

Draft Amended Rule – WAC 390-16-011
Forms --- Registration statement for political committees

Brief Explanation: ESB 6128 (Chapter 358, Laws of 2007) becomes effective January 1, 2008. The new law amends the definition of “contribution” to include an expenditure made by a person in cooperation, consultation or concert with, or at the request or suggestion of, a candidate, a political committee, “the person or persons named on the candidate’s or committee’s registration form who direct expenditures on behalf of the candidate or committee” or their agents. A political committee’s statement of organization is now to include “the name, address and title of any person who authorizes expenditures or makes decisions on behalf of the candidate or committee.” The statement is also now to include the “name address and title of any person who is paid by or is a volunteer for a candidate or political committee to perform ministerial functions on behalf of two or more candidates or committees.” Under ESB 6128, no expenditures may be made or incurred by any candidate or political committee except on the authority of the candidate “or the person or persons named on the candidate’s or committee’s registration form.”

WAC 390-16-011 provides the registration statement form for political committees [C-1pc]. The draft amended rule implements ESB 6128 to update the form as of January 2008 by requiring a list of persons performing only ministerial functions and a list of persons who authorize expenditures and make decisions for the committee. The form’s instructions are also updated regarding the identification of officers, as proposed in amendments to WAC 390-05-245 (definition of officer).

In addition, under RCW 42.17.370(1) and (8), the Commission is empowered to relieve by rule, certain reporting obligations if a contribution and expenditure dollar threshold is met. The Commission is also empowered in RCW 42.17.370(11) to adjust the reporting dollar threshold. The dollar threshold for “mini campaign finance reporting” is currently set in WAC 390-16-105 at \$3,500 for funds raised and spent, with a \$300 contribution limit, and was last adjusted in 2002. The dollar thresholds are referenced on the C-1pc form.

Therefore, the draft amended rule also identifies on the C-1pc form the proposed adjustments to the mini reporting thresholds. Those reporting threshold adjustments are in proposed amendments to WAC 390-16-105 and if adopted, the C-1pc form will also need to be updated to reflect the new dollar amounts. The proposed adjustments are to increase the thresholds to \$5,000 for funds raised and spent, and to \$500 in contributions in the aggregate accepted from any one contributor.

The draft amended rule is designed to assist candidates, campaigns, and others participating in political campaign activities.

Draft Amended Rule

WAC 390-16-011 Forms--Registration statement for political committees. The official form for providing the statement of organization by political committees for designating a campaign treasurer and depository and for reporting information required to qualify for mini campaign finance reporting is designated "C-1pc," revised ((3/06))1/08. Copies of this form are available at the Commission Office, 711 Capitol Way, Room 206, P.O. Box 40908, Olympia, Washington 98504-0908. Any attachments shall be on 8-1/2" x 11" white paper.

Statutory Citations: RCW 42.17.370, RCW 42.17.020, RCW 42.17.040; Chapter 358, Laws of 2007

PUBLIC DISCLOSURE COMMISSION

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 OLYMPIA WA 98504-0908
 (360) 753-1111
 Toll Free 1-877-601-2828

Political Committee Registration

C1pc

(1/2008)

DRAFT

Committee Name (Show entire official name.)

Acronym:

Telephone: ()

Mailing Address

Fax: ()

City County Zip + 4

E-mail:

NEW OR AMENDED REGISTRATION?
 NEW. Complete entire form.
 AMENDS previous report. Complete entire form.

COMMITTEE STATUS
 Continuing (On-going; not established in anticipation of any particular campaign election.)
 _____ election year only. Date of general or special election: _____ (Year)

1. What is the purpose or description of the committee?
 Bona Fide Political Party Committee - official state or county central committee or legislative district committee. If you are not supporting the entire party ticket, attach a list **or specify here of** the names of the candidates you support.

