



Fidelity Advisor SIMPLE IRA Plan Company Profile

Use this form to provide us with information about your company and your Plan, so we can assist you with the implementation of the Fidelity Advisor SIMPLE IRA Plan (SIMPLE IRA Plan) and the processing of contributions. You may also use this form to modify any employer information on an existing Fidelity Advisor SIMPLE IRA Plan.

Type on screen or fill in using CAPITAL letters and black ink.

Helpful to Know

- If you are establishing a new SIMPLE IRA plan, complete this form and return it with the SIMPLE IRA Plan Adoption Agreement and any completed Fidelity Advisor SIMPLE IRA Applications to your Financial Advisor.
- If you are modifying your existing company information, mail this form directly to Fidelity using the address information on the last page.
- Contact your Financial Advisor with any questions.

1. Request Type

- I am establishing a new Fidelity Advisor SIMPLE IRA Plan. (Complete all sections below in full.)
- I am requesting change(s) be made to my Employer information for the existing Fidelity Advisor SIMPLE IRA Plan. (Indicate the changes requested by checking the boxes below and completing the appropriate section with the new information.)
- Company Name* Tax Identification Number* Address Change
 Phone Number Employer or Payroll Contact Name Banking Information

* This change will constitute an amendment to your Plan.

2. Employer Information

For existing plan, check appropriate box to designate plan contact(s).

- Add new contact name in addition to the existing contact name(s) on the plan.
- Replace existing contact name with new contact name.

Fidelity Investments will take instructions only from the payroll contact person or the person named as the employer contact.

Company Name <i>required</i>	TIN

If making changes to existing plan:

Plan Number

Street Address		Suite Number	
City	State	Zip Code/Postal Code	
Employer Contact and/or Payroll Contact Name <i>required</i>		Daytime Phone <i>required</i>	



3. Contribution Processing

REMINDER: You must attach a voided check or provide bank information to avoid a delay establishing your SIMPLE IRA plan.

Provide bank account information for the account from which SIMPLE IRA Plan contributions and associated fees (if applicable) will be taken. This information is kept confidential.

Employees' Elective Deferral, Employer Matching Contribution and Nonelective Contribution amounts, if any, must be sent electronically to Fidelity Investments Institutional Operations Company, Inc., (FIIOC) via the Internet at *fasimple.com*. Your company's contributions to your Fidelity Advisor SIMPLE IRA Plan (the "Plan") must be debited from your company's bank account via the Automated Clearing House (ACH) in accordance with your instruction, and electronically transmitted to each Participant's Fidelity Advisor SIMPLE IRA account and invested according to instructions each Participant has provided. Your bank must be an ACH member for you to use this service.

JOHN SMITH
180 Main Street
Anytown, MA 01234

Date _____

Pay to the order of _____ \$ _____

Amount _____ Dollars

ANYBANK USA

Memo _____

⑆011123456⑆ 94100 14500 ⑆ 0987

Bank Routing Number (sample) Bank Account Number (sample)

Tape a preprinted voided check over our sample:

- Once FIIOC receives and accepts this completed form and the Adoption Agreement, FIIOC will notify you that you are allowed to begin submitting your contribution information via the web at *fasimple.com*. This method allows you to tell us how much money to electronically debit from your company's bank account. Electronic funding puts you in control, since we will not debit your bank account until you tell us to do so each contribution period. Instructions for contribution processing are included in the SIMPLE IRA Plan Sponsor Guide.
- Employees' Elective Deferrals must be deposited as soon as administratively feasible, but in no event later than the 30th day following the last day of the month in which the deferrals are withheld from the Employees' Compensation.
- Employer Matching Contributions and Nonelective Contributions must be made by your company's tax filing deadline, including extensions, for the taxable year for which the contributions are made.

4. Signature and Date *Form cannot be processed without signature and date.*

- I certify that the information contained herein is correct. Furthermore, I understand that each contribution period, upon FIIOC's receipt and acceptance of the contribution information via *fasimple.com*, the bank account specified in Section 3 will be debited by the amounts submitted on *fasimple.com*.
- I authorize FIIOC to initiate debit entries to my company's bank account indicated in Section 3 above. I further authorize and request the bank indicated in Section 3 above to accept any such debit entries initiated by FIIOC to my company's bank account and to credit such amounts without any further authorization relating hereto. I authorize FIIOC to debit my company's bank account and credit each participant's Fidelity Advisor SIMPLE IRA in the amounts and contribution types for which I will provide contribution instructions from time to time.
- I hereby ratify any instructions given pursuant to this authorization and agree to indemnify and hold FIIOC harmless from any loss, liability, cost, or expense that may arise from FIIOC acting upon my instructions. I understand that if there are insufficient funds in the bank account listed in Section 3, FIIOC may cancel contributions and FIIOC is not responsible for the timing, amount, purpose, or propriety of any SIMPLE IRA contributions. I further agree to hold FIIOC harmless if a cancellation results in a contribution(s) not being deposited in time to meet the contribution's deadline. I hereby agree to indemnify and hold FIIOC harmless for any loss, liability, cost, or expense arising out of insufficient funds in the bank account provided.
- I agree to be bound by the terms and conditions of the User Agreement currently in effect, but which may be amended from time to time, as set forth on the Fidelity Advisor SIMPLE IRA Plan Sponsor site at *fasimple.com*.
- If the bank account listed in Section 3 above is not registered in your company's name, provide a corporate resolution and an authorized signatory's signature guarantee. A signature guarantee is designed to protect you and Fidelity from fraud. You should be able to obtain a signature guarantee from a bank, broker/dealer, credit union (if authorized under state law), securities exchange or association, clearing agency, or savings association. However, if the bank account listed in Section 3 is indeed registered in your company's name, no signature guarantee is required.
- I understand that a request to change my company's name or tax identification number on this form will constitute an amendment to my SIMPLE IRA Plan and authorize Fidelity to recordkeep this request as such.

Employer Name <i>i.e., Company Name</i>	
Print Name of Person Authorized to Sign on Behalf of Company	
Print Title of Person Authorized to Sign on Behalf of Company	
Authorized Person Signature	Date <i>MM - DD - YYYY</i>
SIGN ▶	▶

SIGNATURE GUARANTEE STAMP*

* if applicable

<p>Did you print and sign the form, and attach any necessary documents? Send the form and any necessary documents to Fidelity or to your Financial Advisor, as applicable.</p> <p>Questions? For help completing this form, call 800-522-7297 (Financial Advisors) or 877-208-0098 (Plan Sponsors).</p>	<p>Regular mail Fidelity Investments Institutional Operations Company, Inc. (FIIOC) P.O. Box 770002 Cincinnati, OH 45277-0082</p>	<p>Overnight delivery Fidelity Investments Institutional Operations Company, Inc. (FIIOC) 100 Crosby Parkway, KC1G Covington, KY 41015</p>
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Fidelity Investments Institutional Operations Company, Inc.
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