



ENIAC-ED-89-12

National Eligibility criteria and Funding rules

for Call ENIAC-2012-2

Version v1.8

Rev 28/07/2012

v1.0: includes UK and SE don't change rules

v1.1: includes CZ

v1.2: includes FI, MT

v1.3: includes FR

v1.4: includes BE, RO

v1.5: includes AT, DE

v1.6: includes IT

v1.7: includes, NO, NL, IE, DE (modification)

v1.8: includes HU

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1. Context and scope

This document describes the national eligibility criteria and national funding rules for proposals submitted for the Call ENIAC-2012-2. It applies to all individual participants from ENIAC member States.

These criteria are established in accordance with:

ENIAC JU's own Financial Rules;

Council Regulation (EC) No 72/2008 of 20 December 2007 setting up the ENIAC Joint Undertaking

The ENIAC JU funding percentage for this Call is:

JU% = 15%

2. National Eligibility criteria and Funding rules

2.1. Austria

2.1.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Austria	Niklfeld	Georg	+43 (0)5 7755 - 5020	georg.niklfeld@ffg.at
Austria	Vierbauch	Doris	+43 (0)5 7755 - 5024	doris.vierbauch@ffg.at
Austria	Zergoi	Thomas	+43 (0)5 7755 - 4201	thomas.zergoi@ffg.at

PLEASE NOTE THAT THE FULL VERSION OF THIS DOCUMENT CAN BE FOUND AT: www.ffg.at/eniac

- timely submission as specified in the relevant call for proposals
- submission of a complete proposal
- for companies, commercial register number and the balance sheets of the last three years
- financial viability and business soundness is verified by means of an internal check - companies that are in danger of insolvency cannot be funded
- Synergy with national R&D&I policies⁽¹⁾: by means of the current call, Austria aims to fund projects that have a high strategic relevance for capabilities in semiconductor manufacturing in Austria, in particular addressing the following Grand Challenges from the Annual Work Programme 2012:
 - within domain 3 'Energy Efficiency': Grand Challenge 'Reduction of Energy Consumption'
 - and within domain 8 'Equipment, Materials and Manufacturing': Grand Challenge 'More than Moore' and Grand Challenge 'Manufacturing'.

⁽¹⁾ Applicants who wish to obtain further guidance regarding synergy with national R&D&I policies may contact the Austrian Ministry for Transport, Innovation and Technology (bmvit), Ms Kerstin Zimmermann, kerstin.zimmermann@bmvit.gv.at, tel +43-1- 711 62 65-3503

2.1.2. Legal requirements

1) Type/nature of participants

The following legal entities are eligible for funding

- enterprises
- non-profit research institutions
- universities
- associations

Entities that are part of the Austrian federal administration are excluded from funding.

Details can be obtained from the "Leitfaden Kooperative F&E Projekte" Version 1.1, section 1.4.1 from the FFG at <http://www.ffg.at/Kooperatives-FuE-Projekt>.

2) Legal/administrative/financial conditions

The national rules on eligible costs for Austrian participants are available from the FFG at www.ffg.at/kostenleitfaden (“Kostenleitfaden für Vorhaben mit EU-Kofinanzierung” in the most recent version) and from the “Leitfaden Kooperative F&E Projekte” Version 1.1 from the FFG at www.ffg.at/Kooperatives-FuE-Projekt.

In addition to the general rules for this call, sections 1.4.1 and 1.7 of the “Leitfaden Kooperative F&E Projekte” (Guidelines_Cooperative_R&D_Projects_V1_1_endg) apply.

3) Consortium configuration

The combined share of costs at Austrian universities and research institutions cannot exceed 40 % of the total costs of all Austrian partners within each project.

For Austrian universities in a consortium that have uncovered eligible costs, i.e. eligible costs not covered by funding from ENIAC: submission of a declaration by the Austrian enterprises in the consortium that they will cover these costs, and provision of a binding financing plan for these costs in case the proposal is funded

2.1.3. Funding

Austria intends to provide to SMEs and research institutions the following quota of the available national funds:

- small and medium sized enterprises should receive at least 20% of total national funding
- research institutions should not receive more than 20% of total national funding
- universities should not receive more than 20% of total national funding

For the national funding authority: http://www.ffg.at/eniac				
Type of Organisation	Percentage of the national subsidy to the beneficiaries			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises (*)	Small Enterprises	Public Research Institutes and Universities
Fundamental/Basic Research	10%	20%	30%	59%
Industrial/Applied Research projects	10%	20%	30%	59%
Experimental development projects	10%	20%	30%	59%

(*) With regard to the size of the enterprise, the respective SME definition according to EU competition law applies as amended (definition of small and medium-sized enterprises according to Recommendation 2003/361/EC of the Commission of 6 May 2003, (OJ L 124 of 20.5.2003, pp 36-41), See also:

http://ec.europa.eu/enterprise/enterprise_policy/sme_definition/index_en.htm

2.1.4. Preparation of Part C

(required for FPP phase only)

- registration at the eCall system of the FFG at <https://ecall.ffg.at>
- please consult the tutorial at <https://ecall.ffg.at/Cockpit/Help.aspx>
- upload of a complete Form C in the FFG eCall within the submission deadline of the FPP phase (one file for each participant). A template can be found at the FFG Homepage (www.ffg.at/eniac).

2.2. *Belgium*

2.2.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Belgium	Deprez	Francis	+32 2 432 4301	fd@iwt.be
	Deblauwe	Nico	+32 2 432 4300	ndb@iwt.be

Funding authority website: www.iwt.be

For further information please contact Francis Deprez (fd@iwt.be) or Nico Deblauwe (ndb@iwt.be).

2.2.2. Legal requirements

1) Legal/administrative/financial conditions

The beneficiary must be a company established in the Flemish region, with a sustainable activity in this region, based upon a sound business model. They have received no other public funding for the same activities.

- In case of a multinational company, this should be the local branch or subsidiary
- In case of a research centre the legitimate status of Strategic Research Centre (SOC) in the Flemish region is mandatory
- In case of projects initiated by Strategic Research Centres, funding outside IWT applies

2) Consortium configuration

Projects should be introduced by companies or registered Strategic Research Centers and primarily executed to the benefit of these entities.

3) Conditions on exploitation/impact

Have the (financial) means to execute the project and a potential to use the results.

The project should indicate socio-economic effects, which can be quantified by activities or investments by the partner after the completion of the project, in accordance with the ruling detailed in the document:

www.iwt.be/sites/default/files/subsidies/documenten/O&O_KMO_toel_doc_valorisation_0106_2008.pdf

In case of potential military applications (including dual use), support can be restricted.

2.2.3. Funding

Type of Organisation Type of	Percentage of the national subsidy to the beneficiaries (1)			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises (2)	Small Enterprises (3)	Public Institutes and Universities (4); (5)
Fundamental/Basic Research	0%	0%	0%	45%-JU%
Industrial/Applied Research projects	50%-JU%	50%-JU%	50%-JU%	45%-JU%
Experimental development projects	25%-JU%	25%-JU%	25%-JU%	25%-JU%

Notes:

(1) These percentages are maxima and given under the constraints that the project proposal fulfils the ENIAC eligibility criteria and that no participant in the ENIAC project holds more than 70% of the total (international) ENIAC project budget.

(2) SME's can receive 10% extra.

(3) Small enterprises can receive 20% extra.

(4) The support of public research institutes is determined by the general principles of O&O-bedrijfsprojecten as published on the website (<http://www.iwt.be/subsidies/oeno-bedrijfsproject>) for company initiated projects.

(5) The support of stand-alone Strategic Research Centre contributions is determined by specific project related agreement.

Additional:

10% extra can be given to projects fulfilling specific policy goals such as contributing to sustainable development or cooperation with research institutes. More information about this can be found on the website <http://www.iwt.be/subsidies/oeno-bedrijfsproject>.

The total funding (national funding + JU funding) will be between JU funding percentage and 60%. This limit does not apply for Strategic Research Institute initiated projects.

Enterprise initiated pilot line projects are limited to € 0,75M of national funding.

2.3. Czech Republic

2.3.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Czech Republic	Kadlec	Jiri	+420 2 66052216	kadlec@utia.cas.cz
	Nedvedova	Katerina	+420 234 811 504	katerina.nedvedova@msmt.cz

Principal legal rules and regulations and documents on research and development in the Czech Republic:

<http://www.vyzkum.cz/FrontClanek.aspx?idsekce=608>

<http://www.msmt.cz/mezinarodni-vztahy/vyzkum-a-vyvoj-1/spolecne-technologicke-iniciativy-2>

2.3.2. Legal requirements

1) Type/nature of participants

Private enterprises

Research institutions

Universities

2) Legal/administrative/financial conditions:

The funding of R&D activities is provided pursuant to Act no. 130/2002 Coll., on the support of research and development from public funds and on amendments to some related Acts (Act on the support of research and development), following on from the amendments brought by Act no. 41/2004 Coll., Act no. 215/2004 Coll., Act no. 342/2005 Coll., Act no. 81/2006 Coll., Act no 227/2006 Coll., Act no. 342/2005 Coll., Act no. 413/2005 Coll., Act no. 81/2006 Coll., Act no. 227/2006 Coll., Act. no 171/2007 Coll., Act no. 296/2007 Coll., Act no. 124/2008 Coll. and Act no. 110/2009 Coll., and 469/2011 Coll. (Act on the support of research, experimental development and innovation).

Public research institutes must be listed in the Registry of Public Research Institutes, operated by the Ministry of Education, Youth and Sports of the Czech Republic.

Private entities must be registered in the Czech Republic and perform its R&D&I activities in the Czech Republic.

The proof of applicant's eligibility must be in conformity with section 18 of the Act on the support of research, experimental development and innovation.

3) Consortium configuration

Czech part of consortium must be configured from at least one industrial company registered in the Czech Republic and at least one public research institute or university from the Czech Republic

4) Conditions on the technical content/scope

Projects can be supported from public financial sources only if the following results can be expected: new/innovated technologies, higher degree of innovation, patents and/or other types of results relevant for industry (and listed in the Czech R&D Council Methodology of R&D Evaluation). Publications, articles or other scientific papers are welcome but not regarded as sufficient result in this type of projects.

