

# **Information sheet**

to

## **ROCKFOR**

### **Rock Fall Events Assessment Procedure**

The aim of this assessment procedure is to create a standardized documentation for rock fall events. The procedure can be used either directly after an event or to assess past events. The assessment forms allow intuitive fill-in. Therefore they can be easily used immediately before important marks, e.g. silent witnesses, are removed.

The assessment and documentation procedure belongs to people with different experience in the field of rock fall:

- People involved in event management, local authorities
- Practitioners from private and governmental agencies
- Hazard experts
- Scientists

The assessment procedure is divided in different levels of detail:

#### **Module A – General Rock Fall Event Assessment**

Module A provides general information (Where, when, damages, affected people, eyewitnesses,...) on an event, focusing more or less on the damage/deposition area. It is determined to give an overview on the event. It should be useful primary for local authority. Module A contains all general data needed for a proper documentation of a rock fall event.

#### **Module B - Simple Rock Fall Event Assessment**

The module B is a semi-detailed assessment of a rock fall event. The whole process area is assessed.

#### **Module C - Detailed Rock Fall Event Assessment**

Module C provides assessment forms for in-depth study on the process area. It is aimed to provide data for experienced rock fall models, such as CRSP 4.0 and Rockfall 6.1. It is intended to be used mainly by engineers and scientists.

**If damages, either personal or of infrastructure, have occurred  
“Module B – Simple rock fall assessment” has to be filled in.**

For further information and in case of a rock fall event please contact:

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Department of Natural Hazards  
University for Agricultural Sciences, Vienna  
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URL: <http://www.boku.ac.at/anfi>

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## Info sheet A

### Ad A5)

#### Definition of the directions of measurements:

Lenght	Measurements in slope direction, retention dams and deflection dams are measured in dam direction
Width	Measurements perpendicular to slope

## Info sheet B

### Ad B1)

The device list serves for the documentation of the measured unities.

### Ad B3)

#### Soil depth

**Table 1: Soil depth**

Definition	Class
0 - 30cm, shallow soil depth	1
> 30cm, middle- and deep soil depth	2
Other The effective through-root able soil depth is larger than ascertainable by ditch with the spade, because of block corridors, strongly stony, weathered background or very high skeleton proportion.	3

Soil type**Table 2: Soil type**

<b>Definition</b>	<b>Class</b>	<b>Definition</b>	<b>Class</b>
<i>Silicate rock</i>		<i>Limestone</i>	
Leptosols derived from noncalcareous material (Lithic Leptosols, Umbric Leptosols and Arenosols)	1	Leptosols derived from calcareous material (Rendzic Leptosols and Lithic Leptosols)	17
Dystric Cambisols, Ferralic Cambisols and colluvial soils derived from dystric silicate material	2	Colluvial soils showing properties of both Rendzic Leptosols and Chromic Cambisols (Terra Fusca)	18
Eutric Cambisols, colluvial soils derived from eutric silicate material and Calcareous Cambisols	3	Chromic Cambisols on calcareous bedrock (Terra Fusca)	19
Spodi-Dystric Cambisol on silicate material	4	<i>Soils under ground water influence</i>	
Climate-induced Podzols derived from dystric silicate material	5	Gleysols	20
Substrate-induced Podzols (derived from Quartzite, Quartz-Phyllite, Quartz-Sand, Quartz sandstone, Arkose)	6	Fluvisols along small rivers	21
Substrate-induced Gleyic Podzol	7	Fluvisols	22
<i>Loose sediments and clayey shale</i>		<i>Organogenous soils</i>	
Light-textured Cambisols and Spodic Cambisols derived from unconsolidated sediments	8	Mollic and Umbric Gleysols	23
Heavy-textured Cambisols and Luvisols derived from moraine material, non-calcareous loess, or mudstone	9	Histic Gleysols and Terric Histosols	24
Cambisols and Luvisols derived from calcareous loess	10	Fibric Histosols	25
<i>Group of Similigleys</i>		Anthrosols	26
(Eutric) Planosols and Stagnic Gleysols derived from flysch or mudstone	11		
(Eutric) Planosols and Stagnic Gleysols derived from loess	12		
Temporarily waterlogged (Stagno-gleyic) soils on unconsolidated sediments	13		
Stagnic Cambisols or Gleysols with marked interflow	14		
Relic soil material showing ferralic properties (Ferralic Cambisols)	15		
Chernozsem	16		

## Info sheet C

### Ad C1)

The device list serves for the documentation of the measured unities.

### Ad C2)

#### Rock strength

**Table 3: Rock strength**

Description (SELBY, 1993)	UCS [Mpa]	Class
<b>Extremely weak rock</b> – crumbles under sharp blows with geological pick point, can be cut with pocket knife	1–25	(1)
<b>Weak rock</b> – shallow butts or scraping with pocket knife with difficulty, pick point indents deeply with firm blow	25–50	(2)
<b>Moderately strong rock</b> – knife cannot be used to scrape or peel surface, shallow indentations under firm blow from pick point	50–100	(3)
<b>Strong rock</b> – hand-held sample breaks with one firm blow from hammer end of geological pick	100–200	(4)
<b>Very strong rock</b> – requires many blows from geological pick to break intact sample	>200	(5)

Example:

**Figure 1: Example of joints description**

*Slope exposition: 280 gon*

Parting	a	b		c		d	e	f	g
	Type	Strike [gon]	Class	Dip [°]	Class	Widths of joints [cm]	Spacing of joints	Continuity of joints Class	Critical layer Class
1	S	315/313/321	1	45/45/40	5	3	X	2	4

Strike<sub>rel</sub> = | 280 - (315+313+321)/3 | = 36 → Class 1

Dip<sub>rel</sub> = (45+45+40)/3 = 43 → Class 1

a.) Type:

