

**LAWRENCE-DOUGLAS
COUNTY KANSAS
HOUSING AUTHORITY*
KS053**

ANNUAL PLAN FOR FISCAL YEAR 2001

1600 Haskell Avenue
Lawrence, KS 66044

***Effective January 1, 2001 the LHA will be renamed the Lawrence-Douglas County Housing Authority to reflect the merger of KS053 and KS160**

PHA Plan Agency Identification

PHA Name: Lawrence-Douglas County Kansas Housing Authority*

*Effective January 1, 2001 the LHA will be renamed the Lawrence-Douglas Housing Authority to reflect the merger of KS053 and KS160

PHA Number: KS053 (KS160 will be merged into KS053)

PHA Fiscal Year Beginning: 01/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)
City Hall, Department of Housing and Neighborhood Development

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

[24 CFR Part 903.5]

Annual PHA Plan
PHA Fiscal Year 2001
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA. The LHA score on its 1999 MASS was 29.4**
- Small Agency (<250 Public Housing Units)
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

The Lawrence Housing Authority (KS053) and the Douglas County Housing Authority will merge January 1, 2001 as approved by HUD June 19, 2000 in correspondence signed by Andrew L Boeddeker.

The Lawrence Housing Authority was created in July, 1968 under Resolution 3524 as an agent of the City of Lawrence with the power to plan, construct, maintain, operate, and manage any low-rent housing project or projects of the city. In January 1969 the Lawrence City Commission, under resolution 3582, approved the endeavor to secure a contract with the government for loans or annual contributions for projects comprising approximately 600 units of low-income housing.

Construction of the Edgewood Homes family housing project began in 1971 followed in 1973 by construction of the Babcock Place elderly housing project. By 1975 the city had an inventory of 250 low-income apartments. In 1977 the Authority was selected by HUD to administer the Section 8 housing program. Under this program 40 units of low-income housing were added to the city's inventory that year.

Over the years, the Authority experienced steady and continued growth through the addition of Section 8 HOME Assistance and Public Housing units. Today the LHA manages and operates 369 Public Housing units, 466 Section 8 Certificates and Vouchers and 50 HOME coupons in the city of Lawrence. The Authority currently provides housing to 855 families in the city.

The Housing Authority has been governed by a five-member commission appointed by the Mayor. Day-to-day operations are managed by an executive director who is responsible for the administration of seven departments: Family Housing, Elderly Housing, Low Income Rental Assistance, Comprehensive Modernization, Resident Services, Maintenance and Executive Offices. The LHA currently employs 32 staff.

Effective January 1, 2001, the LHA will merge with the Douglas County Housing Authority, KS160.

KS160 will be merged into KS053. The Douglas Housing Authority was created in 1983 by the county to administer the Section 8 program in the county. To effect this the county government entered into a special arrangement with the City of Lawrence to permit the LHA to operate as the Douglas County Authority. In effect the LHA has been both the LHA and the Douglas County Housing Authority since 1983. The Douglas County Housing Authority has a contract to administer 95 units of Section 8 assistance. This arrangement will cease as of midnight December 31, 2000. At that time the two agencies will merge into the Lawrence-Douglas County Housing Authority. The merged agency will be KS053. There will be no 2001 Plan for KS160, the number formerly assigned the Douglas County Housing Authority. The 2000 audit of KS160 will be combined with that of KS053.

This annual plan reflects all the activity of the former LHA and Douglas County Housing Authorities. The following plan elements reflect many of the changes under QHWRA. It asks the agency to describe how it is meeting certain of these new rules. The HA is exempt from many of these provisions because of its participation in the Moving to Work Demonstration Project (MTW). Under this project the HA operates under a separate and different contract with the Federal Government which exempts it from many federal regulations in order to permit it to test new models for delivering Public Housing and Section 8 Assistance.

The MTW Program combines Public Housing and Section 8 assistance into one program called General Housing Assistance with one waiting list and two forms of assistance, Project Based assistance and Tenant Based Assistance.

Under Tenant Based Assistance a family will be offered a housing voucher which it may use to rent a house or apartment from a private landlord in the community.

Under Project Based Assistance a family will be offered a house or apartment appropriate to its family size that is owned by the Housing Authority. The HA owns houses and apartments in different locations throughout the City of Lawrence. All units are modern, fully equipped single family houses, townhouses or apartments. All are gas heated and contain central air condition

Elderly and disabled families that contain no non-elderly or no disabled adult family members will pay rent based on their total family income or the flat rent option. TANF families receiving cash assistance that are in good standing with SRS (not under sanction or termination proceedings), and exempt from the work requirement will also pay rent based on income. A non-elderly, non-disabled family where the adult is the sole care provider for a disabled member of the household will pay rent based on total family income. These families all are considered Moving to Work exempt families.