2.3.3. Funding

		Maximum percentage of the national subsidy to the beneficiaries			
Type of activity \ Type of Organisation		Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
Fundamental/Basic Research					
Industrial/Applied Research projects		50%-JU%	50%-JU%	50%-JU%	100%-JU%
Experimental development projects		25%-JU%	25%-JU%	25%-JU%	100%-JU%

Additional:

Subcontracting cannot exceed 10% of the direct eligible cost. This applies for all types of CZ participants.

The maximum eligible indirect cost is 20% (flat rate) of the direct cost without sub-contracting. This applies for all types of CZ participants.

2.4. Finland

2.4.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Finland	Seppänen	Immo	+358 10 605 5753	immo.seppanen@tekes.fi

Finland's national public funding authority for ENIAC is Tekes, Finnish Funding Agency for Technology and Innovation. Tekes funding principles can be found at:

www.tekes.fi/en (English)

www.tekes.fi (Finnish)

2.4.2. Legal requirements

1. Type/nature of participants

- Companies (enterprises)
- Industry associations
- Universities and polytechnics
- Public research institutes and similar research organizations

2. Legal/administrative/financial conditions

- A company is registered in Finland and has considerable industrial and R&D&I activities in Finland
- A company has a clear financial record and has the financial capability to cover its own expenses during the project

3. Consortium configuration

- A public research institute, university or a polytechnic shall be accompanied in the project by at least two eligible companies in Finland
- The project volume (costs) of public research institutes, universities and polytechnics in Finland combined shall not exceed the project volume (costs) of the companies in Finland

4. Conditions on exploitation/impact

- The project should have sufficient positive impact on the Finnish economy or society.

Authorities can take into account the proposals' synergy with EU and national R&D and Innovation policies (that were widely and publicly made available at the time of the Call) and activities as well as the overall coverage of objectives of the Call.

2.4.3. National funding rates

Type of Organisation	Percentage of costs covered by national funding			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
Fundamental/Basic Research	20 %	50 %	50 %	55 %
Industrial/Applied Research projects	20 %	50 %	50 %	55 %
Experimental development projects	10 %	35 %	35 %	0 %

2.5. France

2.5.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
France	Béguin	Alain	+ 33 1 79 84 30 19	alain.beguin@finances.gouv.fr

2.5.2. Legal requirements

Reference website: <http://www.industrie.gouv.fr/tic/jti/eniac.php>

The items published in French in the following text are the official national eligibility criteria for funding. The items published in English are a translation. The text in French takes precedence over the text in English.

The partners of an ENIAC project proposal submitted for funding in call 2012-1 have to comply with all the criteria mentioned in the present document to be eligible for funding by the French national authorities.

Pour être admissibles à un financement par les autorités françaises, les partenaires d'un projet de l'appel ENIAC 2012-2 sollicitant un tel financement doivent respecter l'ensemble des critères énumérés dans le présent document.

The present criteria are without prejudice to the application of legal rules and regulations concerning the allocation of public funding by the French State.

Les présents critères ne préjugent pas de l'application des règles légales et réglementaires en vigueur concernant l'attribution de subventions par l'État français.

1) Legal/administrative/financial conditions

The project or eventually one of its sub-projects must have received previously a pre-label from the French program "Investissements d'avenir". For those projects which have not received this pre-label, funding could eventually be received through the remaining French budget of the ENIAC Call 2012-1 (Eniac Call n°6).

Le projet ou éventuellement un de ses sous-projet doit avoir au préalable été pré-labellisé au titre des "Investissements d' Avenir". Néanmoins, pour les projets non pré-labellisés à ce titre, un financement sera éventuellement possible sur le budget non consommé de l'appel ENIAC 2012-1 (Appel n°6 d'ENIAC).

The financial situation of each private partner must be validated (financial structure, cash flow, operating accounts) and considered compatible (activity volume, workforce, financial capability) with the amount and the content of the eligible costs as well as with the amount of the demanded aid and of the already granted public aid.

La situation financière de chaque partenaire privé doit être validée (structure financière, flux de trésorerie, compte d'exploitation) **et jugée compatible** (volume d'activité, moyens humains, moyens financiers) **avec le montant et le contenu de l'assiette des dépenses ainsi qu'avec le montant de l'aide sollicitée et des aides publiques déjà accordées par ailleurs.**

2) Consortium configuration

The ratio of the enterprises in the total eligible costs of the French participants must represent at least 55% of this total amount.

La part des entreprises dans l'assiette totale admissible au financement des autorités françaises doit représenter au moins 55% de cette assiette.

3) Conditions on exploitation/impact

The partners must contribute to achieve one or several significant technological breakthroughs with the objective of designing or improving products, services or processes, and must set-up a capability to make these products or processes. These must have a sufficient potential impact on their activity in the European Union and in particular in France, in terms of employment, competitiveness, value creation and growth at short or medium-term.

Les partenaires doivent contribuer à **lever un ou plusieurs verrous technologiques significatifs en vue de concevoir ou d'améliorer des produits, services ou procédés, ainsi que mettre en place les moyens de réalisation de ces produits et procédés**. Ceux-ci doivent présenter pour eux des **perspectives suffisantes de retombées** sur le territoire de l'Union européenne, et notamment en France, en termes d'emplois, de compétitivité, de création de valeur et d'activité économique **à court ou moyen terme**.

The tasks assigned to partners must be well specified and should consist in « experimental development » or « industrial research » as defined in the R&D&I framework. « Fundamental research » will not be considered as eligible. In accordance with the R&D&I framework, the aid to each company must have an incentive effect on its R&D&I activities.

Les travaux réalisés par les partenaires doivent être bien spécifiés et pouvoir être considérés comme **« développement expérimental » ou « recherche industrielle » au sens de l'encadrement des aides à la RDI**. Les travaux de « recherche fondamentale » ne sont pas admissibles. Conformément à l'encadrement des aides à la RDI, l'aide à chaque entreprise doit avoir un **effet d'incitation** sur ses activités de RDI.

Each partner has to provide to the Joint Undertaking, together with the project proposal submission, the documents specified in the reference website.

Chaque partenaire doit soumettre à l'entreprise commune, avec le dossier de soumission du projet, **les documents spécifiés sur le site internet de référence**.

The application submitted must contain all elements which will allow the French authorities to assess and justify the eligibility of the aid asked by the partners. In particular, the application must include, besides the documents required for application to the Joint Undertaking call and all documents listed in the reference website, information specifying for each partner the potential economical impact in the European Union in terms of employment, competitiveness, value creation and economic activity, as well as the positioning of the partner on the targeted markets and the timeframe of this impact.

Le dossier soumis doit **présenter les éléments permettant aux autorités françaises d'apprécier et de justifier l'admissibilité de l'aide** demandée par les partenaires. En particulier, le dossier doit comprendre, outre les documents requis au titre de l'appel à projets de l'entreprise commune et les documents spécifiés sur le site internet de référence, **une fiche précisant, pour chaque partenaire, les perspectives de retombées économiques sur le territoire de l'union européenne, en termes d'emploi, de compétitivité, de création de valeur et d'activité économique, ainsi que le positionnement du partenaire sur les marchés visés et l'horizon temporel de ces retombées**.

La synergie entre la proposition de projet et les politiques nationales en matière de R&D et d'innovation sera appréciée au regard des éléments fournis conformément à ce document.

The synergy of the project proposal with national **R&D and innovation** policies will be appreciated in respect to the elements provided according to the present document.

2.5.3. Funding

Reference website where partners can calculate what their eligible cost are according to national rules: <http://www.industrie.gouv.fr/tic/jti/eniac.php>

Type of Organisation of Type of activity	Percentage of the national subsidy to the beneficiaries			
	Large Enterprises, Groups and Associations of Enterprises (1)	Medium Enterprises (1)	Small Enterprises (1)	Public Research Institutes and Universities (2)
Fundamental/Basic Research	0%	0%	0%	0%
Industrial/Applied Research projects	25%-R ⁽³⁾ or 40%-R ⁽³⁾ (4)	30%- R ⁽³⁾ or 50%- R ⁽³⁾ (4)	30%- R ⁽³⁾ or 50%- R ⁽³⁾ (4)	100%- R ⁽³⁾
Experimental development projects	25%- R ⁽³⁾ or 40% - R ⁽³⁾ (4)	30%- R ⁽³⁾ or 50%- R ⁽³⁾ (4)	30%- R ⁽³⁾ or 50%- R ⁽³⁾ (4)	100%- R ⁽³⁾

Notes:

- (1) The basis for eligible costs is total costs.
- (2) The general basis for eligible costs is the marginal costs. For more details, see the reference website.
- (3) R = Funding ratio of the ENIAC JU
- (4) Project with a pre-label from the French Program "Investissements d'Avenir"

2.5.4. Preparation of Part C

(required for FPP phase only)

Please note that for each French participant you need to create a ZIP file with administrative information requested by the French authorities and upload this in the ENIAC Proposal Submission system as Part C of the **FPP** (one file for each participant). Application forms, guidelines, information required (including regulations), instructions and auxiliary terms and conditions can be downloaded from the website: <http://www.industrie.gouv.fr/tic/jti/eniac.php>

2.6. Germany

2.6.1. National contact persons for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Germany	Schröder	Sabine	+ 49 30 670 55-772	eniac@dlr.de
Germany	Dr. Winking	Lars	+ 49 211 62 14-323	eniac@vdi.de

Reference weblinks for German eligibility criteria for funding:

Förderprogramm IKT 2020 des BMBF <http://www.bmbf.de/pub/ikt2020.pdf> (English version: http://www.bmbf.de/pub/ict_2020.pdf)

Hightech-Strategie 2020 für Deutschland <http://www.hightech-strategie.de/de/350.php>

German ENIAC website: <http://www.pt-it.pt-dlr.de/de/eniac.php>

2.6.2. Legal requirements

1) Type/nature of participants

- Commercial companies based in Germany
- State and non-state institutions of higher education and non-university research establishments in projects with German commercial companies

2) Legal/administrative/financial conditions

The Federal Ministry of Education and Research (BMBF) will provide funding for German applicants for projects addressing one or more of the following Grand Challenges of the Annual Work Programme:

CHAPTER 1 - AUTOMOTIVE & TRANSPORT

- Grand Challenge 1 “Intelligent Electric Vehicle”
- Eligible for funding are also supporting activities from Chapters 6, 7 and 8

Note: Since 01.01.2012 national funding for R&D on electromobility is financed from the Energy and Climate Fund (Energie- und Klimafonds, EKF). Funding of projects is subject to the availability of financial resources from the EKF.