Bedding (B), planes of schistosity (S), joint (J)

b.) Strike orientation relative to slope

$$Strike_{rel} = |Exposition - Strike_{abs}|$$

**Table 4: Strike orientation**

<b>Class</b>	(1)	(2)	(3)
<b>Name</b>	Horizontal	Moderate	Steep
<b>Range</b>	<10°	10 to 30°	>30°

c.) Dip direction**Table 5: Dip direction**

<b>Class</b>	(1)	(2)	(3)	(4)	(5)
<b>Name</b>	Steep dips into slope	Moderate dips into slope	Horizontal dips	Moderate dips out from slope	Steep dips out from slope
<b>Range</b>	>30°	10° to 30°	<10°	10° to 30°	>30°

d.) Width of joints

Open (1), closed (2), filled - clay (3), filled – loose material (4)

e.) Spacing of joints

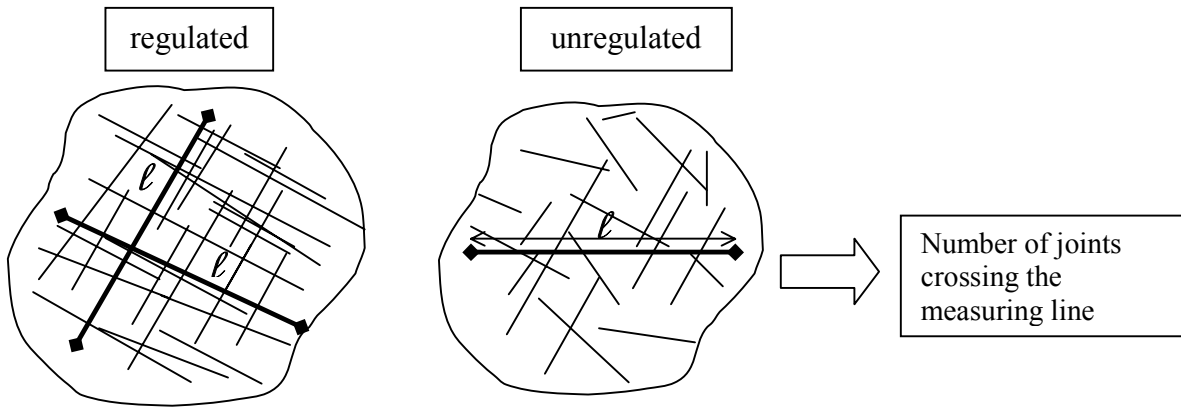
$$K = \frac{n}{\ell} \text{ [m}^{-1}\text{]}$$

**Table 6: Spacing of joints**

<b>Rock class</b>	<b>K</b>	<b>Width [cm]</b>	<b>Class</b>
Very favorable	<1	>100	(1)
Favorable	1-5	20-100	(2)
Fair	5-8	12,5-20	(3)
Unfavorable	8-15	7-12,5	(4)
Very unfavorable	>15	<7	(5)

Manual to the measuring method: Dependent on recognizable regulation degree of the cliff surface, regulated or unregulated (Figure 2), the number of crossings of the joints with a thought line is counted. In case of oriented joints the measuring lines are defined perpendicular to every general joint direction. The Klüftigkeitsziffer is the mean value for all joint orientations. If the joint directions are irregular the measuring line has to be defined by chance. In this case crossings just for one measuring line have to be counted.

**Figure 2: Measuring directions to determine the spacing of the joints**



**f.) Continuity of joints**

The RQD index is defined as the proportional rate of the distances between the dividing gaps > 10cm (l) and the length of the measuring distance in cm (L).

$$RQD = \frac{\sum l}{L} \cdot 100\%$$

**Table 7: Continuity of joints**

Rock class	RQD-Index [%]	Class
Very favorable	90-100	(1)
Favorable	75-90	(2)
Fair	50-75	(3)
Unfavorable	25-50	(4)
Very unfavorable	0-25	(5)

**g.) Critical layer**

The critical layer is the joint, that will most probably initiate rock fall.

Criteria to be kept in mind during the definition of the critical layer:

- Existing spacing of joints, even more critical if without infill
- Joint dipping steep out from slope (> 30°)
- RQD < 40% (ORR, 1974, from SELBY, 1993)
- Existing/visible seepage(s)



## Ad C2

### Rock classification

#### *After SELBY (1993)*

The qualities of the whole cliff formation of the demolition zone and the qualities of the critical dividing surface are valued with a point-key. Then the reached score proves the cliff quality.

**Table 8: Parameter rating for rock classification after SELBY (1993)**

Parameter	Rating of the cliff formation				
Rock strength Class (Page 6, Table 3)	(1)	(2)	(3)	(4)	(5)
<b>Rating</b>	<b>5</b>	<b>10</b>	<b>14</b>	<b>18</b>	<b>20</b>
Weathering (Page 10, Table 10)	(1)	(2)	(3)	(4)	(5)
<b>Rating</b>	<b>10</b>	<b>9</b>	<b>7</b>	<b>5</b>	<b>3</b>
Seepage	Not visible	Damp places visibly, trace	Slight, <25l/min/10m <sup>2</sup>	Moderate, 25-125l/min/m <sup>2</sup>	Great, >125l/min/m <sup>2</sup>
<b>Rating</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>1</b>

Parameter	Rating of the critical layer				
Spacing of joint (Page 7, Table 6)	(1)	(2)	(3)	(4)	(5)
<b>Rating</b>	<b>30</b>	<b>28</b>	<b>21</b>	<b>15</b>	<b>8</b>
Dip direction and orientation of joint Class (Page 7, Table 5)	(1) cross joints interlocked	(2)	(3)	(4)	(5)
<b>Rating</b>	<b>20</b>	<b>18</b>	<b>14</b>	<b>9</b>	<b>5</b>
Width of joint	<0,1mm	0,1-1mm	1-5mm	5-20mm	>20mm
<b>Rating</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>2</b>
Continuity of joint	None continuous	Few continuous	Continuous, no infill	Continuous, thin infill	Continuous, thick infill
<b>Rating</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>1</b>



**Table 9: Rating of the rock mass strength after SELBY (1993)**

<b>Total rating</b>	1-26	50-26	70-51	90-71	100-91
<b>Rock mass quality</b>	Very weak rock	Weak rock	Moderate rock	Strong rock	Very strong rock

