

# APPLICATION FOR NON-LIABLE SUB-AGENT APPOINTMENT

**Notice to Applicant:** FEDERAL LAW – VIOLENT CRIME CONTROL AND LAW ENFORCEMENT ACT OF 1994, 18 U.S.C. SECTION 1033 prohibits certain activities by or affecting persons engaged, or proposing to become engaged, in the business of insurance:

- (e)(1)(A) Any individual who has been convicted of any criminal felony involving dishonesty or a breach of trust, or who has been convicted of an offense under this section, and who willfully engages in the business of insurance whose activities affect interstate commerce or participates in such business, shall be fined as provided in this title or imprisoned not more than 5 years, or both.
- (B) Any individual who is engaged in the business of insurance whose activities affect interstate commerce and who willfully permit the participation described in subparagraph (A) shall be fined as provided in this title or imprisoned not more than 5 years, or both:
- (e)(2) <u>A person described in paragraph (1)(A) may engage in business of insurance</u> or participate in such business if such person has *the written consent* of any regulatory official authorized to regulate the insurer, *which consent specifically refers to 18 U.S.C. Sections 1033 and 1034.*

You must answer every question on the Application. If a question does not apply, indicate N/A in the space provided for the answer. Your answers are not limited to the space provided on the Application. Attach additional pages as needed. Peninsular Surety Company will not process incomplete Applications. Additional information may be requested.

# (PLEASE TYPE OR PRINT ALL INFORMATION ON THIS APPLICATION)

# Employer Information Agency/Employer Name Agency Owner Name Agency Address Agency Address Agency Address Agency Address Section 1: Application Information Applicant Name # & Street City County State Zip Date of Birth Place of Birth U.S. Citizen (yes) (no)

Social Security #	Name of Spouse
Home Phone #	Cell Phone #
Do you have a <i>current</i> in-force bail bond license: (y	es) (no) License #
License expiration date	_ (Attach a copy of <i>current</i> license)
How long have you been licensed?	_ What states are you currently licensed in?
List all Insurance Companies and Agents/General Agents/	gents that you have issued bail bonds for and/or been appointed with:
Dates: From/To Insurance	ce Company or Agent/General Agent Name:
Are you engaged in any other business or occupation	? (yes) (no) If yes, Nature of business:
Name & Address of Business:	
How long? Owner's Name:	
Have you ever-declared bankruptcy: (yes) (no)	) (If yes, attach an explanation.)
Section II: Applicant Education	
Highest level of education achieved: High School;	Associate; Bachelors; Advanced. Major:
Name of Institution:	Date Graduated:

# Section III: Applicant Criminal and Regulatory History

Have any disciplinary actions ever been taken by any regulatory agency against you, your business or any business with which you have been directly connected? (yes) (no) (If yes, attach a full explanation.)

Have you ever had your bail contract cancelled by a surety or general agent? (yes) (no) (If yes, please attach specific information surety name, reasons, when, etcetera.)

Have you ever been arrested, charged, convicted of or pled nolo conterdere (no contest) to a felony, gross misdemeanor or a misdemeanor involving moral turpitude or currently have pending any misdemeanor or felony charges against you? (Misdemeanor does not mean minor traffic violations.) (yes) (no) (If yes, please attach detail explanation giving dates, names and address of courts, basis of charges, outcomes and whether you received an executive pardon.)

# **RE: TITLE 28 PRIVACY ACT, FREEDOM OF INFORMATION ACT, TITLE 6 FAIR CREDIT REPORTING PUBLIC LAW 91-508**

I understand that investigative inquiries are to be made on myself including consumer, criminal, driving and other reports. These reports will include information as to my character, work habits, performance and experience along with reasons for termination of past employment from previous employers. I also understand that you will be requesting information from various federal, state and other agencies which maintain records concerning any past activities relating to my credit, criminal, civil and other experiences as well as claims involving me in the field of insurance.

I authorize, without reservation, any party or agency contacted to furnish the above-mentioned information about me to you.

I have a right to make a written request within a reasonable period of time to receive additional information about the nature and scope of this investigation.

I hereby consent to your obtaining the above information and agree that, if appointed with you, such information you obtain along with information relating to my performance with you will be accessible through you by future insurance companies to which I might apply.

I certify that each statement therein made is full, true and correct to the best of my knowledge.

I agree that pursuant to the Violent Crime Control and Law Enforcement Act of 1994, 18 United States Code Sections 1033, 1034, I will notify Peninsular Surety Company, in writing, within 30 days of my being convicted of a felony.

APPLICANT SIGNATURE

DATE SIGNED

		6000				
Name and Address of	11 0 9	Temporary Limited Surety Agent (T2-35), Limited Surety Agent (2-34), Professional Bail Bond Agent (2-37) and Managing General Agents				
PENINSULAR SUR	RETY COMPANY	For Bail Bond Business (0-60)				
7005 NW 41st	St.	Appointing Form	Company Code			
Miami, FL 331	66	-	<u>03724</u>			

# **Print or Type**

Part I					
Section	Section	Section	Section	Section	Section
1	2	3	4	5	6
SS/License Number	Last Name, First Name and Middle Initial	County Code	Type & Class of Insurance	Appt. Fee	Appointment Date
					/ /

## PART II (to be completed by temporary agents, permanent agents and managing general agents)

Pursuant to Chapter 648.382 (2)(b), F.S., I do solemnly swear that I owe no premium to any insurer and that I will discharge all outstanding forfeitures and judgments on bonds that may have been previously written.

Signature of Appointee (Agent)

THU STATE

# PART III (to be completed by permanent agents who currently or were previously appointed only)

Pursuant to Chapter 648.442 (8)(a)(b), F.S., I swear that there has been no loss, misappropriation, conversion of theft of any collateral being held by me in trust for any Insurer by which I am or have been appointed. All collateral being held in trust and all records for any Insurer by which I am currently or was previously appointed, are available for immediate audit and inspection by the Department, the Insurer, or the Managing General Agent and will upon demand of the Department of Financial Services be transmitted to the Insurer for whom the collateral is being held in trust.

Signature of Appointee (Agent)

ALIS10

## PART IV (to be completed by appointing company representative)

Pursuant to Chapter 648.355 (c), F.S., has the applicant listed above plead guilty or nolo contender to or been found guilty of a felony or crime involving moral turpitude since becoming licensed for the Type and Class of appointment requested herein? Types No (If yes, attach a separate document describing the circumstances related to this question.)

Under penalties of perjury, I, the undersigned, certify that the answer given above is true and correct and that the person for whom an appointment is requested has been thoroughly investigated as to integrity and character; that his/her reputation is good; and he/she is trustworthy, pursuant to Section 648.382 (2) (a), F.S. I further certify that the appointing entity has or will furnish any information relating to the licensee as required by law and that the appointing entity is willing to be bound by the acts of the person being appointed. I certify that Sections 626.451 and 626.7453, F.S., will be adhered to as they relate to the particular class of appointment being made.

Appointment Fees:	Amount Enclosed:		
Temporary Agent:	\$90	Signature of Appointing Official	
Permanent Agent: 2-34 2-37	\$80		
Managing General Agent: 0-60	\$60	Print/Type Name of Appointing Official	
		Title	Date
DFS-H2-1544 Revised 10/07		Business Phone	License # (if applicable)