. Other uses and disclosures of your Medical Information will be made only with your written permission unless otherwise permitted or required by law. If you provide us with permission to use or disclose Medical Information about you, you may revoke that permission in writing at any time. If you revoke your permission, we will no longer use or disclose Medical Information about you for the reasons covered by your written permission. Please understand that we are unable to take back any disclosures already made with your permission and that we are required to retain the records of the care provided to you.

YOUR RIGHTS REGARDING YOUR MEDICAL INFORMATION

You have the following rights regarding the Medical Information we maintain about you:

Right to Inspect and Copy. You have the right to see and obtain a copy of your Medical Information. This includes medical and billing records, but does not include psychotherapy notes. You may request a copy in an electronic format if the Advocate Health Care site of care maintains your Medical Information in an electronic format. To see and/or obtain copies of this information, you must submit your request in writing. The *Authorization for Release of Patient Health Information* form is available from the medical records department at each Advocate Health Care site of care.

If you request a copy of your Medical Information, we may charge a reasonable fee for the costs of copying and mailing or for other expenses associated with complying with your request. We may deny your request to see and/or obtain copies of your Medical Information in very limited circumstances. If you are denied access to your Medical Information, you may request that the denial be reviewed. A licensed health care professional chosen by Advocate Health Care will review your request and the denial. The person conducting the review will not be the person who denied your request. We will comply with the decision that is the outcome of the review.

Right to Amend. If you feel that the Medical Information we have on record is inaccurate or incomplete, you have the right to request an amendment as long as the information is kept by or for Advocate Health Care. If the Medical Information is kept by another hospital or provider, we cannot act on your request. You must contact them directly. Your request for an amendment must be in writing and must state the reasons for the request. The written request can be made on the *Request for Amendment to the Record* form available in the medical records department at each Advocate Health Care site of care. We may deny your request for an amendment if it is not in

writing or does not include a reason to support the request. We are not obligated to make all requested amendments, but will give each request careful consideration. If your request is denied, you have the right to send a letter of objection that will then be attached to your permanent medical record. Please note that even if we accept your request, we may not delete any information already documented in your medical record.

Right to an Accounting of Disclosures. You have the right to ask us for an "accounting of disclosures." This is a listing of those individuals or entities that have received your Medical Information from Advocate.

The listing will not cover Medical Information that was given to you or your personal representative or to others with your permission. In addition, it will not cover Medical Information that was given in order to:

- Provide or arrange care for you;
- Facilitate payment for your healthcare services; and/or
- Assist Advocate Health Care in its operations.

Your request for an accounting of disclosures must be made on the *Request for Accounting of Disclosures* form available in the medical records department at your Advocate Health Care site of care. The list will include only the disclosures made for the time period indicated in your request, but may not exceed a six-year period or include dates before April 14, 2003. The first list you request within a 12-month period will be free. For additional lists, we may charge you for the reasonable costs associated with providing the list. We will notify you of costs involved. You may choose to withdraw or modify your request at any time before costs are incurred.

Right to Request Restrictions. You have the right to ask us to restrict or limit the Medical Information we use or disclose about you for treatment, payment or healthcare operations. In addition, if you pay for a particular service in full, out-of-pocket, on the date of service, you may ask us not to disclose any related Medical Information to your health plan. Unless required by law, we are not required to agree to all requests. If we do agree, we will comply unless the information is needed to provide emergency treatment.

Right to Request Confidential Communications. You have the right to ask us to communicate with you about medical matters in a certain way or at a certain location. For example, you may ask that we contact you only by sending materials to a P.O. Box instead of your home address. We will not ask the reason for your request and we will accommodate all reasonable requests. Your request must specify how or where you wish to be contacted.

Right to a Paper Copy of this Notice. Upon your request, you may at any time obtain a paper copy of this Notice. To do so, please contact the Advocate Health Care site HIPAA Coordinator. You also may access a copy of this Notice on our web site at www.advocatehealth.com.

Changes to This Notice

We reserve the right to change our privacy practices, policies and procedures and our Notice of Privacy Practices. We also reserve the right to make the revised privacy policies, procedures and Notice effective for Medical Information we already have about you as well as any information we receive in the future. We will post a copy of the current Notice in Advocate Health Care facilities and this Notice will contain the new effective date on the first page. In addition, each time you register or are admitted to Advocate Health Care as an inpatient or outpatient, a copy of the current Notice will be available upon request

Right to File A Complaint

If you have any questions or would like to report a privacy concern, please contact the appropriate contact person for the Advocate Health Care site in question (see below).

If you believe Advocate Health Care has violated your privacy rights, you may file a complaint with Advocate Health Care or with the U.S. Department of Health and Human Services Office for Civil Rights ("OCR"). We will not retaliate against you if you file a complaint with us or with the OCR.

Hospitals	To Report a Privacy Concern Please
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Contact:

Advocate BroMenn Medical Center Corporate Integrity Hotline

(309) 268-2925

Advocate Christ Medical Center Patient Relations Advocate Hope Children's Hospital (708) 346-5452

Advocate Condell Medical Center Patient Representatives

(847) 990-5443

Advocate Eureka Hospital Corporate Integrity Hotline

(309) 268-2925

Advocate Good Samaritan Hospital Care Line

(630) 275-2273

Advocate Good Shepherd Hospital Patient Relations

(847) 842-4331

Advocate Illinois Masonic Medical Center Patient Relations and Experience

(773) 296-8230

Patient Relations

Advocate Lutheran General Hospital

Advocate Lutheran General Children's Hospital (847) 723-6011

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Advocate South Suburban Hospital HIPAA Coordinator

(708) 213-3155

Advocate Trinity Hospital Privacy Hot Line

(773) 967-4472

Medical Groups

Advocate Medical Group Privacy Hotline

(312) 674-2199 (309) 268-2925

Dreyer Medical Clinic Dreyer Privacy Line

(630) 906-5053

Other Services

High Tech Medical Park High Tech Privacy Line

(708) 923-3270

Advocate Occupational Health Privacy Coordinator

(847) 384-3581

Advocate Home Care Products Clinical Excellence

Advocate Home Health Services (630) 368-6623 or (630) 368-5993

Advocate Hospice

Chief Privacy Officer Advocate Support Centers

(630) 684-9373