**Table 10: Types of weathering following DEARMAN (1974 and 1976, from SELBY, 1993)**

Class	Description
(1)	<b>unweathered</b> : mainly fresh failure scars, no weathering on fracture surfaces visible, no moos on fracture surfaces
(2)	<b>Slightly weathered</b> : starting discoloration on fracture surfaces
(3)	<b>Moderately weathered</b> : < 50 % of fracture surfaces are discolored, moss fouling starting at edges of surfaces
(4)	<b>Weathered</b> : > 50 % of fracture surfaces are discolored, extension of moos layer throughout most of the surface
(5)	<b>Highly weathered</b> : 100 % of fracture surfaces are discolored, edges are rounded, moos layer on fracture surfaces

*After BEHR (1968, from RICHTER 1992):*

**Table 11: Rock classification after BEHR (1968, from RICHTER, 1992)**

Rock class	RQD-Index	Klüftigkeitsziffer K
Very favorable	(1)	(1)
Favorable	(2)	(2)
Fair	(3)	(3)
Unfavorable	(4)	(4)
Very unfavorable	(5)	(5)

## Ad C4)

### General

For a detailed assessment of a slope the trajectory and several properties are mapped. The slope profile is divided into homogeny sections. For a proper description the single sections may not exceed 30m.

### Section borders:

Variations of the inclination, of the exposition, of the direction of rock fall, deposited rocks, obstacles,...

Forest stand borders are obligatory section borders!

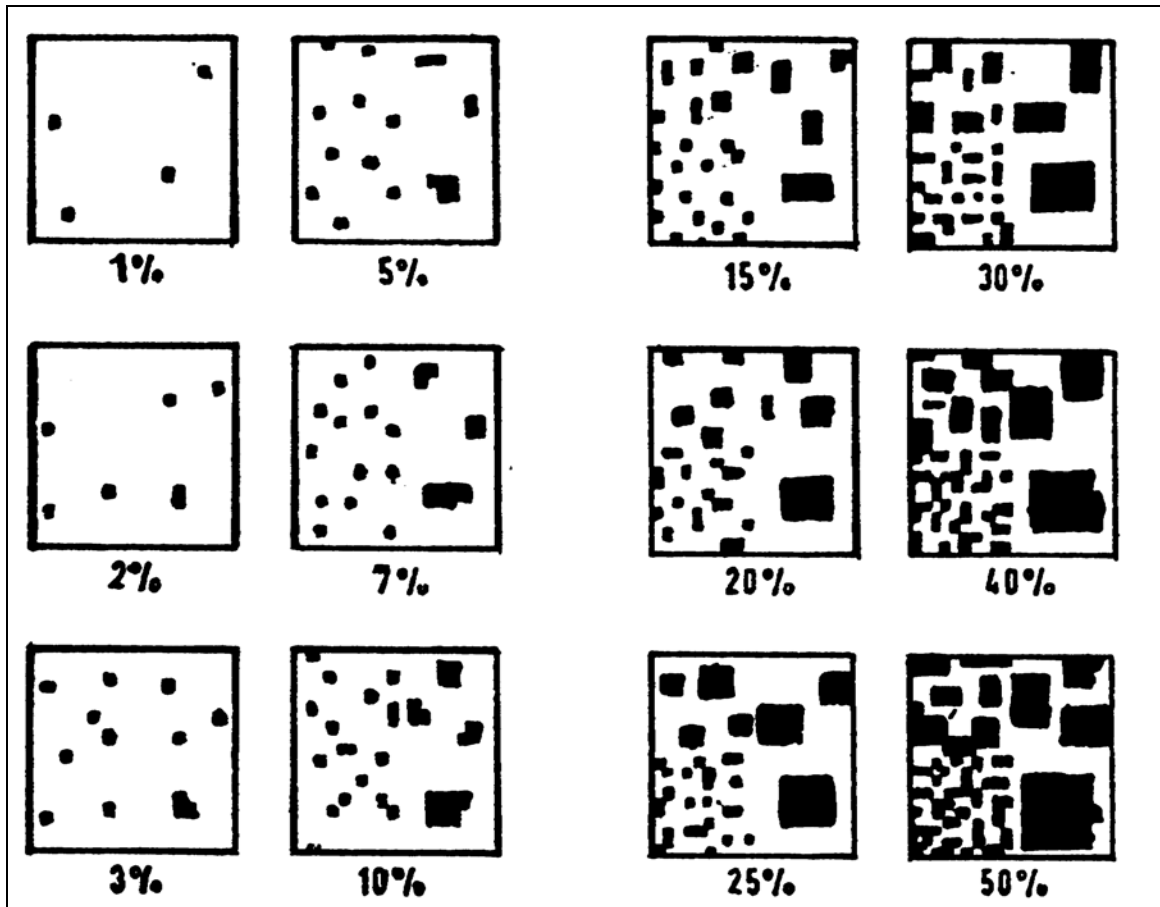
### Soil depth

See page 4, Table 1

Skeleton

The spatial proportion of stones, rocks, and bed rock in an outcrop is estimated in percent.