All other families will pay rent under the Moving to Work (MTW) Rent Formula, which is based on bedroom size and total family income. Under this formula the family's rent payment will be based on a sliding scale ranging from a minimum to a maximum annual rent payment as follows:

<u>Bedroom Size</u>	<u>Min.</u>	<u>Max.</u>	<u>Max.Hsg.Grant</u>
1 Bedroom	\$ 130	\$ 340	\$ 432
2 Bedroom	160	390	554
3 Bedroom	200	450	771
4 Bedroom	200	520	888

Families that receive Tenant Based Vouchers may pay a higher rent than the maximum if they select a unit with a contract rent that exceeds the LHA's Payment Standard.

As a condition of receiving housing assistance, all adults 18-years or older who are not elderly, disabled or full-time students must work a minimum of 20 hours per week or participate in the LHA's Family Economic Self Sufficiency Community Service Works Program for 20 hours per week.

Prior to receiving housing assistance all applicants must attend an 8-hour renter certification program. This program is designed to teach applicants various duties, responsibilities, and rights as a lease holder including but not limited to care and maintenance of a rental unit, acceptable standards for tenant and guest behavior, criteria for judging what constitutes tenant damage and normal wear-and-tear, as well as housekeeping standards. This program will also provide participants with basic financial and budget counseling.

The rent for MTW families will be set at the time the family is admitted and will remain in effect for one year regardless of changes in family income or employment.

Households at maximum rent for 12 months may participate in a Homeownership Program. Families at maximum rent for three years who do not choose the Homeownership Program will be recertified to income based rents. The family will pay the market or contract rent if it is higher than income based rent.

Up to sixty Public Housing units will be vouchered out by the end of the Demonstration.

It is anticipated that approximately 400 families will be MTW participants annually. As of the submission of this plan, there are 142 public housing and 243 Section 8 families participating in MTW. The remaining families (approximately 455) are exempt due to disability, age, or participation in the TANF cash Assistance Program. These exempt families will be governed by existing federal regulations for rent determinations and recertifications. Beginning in January 2001 MTW eligible families receiving Section 8 Assistance under former KS160 will be governed by MTW rules.

The following plan addresses all the policies and practices the HA will follow in Year 2001 except those relating directly to the MTW program. Anyone wishing documentation on the MTW program should contact the HA at (785) 842-8110 or may visit the HUD MTW Web site at HUD.gov/pih/programs/ph/mtw.

Because the HA is a High Performing Public Housing Authority as determined by its 1999 overall PHAS advisory score of 91 and its MASS score of 29.4, there are certain sections within this plan that the HA is not required to complete. These sections are Component 5: Operations and Management; Component 6: Grievance Procedures; Component 12: Community Service and Self-Sufficiency; Component 13: Safety and Crime Prevention Measures; Component 14: Pets; Component 17: Asset Management. Despite this there are several components the HA did address in whole or in part.

During the Year 2001 the HA staff will devote most of its time to implementing the MTW Program. Staff will also strive to improve upon procedures and practices necessary to the implementation of the rent assistance programs. A third focus for the Year 2001 will be to look at the development of local partnerships in order to expand the amount of affordable housing in the community. The agency will also expand its outreach efforts in Douglas County.

This plan was developed with the input of the Resident Advisory Council and approved by the Council September 11, 2000.

The public hearing on this plan was held September 26, 2000 at 5:30 PM at Babcock Place, 1700 Massachusetts.

iii. Annual Plan Table of Contents

[24 CFR Part 903.79 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

	<u>Page #</u>
Annual Plan	
i. Executive Summary	3
ii. Table of Contents	6
1. Housing Needs	9
2. Financial Resources	15
3. Policies on Eligibility, Selection and Admissions	16
4. Rent Determination Policies	26
5. Operations and Management Policies	30
6. Grievance Procedures	32
7. Capital Improvement Needs	32
8. Demolition and Disposition	34
9. Designation of Housing	35
10. Conversions of Public Housing	37
11. Homeownership	38
12. Community Service Programs	40
13. Crime and Safety	43
14. Pets	45
15. Civil Rights Certifications (included with PHA Plan Certifications)	45
16. Audit	45
17. Asset Management	45
18. Other Information	46

Attachments

TO BE COMPLETED.