CHAPTER 3 - ENERGY EFFICIENCY

- Grand Challenge 1 “Sustainable and Efficient Energy Generation”
- Grand Challenge 2 “Energy Distribution and Management – Smart Grid”
- Grand Challenge 3 “Reduction of Energy Consumption”
- Eligible for funding are also supporting activities from Chapters 6, 7 and 8

CHAPTER 4: HEALTH AND THE AGING SOCIETY

- Grand Challenge 1 “Home Healthcare”
- Grand Challenge 2 “Hospital Healthcare”
- Grand Challenge 3 “Heuristic Healthcare”

- Eligible for funding are also supporting activities from Chapters 6, 7 and 8

CHAPTER 5: SAFETY & SECURITY

- Grand Challenge 1 “Consumer and Citizens Security”
- Grand Challenge 2 “Securing the European challenging Applications”
- Eligible for funding are also supporting activities from Chapters 6, 7 and 8

The work planned by the partners must neither have already benefited from public funding nor be redundant with similar projects already funded.

R&D projects shall relate to societal relevant questions. An essential ambition of funding is to strengthen the position of German project partners and companies which aim to exploit research and development results in Germany and Europe as well as to accelerate the technology transfer from the pre-competitive area into the practical application. Projects should illustrate the added value of R&D results on the basis of an appropriated application, e.g. a demonstrator.

Regional German Funding Authorities (Länder) might allocate funding for regional partners; further Grand Challenges might become eligible in agreement with the Regional Funding Authority.

3) Conditions on the technical content/scope

Subjects to be funded in the ICT sector are high-risk industrial research and development projects related to production prior to competition which focus on experimental development within TRL (Technical Readiness Level) 5 - 8, meeting a sufficiently high level of innovation and which could not be accomplished without funding.

4) Conditions on exploitation/impact

Funding will be allocated to production-related research and development projects which promise lasting benefit for Germany and Europe in terms of safeguarding and creating employment, maintaining competitiveness and increasing added value. It is requisite that the R&D projects contribute significantly to the “High-Tech Strategy for Germany” and the BMBF research programme “ICT 2020”. Project partners should, preferably, completely cover the value chain. The economic exploitation of project results should sufficiently benefit the “Standort” Germany.

2.6.3. Funding

<p>Basic principles of R&D-funding by BMBF are described in „Staatliche Beihilfe Nr. N 375/2007 – DEUTSCHLAND, IKT 2020 - Forschung für Innovationen (Änderung der bestehenden Beihilferegelung N 602/2003 ,Mikrosysteme‘“: http://ec.europa.eu/community_law/state_aids/comp-2007/n375-07.pdf</p> <p>German ENIAC website: http://www.pt-it.pt-dlr.de/de/eniac.php</p>				
Type of Organisation Type of	Percentage of costs covered by national funding (1)			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises (2)	Small Enterprises (2)	Public Research Institutes and Universities (3)
Fundamental/Basic Research	100 - JU%	100 - JU%	100 - JU%	100 - JU%
Industrial/Applied Research	50 - JU%	50 - JU%	50 - JU%	50 - JU%
Experimental development	25 - JU%	25 - JU%	25 - JU%	25 - JU%

Notes:

(1) The funding rates reported in the table are upper limits which may not be exceeded. For this call the funding rate of the ENIAC JU will be 15% (=JU%). Funding rates need to be justified within the limits of the aide intensity – also taking into account national funding politics and budgetary considerations – and might therefore be below the reported rates on an individual case basis.

(2) An additional bonus of 10% may be awarded to SMEs according to the European Commission's SME definition.

(3) The basis for calculating the grants for universities, research establishments and similar institutions is the eligible project-related expenditure (in the case of Helmholtz centres and the Fraunhofer-Gesellschaft (FhG) the eligible project-related costs), which can be funded up to a maximum of 100 - JU%.

(4) This call focuses on experimental development for pilot production lines. Consequently, the funding rate for work packages will in general amount up to 25% (national + JU funding).

2.6.4. Preparation of Part C

Required for FPP phase only

Please note that for each German participant you need to create a ZIP file with administrative information requested by the German authorities (AZK/AZA 1 - 6 without the annexes that give detailed information on cost/expenditure calculations) and upload this in the ENIAC Proposal Submission system as **Part C** (one file for each participant). Specific information on the content and how to create this ZIP file can be found at: <http://www.kp.dlr.de/profi/easy/formular.html>.

Please note, that the German partners of a pilot line project shall state clearly the added value resp. benefit for the “Standort” Germany.

Please also note that for the national funding allocation the submission of a complete set of application forms according to the national funding regulations to the German authorities is mandatory. **For detailed information you are advised to consult the German ENIAC website** <http://www.pt-it.pt-dlr.de/de/eniac.php>.

2.7. Greece

2.7.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Greece	Maria	Koutrokoi	+30 210 7458 101	mkoutr@gsrt.gr

2.7.2. Legal requirements

1) Type/nature of participants

All legal entities.

2) Legal/administrative/financial conditions

2.1. Eligible enterprises are those having been operating for, at least, two (2) economic years and have published, at least, two (2) balance-sheets.

For the “Spin-off” Enterprises eligible are those having been operating for, at least, (1) economic year and have published one balance-sheet.

2.2. The National contribution per Greek legal entity (Public or Private) cannot exceed 40%, as maximum of the total available National contribution for the specific Call of Proposals 2010 of JU ENIAC. In case that a Greek eligible entity participates in more than one successful/funded projects in the frame of one/unique call, and their requested budget exceeds in total the 40% of the National contribution to the JU, then the National contribution will be equally reduced from its participation in the funded projects.

3) Consortium configuration

Private participation covers at least 50% of the total budget of the participation.

2.7.3. Funding

4.1 For private Companies and SMEs, the Public contribution covers maximum 33,3%, and the JU contribution covers 16,7%, of their total budget to the specific project. Their own contribution covers at least 50% of their total budget to the specific project

4.2. For the Public Research Institutes and Universities, the Public contribution covers maximum 83,3 % and the JU covers 16,7% of the budget of the Public Research Institutes and Universities in the specific project/s.

4.3. Subcontracting cannot exceed 25% of the budget for the specific part of the project undertaken by the Greek project partner. Concerning the quotas of direct subcontracting and in order to ensure competition, the rules applied to all other European projects are also applied in this case.

4.4. Activities already financed by other public funds will not be financed by the Joint Undertaking ENIAC. A formal declaration is demanded from the applicants/participants, stated that they have not receive nor they will receive any kind of Public funding/funds for the same purpose, is absolutely demanded.

Type of Organisation Type of Activity	Percentage of maximum costs covered by national funding			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
Fundamental/Basic Research	33,3%	33,3%	33,3%	83,3%
Industrial/Applied Research	33,3%	33,3%	33,3%	83,3%
Experimental Development	18,3%	28,3%	28,3%	83,3%

National website describing the national eligibility criteria for funding: <http://www.gsrt.gr>

2.8. Hungary

2.8.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Hungary				
Hungary	Bottka (ICTC delegate)	Sándor		sandor.bottka@ist.hu
Hungary				

2.8.2. Legal requirements

Please note that the detailed version of the Hungarian eligibility criteria can be found at www.nfu.hu.

1. Type/nature of participants

The following legal entities are eligible for funding:

- Business enterprises with legal entity and cooperatives registered in Hungary;
- Foreign-registered enterprises with branch office in Hungary;
- Non-profit organisations with legal entity;
- Public bodies or their institutes with legal entity.

2. Legal/administrative/financial conditions

Any applicant who:

- Is subject to bankruptcy or liquidation proceedings, or dissolution procedure;
- Has been guilty of making false declarations during earlier calls under the Research and Technology Innovation Fund or under the targeted allocations provided by paragraph (2) of section 16. of Act XC. of 2003 on the Research and Technology Innovation Fund, or has been breached its obligations arising from an earlier funding contract;
- Has had expired public dues for more than 60 days;
- Has been expired liabilities to the Fund or to the Fund or to the targeted allocations provided by paragraph (2) of section 16. of Act XC. of 2003 on the Research and Technology Innovation Fund more than 60 days.

Shall be ineligible, shall be excluded from the evaluation and shall not receive financial contribution.

Applicants are expected to contribute their own financial resources to the project costs this amount depends on the project activities and the applicant's organization form. The own financial resources shall determined by prevailing law.

2.8.3. Funding

Detailed description can be found at:

www.nfu.hu

Type of Organisation Type of activity	Percentage of the national subsidy to the beneficiaries			
	Large Enterprises, Groups and Associations of Enterprises	Medium enterprises	Small Enterprises	Public Research Institutes and Universities
Fundamental/Basic research	100 %	100%	100%	100%
Industrial/Applied Research Projects	50% *	50% *	50% *	100%
Experimental development projects	25% *	25% *	25% *	100%

* In the case of industrial research and experimental development the above rates may be increased by the following percentage points for the following types of applicants:

Small enterprise – by **20** percentage points of the funding intensity

Medium enterprise – by **10** percentage points of the funding intensity

Funding intensity per member may be increased by a further **15** percentage points up to a maximum of **80%** (This means the whole public funding (national Hungarian funding + JU funding))

a) for industrial research and experimental development if the project is implemented through an actual cooperation of at least two independent enterprises under the following conditions:

aa) none of the participating enterprises covers more than 70% of total eligible project costs, and

ab) the project brings about cooperation with at least one SME or results in cross-border cooperation (i.e. R&D activities are carried out in at least two member countries);

b) for industrial research and experimental development if the project is implemented through an actual cooperation of at least one enterprise and at least one research organization under the following conditions:

ba) one research organization covers at least 10% of eligible costs, and

bb) the research organization is entitled to publish the results of the research project if

those stem from its own research activity;

c) exclusively for industrial research if project results are disseminated to a wide audience at technical and scientific conferences, or are published in scientific and technology periodicals, or made available in freely accessible databases (databanks, where anyone can have access to the raw research data), or by means of open source-code and free software.