Figure 3: Examples for spatial proportion



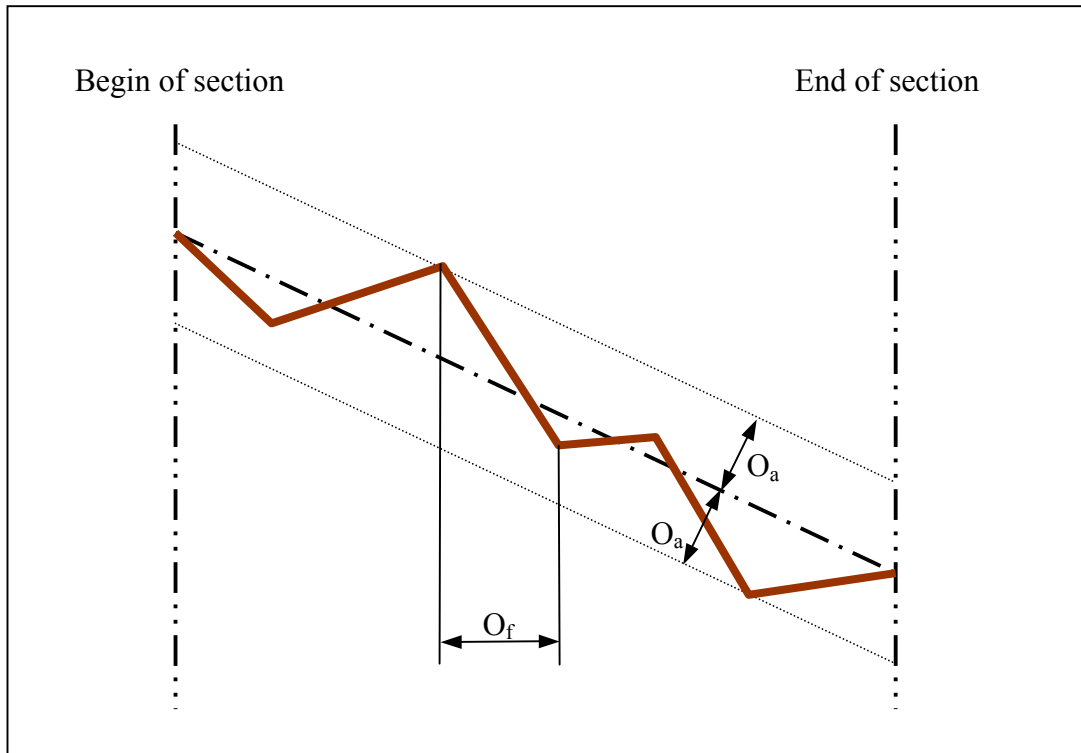
Soilt type

See page 5, Table 2

Surface roughness factors  $O_a$  &  $O_f$

The surface roughness can be defined by surface amplitude ( $O_a$ ) and the surface frequency ( $O_f$ ).

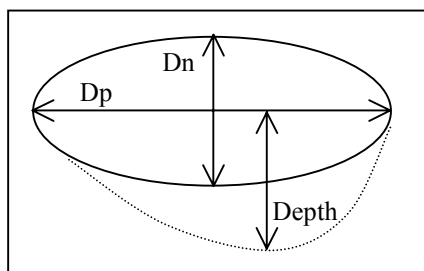
- $O_a$ : Maximum deflection of the surface perpendicular to the slope inclination within a given distance (usually equal to the length of the appropriate section), measured in meter [m]. It represents the maximum deviation from the inclination of the section.
- $O_f$ : Length between the peaks, measured in meter [m].



**Figure 4: Surface roughness**

Rock fall crater

**Figure 5: Rock fall crater**



- Dp ..... Diameter in slope direction
- Dn ..... Diameter perpendicular to slope direction
- Tiefe ..... maximum depth

## Rock properties

### *Position*

The length from the section start point to the center of a stopped rock is measured [m].

### *Shape*

**Table 12: Rock shape**

<b>Class</b>	<b>Shape</b>
CB	Cubic
S	Sphere
CL	Cylinder
D	Discoidal

## Technical mitigation measures

### *Position*

The length from the section start point to the technical mitigation measure is measured [m].

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**Rock Fall Event Assessment**

**A1) General**

Entries according to MAXO-code: (M - measuring, A - assumption, X - missing, O - not available)

<p><b>Date of assessment:</b></p>	<p><b>Person:</b></p>
<p><b>District:</b></p>	<p><b>ZIP-code, municipality:</b></p>
<p><b>Exact term and description of the site:</b></p>	
<p><b>Date of event:</b></p> <p><b>Time of event:</b></p>	<p><b>Picture No.:</b></p>
<p><b>Eyewitness:</b> <input type="radio"/> Yes <input type="radio"/> No                  Name:                  Address:                  Phone-number.:</p>	
<p><b>Topographic map:</b></p>	<p><b>Personal damage or damage to property:</b></p> <ul style="list-style-type: none"> <li><input type="radio"/> No</li> <li><input type="radio"/> Yes (additionally fill in Module B)</li> </ul> <p><input type="radio"/> Personal damage .....(number)</p> <p><input type="radio"/> Damage to property .....(number)                  type .....</p> <p><input type="radio"/> Damage to infrastructure (streets, railway,...)                  .....lm                  type .....</p> <p><input type="radio"/> damage to forest, area .....ha</p> <p>Description of damage:</p>

**A2) Weather Situation**

Remark: multiple selection possible,

Entries according to MAXO-code: (M - measuring, A - assumption, X - missing, O - not available)

<p><b>Day of event:</b></p> <p><input type="radio"/> Dry</p> <p><input type="radio"/> Rain - precipitation (..... mm)</p> <p><input type="radio"/> Thunderstorm</p> <p><input type="radio"/> Snow - precipitation (..... mm)</p> <p><input type="radio"/> Snow cover ..... cm</p> <p><input type="radio"/> Thaw</p> <p><input type="radio"/> Frost</p> <p><input type="radio"/> Frost – dew transition</p> <p><input type="radio"/> Wind</p> <p><input type="radio"/> Storm</p>	<p><b>1. Day before event:</b></p> <p><input type="radio"/> Dry</p> <p><input type="radio"/> Rain – precipitation (..... mm)</p> <p><input type="radio"/> Thunderstorm</p> <p><input type="radio"/> Snow - precipitation (..... mm)</p> <p><input type="radio"/> snow cover ..... cm</p> <p><input type="radio"/> Thaw</p> <p><input type="radio"/> Frost</p> <p><input type="radio"/> Frost – dew transition</p> <p><input type="radio"/> Wind</p> <p><input type="radio"/> Storm</p>	<p><b>2. Day before event:</b></p> <p><input type="radio"/> Dry</p> <p><input type="radio"/> Rain – precipitation (..... mm)</p> <p><input type="radio"/> Thunderstorm</p> <p><input type="radio"/> Snow - precipitation (..... mm)</p> <p><input type="radio"/> snow cover ..... cm</p> <p><input type="radio"/> Thaw</p> <p><input type="radio"/> Frost</p> <p><input type="radio"/> Frost – dew transition</p> <p><input type="radio"/> Wind</p> <p><input type="radio"/> Storm</p>
<p>Additional remarks about the weather situation:</p>		