Required Attachments:

- Admissions Policy for Deconcentration 2001KS053a01
- FY 2001 Capital Fund Program Annual Statement 2001 KS053a02
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- Pet Policy 2001KS053a03
- Public Housing Drug Elimination Program (PHDEP) Plan 2001KS053a04
- Progress Report in Meeting Goals 2001KS053a05
- FY 2002-2005 Capital Fund Program 5 Year Action Plan 2001KS053a06
- Section 8 Homeownership Capacity Statement 2001 KS053a07
- Resident Membership on the Board 2001KS053a08

- Resident Advisory Board membership 2001KS053a09
- Implementation of Public Housing Resident Community Service Requirement 2001KS053a10
-
- Comments of Resident Advisory Board or Boards (included in PHA Plan)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	Annual Plan
X	State/Local Government Certification of Consistency with the Consolidated Plan	Annual Plan
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	Annual Plan
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance</i> ; and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.79 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	4750	5	1	2	2	4	1
Income >30% but <=50% of AMI	2603	5	1	2	2	4	1
Income >50% but <80% of AMI	1153	4	1	2	2	3	1
Elderly	935	4	1	2	4	5	1
Families with Disabilities	3060	4	1	2	4	5	1
Race/Ethnicity	W 88%	NA	1	2	2	NA	1
Race/Ethnicity	B 5%	NA	1	2	2	NA	1
Race/Ethnicity	NA 2%	NA	1	2	2	NA	1
Race/Ethnicity	H 3%	NA	1	2	2	NA	1

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2001
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)
1990 Census data adjusted representatively in each category to reflect the 23.2% growth in general population since 1990.
 1. Elderly is reported as 11% of low income families per 1990 Census.
 2. Disabled population is based on HHS prevalence factors for disabled population in Douglas County Kansas reported as 9% of general population divided by 2.5 persons to derive family count.

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input checked="" type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	327		180 projected
Extremely low income <=30% AMI	228	70%	
Very low income (>30% but <=50% AMI)	77	24%	
Low income (>50% but <80% AMI)	22	7%	
Families with children	220	68%	
Elderly families	56	18%	
Families with Disabilities	54	17%	
Race/ethnicity W	237	73%	
Race/ethnicity B	49	15%	
Race/ethnicity NA	38	12%	
Race/ethnicity H	03	01%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	135	40%	unknown
2 BR	116	36%	unknown
3 BR	60	18%	unknown
4 BR	14	05%	unknown
5 BR	02	01%-	unknown

Housing Needs of Families on the Waiting List			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Provide information and training materials to Section 8 families so they can receive improved maintenance service and repairs from their landlords per the Section 8 Administrative Plan.

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below) Expand supportive services to residents to enable them to age in place

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

Provide informational material and training programs to Section 8 families so they can utilize

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
a) Public Housing Operating Fund	\$ 341,994*	
b) Public Housing Capital Fund	632,804	
c) HOPE VI Revitalization	NA	
d) HOPE VI Demolition	NA	
e) Annual Contributions for Section 8 Tenant-Based Assistance	2,528,769*	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	125,162	
g) Resident Opportunity and Self-Sufficiency Grants	75,000	
h) Community Development Block Grant	NA	
i) HOME	150,000	TBRA
Other Federal Grants (list below)		
Tenant Opportunity Programs	30,000	Employment preparation
ROSS	75,000	FSS
EDSS	41,000	Employment /support svcs.
ELDERLY SERVICES	32,030	Support Services
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	\$896,740*	Maintenance, operations, resident services
4. Other income (list below)		
4. Non-federal sources (list below)		
Total resources	\$4,928,499	

*2000 allocations for identified programs.

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.79 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) At the time of application and again at time of acceptance of housing assistance.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe) Combined with Section 8 waiting list per MTW.

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?
2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
 - PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
 - One
 - Two (per MTW)
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
 - Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

Not applicable based on MTW agreement which requires PHA to target 75% of assistance to those at 50% of the AMI.

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below) 1
- Other: (list below) 2.
 - 1. Homeownership
 - 2. Reasonable Accommodation

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection (5) Occupancy)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
 - Residents who live in the jurisdiction

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

2. Residents who live in the jurisdiction

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision.
- Other (list) .

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA’s analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists
If selected, list targeted developments below:
- Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below) 1.
- Other (list below)
 1. More general suitability criteria consistent with public housing as permitted by MTW.

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

Previous participation history in federal housing program. All other information required or permitted by federal regulations.

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

None

Federal public housing

Federal moderate rehabilitation

Federal project-based certificate program

Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

Other (list below)

PHA public housing management offices.

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

If applicant experiences difficulty in finding a unit in first 60 days based upon criteria as outlined in Sec.8 Administrative Plan.

(4) Admissions Preferences

a. Income targeting * Not applicable per MTW agreement which requires targeting 75% of assistance to families at 50% of AMI.

b.

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Resident who lives in the jurisdiction.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1. Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Resident who lives in the jurisdiction.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements Refer to MTW contract.

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)
Through notification to local social service agencies, Housing Practitioners Panel.

4. PHA Rent Determination Policies

[24 CFR Part 903.79 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

Note: the following rent policies pertain to non MTW participants only.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
 For increases in earned income
 Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
 For other family members
 For transportation expenses
 For the non-reimbursed medical expenses of non-disabled or non-elderly families
 Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income)
(select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____

- Other (list below)
At time of any change in income or household composition.

- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year? Not applicable per MTW contract.

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
- The section 8 rent reasonableness study of comparable housing
 - Survey of rents listed in local newspaper
 - Survey of similar unassisted units in the neighborhood
 - Other (list/describe below)
FMRs, operating costs, plus debt service, rental value of unit.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

- a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket

Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.79 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows: Presently, the LHA is governed by a five member commission appointed by the Mayor. Day-to-day operations are managed by an executive director who is responsible for the administration of seven departments: Family Housing, Elderly Housing, Low Income Rental Assistance through Section 8, Comprehensive Modernization, Resident Services, Maintenance and Executive Offices. The LHA currently employs 32 staff. Beginning in January, 2001, the governing structure will change due to the merger of the Lawrence and Douglas County Housing Authorities. At this writing, the new structure has yet to be determined.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	369	70
Section 8 Vouchers	396	90
Section 8 Certificates	0	0
Section 8 Mod Rehab	5	4
Special Purpose Section 8 Certificates/Vouchers (list individually)	50 Mainstream 50 Family Unification 30 Designation	10 10 5
Public Housing Drug Elimination Program (PHDEP)	368	30
EDSS	75	20
Elderly Services	130	12
Other Federal Programs(list individually)		
State Home TBRA	25	20
City Home TBRA	25	20

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)
 - LHA Admissions and Continued Occupancy Policy , Res. 5 as amended.
 - LHA Methods of Administration, Res. 294 as amended
 - LHA Procurement Policy, Res. 455 as amended
 - LHA Maintenance Procedures Manual
 - LHA Pest Control Procedures Manual
- (2) Section 8 Management: (list below)
 - LHA Combined Section 8 Administrative Plan for the Tenant Based and Moderate Rehabilitation Program

6. PHA Grievance Procedures

[24 CFR Part 903.79 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
 - PHA development management offices
 - Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based

assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

PHA main administrative office

Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.79 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)2001KS053a02.

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name 2001KS053a06

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

The LHA intends to explore discussions on mixed finance development activity and hopes that these discussions will lead to actual development that may or may not begin in the plan year.

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.79 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>	
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>	
5. Number of units affected:	
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development	

7. Timeline for activity:
- a. Actual or projected start date of activity:
 - b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: Babcock Place
1b. Development (project) number: KS053002
2. Designation type:
Occupancy by only the elderly <input checked="" type="checkbox"/>
Occupancy by families with disabilities <input type="checkbox"/>
Occupancy by only elderly families and families with disabilities <input type="checkbox"/>

<p>3. Application status (select one)</p> <p>Approved; included in the PHA's Designation Plan <input checked="" type="checkbox"/></p> <p>Submitted, pending approval <input type="checkbox"/></p> <p>Planned application <input type="checkbox"/></p>
<p>4. Date this designation approved, submitted, or planned for submission: <u>(05/24/99)</u></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input checked="" type="checkbox"/> New Designation Plan</p> <p><input type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected: 120</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input checked="" type="checkbox"/> Total development</p>

Designation of Public Housing Activity Description
<p>1a. Development name: Peterson Acres</p> <p>1b. Development (project) number: KS053008</p>
<p>2. Designation type:</p> <p>Occupancy by only the elderly <input checked="" type="checkbox"/></p> <p>Occupancy by families with disabilities <input type="checkbox"/></p> <p>Occupancy by only elderly families and families with disabilities <input type="checkbox"/></p>
<p>3. Application status (select one)</p> <p>Approved; included in the PHA's Designation Plan <input checked="" type="checkbox"/></p> <p>Submitted, pending approval <input type="checkbox"/></p> <p>Planned application <input type="checkbox"/></p>
<p>4. Date this designation approved, submitted, or planned for submission: <u>(05/24/99)</u></p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input checked="" type="checkbox"/> New Designation Plan</p> <p><input type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>7. Number of units affected: 125</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input checked="" type="checkbox"/> Total development</p>

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- Units addressed in a pending or approved demolition application (date submitted or approved: _____)
- Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____)
- Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____)
- Requirements no longer applicable: vacancy rates are less than 10 percent
- Requirements no longer applicable: site now has less than 300 units
- Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	<input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	<input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)	
5. Number of units affected:	
6. Coverage of action: (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

*The Lawrence Housing Authority is a High Performing Agency

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 7/8/98

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas?

(select all that apply)

- Public housing rent determination policies *per MTW
- Public housing admissions policies *per MTW
- Section 8 admissions policies *per MTW
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation *per MTW
- Preference/eligibility for section 8 homeownership option participation *per MTW
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Resident Opportunity Support Services	75	MTW Open Enrollment	Resident Services Office	Both
Economic Development and Support Services	81	Same as Above	Same as Above	Both

Family Self-Sufficiency	Included Above	Same as Above	Same as Above	Both

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants As of Sept. 1, 2000
Public Housing	13	28
Section 8	18	36

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

* The LHA is a high performing agency.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

Yes No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?

Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: 2001KS053a02. This is pending.

14. PET POLICY

[24 CFR Part 903.7 9 (n)]

*The LHA is a high performing agency.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.79 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below:

Goals 3 and 4 contained in the 5 year plan, Annual Plan Need Strategy 1, items 7-9, were recommended by the Advisory Council for the 2000 plan and are continued in this plan.

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments
List changes below:

See Item 2 above.

Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
 Candidates could be nominated by any adult recipient of PHA assistance
 Self-nomination: Candidates registered with the PHA and requested a place on ballot
 Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
 Any head of household receiving PHA assistance
 Any adult recipient of PHA assistance
 Any adult member of a resident or assisted family organization
 Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
 Representatives of all PHA resident and assisted family organizations
 Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Lawrence, Kansas
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
 - Implementation of the MTW project
 - Increase the amount of affordable housing in the community.
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

ATTACHMENT 2001KS053a01

ADMISSIONS POLICY FOR DECONCENTRATION

Resolution 693: Amendment to Public Housing Admissions Policy to provide for deconcentration of poverty and income mixing in public housing by bringing higher income tenants into lower income projects and lower income tenants into higher income projects. Approved by the Lawrence Housing Authority Board of Commissioners April 27, 1999.

Attachment 2001KS053a03

Pet Policy

The LHA is a high performing agency and not required to include this element. However the agency did amend its pet policy to conform to regulations under Section 31 April 25, 2000, under Resolution 728.

Attachment 2001 KS053a05

Progress in Meeting Five- Year Goals

In year one of the LHA five- year plan the agency increased the supply of affordable housing by adding 30 additional rental vouchers.

The agency sought to improve the quality of assisted housing by implementing a significant Resident Services Program that provided direct and indirect job training and support services to over 100 Section 8 and Family Housing households participating in the MTW program. The agency also spent over \$400,000 modernizing and renovating public housing units.

The agency sought to increase assisted housing choice by holding workshops and briefing sessions for Section 8 applicants and residents.

The agency sought to improve the living environment through its MTW program which put all public housing residents to work (with the exception of the elderly and disabled). Those not working participated in the agency's FSS program. The MTW program is increasing the income of resident households.

The agency also surveyed each public housing household to inquire about the specific conditions that made them feel unsafe in their homes. The responses indicated that an overwhelming percent of residents feel safe in their homes. Where responses were negative staff followed up to determine if the cause was within the agency's control to change or effect.

The agency promotes self-sufficiency through its Moving to Work Demonstration Program. Under this program 386 public housing and Section 8 households are working. Those unable to work are receiving a range of support services aimed at reducing the household barriers that impede work. The agency has received a number of grants to support economic uplift. The agency also has an elderly resident services grant that supports and increases independence.

With regard to affirmatively furthering fair housing, the agency assists and responds to all requests for reasonable accommodation through renovations or program transfers.

For most of 2000 the agency spent most of its time and resources implementing the MTW program. A report of this activity is contained in a document entitled Lawrence Housing Authority Moving to Work Demonstration Project-Report on the First Year Outcomes.

Attachment 2001KS053a07
Section 8 Homeownership Capacity Statement

The LHA anticipates implementing its Homeownership program in 2001 and intends to use Section 8 assistance to achieve this objective. In doing this the agency will:

Establish a minimum homeowner down payment requirement of at least 3 percent and require that at least one percent of the down payment comes from the family's resources.

Attachment 2001KS053a08
Resident Membership on the PHA Governing Board

The LHA has not had an opening on the Board of Commissioners since this requirement went into effect. A current board member has given notice that she will resign effective following the November 28 Board meeting. The LHA has advertised this opening by sending direct notice to all LHA households. The deadline for applications is November 10. The Mayor will appoint a resident to the board who will be seated at the December 2000 meeting.

Attachment 2001KS053a09
Membership of Resident Advisory Board

As of July 2000 membership on the LHA Resident Advisory Board consisted of the following persons:

Mason Nelson Shari Banks Marilyn Bailey Henry Lanigan Linda Christopherson
Kathryn Bartling Danette Seymour Marilyn Roy Doug Landon Jim Wilder

Dayna Seiger Diane Plunkett Florence Leducer Joe Helfert Virginia Trull

Barbara Bertrand Kathryn Brown Bill Barker Teresa Chief Eagle

Keith Goldstein

Attachment 2001KS053a10

Implementation of Public Housing Resident Community Service Requirement

The Lawrence Housing Authority is a high performing agency and not required to include this element in the plan. The agency is also an MTW agency. Under its MTW Agreement the agency is implementing a work requirement for all non-elderly, non-disabled adults. Those MTW participants who do not meet the work requirement must participate in the agency Family Self-Sufficiency program. This program requires that the individual be engaged in formal, specific activities for 20 hours per week. The 20 hours requirement mirrors the hours mandated under the MTW work requirement.