For the purposes of points a) and b), subcontracting shall not qualify as actual cooperation.

If conditions described under point a) or b) or c) are met under a project,

- in the case of industrial research maximum funding intensity shall be:
 - **80% for small sized enterprises,**
 - **75% for medium sized enterprises and**
 - **65% for large enterprises,**
- in the case of experimental development maximum funding intensity shall be:
 - **60% for small sized enterprises**
 - **50% for medium sized enterprises**
 - **40% for large enterprises**

2.8.4. Preparation of Part C

Part C is compulsory for all Hungarian partners. The detailed description and the forms can be found at www.nfu.hu.

2.9. Ireland

2.9.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Ireland	O'Reilly	Stephen	+35 3 21 4800217	stephen.oreilly@enterprise-ireland.com

Refer to www.enterprise-ireland.com/JTIs

2.9.2. Legal requirements

1) Type/nature of participants

Companies that are eligible to receive R&D funding from one of the following agencies will be considered for funding; Enterprise Ireland, IDA Ireland, Udaras na Gaeltachta, Shannon Development. Irish third level research performing organisations will also be considered for national funding.

Other conditions:

The relevant national funding agency should be satisfied that a company seeking national funding has the potential to derive a benefit, proportionate to the national funding being sought, through the exploitation of the results of the proposed project or otherwise.

Higher Education Institutions will be eligible only if;

there is also at least one Irish based company that meets the national eligibility criteria in the consortium, and

the national funding agencies are satisfied that there will be a benefit from the participation of the Higher Education Institution, proportionate to the funding being sought, for an Irish based company or companies that the agencies are satisfied to support.

2) Consortium configuration

Projects should be introduced by companies and primarily executed to the benefit of these entities.

2.9.3. Funding

http://www.enterprise-ireland.com/JTIs				
Type of Organisation	Percentage of costs covered by national funding			
	Large Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
	Up to 30%	Up to 50%	Up to 50%	Up to 85%

Note:

Restrictions will apply to the proportion of the precommitted national funding that will be available for research groups in third level institutions and certain categories of companies. Details of these restrictions will be available at <http://www.enterprise-ireland.com/JTIs>

2.9.4. Preparation of Part C.

Only for the Full Project Proposal (not for the Project Outline):

Please note that each Irish participant must create a PDF file indicating how they meet the national eligibility criteria for funding as indicated in the Irish section of the Eligibility Criteria document published in the Call. You must upload this in the ENIAC JU Proposal Submission system as Part C of the **Full Project Proposal** (one file for each participant).

Note that Irish companies must clearly state in the proposal the following points:

1. From which of the four Irish agencies (Enterprise Ireland, IDA Ireland, Udaras na Gaeltachta or Shannon Development) it is eligible to receive national R&D funding
2. Explain how it has the potential to derive a benefit, proportionate to the national funding being sought, through the exploitation of the results of the proposed project or otherwise.

2.10. Italy

2.10.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Italy	Covello	Aldo	+39 06 9772 6465	aldo.covello@miur.it
	De Angelis	Iacopo	+39 06 4893 9993	deangelis@apre.it
	Mercurio	Daniela	+39 06 4893 9993	mercurio@apre.it

The Italian laws applicable to ARTEMIS / ENIAC JU projects are the following:

Decreto Legislativo n. 297 of 27/07/1999

Decreto Ministeriale n. 593 of 8/08/2000

Decreto Ministeriale n. 4 of 2/01/2008 e relativa nota esplicativa del 15/05/08

Procedure operative per la valutazione ed il finanziamento dei progetti selezionati dalle JTI ARTEMIS ed ENIAC e dagli art. 169 AAL ed Eurostars (28/08/2008)

The only version legally valid is the Italian text published on the Italian Official Journal.

These laws and procedures can be found on the web sites:

http://www.miur.it/0003Ricerca/0139FAR - /index_cf3.htm

http://www.miur.it/0003Ricerca/0142Ricerca/index_cf3.htm

An assessment of the synergy of the Italian proposal against the Italian R&D&I policies and priorities can be done either at PO and FP phase. The Italian R&D&I policies and priorities can be found in the "Programma Nazionale della Ricerca 2010-2012" published on the following website:

http://www.miur.it/0003Ricerca/0477Docume/8095Progra_cf3.htm

2.10.2. Legal Requirements

1) Type/nature of participants

companies which do industrial activities aimed at production of goods and/or services.

companies which do activities in the field of transportation.

artisan companies as of law n. 443 of 8/08/1985.

research centres promoted by one or more of the organizations mentioned on the previous points a, b and c.

any kind of consortium, providing that the partners falling in the above mentioned points a, b, c and d own more than 50% of the financial share. In case the consortium is located in underdeveloped areas of the country this threshold is lowered to 30%.

The "Parchi scientifici e tecnologici" listed in the resolution MURST of 25/03/1994.

Universities, public research organizations (art. 8 of DPCM n. 593 of 30/12/1993), ENEA, ASI and other research organisations can send an application only jointly with one or more partners falling in one of the above mentioned points a, b, c, d and e. These latter partners, however, must have a financial share of the cost of the Italian part of the project higher than

50%. In case the Italian part of the project is entirely performed in the underdeveloped areas of the country this threshold is lowered to 30%.

Any organisation, to be eligible, must be steadily located in Italy.

Are eligible only those projects where the participation of Universities and Public Research Centres is between 35% and 50% of the total cost of the Italian consortium.

2) Legal/administrative/financial conditions:

The participant must not be defaulting with regard to other funding received by the Ministry.

The participant must not have requested/got any other funding for the same project.

The participant must respect the Italian law against "mafia".

3) Consortium configuration

Projects should be introduced by companies and primarily executed to the benefit of these entities (see point 1.g).

4) Conditions on exploitation/impact

Companies must have the financial means to execute the project and a potential to use the results.

The participant should foresee, after the end of the project, the exploitation of the results of the project so to guarantee the return of the investment.

5) Financial conditions

The following financial criteria, calculated using the data reported in the last approved balance sheet, must be fulfilled

$$CN > (CP - I)/2$$

Where:

CN = net assets (Capitale netto)

CP = sum of the costs of all the projects for which public funding has been requested by the participant during the year

I = sum of the contributions received, approved or requested for the same projects

$$OF/F < 8\%$$

Where:

OF = financial charges (Oneri finanziari)

F = turnover (Fatturato)

2.10.3. Funding

The Italian laws applicable to ENIAC JU projects:

Decreto Legislativo n. 297 of 27/07/1999

Decreto Ministeriale n. 593 of 8/08/2000

Decreto del Ministro dell'Economia e delle Finanze n.90402 del 10 ottobre 2003 d'intesa con il Ministro dell'Istruzione dell'Università e della Ricerca

Decreto Ministeriale n. 4 del 2/01/2008 e relativa nota esplicativa del 15/05/08

Procedure operative per la valutazione ed il finanziamento dei progetti selezionati dalle JTI ARTEMIS ed ENIAC e dagli art. 169 AAL ed Eurostars del 28/08/08

The only version legally valid is the Italian text published on the Italian Official Journal. These laws can be found on the web sites:

- http://www.miur.it/0003Ricerca/0139FAR_-_/index_cf3.htm

- http://www.miur.it/0003Ricerca/0142Ricerca/index_cf3.htm

An assessment of the synergy of the Italian proposal against the Italian R&D&I policies and priorities can be done either at PO and FP phase. The Italian R&D&I policies and priorities can be found in the "Programma Nazionale della Ricerca 2010-2012" published on the following website:

http://www.miur.it/0003Ricerca/0477Docume/8095Progra_cf3.htm

Type of Organisation Type of	Percentage of costs covered by national funding			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
Fundamental/Basic Research	0%	0%	0%	0%
Industrial/Applied Research	50% - JU% Grant	50% - JU% Grant	50% - JU% Grant	50% - JU% Grant
Experimental development	25% - JU% Grant	25% - JU% Grant	25% - JU% Grant	25% - JU% Grant

JU% is the contribution of The Joint Undertaking to the ENIAC JU project and will be decided per year.

2.10.4. Preparation of Part C

required for PO phase in draft form and FPP phase in final form

Please note that for each Italian participant you need to create a ZIP (or PDF) file with administrative information requested by the Italian authorities and upload this in the Electronic Proposal Submission System as Part C (one file for each participant). A draft version of Part C is sufficient at PO phase while a final, duly signed, version is mandatory at FPP phase For specific information on the content and how to create this document please contact the Italian Contact person Dr. Aldo Covello.

2.11. *Malta*

2.11.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	Email
Malta	Micallef	Joseph	+356 2340 2513	joseph.micallef@um.edu.mt
	Flask	Eric	+356 23602123	eric.flask@gov.mt

Note: The Full Version of this Document (The national rules and regulations for participation of Maltese entities) are available from the Malta Council for Science and Technology Website at www.mcst.gov.mt

2.11.2. Legal requirements

1) Type/nature of participants

The following legal entities are eligible for funding :

- Commercial Companies
- Higher Education Institutions
- Non profit research institutions

Higher Education Institutions and non profit research institutions will be eligible only if there is also at least one Malta based commercial company that meets the national eligibility criteria in the consortium.

Projects should be coordinated by commercial companies and primarily executed to the benefit of these entities.

