

To be filled with - Development Commissioner (Industries)
2nd Floor , New Administrative Building,
Opp. Mantralaya, Mumbai - 400 032
Tel : 022 - 22028308 Email : dipsi@maharashtra.gov.in

Form I

Application Form for Eligibility Certificate

Forms and Applications for IPS (Mega) (Please Scroll Down)

Annexure A APPLICATION for Industrial Promotion Subsidy (IPS) under Package
Scheme of Incentives (PSI) 2001/2007 Mega projects

Annexure B Certification of VAT and CST liability

Annexure C CHARTERED ACCOUNTANT REGARDING FIXED ASSETS

Annexure D Anticipated VAT and CST liability

Annexure E Annual summary statement of regular employees (for employment based projects)

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Annexure – A

APPLICATION for Industrial Promotion Subsidy (IPS) under Package Scheme of Incentives (PSI) 2001/2007 Mega projects

(All amounts in Rs. Lacs)

1. Name of the eligible unit :

2. Factory address of Eligible unit :

Telephone number

fax

e mail

3. Office Address :

Telephone number

fax, e mail

4. Eligibility Certificate No. :

5. Date of the Eligibility Certificate:

6. Identification Certificate : No. _____ Date _____

7. Accounting year followed :

8. Period for which the application:
for IPS is made

9. Bank details of eligible units

Bank & Branch Name

Branch address

Branch IFS code (for NEFT)

Account number (for NEFT)

To,

Date:

The Development Commissioner (Industries),

Sir,

In accordance with the Package Scheme of Incentives PSI-2001/2007, application is submitted for sanction of IPS of Rs. ----- (Rupees in words -----) for the period from ----- to ----- . The amount of taxes payable and paid under the Maharashtra Value Added Tax Act 2002 and under the Central Sales Tax Act, 1956 for the above period are shown in the Form as per Annexure B.

1. The Following documents are submitted along with the application:

- i) Auditor's Certificate about VAT paid during the claim period in Annexure B.
- ii) MVAT and CST returns filed for the claim period.
- iii) Resolution on the letterhead of the unit authorizing signatory to sign on the application and undertaking.
- iv) Certificate about gross fixed assets in Annexure C.
- v) Statutory sales-tax VAT auditor's report [Form No.704]
- vi) Details of employment for the project in Annexure E.
- vii) Details of Captive Process Vendors (CPVs) in Annexure F.

2. I / We hereby certify that the total number of regular employees at any point of time during the claim period _____ has not fallen below _____ and that the present strength of regular employees on the rolls of the company / for the unit (to which mega project status has been granted and eligibility certificate number _____ is issued), is _____, out of which _____ are domiciled in the state of Maharashtra/ domiciled in the _____ district. I / We also certify that the company has filed regular quarterly returns for employment with the implementing agency. (For employment based Mega projects).

3. Details of gross fixed capital investment made as of (date)

Land:

Building:

Plant & Machinery:

Other fixed assets:

Total:

4. Means of Finance: (Please provide complete details)
5. Details of fixed capital investment by the unit's Captive Process Vendors (CPVs)

No. of CPVs :

FCI in CPVs :

Land :

Building :

Plant & Machinery :

Others :

6. The details of IPS sanctioned and/or disbursed to me/us under the Eligibility Certificate number up to the dates of this application are provided below: -

Sr. No.	Period	IPS sanctioned	Date of Sanction	IPS Disbursement	Date of Disbursement

7. Details of Electricity Duty Exemption availed during the claim period

Sr. No.	Month & Year	Amount

8. Total amount of Electricity Duty Exemption availed till the end of the quarter prior to the claim period. :

9. Stamp Duty exemption availed during the claim period

Sr. No.	Type of document	Name of registering office	Date of registration	Amount of exemption availed

10. Total amount of stamp duty exemption availed till the end of the quarter prior to the claim period. :

11. Royalty Refund availed during the claim period

Sr. No.	Month	Amount of Royalty Refund claimed	Amount of Royalty Refund sanctioned

12. Total amount of Royalty Refund actually availed till the end of the quarter prior to the claim period. :

13. I / We have furnished to the implementing agency up to date follow-up statements (Annual Production returns and Audited statements of accounts).