PHA Plan
Table Library
Attachment KS053a02
Component 7
Capital Fund Program Annual Statement
Parts I, II, and II

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number KS16PO5350100 FFY of Grant Approval: (07/18/2000)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	\$47,000
4	1410 Administration	63,000
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	10,000
8	1440 Site Acquisition	
9	1450 Site Improvement	71,200
10	1460 Dwelling Structures	380,200
11	1465.1 Dwelling Equipment-Nonexpendable	13,200
12	1470 Nondwelling Structures	7,000
13	1475 Nondwelling Equipment	41,204
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	632804
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	8,000
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
KS16PO53001 Edgewood Homes	1. landscap'g-prune, plant, remove, weed, feed, seed program	1450	3,000
	2. drainage problems	1450	8,000
	3. concrete/asphalt	1450	8,000
	4. sinks/contertops	1450	2,000
	5. repl./repair fences	1450	500
	6. repl. Splashblks, elbows, gutters, d.s.	1460	200
	7. replace siding, fascia bds., roofing	1460	40,000
	8. repl, doors/frame ext, int, storm, hdwe	1460	10,000
	9. replace windows-glass/screens	1450	500
	10. paint int., ext.	1450	45,000
	11. repair wall-tile, gypsum board	1460	2,000
	12. vinyl floors base & corners	1460	10,000
	13. kitchen equip.	1465	4,000
	14. replace t'stats	1460	150
	15. plumbing repairs faucets, washer-dryer housings, wtr htrs, etc.	1460	8,000
	16. repl/rep furnaces	1460	9,000
	17. replace window & light shades	1465	3,000
	18. electrical repair	1460	15,000
	19. attic fans/air conditioning	1460	1,200
	20. renovate maint.garage/central ofc.	1470	6,000
	21. clean storm sewers & prkg. Lots	1450	200
	22. continue foundation & slab repairs	1460	20,000
KS16PO53002 Babcock Place	1. landscap'g pruning,planting, removal, weed, feed, seed program	1450	2,000
	2. drainage problems	1450	0
	3. conc walk/patio	1450	500
	4. sinks, countertops	1460	1,500
	5. fences	1450	0
	6. roof & patio drains.	1460	250
	7. repair ext. walls tuckpointing, roofing, balcony walls, weeps	1460	2,000
	8. doors, entrances hdwe, accordian	1460	16,000

	9. replace/seal windows, screens	1460	250
	10. paint/seal exterior, interior	1460	2,000
	11. repair int. walls	1460	10,000
	12. flooring-carpet, vct, base, corners	1460	21,000
	13. kitchen equipment	1465	1,000
	14. replace thermostats	1460	1,000
	15. plumbing repairs, faucets, boilers, etc.	1460	16,000
	16. repair heating syst. Fan mtrs, etc.	1460	8,000
	17. window/light shade	1465	500
	18. repair electrical system	1460	4,000
	19. repair A/C system	1460	8,000
	20. N/A		
	21. N/A		
	22. N/A		
	23. conduct domestic water and fire test	1460	400
	24. elevator repair	1460	2,000
	25. grab bars, shower floors & walls	1460	19,000
KS16PO53003 Scattered sites	1. landscap'g-pruning, planting, removal, weed, feed, seed program	1450	2,000
	2. drainage/erosion	1450	1,000
	3. conc. Walk/patio	1450	1,000
	4. sinks, countertops	1460	500
	5. fences	1450	400
	6. gutters, splashblks d.s. and elbows	1460	200
	7. repair ext. walls and soffit vents/dryer vents	1460	400
	8. replace doors/frame ext. storms, int. and hdwe	1460	2,000
	9. replace windows glass/screens	1460	500
	10. paint units interior, exterior	1460	500
	11. repair walls-tile, gyp.board	1460	500
	12. flooring – vct. base & corners	1460	1,000
	13. kitchen equipment	1465	1,000
	14. replace thermostats	1460	50
	15. plumbing repairs water heater, washer/dryer housings, faucets	1460	950
	16. repl/rep furnances	1460	900
	17. replace light/window shades	1465	300
	18. electrical repair	1460	7,500
	19. air conditioning, attic fans	1460	500
KS16PO53004 Scattered Sites	1. landscap'g-pruning, planting, removal, weed, feed, seed program	1450	2,000
	2. drainage/erosion	1450	1,000
	3. conc. walk/patio	1450	1,000
	4. sinks, countertops	1460	500
	5. fences	1450	400

