


RBS Autopilot Growth Plan 1 Pensions Application Form

This is the Application Form for SIPP and SSAS investments.

Before completing this Application Form, please read the RBS Autopilot Growth Plan 1 brochure and the Terms and Conditions. Please read these documents carefully as they contain all the information you need to be aware of before opening your Plan. These will be provided to you with this Application Form, by your financial adviser, and should be retained for future reference. If there is anything you do not understand, please contact your financial adviser. The Terms and Conditions form the basis of our agreement with you and by signing this Application Form you confirm that you have all of the above documents which are relevant to your application.

Application deadline is 4 November 2011.

Minimum Investment £5,000

 Your information
 For details of how we and others will use your information, please look for the padlock symbol below, see the section headed 'Data Protection' in the accompanying Terms and Conditions or contact us at **The Royal Bank of Scotland plc, BNY Mellon House, Ingrave Road, Brentwood, Essex CM15 8TG.**

1. Scheme details

PLEASE COMPLETE IN BLOCK CAPITALS

Name of Scheme

Pension Scheme Tax Reference Number (PSTR)

Scheme's correspondence address

 Postcode

Contact name

Contact number

Email address

Type of pension scheme (please tick one box only)

- A small, self-administered scheme (SSAS). **For SSAS schemes please provide a copy of the HMRC Approval Letter.**
- A self-invested personal pension (SIPP). **Please provide the following details of the FSA-regulated SIPP scheme operator/provider:**

Name

Address

 Postcode

FSA number

Please supply details below of all trustees. Continue on a separate sheet if necessary

First trustee

Second trustee

Name

Residential address

Date of birth

Name

Residential address

Date of birth

Third trustee

Name

Residential address

Date of birth

Fourth trustee

Name

Residential address

Date of birth

2. Authorised signatories

The exercise of any options under the Terms and Conditions of the Plan must be authorised by the requisite number of trustee authorised signatories (or such persons to whom the trustees have validly delegated investment authority for the specific investments contemplated) in accordance with the Scheme's governing documentation or, where a number is not stipulated, by at least two authorised signatories. Where the Scheme has a professional trustee and the trustees have not delegated investment authority to a third party, one authorised signatory must be a professional trustee.

Please provide the names and sample signatures of all those who will be authorised signatories of the Scheme's trustees. If you require more than four, please continue on a separate sheet of paper. Where there is any change to the authorised signatories, please notify The Royal Bank of Scotland plc ('RBS plc') in writing giving the date of change at: The Royal Bank of Scotland plc, BNY Mellon House, Ingrave Road, Brentwood, Essex CM15 8TG. (RBS plc will be entitled to rely on the previous list until they are informed to the contrary.)

First authorised signatory

Name

Signature

Date of birth

Second authorised signatory

Name

Signature

Date of birth

Third authorised signatory

Name

Signature

Date of birth

Fourth authorised signatory

Name

Signature

Date of birth

3. Scheme Bank/Building Society account details

Please provide Bank/Building Society account details to enable us to make payments on the payment date(s) and/or following maturity, as stated in the Terms and Conditions.

Name of Bank/Building Society

Name of Account Holder

Sort Code Account Number

Roll Number (if applicable)

4. The investment

I/we wish to invest in the RBS Autopilot Growth Plan 1 Minimum £5,000, no maximum

5. Paying for the investment

By cheque Please make your cheque for the total amount payable to 'The Royal Bank of Scotland plc'. Please also write the scheme name **and** the name of the Plan you are investing in on the **reverse** of your cheque. For banker's drafts/building society cheques, please ask the issuer to print your name as the account holder. Finally, please remember to attach your cheque to this Application Form.

6. Declaration

As the authorised officials, we declare that:

- We have received and hold a copy of the RBS Autopilot Growth Plan 1 brochure and Terms and Conditions.
- We accept that on signing this Application Form, we shall become bound by the terms and conditions contained in these documents.
- The details provided are correct to the best of our knowledge and we agree to notify RBS plc of any changes.
- We authorise RBS plc to hold our cash subscription, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- We are the current authorised officials of the scheme and have all the necessary consents, powers and authorities to make this investment on the scheme's behalf.

IMPORTANT, PLEASE READ: **i** Data Protection

Use of your information:

RBS plc takes your privacy very seriously. We use the personal information collected via this form and any other information that you provide to us ('your information') for the purposes of:

1. providing you with our products and services and dealing with your enquiries and requests;
2. administering your investment;
3. carrying out market research, statistical analysis and customer profiling.

Given the global nature of our business, we may need to transfer your information to countries outside the European Economic Area in order to provide our services to you.

i Keeping you informed:

We would like to keep you informed by letter, phone and electronic means (including e-mail and mobile messaging) about products, services and additional benefits that we believe may be of interest to you. If you don't want us to do this, please place a cross in this box.

i Third party agencies:

We may also make enquiries of third parties in verifying identity. This would include electronic verification through a third party provider.

i Fraud prevention agencies:

If false or inaccurate information is provided and fraud is identified or suspected, details may be passed to fraud prevention agencies.

We may also obtain information about you from fraud prevention agencies.

Disclosures:

We will disclose your information to other companies within The Royal Bank of Scotland group of companies, regulatory bodies, law enforcement agencies, future owners of our business and suppliers we engage to process data on our behalf.

We will give your financial adviser information about your product and, where appropriate, provide them with other information about your dealings with us to enable them to give you informed advice.

Access:

You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, please write to us at the address on the following page.

i Giving your consent:

By signing this Application Form you are agreeing that we may use your information in the way described in this form (including the 'Keeping you informed' section) and in the associated Terms and Conditions.

Please sign below (continue on a separate sheet if necessary)

First authorised signatory

Name

Signature

Date

Second authorised signatory

Name

Signature

Date

Third authorised signatory

Name

Signature

Date

Fourth authorised signatory

Name

Signature

Date

Please return your fully completed signed and dated Application Form, together with your payment, to your financial adviser for forwarding onto The Royal Bank of Scotland plc, BNY Mellon House, Ingrave Road, Brentwood, Essex CM15 8TG.

Applications must be received by 12 noon on 4 November 2011.

For adviser use only

Confirmation:

This product is only available on an advised basis. I confirm my client has received advice, and that this product is suitable for my client. This application will only be accepted if you have signed The Royal Bank of Scotland plc's Terms of Business and satisfied procedural requirements. Any commission paid to you is subject to clawback if these conditions are not met.

If sacrificing commission, please state discount (max 3%)

 %

Verification of identity

Explanatory notes

This form cannot be used to verify the identity of any customer that falls into one of the following categories:

- those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification; or
- those whose identity has been verified using the source of funds as evidence.

If your customer falls into one of the above categories, please contact us by phoning 0800 032 4902.

I confirm that:

- (a) the information in sections 1 and 2 was obtained by me/us in relation to the customer(s);
 (b) the evidence I have obtained to verify the identity of the customer(s) in 1 and 2:

- Tick only one:** meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
 exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

Adviser name

Agent reference

Full name of regulated firm (or sole trader):

Phone number

Email address

Signed

Date

FSA number

www.rbs.co.uk

The Royal Bank of Scotland plc, registered in Scotland no. 90312.

Registered office: 36 St Andrew Square, Edinburgh EH2 2YB.

The Royal Bank of Scotland plc is authorised and regulated by the Financial Services Authority.