Ballot Committee - Initiative, Bond, Levy, Recall, etc. Name or description of ballot measure: _____

Ballot Number FOR AGAINST

Other Political Committee - PAC, caucus committee, political club, etc. If committee is related or affiliated with a business, association, union or similar entity, specify name: _____

For single election-year only committees (not continuing committees): Is the committee supporting or opposing
 (a) one or more candidates? Yes No If yes, attach a list of each candidate's name, office sought and political party affiliation.
 (b) the entire ticket of a political party? Yes No If yes, identify the party:

2. Related or affiliated committees. List name, address and relationship. Continued on attached sheet

3. How much do you plan to spend during this entire election campaign, including the primary and general elections? Based on that estimate, choose one of the reporting options below. (If your committee status is continuing, estimate spending on a calendar year basis.)
If no box is checked you are obligated to use Full Reporting. See instruction manuals for information about reports required and changing reporting options.
 MINI REPORTING
 Mini Reporting is selected. No more than \$3,500-5,000 will be raised or spent and no more than \$300-500 in the aggregate will be accepted from any one contributor.
 FULL REPORTING
 Full Reporting is selected. The frequent, detailed campaign reports mandated by law will be filed as required.

4. Campaign Manager's or Media Contact's Name and Address Telephone Number: ()

5. Treasurer's Name and Address. Does treasurer perform only ministerial functions? Yes ___ No ___. See WAC 390-05-243.
 (List deputy treasurers on attached sheet.) Continued on attached sheet
 Daytime Telephone Number: ()

6. Persons who perform only ministerial functions on behalf this committee and on behalf of candidates or other political committees. List name, title, and address of these persons.
 See WAC 390-05-243. Continued on attached sheet.

7. Committee Officers and other persons who authorize expenditures or make decisions for committee. List name, title, and address. **Continue on attached sheet if necessary.**
 See reverse for definition of "officer." Continued on attached sheet

8. Campaign Bank or Depository Branch City

9. Campaign books must be open to the public by appointment between 8 a.m. and 8 p.m. during the eight days before the election, except Saturdays, Sundays, and legal holidays. In the space below, provide contact information for scheduling an appointment and the address where the inspection will take place. It is not acceptable to provide a post office box or an out-of-area address.
Street Address, Room Number, City where campaign books will be available for inspection
 In order to make an appointment, contact the campaign at (telephone, fax, e-mail): ()

10. **Eligibility to Give to State Office Candidates:** During the 180 days prior to making a contribution to a state office candidate, your committee must have received contributions of \$10 or more from at least ten persons registered to vote in Washington State.
 A check here indicates your awareness of and pledge to comply with this provision. Absence of a check mark means your committee does not qualify to give to state office candidates (legislative and statewide executive candidates).

9. **Signature and Certification.** I certify that this statement is true, complete and correct to the best of my knowledge.
 Committee Treasurer's Signature Date

Please consult PDC instruction manuals when completing this report.
Reporting requirements are contained in and governed by RCW 42.17 and WAC 390.

Who Must File

Persons, committees, organizations or groups that receive contributions or make expenditures in support of or opposition to: candidates in jurisdictions of 5,000 or more registered voters as of the last general election; statewide ballot issues; or local ballot issues in jurisdictions with 1,000 or more registered voters as of the last general election.

When To File

Within 2 weeks of organizing a committee or first expecting to receive contributions or make expenditures, whichever occurs first. **(Committees that organize within three weeks of an election must file within three business days of forming or of expecting to receive contributions or make expenditures.)**

File an amended C-1pc form within 10 calendar days of any material change to the registration information furnished previously. For single election-year only committees, a material change includes providing or modifying the list of candidates the committee is supporting or opposing.

Continuing political committees using Mini Reporting must also file a C-1pc annually in January. Reports are considered filed as of the postmark date or date hand-delivered to PDC.

Where To File

Send the **original to PDC** at the above address. Send a **copy to County Auditor** (county elections office) of the county in which the committee headquarters is located. If there is no headquarters, send to the County Auditor of the county in which the treasurer resides. Keep a copy as part of the committee's records.

**“Officer” of a Political
Committee – Definition**

Officer of a political committee includes the following persons:

- ~~the treasurer,~~
- any person designated as an officer on the C-1pc registration statement, and
- any person who alone or in conjunction with other persons makes, directs, or authorizes contribution, expenditure, strategic or policy decisions on behalf of the committee. (WAC 390-05-245)

For Instruction Manuals and Reporting Forms or ~~look under~~ click on the “Filer Assistance Resources” menu-category tab on PDC’s Web Site: www.pdc.wa.gov