14. Certified that the statements made herein are true and as per facts.

I / We hereby agree that in the event of any reduction in my / our MVAT / CST liability as a result of any decision in appeal, revision as a result of any judgment of tribunal or court or for any reasons whatsoever including any mistakes in calculation the amount of incentives determined at a lower amount or determined at Nil. I/We shall repay the excess amount so disbursed along with interest @ 15% per annum or such other rates as may be determined by the implementing agency.

Yours faithfully,

Name, Status and Signature of the
Authorized Signatory

Status: Proprietor / Partner / Chairman
Managing Director / Director.

This application shall be signed by any one of the persons indicated above.

Annexure – B

*Certification of VAT and CST liability
ACCOMPANIMENT TO FORM NO. II OF THE APPLICATION FOR IPS under
PACKAGE SCHEME OF INCENTIVES 2001/2007. (Mega Projects)*

(To be certified by VAT Auditor)

(For the period from _____ to _____ of Financial Year)

1. Name of the eligible unit :
2. Address of the eligible unit :
3. No. and date of Eligibility : No. _____ Date _____
Certificate.
4. Identification Certificate : No. _____ Date _____
5. Registration number with date and date of effect.
a) Under MVAT Act 2002 : TIN No. _____ Date _____
Date of Effect :
b) Under Central Sales Tax : TIN No. _____ Date _____
Act, 1956.
Date of Effect :
6. Location at which MVAT returns are filed:
7. Details of sale of Finished Product of eligible unit and Taxes paid thereon.

A) Sale within the State

(All value in Rs.)

Sr No	Finished Product /s	Details		VAT Payable	
		Qty.	Value	Rate	Amount
1	2	3	4	5	6
Total					

B) Sale outside the State

Sr No	Finished Product/s	Details	CST Payable at prevailing rate
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Total		Qty.	Value	Rate	Amount
1	2	3	4	5	6

C) Branch Transfer

Sr No	Finished Product/s	Details	
		Qty.	Value
1	2	3	4

D) Value of Exports :

E) Total of A+B+C+D

Sr. No	Finished Product/s	Details		Tax Payable
		Qty.	Value	
1	2	3	4	5
Total				

8. Details of Raw Material purchased for manufacture of Finished Product of eligible unit and Taxes paid thereon.

A) Purchases within the State

Sr No	Rate of Tax	Net Value of Purchase	VAT Paid
1	2	3	4
Total			

B) Value of Purchase from outside the State :

C) Value of Purchases by way of Branch Transfer :

D) Value of Imports

E) Total

a) Value of Purchases (A+B+C+D)

b) Value of Tax paid

i) VAT paid :

ii) Entry Tax Paid :

iii) Total (i + ii) :

- 9.. Set-off admissible on tax paid on Purchases :

10. Total Taxes Payable (VAT + CST) :

11. Amount of IPS Receivable:
12. Details of total taxes paid for the above :
period

Sr. No.	Date	Amount of Tax	Name of Bank & Branch	MVAT / CST
Total				

Yours faithfully,

Name, Status and Signature of the
Authorised Signatory

Status : Proprietor / Partner / Chairman
Managing Director / Director.

(This application shall be signed by any one of the persons indicated above.)

Certificate

To be issued by the Competent person authorised to sign VAT audit report in Form 704

I / We hereby certify that from the examination of the Book of Accounts and other relevant records of the applicant M/s. _____ that the Statements made and particulars furnished herein are correct to the best of my / our knowledge and belief.

I / We hereby further certify that the figures shown herein are only in respect of production and sale of the eligible unit and Industrial Promotion Subsidy claimed is only to extent of VAT paid by Eligible Unit at _____ for which Eligibility Certificate Number ----- has been issued under the Package Scheme of Incentives 2001/2007.