2) Legal/administrative/financial conditions

Malta requires the following eligibility criteria for Maltese participants:

- timely submission as specified in the relevant call for proposals
- submission of a complete proposal
- for commercial companies: have the financial means to execute the project and a potential to use the results.
- Companies that are in danger of insolvency cannot be funded

2.11.3. Funding

Type of organization	Percentage of costs covered by national funding			
	Large enterprises	Medium enterprises	Small enterprises	Universities/ public research institutions
% funding	50 % - JU%	50 % - JU%	50 % - JU%	100% - JU%

2.12. *Netherlands*

2.12.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Netherlands	van der Bijl	Bob	+31 88 602 5965	robert-jaap.vanderbijl@agentschapnl.nl

Background documents and other information can be downloaded from the website of NL Agency: <http://www.agentschapnl.nl/programmas-regelingen/jti-eniac>. The Dutch text on this web-site takes precedence over the English text below.

2.12.2. Legal requirements

1) Admission conditions

The Netherlands will support the Dutch partners in projects selected by the ENIAC Joint Undertaking when:

- the project concerns industrial research, experimental development or a combination of these;
- in the project one or more Dutch partners are involved which include minimal one industrial partner. In the case of only one Dutch partner it has to be a SME;
- the entrepreneurs of the Dutch consortium provide the major contribution to the Dutch part of the project;
- the objectives of the Dutch part of the project fit within the Innovation Contract High Tech Systems and Materials (HTSM) and its underlying Roadmaps;
- the project complies with the “Algemene wet bestuursrecht” and the “Kaderwet EZ-subsidies”;

Dutch partners in a proposal must include in the Project Outline (PO) sent to the Joint Undertaking the following information:

- Explanation of the contribution to the objectives of the Innovation Contract High Tech Systems and Materials (HTSM) and its underlying Roadmaps
- Public Summary

Dutch partners in a proposal must include in the Full Project Proposal (FPP) sent to the Joint Undertaking the following information:

- Authorisation form
- Explanation of the contribution to the objectives of the Innovation Contract High Tech Systems and Materials (HTSM) and its underlying Roadmaps
- Model overview of the costs
- Public Summary
- SME check (when applicable)

Note that in case that there are several Dutch partners in the proposal, the Dutch partner coordinating them (the so-called "Dutch coordinator") will be in charge of submitting the above information on their behalf. The information and forms will be submitted as Part C of

the PO/FPP in a ZIP file through the ENIAC Proposal Submission system, as indicated in the Guide for Applicants in the section for The Netherlands. **There will be only one ZIP file for all Dutch participants in a given proposal.**

The required forms can be downloaded from the website of NL Agency:

<http://www.agentschapnl.nl/programmas-regelingen/jti-eniac>

2) Rejection conditions

An application for support of the share of Dutch participants of a project is rejected when:

in the case of more than one Dutch partner, the partner that submits the application on behalf of all Dutch partners (the "Dutch coordinator") is not an enterprise;

- it is not credible that the Dutch partners can finance their share in the project;
- it is not credible that the project can be completed within 4 years;
- it is credible that the project without subsidy would have been finished without substantial delays;
- there is insufficient trust that Dutch partners have the necessary capacities to fulfil the project as submitted;
- the project has insufficient positive effects on the Dutch economy;
- the Dutch part of the project contributes insufficiently to the objectives of the Innovation Contract High Tech Systems and Materials (HTSM) and its underlying Roadmaps

2.12.3. Funding

The **eligible costs for subsidy** are in compliance with the RTD State Aid Rules

The subsidy percentages are indicated in the Guide for Applicants

In case another Dutch administrative body has already granted a subsidy for the eligible costs of the Dutch part of an ENIAC project or part of such project, the contribution will be granted so that the total amount of subsidy will not exceed the above-mentioned percentages.

In case that a contribution has been already granted for the eligible costs for subsidy to the Dutch part of an ENIAC project or part of it on the basis of a subsidy scheme of the Ministry of Economic Affairs, Agriculture and Innovation, no subsidy will be granted for the already subsidized part.

Per individual Dutch partner the subsidy percentages will be applied according to the activities (industrial research, experimental development). The project eligible costs per partner will be defined and the corresponding percentages will be applied. The Dutch consortium is responsible for the distribution of the subsidy amongst the Dutch partners.

For information see on the website: http://www.agentschapnl.nl/programmas-regelingen/aanvragen-jti-eniac				
Type of Organisation	Percentage of costs covered by national funding			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
Type of				
Fundamental/Basic Research	0%	0%	0%	0%
Industrial/Applied Research projects	35% - JU%	45% - JU%	45% - JU%	50% - JU%
Experimental development projects	25% - JU%	35% - JU%	35% - JU%	25% - JU%

JU% is the contribution of The Joint Undertaking to the ENIAC project and will be decided per year.

2.13. Norway

2.13.1. National contact persons for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Norway	Espeli	Tron	+47 2203 7000 +47 9822 3564	te@rcn.no
	Lech	Till Christopher	+47 2203 7000 +47 9224 0884	tcl@rcn.no

National website describing the national eligibility criteria for funding:

<http://www.euforskning.no/category/ENIAC.aspx>

1) Type/nature of participants

- Enterprises (companies)
- Universities and & Higher Education Institutions
- Public research institutes and similar research organisations

2) Legal/administrative/financial conditions

Enterprises must be established in Norway and perform RTD activities in Norway.

General eligibility criteria and conditions for receiving project funding from the Research Council of Norway will apply (i.e. the recipient must be a registered legal entity, demonstrate financial viability, and provide transparency as to funding requested or received from other sources).

3) Consortium configuration

At least one Norwegian enterprise must be involved as a partner in the project, and a significant share of the Norwegian involvement in the project must be from industrial partner(s).

The industrial relevance of the participation of a research organisation must be justified by declarations from some eligible (according to criteria 2 above) Norwegian enterprise(s).

4) Conditions on exploitation/impact

Enterprises (companies) must provide specific information on the possible industrial impact and justify that they have the necessary means to exploit the project results.

Universities and other research organisations must specify national industrial impact in terms of exploitation opportunities for Norwegian companies.

2.13.2. Funding

For guidelines on calculation of eligible cost and further information on national funding, Norwegian partners should consult the following websites:

<http://www.euforskning.no/category/ENIAC.aspx>

http://www.forskningsradet.no/no/Personalkostnader_og_stipendsatser/1183468209187

http://www.forskningsradet.no/no/Utstyr_og_avskrivning/1253954289368

Type of Organisations	Percentage of costs covered by national funding		
	Large Enterprises	Small and Medium Enterprises	Public Research Institutes and Universities
Funding rate	25 %	35 %	50 %

Additional:

The above percentage rates are indicative maximum figures.

In the case there are several Norwegian partners in a project, only one national funding contract will be issued. It will then be up to the consortium of Norwegian project partners to decide upon the distribution of the national funding among themselves, within the mentioned limits for the individual partners.

2.14. Poland

2.14.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Poland	Bojanowska	Maria	+48 515 061 549	m.bojanowska@ncbir.gov.pl

Funding authority website: www.ncbir.pl

2.14.2. Legal requirements

Type/nature of participants

Public and non-public universities, Institutes established by Polish Academy of Sciences, R&D units (branch research units), Research institutes, Enterprises, Groups and Associations of Enterprises.

Organization must be registered in Poland.

2.14.3. Funding

National funding authority website: http://www.ncbir.pl/				
Type of	Ceilings of the national subsidy to the beneficiaries			
	Large ones, Groups and Associations	Medium	Small and Micro	Public Research Institutes and Universities
Fundamental/Basic Research	100 % - JU%	100 % - JU%	100 % - JU%	100,0 % - JU%
Industrial/Applied Research projects	50+15 (max 65%) - JU%	50+10+15 (max 75%) - JU%	50+20+15 (max 80%) - JU%	100,0 % - JU%
Experimental development projects	25+15 (max 40%) - JU%	25+10+15 (max 50%) - JU%	25+20+15 (max 60%) - JU%	100,0 % - JU%

Where JU% is the contribution of JU ENIAC

Please check describing the national eligibility criteria for funding, eligible costs, rules for proposal preparation etc at: <http://www.ncbir.pl>

Each applicant should send to the NCP at NCBiR a **national application form** (available at NCBiR website).

2.15. Portugal

2.15.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Portugal	Melo	Luís	+351 218 417 775	luis.melo@ist.utl.pt
	Carrilho	Dina	+351 213 911 543	dina.carrilho@fct.pt
	Barbas	Marta	+351 222 094 008	marta.barbas@inescporto.pt

2.15.2. Legal Requirements

National website describing the national eligibility criteria for funding:

<http://www.fct.pt/jti/eniac/index.phtml.en>

1) Type/nature of participants

The following entities having legal authority to enter into contracts may, either individually or jointly, submit proposals for funding of the projects:

- Higher Education Institutions, their institutes and R&D centres;
- Associate Laboratories;
- State Laboratories;
- Private non-profit institutions whose main objective is to carry out S&T activities;
- Companies;
- Other public and private non-profit institutions which carry out or participate in scientific research activities.

2) Legal/administrative/financial conditions

For detailed information on eligible costs consult the Regulations governing access to funding for scientific research and technological development projects — 2010 (with 2011 changes) at: <http://www.fct.pt/apoios/projectos/regulamento.phtml.en>

Payments made to companies may not exceed 50% of the total cost of the company's participation. During the execution of the project, the recipients should present to Fundação para a Ciência e a Tecnologia (FCT) the documents related to the total expenses made.

Each applicant should send to the NCP at FCT a ***national application form*** (available at FCT website) with the budget rationale for the total costs during both PO and FPP phases.

2.15.3. Funding

National website describing funding rates:

<http://www.fct.pt/jti/eniac/index.phtml.en>

For detailed information consult the Regulations governing access to funding for scientific research and technological development projects — 2010 (with 2011 changes) at: <http://www.fct.pt/apoios/projectos/regulamento.phtml.en>

Type of organisation Type of activity	Percentage of costs covered by national funding			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
Fundamental/Basic Research	50%-JU%	50%-JU%	50%-JU%	100%-JU%
Industrial/Applied Research projects	50%-JU%	50%-JU%	50%-JU%	100%-JU%
Experimental development projects	50%-JU%	50%-JU%	50%-JU%	100%-JU%

Notes:

Payments made to companies may not exceed 50% of the total cost of the company's participation. During the execution of the project, the recipients should present to Fundação para a Ciência e a Tecnologia (FCT) the documents related to the total expenses made.

