

# Stock Certificate Request



Please PRINT clearly.  
Use BLACK ink.

In this form, *you* and *your* refer to the investor while *we*, *us*, *our* and *the Company* refer to Sun Life Asset Management Company, Inc., a member of the Sun Life Financial group of companies.

You hereby request the Company to effect the change/s indicated below.

## 1 General Information

Investor <small>(Last Name, First Name, MI)</small>			Birth Date <small>(dd/mm/yy)</small>
Mailing Address <small>(no., street, municipality)</small>			
City	Province	Country	Zip Code

## 2 Request for Stock Certificate

Client No.:	Client No.:	Client No.:	Client No.:	Client No.:
Fund Name:	Fund Name:	Fund Name:	Fund Name:	Fund Name:
<input type="checkbox"/> Bond	<input type="checkbox"/> Bond	<input type="checkbox"/> Bond	<input type="checkbox"/> Bond	<input type="checkbox"/> Bond
<input type="checkbox"/> Balanced	<input type="checkbox"/> Balanced	<input type="checkbox"/> Balanced	<input type="checkbox"/> Balanced	<input type="checkbox"/> Balanced
<input type="checkbox"/> Equity	<input type="checkbox"/> Equity	<input type="checkbox"/> Equity	<input type="checkbox"/> Equity	<input type="checkbox"/> Equity
<input type="checkbox"/> Dollar Advantage	<input type="checkbox"/> Dollar Advantage	<input type="checkbox"/> Dollar Advantage	<input type="checkbox"/> Dollar Advantage	<input type="checkbox"/> Dollar Advantage
<input type="checkbox"/> Money Market	<input type="checkbox"/> Money Market	<input type="checkbox"/> Money Market	<input type="checkbox"/> Money Market	<input type="checkbox"/> Money Market
<input type="checkbox"/> Dollar Abundance	<input type="checkbox"/> Dollar Abundance	<input type="checkbox"/> Dollar Abundance	<input type="checkbox"/> Dollar Abundance	<input type="checkbox"/> Dollar Abundance
<input type="checkbox"/> GS	<input type="checkbox"/> GS	<input type="checkbox"/> GS	<input type="checkbox"/> GS	<input type="checkbox"/> GS
<input type="checkbox"/> Others: _____	<input type="checkbox"/> Others: _____	<input type="checkbox"/> Others: _____	<input type="checkbox"/> Others: _____	<input type="checkbox"/> Others: _____

## 3 Lost/Stolen/Destroyed Stock Certificate

Please read in case stock certificate gets lost, stolen or destroyed.

- A. The duly registered owner of certificate must file an affidavit (sworn statement) to prove or show the loss or destruction of the certificate, setting for the following:
  - a. Circumstances as to how the certificate was lost, stolen or destroyed;
  - b. Number of shares represented by the said certificate;
  - c. Serial number of the certificate;
  - d. Name of the fund which issued the certificate;
  - e. Name of the registered owner and address.
- B. The Company will verify the facts submitted by comparing them to the fund's records.
- C. The Company shall publish a notice of loss or destruction of the certificate of stock in a newspaper of general circulation in Manila, for the account of investor.
  - a. Publication shall be once a week for three consecutive weeks.
- b. An amount of Ps. 1,000.00 shall be paid by the registered owner to cover the expenses to be incurred by the Company for the replacement of lost, destroyed or stolen certificate. All other corresponding fees in relation to lost stock certificate(s) shall be charged to the client.
- c. Notice shall contain the following:
  1. Name of the Fund Corporation
  2. Name of the registered owner
  3. Serial number of the certificate
  4. Number of shares covered by the certificate
- d. If after one year from the date of the last publication, nobody presents any contest regarding the certificate, the Company shall issue a new one. These shares cannot be redeemed during this period.
- e. If there is a contest filed with the Company or the court, no new certificate shall be issued until a final decision is rendered by the competent court regarding ownership of the certificate of stock lost, stolen or destroyed.

## 4 Signatures

This section must be signed by you and the appropriate person as indicated.

Printed Name of Investor	Signature <b>X</b>
Printed Name of Witness and Signature <b>X</b>	Date of Signing (mm/dd/yy)



SCR-070405