PIN #:	
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# SCHEDULE B - Subcontractor Utilization Plan - Part I: Agency's Target

This page to be completed by contracting agency

Contract Overview								
Pin #	82710DSNY00089		FMS Pr	oject ID#:				
Project Title	Build a Bridge to Nowhere							
Contracting Agency	Department of Sanitation							
Agency Address	51 Chambers Street	City	New York		State	NY	Zip Code	10007
<b>Contact Person</b>	Santo Cimino		Title	Contract Co	mpliance	e Office	er	
Telephone #	917-237-5349		Email	scimino@ds	sny.nyc.g	jov		
Project Description (atta	och additional pages if necessary)							
Build a Bridge to Nowh	ere							
Percentage of	ntracting Percentage total contract dollar value that ag ocontractors in amounts under \$1 al services.						<b>20%</b>	

**Subcontractor Participation Goals**Complete and enter total for each Construction or Professional Services, or both (if applicable)

Group	Cons	truction	Pro	fessional Services
Black American		%		%
Hispanic American		%		%
Asian American		%		No Goal
Caucasian Female	No	Goal		%
Total Participation Goals	(2)	<mark>31%</mark>	(3)	31%

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Tax ID #:		
Tax ID #.		

PIN #:
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## SCHEDULE B – Subcontractor Utilization Plan – Part II: Bidder/Proposer Subcontracting Plan

This page and the next (Part II herein) are to be completed by the bidder/proposer. AFFIRMATIONS; <u>Bidder/proposer must check the applicable boxes below, affirming compliance with M/WBE requirements</u>.

Bidder/proposer AFFIRMS or DOES NOT AFFIRM [statement below] Check One

It is a material term of the contract to be awarded that, with respect to the total amount of the contract to be awarded, bidder/proposer will award one or more subcontracts for amounts under one million dollars, sufficient to meet or exceed the Target Subcontracting Percentage (as set forth in Part I) unless it obtains a full or partial waiver thereof, and it will award subcontracts sufficient to meet or exceed the Total Participation Goals (as set forth in Part I) unless such goals are modified by the Agency.

Bidder/proposer AFFIRMS that it intends to meet or exceed the Target Subcontracting Percentage (as set forth in Part I); or

AFFIRMS that it has obtained a full/partial pre-award waiver of the Target Subcontracting Percentage (as set forth in Part I) and intends to award the modified Target Subcontracting Percentage, if any; or

DOES NOT AFFIRM Check one

Section	I: Prime	Contracto	r Contact	Information

 Tax ID #
 1234546789
 FMS Vendor ID #
 000012365

 Business Name
 ABC Corporation
 Contact Person
 John Smith

 Address
 123 Main Street Anytown, NY 12345
 Email | Ihopeiwin@goodluck.com

 Telephone #
 718-867-5309
 Email | Ihopeiwin@goodluck.com

#### **Section II: General Contract Information**

- 1. Define the industry in which work is to be performed.
  - Construction includes all contracts for the construction, rehabilitation, and/or renovation of physical structures. This category does include CM Build as well as other construction related services such as: demolition, asbestos and lead abatement, and painting services, carpentry services, carpet installation and removal, where related to new construction and not maintenance. This category does not include standard services which may be associated with construction projects but which do not constitute construction, such as trucking, site protection, site security, site surveying, soil testing, extermination, and maintenance/operations.
  - **Professional Services** are a class of services that typically require the provider to have some specialized field or advanced degree. Services of this type include: legal, management consulting, information technology, accounting, auditing, actuarial, advertising, health services, pure construction management, environmental analysis, scientific testing, architecture and engineering, and traffic studies, and similar services.
- a. Type of work on Prime Contract (Check one):
- b. Type of work on Subcontract (Check all that apply):

- 2. What is the expected percentage of the total contract dollar value that you expect to award to <u>all</u> subcontracts?
  - holow \$ 1 million for construction and/or profession
- 3. Will you award subcontract(s) in amounts below \$ 1 million for construction and/or professional services contracts within the first 12 months of the notice to proceed on the contract?

# Yes No

#### **Section III: Subcontractor Utilization Summary**

IMPORTANT: If you do not anticipate that you will subcontract at the target level the agency has specified, because you will perform more of the work yourself, you must seek a waiver of the Target Subcontracting Percentage by completing p. 4).

#### Step 1:

Calculate the percentage (of your total bid) that will go towards subcontracts under \$1M for construction and/or professional services

Subcontracts under \$1M (4) (construction/professional services)

Total Bid/Proposal Value

Calculated Target Subcontracting Percentage

\$ 2,000,000,00

÷ \$10,000,000.0

x 100 =

20%

- Subcontracts under \$1M (construction/professional services): Enter the value you expect to award to subcontractors in dollars for amounts under \$1 million for construction and/or professional services. This value defines the amount that participation goals apply to, and will be entered into the first line of Step 2.
- Total Bid/Proposal Value: Provide the dollar amount of the bid/proposal.
- Calculated Target Subcontracting Percentage: The percentage of the total contract dollar value that will be awarded to one or more subcontractors for amounts under \$1 million for construction and/or professional services.
   This percentage must equal or exceed the percentage listed by the agency on page 1, at line (1).

NOTE: The "Calculated Target Subcontracting Percentage" MUST equal or exceed the Target Subcontracting Percentage listed by the agency on Page 1, Line (1).