**A3) Geology**

<p><b>Geological unit:</b></p> <p><b>Type of rock:</b></p>	<p><b>Picture number:</b></p>
<p><b>Geological map:</b></p> <p><input type="radio"/> Not available</p> <p><input type="radio"/> Yes, full description of the map: .....</p> <p>.....</p>	<p><b>Detailed assessment by geologist</b></p> <p><input type="radio"/> No</p> <p><input type="radio"/> Yes, name/office: .....</p> <p>.....</p>

**A4) Geomorphology**

<p><b>Source zone:</b></p> <p><input type="radio"/> Upper slope</p> <p><input type="radio"/> Middle slope</p> <p><input type="radio"/> Lower slope</p>	<p><b>Transfer zone:</b></p> <p><input type="radio"/> Upper slope</p> <p><input type="radio"/> Middle slope</p> <p><input type="radio"/> Lower slope</p>	<p><b>Deposition zone:</b></p> <p><input type="radio"/> Upper slope</p> <p><input type="radio"/> Middle slope</p> <p><input type="radio"/> Lower slope</p>
<p><input type="radio"/> Bed rock</p> <p><input type="radio"/> Mixed rock 50/50</p> <p><input type="radio"/> Loose rock</p>	<p><input type="radio"/> Bed rock</p> <p><input type="radio"/> Mixed rock 50/50</p> <p><input type="radio"/> Loose rock</p>	<p><input type="radio"/> Bed rock</p> <p><input type="radio"/> Mixed rock 50/50</p> <p><input type="radio"/> Loose rock</p>
<p><input type="radio"/> Rock face (&gt;80°)</p> <p><input type="radio"/> Steep slope (50-80°)</p> <p><input type="radio"/> Moderate slope (30-50°)</p> <p><input type="radio"/> Low slope (10-30°)</p> <p><input type="radio"/> Plain (0-10°)</p>	<p><input type="radio"/> Rock face (&gt;80°)</p> <p><input type="radio"/> Steep slope (50-80°)</p> <p><input type="radio"/> Moderate slope (30-50°)</p> <p><input type="radio"/> Low slope (10-30°)</p> <p><input type="radio"/> Plain (0-10°)</p>	<p><input type="radio"/> Rock face (&gt;80°)</p> <p><input type="radio"/> Steep slope (50-80°)</p> <p><input type="radio"/> Moderate slope (30-50°)</p> <p><input type="radio"/> Low slope (10-30°)</p> <p><input type="radio"/> Plain (0-10°)</p> <p><input type="radio"/> Opposite slope</p>



**A5) Technical Mitigation Measures**

Entries according to MAXO-code: (M - measuring, A - assumption, X - missing, O - not available)

<p><b><input type="radio"/> Operate available</b>                  Implementing Department .....</p>		
<p><b>Source zone:</b></p> <p><input type="radio"/> Non</p> <p><input type="radio"/> Rock fall net</p> <p><input type="radio"/> Steel barrier</p> <p><input type="radio"/> Wooden barrier</p> <p><input type="radio"/> Slope stabilization</p> <p style="padding-left: 20px;"><input type="radio"/> Wire mesh</p> <p style="padding-left: 20px;"><input type="radio"/> Berms</p> <p style="padding-left: 20px;"><input type="radio"/> Reverse bracing of single blocks</p> <p><input type="radio"/> Others</p>	<p><b>Transfer zone:</b></p> <p><input type="radio"/> Non</p> <p><input type="radio"/> Rock fall net</p> <p><input type="radio"/> Steel barrier</p> <p><input type="radio"/> Wooden barrier</p> <p><input type="radio"/> Slope stabilization</p> <p style="padding-left: 20px;"><input type="radio"/> Wire mesh</p> <p style="padding-left: 20px;"><input type="radio"/> Berms</p> <p style="padding-left: 20px;"><input type="radio"/> Reverse bracing of single blocks</p> <p><input type="radio"/> Deflection dam</p> <p><input type="radio"/> Retention dam</p> <p><input type="radio"/> Others</p>	<p><b>Deposition zone:</b></p> <p><input type="radio"/> Non</p> <p><input type="radio"/> Rock fall net</p> <p><input type="radio"/> Steel barrier</p> <p><input type="radio"/> Wooden barrier</p> <p><input type="radio"/> Deflection dam</p> <p><input type="radio"/> Retention dam</p> <p><input type="radio"/> Others (forest roads,...)</p>

<b>Source zone – detailed description</b>						
	Length [m]	Ave. width [m]	Height [m]	continuous	discontinuous	Number of rows
Rock fall net						
Steel barrier						
Wooden barrier						
Slope stabilization						
Others						

<b>Transfer zone – detailed description</b>						
	Length [m]	Ave. width [m]	Height [m]	continuous	discontinuous	Number of rows
Rock fall net						
Steel barrier						
Wooden barrier						
Slope stabilization						
Deflection dam						
Retention dam						
Others						

<b>Deposition zone – detailed description</b>						
	Length [m]	Ave. width [m]	Height [m]	continuous	discontinuous	Number of rows
Rock fall net						
Steel barrier						
Wooden barrier						
Slope stabilization						
Deflection dam						
Retention dam						
Others						

**Semi-Detailed Rock Fall Event Assessment**

**B1) Record of Equipment**

Measured parameter	Measuring device
Length (few meter)	<input type="radio"/> Tape measure <span style="float: right;"><input type="radio"/> 30 m</span> <input type="radio"/> ..... <span style="float: right;"><input type="radio"/> .....</span>
Length (up to 2 m)	<input type="radio"/> Tape measure <span style="float: right;"><input type="radio"/> 5 m</span> <input type="radio"/> Folding rule <span style="float: right;"><input type="radio"/> 2 m</span> <input type="radio"/> ..... <span style="float: right;"><input type="radio"/> .....</span>
Soil parameter	<input type="radio"/> Spade <input type="radio"/> .....
Slope	<input type="radio"/> ..... <span style="float: right;"><input type="radio"/> %</span> <span style="float: right;"><input type="radio"/> grad</span> <span style="float: right;"><input type="radio"/> gon</span>
Height above sea level	<input type="radio"/> GPS <input type="radio"/> .....
Exposition	<input type="radio"/> GPS <input type="radio"/> .....
Forest stand parameter	<input type="radio"/> Relascope <input type="radio"/> .....



