

Declaration of beneficial ownership

Supplementary form for trustee and nominee applications

Notes to help you

This form should be used in conjunction with all applications by a trust, Trust Company (including QROPS providers) or Nominee Company.

Prudential International Assurance plc requires this information so that we can meet our legal and regulatory obligations. We have a requirement to identify and verify those persons or entities that are beneficial owners of a trust, nominee holding or other arrangement that has applied for a bond. A beneficial owner is a person or entity that has an interest of 25% or more in the bond.

Please list ALL individuals with an interest of 25% or more of the trust or nominee asset. Please see below for definition of whose details should be provided.

Please use black ink and write in CAPITAL LETTERS. Any corrections must be initialled – do not use correction fluids as this will invalidate your application.

Please send the completed form to **Prudential International, Stirling, FK9 4UE**.

Failure to provide all requirements will result in a delay in your application being processed.

If you have any queries while completing this form please speak to your Financial Adviser or call 0808 234 2200 where an operator will be happy to help. Calls may be monitored or recorded for security, quality purposes, staff training and/or dispute resolution.

Please provide details as follows.

Non-corporate trusts

- Each individual named beneficiary of the trust entitled to an interest of 25% or more of the trust assets

Corporate trusts (including QROPS providers)

- Each individual named beneficiary of the trust entitled to an interest of 25% or more of the trust assets
- Where the beneficiary is not an individual, ie it is a corporate body, any individual who controls that corporate body and/or has an interest in it of 25% or more
- Where the Settlor is not an individual, ie it is a corporate body, any individual who controls that corporate body and/or has an interest in it of 25% or more

Section 1 – Bond details

Please complete this section in full.

Name of Trust /Nominee applicant:

Bond number if known:

Application date:

Section 2 – Beneficial owner details¹

First or only beneficial owner

Surname

Full forenames

Mr Mrs Miss Ms Other

Address

<input type="text"/>
<input type="text"/>
<input type="text"/>
Postcode

Date of birth

D	D	M	M	Y	Y	Y	Y
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Holding % (whole % only)

Capacity (eg settlor, beneficiary, trustee)

Second beneficial owner (if applicable)²

Surname

Full forenames

Mr Mrs Miss Ms Other

Address

<input type="text"/>
<input type="text"/>
<input type="text"/>
Postcode

Date of birth

D	D	M	M	Y	Y	Y	Y
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Holding % (whole % only)

Capacity (eg settlor, beneficiary, trustee)

Notes

1 We will require "documents verifying" address and personal identification for all beneficial owners with an interest of 25% or more. Failure to provide all requirements will result in a delay in your request being processed.

2 If there are more than two beneficial owners with an interest of 25% or more, please photocopy this page, complete and return with the application.

Section 3 – Declaration by trustees, Trust Company (including QROPS providers) or Nominee Company

- If you provide us with information of any other person, you confirm that they consent to the processing of their personal information in the manner set out in the application form and that you have fully informed them of:
 - ▶ the purposes for which their information will be processed;
 - ▶ to whom their information may be disclosed; and
 - ▶ their right to apply for a copy of their information that is held by us and their right to have any inaccuracies in their information corrected.

Signature

Capacity:

(ie trustee, officer of Trust Company, officer of Nominee Company)

Date:

D	D	M	M	Y	Y	Y	Y
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If you have any questions regarding our processing of your personal information or any beneficiary's personal information, please contact the Data Protection Officer at Prudential International Assurance plc, Montague House, Adelaide Road, Dublin 2, Ireland.

- You agree to provide us with details of any change of your personal information and the personal information of any beneficiary(ies) named above as soon as possible following such a change taking place.

To be signed by trustee, officer of Trust Company or officer of Nominee Company. **A minimum of two signatures are required.**

Signature

Capacity:

Date:

D	D	M	M	Y	Y	Y	Y
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