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#### Step 2:

Calculate value of subcontractor participation goals

### Copy the dollar value indicated on page two

Subcontracts under \$1M (construction/professional services)

a. Copy value from Step 1, line (4) - the total value of all expected subcontracts under \$1M for construction and/or professional services

\$2,000,000.0

- b. From line a. above, allocate the dollar value of "Subcontracts under \$1M" by Construction and Professional Services,
  - If all subcontracts under \$1M are in one industry, enter '0' for the industry with no subcontracts.
  - Amounts listed on these lines should add up to the value from line a.

Construction

**Professional Services** 

- Subcontracts under \$1M by Industry \$1,000,000.00
- For Construction enter percentage from line (2) from Page 1.
- For Professional Services enter percentage from line (3)
- **Total Participation Goals Percentages must** be copied from Part I, lines (2) and (3).

**Total Participation Goals** 

31%\*\*

d.

C.

Value of Total Participation Goals \$310,000.00

\$310,000.00

\$1,000,000.00

#### Step 3:

Subcontracts in Amounts Under \$1 M Scope of Work - Construction

\*\* Copied from agency Subcontractor Participation Goals (page 1)

Enter brief description of type(s) of subcontracts in amounts under \$1M anticipated, by type of work, not by name of subcontractor

Subcontracts in Amounts Under \$1 M Scope of Work - Professional Services

Enter brief description of type(s) of subcontracts in amounts under \$1M anticipated, by type of work, not by name of subcontractor

# Section IV: Vendor Certification and Required Affirmations

I hereby 1) acknowledge my understanding of the M/WBE requirements as set forth herein and the pertinent provisions of Local Law 129 of 2005, and the rules promulgated thereunder; 2) affirm that the information supplied in support of this subcontractor utilization plan is true and correct; 3) agree, if awarded this Contract, to comply with the M/WBE requirements of this Contract and the pertinent provisions of Local Law 129 of 2005, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this contract; 4) agree and affirm that it is a material term of this contract that the Vendor will award subcontract(s) sufficient to meet the Target Subcontracting Percentage, unless a waiver is obtained, and the Vendor will award subcontract(s) sufficient to meet the Total Participation Goals unless such goals are modified by the Agency; and 5) agree and affirm, if awarded this contract the Vendor intends to make all reasonable, good faith efforts to meet the Target Subcontracting Percentage, or If the Vendor has obtained a waiver, the Vendor intends to meet the modified Target Subcontracting Percentage, if any, and the Vendor intends to solicit and obtain the participation of M/WBEs so as to meet the Total Participation Goals unless modified by the Agency.

Signature	Date
Print Name	Title

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# SCHEDULE B - PART III - REQUEST FOR WAIVER OF TARGET SUBCONTRACTING PERCENTAGE

Contract Overvie	€W				
Tax ID#	1234546789	FMS V	endor ID#	000012365	
<b>Business Name</b>	ABC Corpora	tion			
<b>Contact Name</b>	John Smith	<b>Telephone #</b> 718	8-867-5309	Email	Ihopeiwin@goodluck.com
Type of Procure	ment 🛛 Co	mpetitive Sealed Bids	Bid/Respo	nse Due Date	February 30, 2030
PIN # (for this pro	curement)	Type of work on Prime Contract (Check one):	Type of	work on Subc	ontract (Check all that apply):
		<ul><li>☐ Construction</li><li>☐ Professional Services</li></ul>		truction ssional Services	☐ Other S
SUBCONTRACTIN	IG as describe	d in bid/solicitation documents (Cop	y this % figur	e from the solic	itation)
<b>20</b> %		l contract value anticipated by the agubcontracts valued below \$1 million		ibcontracted for	construction/professional
ACTUAL SUBCON	ITRACTING as	anticipated by vendor seeking waive	er <mark>(insert per</mark>	centage you anti	icipate subcontracting)
		l contract value anticipated <u>in good t</u> on/ professional services subcontra			
Basis for Waiver	Request: CI	neck appropriate box & explain in de	tail below (att	ach additional p	ages if needed) <mark>( select one)</mark>
		act construction/professional ser self.	vices, and h	as the capacit	y and good faith intention
		e of this type of work but at <i>lower</i> cention to do so on this contract.	r% than bid/	solicitation de	scribes, and has the
Other					
References					
List 3 most recent of	contacts/subco	ontracts performed for NYC agencies	(if any)		
CONTRACT NO		AGENCY		DATE CO	MPLETED
CONTRACT NO	•	AGENCY		DATE CO	MPLETED
CONTRACT NO	<b>.</b>	AGENCY		DATE CO	MPLETED
		ontracts performed for other agenciermed fewer than 3 NYC contracts)	es/entities		
TYPE OF WORK	(	AGENCY/ENTITY		DATE CO	MPLETED
Manager at age	ncy/entity that	hired vendor (Name/Phone No.)			-
TYPE OF WORK	<u> </u>	AGENCY/ENTITY_		DATE CO	MPLETED
Manager at agei	ncy/entity that	hired vendor (Name/Phone No.)			
TYPE OF WORK	(	AGENCY/ENTITY		DATE CO	MPLETED
Manager at age	ncy/entity that	hired vendor (Name/Phone No.)			
VENDOR CERTIFI and that this reque		ereby affirm that the information sup good faith.	pplied in supp	ort of this waive	er request is true and correct,
Signature: John	s Smith		Da	te: February 23	3, 2030
Print Name: John			Tit	le: Chief Cook	& Bottle Washer
Shaded area below					
·	CONTRACTIN	IG OFFICER APPROVAL			
Signature:			Da <sup>-</sup>	te:	
CITY CHIEF PRO	CUREMENT (	OFFICER APPROVAL	Da	to	

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