Each applicant should send to the NCP at FCT a **national application form** (available at FCT website) with the budget rationale for the total costs during both PO and FPP phases.

2.16. Romania

Programme owner: National Agency for Scientific Research (**ANCS**)

Programme Manager/Funding Agency: Executive Agency for Higher Education, Research, Development and Innovation Funding (**UEFISCDI**) Romania / **Unitatea Executiva pentru Finantarea Invatamantului Superior, a Cercetarii, Dezvoltarii si Inovarii (UEFISCDI)** / <http://uefiscdi.gov.ro/>

The general rules of the National Plan for Research, Development and Innovation for the period 2007-2013 and the specific rules from the Guidelines for Romanian participant co-funding in the JTI activities

(ENIAC) http://www.uefiscdi.gov.ro/userfiles/file/cooperare%20internationala/JTI/Decizie%20Pachet%20de%20inf%20JTIs_2012.pdf shall be apply to the Romanian participation to the ENIAC 2011 call.

2.16.1. National contact person for ENIAC JU programme

- ANCS

Name	First name	Tel	E-mail
DINU	Elena	+40.21 318.30.65	elena.dinu@ancs.ro

- Contact person for UEFISCDI

Name	First name	Tel	E-mail
FAGARASAN	Ioana	+40.21 302.38.81	ioana.fagarasan@uefiscdi.ro

2.16.2. Legal requirements

1) Type of applicants

All Romanian legal entities which are legally constituted and which are meeting eligibility requirements may participate in calls for proposals.

Eligible for funding: public and private Universities, Research Institutes, Research organisations, SMEs, Industry

2) Eligibility criteria for applicants

The applicants to the program must meet the general eligibility criteria of potential contractors respectively

- The leader of the Romanian team must have a PhD. This condition does not apply if the Romanian host institution is an enterprise in the sense of the state aid legislation. A person cannot submit more than one project proposal in the same competition as leader of the Romanian team.
- The leader of the Romanian team is employed full time in the host institution, with permanent position, or with fixed term contract covering at least the duration of the project or has the agreement of the host institution for his or her employment at least for the duration of the contract;
- It is forbidden to submit a proposal which seeks to fund activities which had already obtained funding from the other sources;
- The host institution does not have a seizure on its accounts; it was not been declared bankrupt or wound up; it has not made false declarations concerning the information required by the UEFISCDI; it has not broken the terms of a different contract signed previously with the UEFISCDI;

- The host institution agrees to ensure the necessary administrative support, to provide access to all necessary infrastructures, to support the implementation of the project in good conditions and to employ the members of the Romanian team, in respect of all legal provisions in force, if the project is selected for funding.
- The host institution agrees to comply with the terms and conditions for submitting documents to the national funding agency and to comply with the law of the state aid scheme for R&D activities.

3) Eligibility criteria for the project proposals

The topics of the project proposals with Romanian partners shall fall within the Priority Domain of the second National Plan of Research, Development and Innovation – PNII.

A Romanian participant shall be funded for only one successful project, nevertheless in how many successful project proposals is involved.

In the framework of the Partnership Programme the following activities can be financed from the public budget, as defined in the document issued by the European Commission C (2007) 6545, behind ANCS President's decision 9451/2007:

- fundamental research (but no more than 10% of the funding from the public budget);
- industrial research;
- experimental development;
- technical feasibility studies;
- activities for obtaining and protecting intellectual property rights for SMEs.

Categories of eligible expenses

For the fundamental research activities, industrial research and experimental development, according to HG 134/2011, types of expenses are eligible, as follows,:

A. Expenses with salaries

- Personnel costs (researchers, technicians and support staff) ; these expenses include all corresponding state and social contributions; these costs are subject to regulations in force according to the HG 475/2007, and in full compliance with the working contract of Romanian team members with their host institution.

B. Inventory expenses

- costs of materials, supplies and similar items necessary for research;
- capital expenditures;
- expenses for purchases of tools and equipment needed for research project. For entities that involve state aid, if the tools and equipment have a greater lifespan than the duration of the research project, only depreciation expenses during the project are eligible, calculated on the basis of the regulated accounting practices;
- costs of services performed by third parties (subcontractors) cannot exceed 25% of the funding from the public budget. The subcontracted parts should not be core/substantial parts of the project work.
- expenses for acquisition of technical knowledge and patents or rights to use them from outside sources at market prices and costs for procurement of consulting services and equivalent services used exclusively for research;
- for technical feasibility studies the eligible costs are for preparatory studies for industrial research activities and experimental development;
- other operating expenses;
- for entities that involve state aid, eligible costs are all costs prior to obtaining intellectual property rights, as required by law, including costs related to preparing, submitting and tracking applications and renewal costs resulting from the application before granting such rights;

C. Travel expenses for travel within the country or abroad for the teams members, for documentation periods or research internships, participation in the prestigious events in the area of the project; travel expenses of collaborators within the country or abroad for participating in scientific events organized within the project.

D. Overheads involved in the research project. Overhead (indirect costs) is calculated as a percentage of direct costs: staff costs, logistics costs (excluding capital costs) and travel expenses. Indirect costs will not exceed 20% of direct costs, excluding the cost for subcontracting.

During the project the funds can be redirected between the following budget categories: personnel expenses, logistics and travel expenses, up to 15% of the project budget, respecting the provisions of the contract with the Contracting Authority and with its approval.

Expenses are eligible if they are made after signing the contract, the contract signing date is the date of its registration at the Contracting Authority. During the project, partner institutions which are not enterprises cannot make any purchases from partner institutions that are enterprises.

2.16.3. Funding

Type of activity \ Type of Organisation	Percentage of costs covered by national funding			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
Fundamental/Basic Research	100%- JU%	100%- JU%	100% - JU%	100% - JU%
Industrial/ Applied Research	65%- JU%	75% - JU%	80%- JU%	100%- JU%
Experimental development	40% - JU%	50% - JU%	60%- JU%	100% - JU%

Notes

JU% is the contribution of the Joint Undertaking to the ENIAC project and will be calculated per year.

Additional

Romanian legal entities which have not as object of activity the research and development (NACE code 73) may participate in projects only for co-financing and/or as beneficiary of the results, without accessing public funds.

In the case there are several Romanian partners in a project, only one national funding contract will be issued. It will then be up to the consortium of Romanian project partners to decide how the funding is allocated among them, within the mentioned limits for the individual partners, informing the national funding agency.

2.17. Slovak Republic

2.17.1. National Contact person

Country	Name	First name	Tel	E-mail
Slovakia	Helmich	Patrik	+421 2 59102651	patrik.helmich@minedu.sk

2.17.2. Legal requirements

The national co-funding of ENIAC projects is provided according to:

the Act No 172/2005 Coll. on the Organization of State Research and Development Support and Supplementation of Certain Acts

Community Framework for State Aid for Research and Development and Innovation (2006/C 323/01)

Eligible to ask for national co-funding is an R&D organization from every sector according to §7 of Act No 172/2005 Coll. and legal entity according to §2 art. 2 of the Slovak Code of Commerce.

To be eligible, every Slovak participant has to be registered in the Slovak Republic.

2.17.3. Funding

For the national funding authority:				
Type of Organisation	Percentage of the national subsidy to the beneficiaries			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Micro and Small Enterprises	Public Research Institutes and Universities
Fundamental/Basic Research	100%-JU%	100%-JU%	100%-JU%	100%-JU%
Industrial/Applied Research projects	50%-JU%	50%-JU%	50%-JU%	100%-JU%
Experimental development projects	25%-JU%	25%-JU%	25%-JU%	100%-JU%

2.18. Spain

2.18.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Spain	Falcón	Severino	+34 91 6037959	eniac@mineco.es
	Sanchez	Marta	+34 916038399	eniac@mineco.es
	Rebeca	Frias	+34 915815500	rebeca.frias@cdti.es

Information and documents related to this call for Spanish applicants can be found at: <http://www.mineco.es>

2.18.2. Legal requirements

1) Type/nature of participants

To be eligible, every Spanish participant has to be registered in Spain.

Spanish participants will belong to any of the following categories:

Research organisations:

Public R&D Centres, including Public Universities,

Private Academic R&D Centres, including Private Universities, “Technological Centres” according to Spanish Royal Decree 2093/2008, of December 19th, 2008 and

Non Profit R&D Private Centres.

Commercial companies (large, medium, small and micro enterprises)

2) Legal/administrative/financial conditions

The Spanish Legal framework in force applies. The most relevant regulations are the following:

a) General Law on Grants. Royal Decree 38/2003 and its Regulation 887/2006 of 21 July 2006.

b) National Plan for Scientific Research, Development and Technological Innovation (2008-2011).

c) Basis for the open call and the text of the specific call, still pending of publication.

Consequently, Spanish participants must be aware of the Spanish legislation for grants, and the Spanish Administration will apply the Spanish rules under ENIAC framework in a constructive way to improve the Spanish contribution for ENIAC projects.

Other important issues related with project characteristics, specific requirements, conditions and agreements will be described in detail in the Spanish web site <http://www.mineco.es>

3) Conditions on exploitation/impact

The proposal must clearly describe the expected socio-economic impact of the research done by each Spanish participant, in particular those most likely to have a beneficial influence in the Spanish context, and the added value for the Spanish industry and market.