**B3) Source Zone (see also annex B)**

Entries according to MAXO-code: (M - measuring, A - assumption, X - missing, O - not available)

<p><b>Topography:</b>                  Sea level: from..... m a.s.l. - to ..... m a.s.l.                  Slope: maximum: ..... ° [Grad]                  minimum: ..... ° [Grad]</p> <table border="1" style="margin-left: 40px; border-collapse: collapse; text-align: center;"> <tr><td><input type="checkbox"/></td><td>N</td><td><input type="checkbox"/></td><td>S</td></tr> <tr><td><input type="checkbox"/></td><td>NO</td><td><input type="checkbox"/></td><td>SW</td></tr> <tr><td><input type="checkbox"/></td><td>O</td><td><input type="checkbox"/></td><td>W</td></tr> <tr><td><input type="checkbox"/></td><td>SO</td><td><input type="checkbox"/></td><td>NW</td></tr> </table>	<input type="checkbox"/>	N	<input type="checkbox"/>	S	<input type="checkbox"/>	NO	<input type="checkbox"/>	SW	<input type="checkbox"/>	O	<input type="checkbox"/>	W	<input type="checkbox"/>	SO	<input type="checkbox"/>	NW	<p><b>Geological composition:</b></p>
<input type="checkbox"/>	N	<input type="checkbox"/>	S														
<input type="checkbox"/>	NO	<input type="checkbox"/>	SW														
<input type="checkbox"/>	O	<input type="checkbox"/>	W														
<input type="checkbox"/>	SO	<input type="checkbox"/>	NW														
<p><b>Type of rock in the source area:</b></p> <table border="1" style="margin-left: 40px; border-collapse: collapse; width: 80%;"> <tr><td><input type="checkbox"/> Bed rock</td><td>Height: m</td></tr> <tr><td><input type="checkbox"/> Mixed rock</td><td>Height: m</td></tr> <tr><td><input type="checkbox"/> Loose rock</td><td>Height: m</td></tr> </table>	<input type="checkbox"/> Bed rock	Height: m	<input type="checkbox"/> Mixed rock	Height: m	<input type="checkbox"/> Loose rock	Height: m	<p><b>Actual deployment zone:</b>  <input type="checkbox"/> Visible                      <input type="checkbox"/> Invisible</p> Number of deployments ..... Average area [m <sup>2</sup> ] ..... Average height [m] ..... Average width [m] .....										
<input type="checkbox"/> Bed rock	Height: m																
<input type="checkbox"/> Mixed rock	Height: m																
<input type="checkbox"/> Loose rock	Height: m																
<p><b>Rock shape:</b>  <input type="checkbox"/> Shape of detached rocks:</p> <p style="margin-left: 40px;"> <input type="checkbox"/> Sphere                      <input type="checkbox"/> Cylinder  <input type="checkbox"/> Cube                            <input type="checkbox"/> Discoidal</p> <p><b>Rock size:</b>                  Diameter of rocks deposited beneath the rock face:</p> <table border="1" style="margin-left: 40px; border-collapse: collapse; width: 80%;"> <thead> <tr><th>Average</th><th>Maximum</th></tr> </thead> <tbody> <tr><td><input type="checkbox"/> &gt; 0,01 m</td><td><input type="checkbox"/> &gt; 0,01 m</td></tr> <tr><td><input type="checkbox"/> 0,1-0,25 m</td><td><input type="checkbox"/> 0,1-0,25 m</td></tr> <tr><td><input type="checkbox"/> 0,25-0,5 m</td><td><input type="checkbox"/> 0,25-0,5 m</td></tr> <tr><td><input type="checkbox"/> 0,5-1 m</td><td><input type="checkbox"/> 0,5-1 m</td></tr> <tr><td><input type="checkbox"/> 1 – 1,5 m</td><td><input type="checkbox"/> 1 – 1,5 m</td></tr> <tr><td><input type="checkbox"/> 1,5 – 2 m</td><td><input type="checkbox"/> 1,5 – 2 m</td></tr> <tr><td><input type="checkbox"/> &gt; 2 m</td><td><input type="checkbox"/> &gt; 2 m</td></tr> </tbody> </table>	Average	Maximum	<input type="checkbox"/> > 0,01 m	<input type="checkbox"/> > 0,01 m	<input type="checkbox"/> 0,1-0,25 m	<input type="checkbox"/> 0,1-0,25 m	<input type="checkbox"/> 0,25-0,5 m	<input type="checkbox"/> 0,25-0,5 m	<input type="checkbox"/> 0,5-1 m	<input type="checkbox"/> 0,5-1 m	<input type="checkbox"/> 1 – 1,5 m	<input type="checkbox"/> 1 – 1,5 m	<input type="checkbox"/> 1,5 – 2 m	<input type="checkbox"/> 1,5 – 2 m	<input type="checkbox"/> > 2 m	<input type="checkbox"/> > 2 m	<p><b>Probable detachment mechanism:</b></p> <p><input type="checkbox"/> Pore pressure (ice/water)  <input type="checkbox"/> Toppling  <input type="checkbox"/> Root pressure  <input type="checkbox"/> Wedge failure  <input type="checkbox"/> (Block-)Slide  <input type="checkbox"/> Activation by animals  <input type="checkbox"/> Residual block  <input type="checkbox"/> Activation by human activity  <input type="checkbox"/> Ground failure  <input type="checkbox"/> Others: .....</p>
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<p><b>Forestation</b></p> <table style="width:100%;"> <tr> <td style="width:60%; vertical-align: top;"> <p><b>Vegetation type:</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td><input type="checkbox"/> Not wooded</td></tr> <tr><td><input type="checkbox"/> Larix-P. cembra type</td></tr> <tr><td><input type="checkbox"/> Subalp. Picea type</td></tr> <tr><td><input type="checkbox"/> Picea-Abies-Fagus type</td></tr> <tr><td><input type="checkbox"/> Fagus type</td></tr> <tr><td><input type="checkbox"/> Tree species typical of avalanche influenced slopes (i.e. Betulus, Alnus, Larix, ...)</td></tr> <tr><td><input type="checkbox"/> Others: .....</td></tr> </table> </td> <td style="width:40%; vertical-align: top;"> <p><b>Structure of forest:</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td><input type="checkbox"/> Rout structure</td></tr> <tr><td><input type="checkbox"/> Mixed ages</td></tr> <tr><td><input type="checkbox"/> Mature stand</td></tr> <tr><td><input type="checkbox"/> Timber wood</td></tr> <tr><td><input type="checkbox"/> Pool stand</td></tr> <tr><td><input type="checkbox"/> Thicket</td></tr> <tr><td><input type="checkbox"/> Regeneration</td></tr> </table> </td> </tr> </table>		<p><b>Vegetation type:</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td><input type="checkbox"/> Not wooded</td></tr> <tr><td><input type="checkbox"/> Larix-P. cembra type</td></tr> <tr><td><input type="checkbox"/> Subalp. Picea type</td></tr> <tr><td><input type="checkbox"/> Picea-Abies-Fagus type</td></tr> <tr><td><input type="checkbox"/> Fagus type</td></tr> <tr><td><input type="checkbox"/> Tree species typical of avalanche influenced slopes (i.e. Betulus, Alnus, Larix, ...)</td></tr> <tr><td><input type="checkbox"/> Others: .....</td></tr> </table>	<input type="checkbox"/> Not wooded	<input type="checkbox"/> Larix-P. cembra type	<input type="checkbox"/> Subalp. Picea type	<input type="checkbox"/> Picea-Abies-Fagus type	<input type="checkbox"/> Fagus type	<input type="checkbox"/> Tree species typical of avalanche influenced slopes (i.e. Betulus, Alnus, Larix, ...)	<input type="checkbox"/> Others: .....	<p><b>Structure of forest:</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td><input type="checkbox"/> Rout structure</td></tr> <tr><td><input type="checkbox"/> Mixed ages</td></tr> <tr><td><input type="checkbox"/> Mature stand</td></tr> <tr><td><input type="checkbox"/> Timber wood</td></tr> <tr><td><input type="checkbox"/> Pool stand</td></tr> <tr><td><input type="checkbox"/> Thicket</td></tr> <tr><td><input type="checkbox"/> Regeneration</td></tr> </table>	<input type="checkbox"/> Rout structure	<input type="checkbox"/> Mixed ages	<input type="checkbox"/> Mature stand	<input type="checkbox"/> Timber wood	<input type="checkbox"/> Pool stand	<input type="checkbox"/> Thicket	<input type="checkbox"/> Regeneration
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Stocking (%):	/ (k-factor/count)																