I / We further certify that the applicant M/s. _____ has maintained separate accounts and records of expansion project (Para applicable for expansion cases)

Seal/Rubber Stamp
Registration Number

Signature
Auditor

Date :

Annexure – C

**ACCOMPANIMENT TO FORM I TO THE APPLICATION FOR IPS FROM
CHARTERED ACCOUNTANT REGARDING FIXED ASSETS.**

1. Name of the eligible unit :
2. Location of the Eligible unit :
3. Period covered by the application :
4. Eligibility Certificate No & Date :
5. Certified that the gross value of the fixed assets of the eligible units M/s.

located at _____ is as under :

Gross value of the fixed assets

(Rs. In lacs)

	At the beginning of the year i.e. on	Acquired during the year	Disposed of during the year	At the end of the year i.e. on	At end of 'previous' FY*
i) Land					
ii) Building					
iii) Plant & M/c and Equipment.					
iv) Other assets					
Total					

(* Relevant for expansion / diversification eligibility certificate. Previous FY refers to the year preceding the financial year during which the acquisition of assets pertaining to expansion / diversification was started.)

6. Details of fixed assets acquired during the year should be given in the following form.

Sr.No.	Date of purchase	Value	Descripti on of items.	From whom purchased.	Date of installati on	Whether new or second hand.	Remarks
1	2	3	4	5	6	7	8

(To be given on a separate sheet duly certified by Chartered Accountant if the space is found to be insufficient).

(Seal and initials of Chartered Accountant to appear on each sheet forming part of this Annexure)

7. Details of Fixed assets disposed off / transferred etc. should be given in the following form.

Sr. No	Date of purchase	Value	Description of items.	From whom purchased	Date of installation	Date of sale / disposal transfer / shifting	Value for which disposed off (If shifted place to which shifted be indicated.)
1	2	3	4	5	6	7	8

Authorised signatory

Name, Status and Signature of the
Authorised Signatory

This application shall be signed by any of the persons indicated above.

C E R T I F I C A T E

I/We hereby certify that upon audit of the Books of Accounts and other relevant records of the applicant M/s. _____, the statements made and particulars furnished herein are correct.

Yours faithfully,

Signature of the Auditor

Seal / Rubber Stamp of
Chartered Accountant
Registration No. :

Annexure – D

(On company's letter-head)

Anticipated VAT and CST liability

Name of the unit :

Location :

Eligibility Certificate number & Date :

We hereby state that the anticipated VAT and CST liability pertaining to afore-stated Eligibility Certificate and eligible finished products, for next financial year () is as under.

Under MVAT Act, 2002 :

Under CST Act, 1956 :

The details are as under;

(Value Rs. Lacs)

Name of Products	Anticipated sale within Maharashtra (per annum) Quantity Amount	Anticipated sale outside Maharashtra (per annum) Quantity Amount	VAT liability	CST liability	Total (VAT + CST)
Total					

Authorized Signatory

Name, Status and Signature of the
Authorised Signatory

Annexure E

Period : From to

Annual summary statement of regular employees employed for the project at _____ (location) for which Eligibility Certificate No. _____, dated _____ has been issued to M/s. _____ (Company Name)

Sr. No.	Quarter	Year	No. of regular employees	Employee Provident Fund deducted		ESI deducted	
				No. of employees	Amount	No. of employees	Amount
1.	April – June						
2.	July – September						
3.	October – December						
4.	January - March						

I / We hereby certify that the total Number. of regular employees, for the eligible manufacturing activities, on the rolls of the company for the mega project for which the Eligibility certificate number _____ has been issued under the Package scheme of Incentives 2001/2007, at any point of time during the financial year/ claim period _____, has not fallen below 250/500/1000. I / We also certify that the company has filed regular quarterly returns for employment with the implementing agency.

Signature of Authorised person
Name of the Signatory,
Designation,
Seal of Company

C E R T I F I C A T E

Annexure F

Period :

Details of Captive Process Vendors (CPVs)

Sr. No.	Name of CPVs	Location / Address	Details of process out- sourced	Fixed Capital Investment (Gross) as on			
				Land	Building	Plant & Machinery	Others

I / We hereby certify that the afore-stated details are correct and based upon the audited statement of accounts of the respective CPVs. I / We also certify that the afore-stated units are 100% Captive Process Vendors of M/s. _____ (Company Name) for the mega project for which the eligibility certificate no _____ has been issued under PSI 2001/2007.

I / We hereby certify that from the examination of the Books of Accounts and other relevant records of the applicant M/s. _____ that the statements made and particulars furnished herein are correct to the best of my / our knowledge and belief.

Signature of authorised signatory
from the CPV

Signature of authorised signatory
from the EC holding company

Signature of the Chartered Accountant