2.18.3. Funding

Information and documents related to this call for Spanish applicants can be found at: http://www.mineco.es/eniac				
Type of Organisation	Percentage of the national subsidy to the beneficiaries			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises and Technological Centres	Small Enterprises	Public Research Institutes and Universities
Type of activity				
Fundamental/Basic Research	100%-JU%	100%-JU%	100%-JU%	100%-JU%
Industrial/Applied Research projects	50%-JU%	60%-JU%	70%-JU%	100%-JU%
Experimental development projects	25%-JU%	35%-JU%	45%-JU%	100%-JU%

2.19. Sweden

2.19.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
Sweden	Elshiewy	Nabiel	+46 8 473 30 93	Nabiel.Elshiewy@vinnova.se

The eligibility criteria specific to Sweden's national funding is based on the general terms of funding at VINNOVA as described on VINNOVA's Web-portal: <http://www.vinnova.se>

2.19.2. Legal requirements

GENERAL CRITERIA

To be considered for funding, VINNOVA requires the following general eligibility criteria for Swedish participants to be fulfilled:

- Submission to the relevant call for proposals is received before the due time specified in the call
- The submitted proposal is complete with all information and descriptions required

PARTICIPATION CRITERIA

Calls are open for public and private companies of all sizes as well as for universities and research institutes in Sweden fulfilling the following conditions:

- Only legal persons are eligible to participate. Natural persons are not allowed.
- A participating company is registered in Sweden, as a joint-stock (*aktiebolag*), and has a considerable business along with a recognisable record of R&D and industrial activities in Sweden
- A participating company has a stable financial status and is able to cover its own expenses for the duration of the project
- A participating company is required to provide a credible proof for the positive impacts of the project outcome on the participant's growth and future assets
- A participating academic organisation (from university or public research institute) will be considered only if there are at least two eligible Swedish companies participating in the same project consortium.
- The work volume to be performed by the academic participant will not exceed the sum of the work volume performed by the participating Swedish companies

Subcontracting

Subcontracting of project work is permissible within the following limitations:

- Cross-linked subcontracting between participants in the same project is not permitted

- Subcontracting to foreign companies/organisations or to companies' foreign units is not permitted
- Subcontracting to companies, with a declaration of interest in project work, must be for non-profit and audited
- A participant, with public funding exceeding 50% of total cost, is responsible for complying with the financial regulations of the European Commission

2.19.3. Funding

Public funding rates (national funding + ENIAC JU) are calculated as follows:

The Reference weblink where partners can calculate what are their eligible cost according to national rules : http://www.vinnova.se				
Type of activity Type of Organisation	Percentage of costs covered by public funding (1)			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
Fundamental/Basic Research	35% *	60% *	60% *	80% *
Industrial/Applied Research projects	35% *	60% *	60% *	80% *
Experimental development projects	35% *	60% *	60% *	80% *

*** Note: The sum of public funding (national + JU) for all Swedish partners in a project should not exceed 50% of total cost of the Swedish partners together.**

Further information on which costs are eligible can be found on www.vinnova.se/eniac.

2.19.4. Part C Required

Every Swedish applicant is requested to provide additional information as Part C of the application submitted through the EPPS portal.

To consider the application nationally, the applicant should document the information according to instructions to be found on VINNOVA's portal www.vinnova.se/eniac.

2.20. *United Kingdom*

2.20.1. National contact person for ENIAC JU programme

Country	Name	First name	Tel	E-mail
United Kingdom	Walters	Peter	+44 1932 251 260	pwalters@tuvnel.com

National website describing the national eligibility criteria for funding: www.innovateuk.org

The ENIAC JU Programme is a European Commission Joint Technology Initiative. It supports research in the Embedded Systems domain with funding from both the EU and participating EU Member States.

Funding support for participants from these participating EU member states is administered under rules set by these states. The Technology Strategy Board coordinates UK's participation in the ENIAC JU Programme with the EPSRC.

The Technology Strategy Board and the Engineering and Physical Sciences Research Council [EPSRC] are jointly funding UK participation in the ENIAC JU 2011 call.

This document provides guidance for eligible costs for UK applicants seeking funding from the ENIAC JU programme.

2.20.2. Contents

Grant Funding Information

Guidance for Academic Collaborators

Definition of Academic Collaborators

Eligible Costs for Academic Collaborators

Guidance for Industry Collaborators

Eligible costs for Industry Collaborators

Ineligible project costs

Guidance for Sole Traders, Partnerships and Micro Companies

Guidance for Research and Technology Organisations

RTOs as academic partners

RTOs as industrial partners

Wider Business Benefit Activities

The Technology Strategy Board is a business-led executive non-departmental public body, established by the UK Government. Its mission is to promote and support research into, and development and exploitation of, technology and innovation for the benefit of UK business, in order to increase economic growth and improve the quality of life. www.innovateuk.org

2.20.3. Grant Funding Information

€1.5 million has been allocated by the Technology Strategy Board and the EPSRC for the sole use of UK applicants to the ENIAC 2012 competition.

Potential UK partners in an ENIAC project must be eligible under the EU eligibility criteria.

The eligibility criteria for Project Outlines and Full Project Proposals, as well as the eligibility criteria to receive funding from the ENIAC JU and from ENIAC Member States are specified in the document on eligibility criteria.

The total maximum percentage of funding for UK participants in a project is 50% of eligible costs.

UK consortium with a mixture of industrial and academic partners can transfer the grant entitlement to other partners within the UK by mutual agreement to meet the maximum 50% total UK project costs.

The maximum grant claim by organisation type is:

	Total funding	UK	ENIAC JU
Large Companies	up to 50%	35%	15%
SME	up to 50%	35%	15%
Academic	up to 100%	85%	15%
	[80% of FEC]		

The maximum amount of grant available to a UK consortium in an ENIAC project is €1 million, including the ENIAC JU 15% funding.

In completing the competition entry documents, the ENIAC JU grant and the UK grant should be detailed separately.

To be eligible for UK funding academic collaborators from the UK **must be** accompanied in applications to the ENIAC JU programme by UK industry collaborators.

Academic collaborators are required to identify sources of funding for their entire eligible costs (80%FEC). If the only source of funding is the ENIAC JU programme, then the above rules will require that their UK industrial partners draw less than the ceiling levels of grant. Academic partners must give details of how any costs not supported by grant will be financed.

Definitions of eligible cost categories and further guidance for special categories such as RTOs and micro companies are detailed later in this document.

2.20.4. Subcontracting

Subcontracting of project work is permissible within the following limitations:

The maximum total amount of subcontracting is 20% of a participant's budget.

Cross-linked subcontracting between participants in the project is not permitted

Subcontracting to foreign companies/organisations or to companies' foreign units is not permitted

Subcontracting to companies, with a declaration of interest in project work, must be for non-

profit and audited

A participant, with public funding exceeding 50% of total cost, is responsible for complying with the financial regulations of the European Commission

2.20.5. Guidance for Academic Collaborators

Definition of Academic Collaborators

For the purposes of the ENIAC JU Programme, to be considered as an Academic collaborator an organisation must be one of:-

Universities and similar HEI organisations;

Government Research Establishments;

Not-for-profit RTOs:

RTOs that are, or that are constituent parts of, a charity registered with the Charities Commission; or

Associations that are eligible for exemption from corporation tax under section 508 of the Income and Corporation Taxes Act 1988; or

RTOs that are wholly owned subsidiaries of an association approved under section 508 of the Income and Corporation Taxes Act whose articles of association require that all profits are returned (gift-aided) to the section 508 association.

RTOs should refer to the specific RTO guidance provided later in this document.

UK academics from universities and HEIs should only apply if they have previously held EPSRC grants and the application is a continuation of this research programme.

UK Academic collaborators must be accompanied in applications to the ENIAC JU programme by UK industry collaborators

Eligible Costs for Academic Collaborators

The combination of the UK and ENIAC JU grant programmes will offer Academic collaborators the same levels of support as the UK Research Councils. All academic collaborators must therefore calculate their project costs on the basis of Full Economic Costs (FEC) as defined by the Research Councils. Technology Programme funding for successful projects will be provided at 80% of FEC.

The remaining 20% of FEC costs do not constitute a contribution to the project by the academic partner and must **not** be included in any total project costs provided by the academic partner. Further, it is not allowable for any other partner to provide any of that 20% to the academic or indeed for any partner to provide any of the costs of any other partner within their project costs in any way.

2.20.6. Guidance for Industry Collaborators

If an organisation is not classed as an Academic collaborator, it is classed as Industry collaborator.

Eligible Costs for Industry Collaborators

Eligible costs outlined in the ENIAC JU application must include all the costs for the total project, i.e. for all parties/collaborators involved in the project. Funds are paid on the basis of audited actual eligible costs incurred and defrayed.

The following headings show the main eligible costs for UK industry participants in the ENIAC JU programme.

Labour Costs

Reasonable Overheads

Materials Consumed

Capital Equipment

Sub-contracts; Consultancy; Fees including Fees for Trial and Testing

Travel and Subsistence

Other Costs

Training Costs

Preparation of Technical Report

Market Assessment/ Feasibility Studies

Licensing in New Technologies

Patent Costs (SME's only)

Project Management

Software

Receipts and Residual Values for Energy Projects

Legal and accounting costs

Wider Business benefit Activities (WBBA)

Labour Costs - This includes the costs of personnel working directly on the project. You should provide the total man-days effort and cost required for your staff to work on the project, briefly describe the role of each person within the project and provide their gross salary and the total labour effort in man days for each role within the project, together with the total number of working days per year for your organisation (365 days less weekends and holidays etc.). In calculating the labour costs, reasonable overheads can be included. The overheads must exclude the costs of land, buildings, and other operational costs not directly attributable to the project.

Materials Consumed - These will be the materials to be consumed on the project, not included in the overheads, purchased from third parties. Materials supplied by subsidiaries or associated companies should exclude the profit element of the value placed on that material. If waste or scrap material has a significant residual/resale value the figures should

reflect this. Foreseen cost increases, such as on specific materials, may be considered by the Programme.

Capital Equipment - You should provide details of capital equipment and tools to be bought or consumed on the project, giving the purchase cost (or value at the start of the project if you already own the equipment), its expected residual value at the end of the project and utilisation within the project.

Sub-contracts; Consultancy; Fees including Fees for Trial and Testing - You should show any work that is essential to the success of the project where the expertise does not exist in the collaborative group. For example, you may wish to demonstrate that it would not be cost-effective to develop in-house skills for this one project. The same rules governing the use of subsidiaries and associated organisations with regard to supply of materials apply here. Attention will be paid to the size of this contribution when assessing eligibility and level of support.