**B4) Transfer Zone**

Entries according to MAXO-code: (M - measuring, A - assumption, X - missing, O - not available)

<p><b>Topography:</b></p> <p>Sea level: from ..... m a.s.l. - to ..... m a.s.l.</p> <p>Slope: maximum: ..... ° [Grad]</p> <p style="padding-left: 40px;">minimum: ..... ° [Grad]</p>		<table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px;">O</td> <td style="width: 60px;">N</td> <td style="width: 20px;">O</td> <td style="width: 60px;">S</td> </tr> <tr> <td>O</td> <td>NO</td> <td>O</td> <td>SW</td> </tr> <tr> <td>O</td> <td>O</td> <td>O</td> <td>W</td> </tr> <tr> <td>O</td> <td>SO</td> <td>O</td> <td>NW</td> </tr> </table>	O	N	O	S	O	NO	O	SW	O	O	O	W	O	SO	O	NW												
O	N	O	S																											
O	NO	O	SW																											
O	O	O	W																											
O	SO	O	NW																											
<p><b>Morphology:</b></p> <p><input type="radio"/> Narrow gully type</p> <p><input type="radio"/> Gully type with local width variation</p> <p><input type="radio"/> Even</p> <p><input type="radio"/> Homogenous slope</p> <p><input type="radio"/> Slope with multiple steps and flattenings</p> <p><input type="radio"/> Other features: .....</p> <p style="padding-left: 40px;">.....</p>	<p><b>Structures in slope direction:</b></p> <p><input type="radio"/> Hollow: ..... m a.s.l.</p> <p><input type="radio"/> Gully/ravine: ..... m a.s.l.</p> <p><input type="radio"/> Step: ..... m a.s.l.</p> <p><input type="radio"/> Others: ..... m a.s.l.</p>																													
<p><b>Deposited rocks:</b></p> <p>Max. diameter: .....</p> <p>Ave. diameter: .....</p>	<p><b>Structures perpendicular to the slope:</b></p> <p><input type="radio"/> Roads: ..... m a.s.l.</p> <p><input type="radio"/> Slope terrace: ..... m a.s.l.</p> <p><input type="radio"/> Forest ways: ..... m a.s.l.</p> <p><input type="radio"/> Travelling path: ..... m a.s.l.</p> <p><input type="radio"/> Others: ..... m a.s.l.</p>																													
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**B5) Deposition Zone**

Entries according to MAXO-code: (M - measuring, A - assumption, X - missing, O - not available)