Travel and Subsistence - You should only include reasonable costs that are justified and will be incurred exclusively for progressing this project. Note that if any general travel and subsistence is included in your overhead calculations, this proportion should be subtracted from the Travel and Subsistence expenditure during the claims process.

Other Costs - Other costs should include costs not accounted for in the above sections. Some examples follow. You should ensure that a case is made for the other costs within your Application Form.

Training Costs - These costs are eligible for support where they are specific to and necessary for the project. The Programme may consider support for management training specific to the project but will not support ongoing training.

Preparation of Technical Report - For example, where the main objective of a project is the support of standards or technology transfer.

Market Assessment/ Feasibility Studies - There is some scope for support, in exceptional circumstances, of "state of the art" studies in areas that will affect the nature and likely outcome of a project. Support may also be given for underpinning research leading to development of a programme, i.e. a suite of projects.

Licensing in New Technologies - Exceptionally, the Programme may consider support where it makes sense to do so, for example, to avoid "reinventing the wheel". Where imported technology makes up a large part of a project (where technology is valued at more than £100,000) then it will be expected that there is development of that technology as part of the project.

Patent Costs (SME's only) - The Programme may support some of the costs of protecting foreground IPR for SME's up to a total of £5,000 per partner.

Project Management - The costs of project management by a 'lead organisation' on behalf of the consortium are eligible and should be included with the labour totals. In cases where management is sub-contracted, a strong case should be made for the necessity and benefits of this approach.

Software - The provision of software licences by collaborators of the project consortium must only reflect the true costs of supplying that software to the project and not the

commercial rate, the inclusion of any profit element or the amortisation of previous development costs. The preparation of disks, manuals, installation, customisation, training and lost opportunity costs may be eligible.

Receipts and Residual Values for Energy Projects - Receipts from electricity generation and residual values of prototype plant will be addressed by the Programme under standard Treasury rules whereby a proportion of the revenue or displaced electricity costs will be recovered and a discounted residual value of a generating plant will be calculated similarly.

Legal and accounting costs - The legal costs of setting up the project or the collaboration and costs associated in conducting audits or making grant claims are ineligible and must not be included as an 'Other Cost'.

Wider Business benefit Activities (WBBA) - RTOs may include WBBA costs which can attract up to 100% funding. Note that this is only available to RTO collaborators that satisfy that definition and only their WBBA costs can attract this level of funding.

Ineligible Project Costs

The following costs are ineligible and should be excluded from any part of the project costs and any overhead calculations.

Input VAT.

Interest charges, bad debts, profits, advertising, entertaining.

Hire purchase interest and any associated service charges.

Advertising and marketing costs or activities.

Profit earned by a subsidiary or by an associate undertaking work sub-contracted out under the project.

Inflation and contingency allowances expressed as an overall arbitrary percentage, additional to eligible costs. However, reasonable inflation rates can be included in labour and material cost estimates.

The value of existing assets such as IPR, data, software programmes and other exploitable assets that any of the collaborators contribute towards the project.

Project audit or legal fees.

Any of the remaining 20% of FEC costs from academic collaborators.

2.20.7. Guidance for Sole Traders, Partnerships and Micro Companies

For UK participants in the ENIAC Programme, there are additional conditions for sole traders, partnerships and micro-companies with fewer than 10 employees.

Sole Traders, Partnerships and Micro-Companies

The Technology Strategy Board and the EPSRC recognise that very small organisations can be the source of important innovation and valuable expertise and welcome their involvement within ENIAC JU Programme projects where they can add value without creating undue risk for the project or themselves, and are capable of exploiting the results of the project. To reduce the risks and to satisfy the funders that the projects are not being used as a revenue generating exercise for the micro-organisations, the following guidelines

have been developed to regulate their involvement.

Strictly for the purposes of the ENIAC JU Programme - these very small organisations (micro-organisations) are defined as sole proprietorships, partnerships and limited companies with fewer than 10 employees. The guidance within this note and the Terms and Conditions stipulated herein are applicable to all JU Technology projects, regardless of the source of grant funding. They do not set precedents beyond the current competitions or the ENIAC JU Technology Programme.

Participation

There are several ways that micro-organisations can actively participate in the ENIAC JU Programme. They can participate in projects as subcontractors and charge a commercial rate to the project. If they operate in this manner, they will not normally be party to the collaboration agreement, and so not of right receive any long term benefit from the project outcomes, such as any share of intellectual property or beneficial ownership or use.

Micro-organisations may alternatively participate in projects as collaborators (subject to the financial criteria below). In this case they would need to be party to the collaboration agreement and to carry out exploitation of the results under the terms of the standard offer letter.

Only in very exceptional circumstances and with the prior approval of the ENIAC JU Programme, should a micro-organisation be the lead partner of a project.

Conditions of Participation

Like all industrial participants of the ENIAC JU Technology Programme, micro-organisations should only be involved in a project if it extends their research and development activities beyond what they would normally be carrying out and if the outcomes of the project are beneficial to, and exploitable by, them. The ENIAC JU Programme projects are not a revenue generating activity and grants can only be paid against real costs incurred and defrayed against the project in question. All costs will be subject to an audit as outlined in the project's offer letter.

In order to participate therefore, micro-organisations must show additional trading activity or sources of additional finance to support their participation in the project of at least the same value as their total project costs (including any grant sought).

Sole Traders and Partnerships

In order to participate, sole traders and partnerships must have been trading for at least 12 months.

They must be VAT registered (Flat Rate Scheme is acceptable) and maintain up to date "books" or management accounts sufficient to generate a Profit and Loss account.

They must be able to show revenue generation from commercial operations for the previous 12 months of at least the same value as their total projected annual costs of participation in the ENIAC JU Technology Programme. This revenue total should not include grant income from this or any other project but could include sub-contract fees from a project that it is not participating in as a collaborator, or work carried out for public sector organisations on a commercial or contractual basis.

At the end of each project year, they must supply a letter from their accountant to verify that revenue generation from commercial operations outside of the project continues to satisfy

the requirement of being at least equal to their total eligible project costs. Copies of VAT returns may be used as evidence. Should this revenue drop below the above threshold, the ENIAC JU Technology Programme may withdraw support for the organisation and encourage the project to source the contribution elsewhere.

Limited Companies with fewer than 10 employees

Very small companies are treated as normal industry collaborators. Their inclusion in this guidance is intended to clarify a number of accounting and cost issues and to set minimum revenue thresholds to ensure the viability of their participation and ability to exploit the results of the project.

Micro-companies must be able to show how the balance of the project costs will be funded. This can be through revenue generation from commercial operations or from financing operations such as equity investment or loans. This revenue total should not include grant income from any other project but could include sub-contract fees from a project that it is not participating in as a collaborator, or work carried out for public sector organisations on a commercial or contractual basis. Non project related annual revenue, reserves, loans and investment must be at least that of their total eligible annual costs of the project (including any grant sought).

At the end of each project year, the micro-company must supply a letter from their accountant to verify that revenue generation from commercial operations, reserves, loans and investment outside of the project continue to satisfy the requirement of being at least that of their eligible project costs. Should this revenue drop below the threshold, the ENIAC JU Technology Programme may withdraw support for the organisation and encourage the project to source the contribution elsewhere.

Labour rates can only be calculated on the basis of salaries actually paid out. Remuneration through profit related pay, dividends, shares, share options or any form of good-will contribution to the company is not a direct cost and cannot be included in the calculation of labour rates. For example, companies that pay directors a salary of £5k and use dividend payments for the remainder of the remuneration package (for personal tax liability reasons) can only include a daily labour rate based on the £5k salary (i.e. £22 per day).

2.20.8. Guidance for Research and Technology Organisations

Research and Technology Organisations (RTOs) can operate as either industry partners or academic partners within ENIAC JU projects.

In addition, there are certain functions that an RTO can undertake that are deemed to be for the benefit of the wider community as a whole.

This Section addresses the implications of these roles for RTOs and overall project funding within the context of the ENIAC JU Programme.

RTOs as academic partners

An RTO is considered to be operating as an academic partner if the organisation satisfies the legal definitions of the tax rule 508 regarding exempt organisations and the work it is contributing towards the project is essentially academic in nature, i.e. is providing high quality research input to the project rather than product or system development. RTOs wishing to be considered as capable of producing academic quality work need to be registered with RCUK as eligible to submit a Je-S Application Form

All academic partners, and therefore an RTO meeting the requirements in the previous point, must calculate their eligible project costs on the basis of Full Economic Costs (FEC) as defined by the Research Councils. ENIAC JU Programme funding for successful projects will be provided at 80% of Full Economic Costs. The remaining 20% FEC costs do not constitute a contribution to the project by the academic partner / RTO and must not be included in any total project cost calculations or other financial information provided. RTOs in this category must use Je-S to submit their financial information.

In calculating its Full Economic Costs, an RTO is not obliged to use the TRAC methodology to calculate its indirect and estate costs. An RTO that has not had its FEC costing methodology and systems validated by the Research Councils can use the default figures available on the Higher Education Funding Council's website at

<http://www.hefce.ac.uk/finance/fundinghe/TransparencyReview/>.

The Technology Strategy Board and the EPSRC will need to be satisfied that the work is genuinely academic in standard and nature. This justification should be clearly made by the UK applicants within the ENIAC JU application.

RTOs as industry partners

An RTO is considered to be operating as an industry partner if the organisation does not satisfy the legal definitions of a rule 508 exempt organisation or the work it is contributing to the project is not essentially academic in nature.

Funding for industry partners must not exceed 50% of their eligible project costs, or 60% if they are classified as an SME.

Wider Business Benefit Activities

An RTO operating as an industry partner might undertake activities within the project that are considered beneficial to the community at large or to wider industrial/technology sectors.

These activities are expected to constitute less than 10% of the overall project activities.

The Technology Strategy Board and the EPSRC will need to be satisfied that the work is genuinely of a wider benefit and is above and beyond the dissemination that would normally be expected of an ENIAC JU project. This justification should be clearly made by UK participants within the ENIAC JU application.