<p><b>Topography:</b></p> <p>Sea level: from ..... m a.s.l. - to ..... m a.s.l.</p> <p>Slope: maximum: ..... ° [Grad]                  minimum: ..... ° [Grad]</p>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:25%; text-align: center;">O</td> <td style="width:25%; text-align: center;">N</td> <td style="width:25%; text-align: center;">O</td> <td style="width:25%; text-align: center;">S</td> </tr> <tr> <td style="text-align: center;">O</td> <td style="text-align: center;">NO</td> <td style="text-align: center;">O</td> <td style="text-align: center;">SW</td> </tr> <tr> <td style="text-align: center;">O</td> <td style="text-align: center;">O</td> <td style="text-align: center;">O</td> <td style="text-align: center;">W</td> </tr> <tr> <td style="text-align: center;">O</td> <td style="text-align: center;">SO</td> <td style="text-align: center;">O</td> <td style="text-align: center;">NW</td> </tr> </table>	O	N	O	S	O	NO	O	SW	O	O	O	W	O	SO	O	NW																				
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<p><b>Spatial description of the deposition zone:</b></p> <table style="width:100%;"> <tr> <td style="width:50%; vertical-align: top;"> <input type="checkbox"/> Narrow gully type  <input type="checkbox"/> Gully type with local width variation  <input type="checkbox"/> Even  <input type="checkbox"/> Gentle cone (B ≥ 200 m)  <input type="checkbox"/> Distinctive cone (B ≤ 200 m)                 </td> <td style="width:50%; vertical-align: top;"> <input type="checkbox"/> Hard change of inclination  <input type="checkbox"/> Alternating inclination  <input type="checkbox"/> Change of slope direction  <input type="checkbox"/> Opposite slope  <input type="checkbox"/> Obstacles  <input type="checkbox"/> Others .....                 </td> </tr> </table>		<input type="checkbox"/> Narrow gully type <input type="checkbox"/> Gully type with local width variation <input type="checkbox"/> Even <input type="checkbox"/> Gentle cone (B ≥ 200 m) <input type="checkbox"/> Distinctive cone (B ≤ 200 m)	<input type="checkbox"/> Hard change of inclination <input type="checkbox"/> Alternating inclination <input type="checkbox"/> Change of slope direction <input type="checkbox"/> Opposite slope <input type="checkbox"/> Obstacles <input type="checkbox"/> Others .....																																		
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<input type="checkbox"/> Lowering of slope <input type="checkbox"/> Surface roughness <input type="checkbox"/> Opposite slope <input type="checkbox"/> Stream channel <input type="checkbox"/> Others .....	<input type="checkbox"/> Natural obstacles (z.B. dead wood, tree hit) <input type="checkbox"/> Buildings <input type="checkbox"/> Streets <input type="checkbox"/> Technical mitigation measures																																				
<p><b>Energy slope:</b> ..... [%]                  (upper edge of source zone to furthest reach of deposition)</p> <p><b>Shadow angle:</b> ..... [%]                  (lower edge of source zone to furthest reach of deposition)</p>	<p><b>Deposited rocks:</b></p> <p>Max. diameter: ..... m</p> <p>Ave. diameter: ..... m</p>																																				
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<p><b>General description of deposition zone:</b>                  (Morphology, endangered objects, furthest reach of deposition,...)</p>																																					

**Detailed Rock Fall Event Assessment**

**C1) Record of Equipment**

Measured parameter	Measuring device
Strike & dip orientation	<input type="radio"/> Geologic compass <input type="radio"/> gon <input type="radio"/> ..... <input type="radio"/> grad <input type="radio"/> ..... <input type="radio"/> .....
Rock strength	<input type="radio"/> Geologic hammer <input type="radio"/> .....
Length (few meter)	<input type="radio"/> Tape measure <input type="radio"/> 30 m <input type="radio"/> ..... <input type="radio"/> .....
Length (up to 2 m)	<input type="radio"/> Tape measure <input type="radio"/> 5 m <input type="radio"/> Folding rule <input type="radio"/> 2 m <input type="radio"/> ..... <input type="radio"/> .....
Soil parameter	<input type="radio"/> Spade <input type="radio"/> .....
Slope	<input type="radio"/> ..... <input type="radio"/> % <input type="radio"/> ..... <input type="radio"/> grad <input type="radio"/> ..... <input type="radio"/> gon
Height above sea level	<input type="radio"/> GPS <input type="radio"/> .....
Exposition	<input type="radio"/> GPS <input type="radio"/> .....
Tree diameter	<input type="radio"/> Caliper <input type="radio"/> Pi-tape measure <input type="radio"/> .....
Tree height	<input type="radio"/> Relascope <input type="radio"/> Suunto ..... <input type="radio"/> .....
Forest stand assessment	<input type="radio"/> Relascope <input type="radio"/> .....













**C3) Geology**

**Rock strength**

Uni-axial compressive strength UCS: ..... [Class]

**Degree of weathering at the source zone (rates in per cent):**

Area of detachment	Other bed rock surface	Feature
.....%	.....%	<b>unweathered:</b> mainly fresh failure scars, no weathering on fracture surfaces visible, no moos on fracture surfaces
.....%	.....%	<b>Slightly weathered:</b> starting discoloration on fracture surfaces
.....%	.....%	<b>Moderately weathered:</b> < 50 % of fracture surfaces are discolored, moss fouling starting at edges of surfaces
.....%	.....%	<b>Weathered:</b> > 50 % of fracture surfaces are discolored, extension of moos layer throughout most of the surface
.....%	.....%	<b>Highly weathered:</b> 100 % of fracture surfaces are discolored, edges are rounded, moos layer on fracture surfaces

**Joint description (spatial)**

Parting	a	b		c		d	e	f	g
	Type	Strike	Class	Dip	Class	Width of joints	Spacing of joints	Continuity of joints	Critical layer
		[gon]		[°]		[cm]		Class	
1									
2									
3									
4									
5									
6									

**Assessment of the critical layer**

Classification following SELBY(1993)		
Parameter	Class	Rating
Rock strength		
Weathering		
Seepage		
Spacing of joint		
Strike and dip orientation of joint		
Width of joint		
Continuity of joint		
<b>Sum</b>		

Classification following BEHR (1968)			
K-Class	RQD-Class	→	Rating
		→	









**C5) Forest Assessment**

**Method:**

O Angle-Count-Sample: k-factor:.....

O Circular-Sample: radius:..... m

**Tree list**

Forest stand		
No.	Species	BHD
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Forest stand		
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