Table Library

	6. gutters, splashblks and elbows	1460	200
	7. repair ext. walls and soffit vents/dryer vents	1460	400
	8. replace doors/frame exterior, storms, int.	1460	2,000
	9. replace windows glass/screens	1460	500
	10. paint units interior, exterior	1460	500
	11. repair walls-tile, gyp. brd.	1460	500
	12. flooring –vct. base & corners	1460	1,000
	13. kitchen equipment	1465	1,000
	14. replace thermostats	1460	50
	15. plumbing repairs hot water heater, washer/dryer housings, faucets	1460	950
	16. repl/repair furnaces	1460	800
	17. replace light/window shades	1465	300
	18. electrical repair	1460	7,500
	19. air conditioning and attic fans	1460	500
	20. N/A		
	21. N/A		
	22. N/A		
	23. N/A		
	24. N/A		
	25. N/A		
	26. stone barn	1470	1,000
KS16PO53006 Scattered Sites	1. landscap'g-pruning, planting, removal, weed, feed, seed program	1450	2,000
	2. drainage/erosion	1450	6,500
	3. conc. walk/patio	1450	6,500
	4. sinks/countertops	1460	200
	5. fences	1450	200
	6. gutters, splashblks and elbows	1460	200
	7. repair ext. walls and soffit vents/dryer vents	1460	300
	8. replace doors/frame exterior, storms, int.	1460	500
	9. replace windows glass/plexiglass	1460	100
	10. paint units interior, exterior	1460	47,000
	11. repair walls-tile, gyp. bd.	1460	100
	12. flooring-vct. base & corners	1460	3,000
	13. kitchen equipment	1465	600
	14. replace thermostats	1460	50
	15. plumbing repairs hot water heater, washer/dryer housings, faucets	1460	600
	16. repl/rep furnaces	1460	500
	17. replace light/window shades	1465	500
	18. electrical repair	1460	300
	19. air conditioning and attic fans	1460	500

Table Library

KS16PO53007 Scattered Sites	1. landscap'g-pruning, planting, removal, weed, feed, seed program	1450	2,000
	2. drainage problems	1450	1,000
	3. conc. walk/patio	1450	400
	4. sinks/countertops	1460	200
	5. fences	1450	500
	6. replace splashblks, elbows, gutters, downspouts	1460	100
	7. replace siding, facia bds., roofing	1460	100
	8. repl doors/frame ext., int., storm, hdwe	1460	200
	9. replace windows glass/plexiglass	1460	200
	10. paint units interior, exterior	1460	350
	11. repair walls-tile, gyp. bd.	1460	100
	12. vinyl corners	1460	250
	13. kitchen equipment	1465	300
	14. replace thermostats	1460	50
	15. plumbing repairs	1460	500
	16. repl/rep furnaces	1460	200
	17. replace light/window shades	1465	200
	18. electrical repair	1460	100
	19. air conditioning & attic fans	1460	500
KS16PO53008 Peterson Acres	1. landscap'g-pruning, planting, removal, weed, feed, seed program	1450	7,000
	2. drainage problems	1450	100
	3. conc. walk/patio	1450	4,000
	4. sinks/countertops	1460	200
	5. fences	1450	10,000
	6. repl. splashblks, elbows, gutters, d.s.	1460	100
	7. replace siding, fasia bds., roofing	1460	6,000
	8. repl. doors/frame ext., int., storm, hdwe	1460	200
	9. replace windows glass/plexiglass	1460	200
	10. paint units interior, exterior	1460	350
	11. repair walls-tile, gyp. bd.	1460	100
	12. vinyl corners	1460	250
	13. kitchen equipment	1465	300
	14. replace thermostats	1460	50
	15. plumbing repairs	1460	500
	16. repl/rep furnaces	1460	200
	17. replace light/window shades	1465	200
	18. electrical repair	1460	10,000
	19. air conditioning & attic fans	1460	500
KS16PO53 Administrative	Comp. Grant Coordinator	1410	48,000
	Fringe	1410	10,000
	Phone	1410	1,500

Table Library

	Printing, postage, publications, adv., accounting, forms, supply, stationary, sundry, legal, environmental review	1410	3,000
	Travel/training	1410	500
KS16PO53 Equipment	1. misc – tools	1475	5,000
	2. van/vehicle	1475	2,000
	3. furniture, ofc. Lobby, solariums	1475	21,704
	4. computers/stations	1475	8,000
	5. auto/equip.repair	1475	4,000
	6. new/rep. Playground equipment	1475	500
KS16PO53 Management Improvements	1. inventory clerk	1408	13,000
	2. unit T.O. add staff incl. contract work	1408	10,000
	3. staff training	1408	5,000
	4. add mgmt staff 007 units	1408	8,000
	5. software,upgrade	1408	3,000
	6. security patrol	1408	8,000
KS16PO53 Professional Services	1. PE/Arch'l consulting	1430	10,000

**Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
KS16PO53001 Edgewood Homes	6/ 30/ 02	6/ 30/ 03
KS16PO53002 Babcock Place	6/ 30/ 02	6/ 30/ 03
KS16PO53003 Scattered Sites	6/ 30/ 02	6/ 30/ 03
KS16PO53004 Scattered Sites	6/ 30/ 02	6/ 30/ 03
KS16PO53006 Scattered Sites	6/ 30/ 02	6/ 30/ 03
KS16PO53007 Scattered Sites	6/ 30/ 02	6/ 30/ 03
Management Improvements	6/ 30/ 02	6/ 30/ 03

Attachment 2001KS053a06

Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
KS16PO53001	Edgewood Homes	0	0
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)

Trim Boards	4,000	2002
Landscape/Care	12,000	2002
Termite Control	4,000	2002
Regrade/Retain Walls	10,000	2002
Clean Storm Sewers	3,000	2002
Clean/Resurface Parking Lots	22,000	2002
Playground Equip	4,000	2002
Foundation and Slab Repair	42,000	2002
Splashblock/Elbow Rplace/Repair	4,000	2002
Privacy Fences	6,000	2002
Repair/Replace Infill Panels	27,000	2002
Repair/Replace Doors/Frames	12,000	2002
Replace/Repair Windows	12,000	2002
Paint Inter/Exterior	45,000	2002
Replace/Repair Floors	25,000	2002
Replace/Repair Bathroom Tile	13,000	2002
Repair/Replace Walls	13,000	2002
Replace Kitchen/Bath Cabinets	90,000	2002
Replace Kitchen/Bath Countertops	21,000	2002
Stoves and Refrigerators	5,000	2002
Window Shades	5,000	2002
Repair/Replace Thermostats	1,200	2002
Repair/Replace Furnaces	28,000	2002
Repair/Replace Hot Water Heaters and Washer Housings	25,000	2002
Plumbing Repair/Replace Drain lines	14,000	2002
Electrical Repair/Fixtures	23,000	2002
Repair/Replace Faucets	20,000	2002
A/C Repairs/Replacements	20,000	2002
Conduct Water Tests	3,000	2002
Energy Conservation Measures	6,000	2002
Roof Repairs	25,000	2002
Renovate/Repair Community building/Administrative Offices/Maintenance Shop	100,000	2002
Total estimated cost over next 5 years	644,200	

Table Library

Unit Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
153002	Babcock Place	0	0

Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
Roof Interior/Exterior Building	50,000	2002

Apt./Bldg Lock System	30,000	2002
pe/Care	12,000	2002
Repair	8,000	2002
/Retain Walls	5,000	2002
orm Sewers	3,000	2002
esurface Parking Lots	22,000	2002
:/ Slab Repair	8,000	2002
ins/Elbow Replace/Repair	14,000	2002
pair / Resurface	6,000	2002
replace Doors/Frames	12,000	2002
Repair Windows	12,000	2002
er/Exterior	26,000	2002
Repair Carpets & Tile Floors	45,000	2002
Repair Bathroom Tile	34,000	2002
replace Walls	13,000	2002
Kitchen/Bath Cabinets	9,000	2002
Kitchen/Bath Countertops	11,000	2002
nd Refrigerators	7,000	2002
Shades/Curtains /cleaning	5,000	2002
replace Thermostats	5,200	2002
replace Furnace System	2,000	2002
replace Boilers and Storage Tanks	25,000	2002
g Repair	14,000	2002
il System Repair/Fixtures	23,000	2002
replace Faucets	6,000	2002
tem Repair/Replacments	60,000	2002
Water Tests	3,000	2002
Conservation Measures	6,000	2002
replace Showers/Tubs	56,000	2002
rniture	23,000	2002
replace fan Motors	5,000	2002
pairs	4,000	2 002

Table Library

imated cost over next 5 years	617,200	
-------------------------------	---------	--

5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
KS16PO53003	Scattered Sites	0	0
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)

Trim Boards	10,000	2002
Landscape/Care	5,000	2002
Termite Control	4,000	2002
Regrade/Retain Walls	5,000	2002
Clean Storm Sewers	12,000	2002
Clean/Resurface Parking Lots	3,000	2002
Playground Equip	12,000	2002
Foundation and Slab Repair	24,000	2002
Splashblock/Elbow Rplace/Repair	4,000	2002
Privacy Fences	10,000	2002
Repair/Replace Siding	27,000	2002
Repair/Replace Doors/Frames	10,000	2002
Replace/Repair Windows	12,000	2002
Paint Inter/Exterior	6,000	2002
Replace/Repair Floors	30,000	2002
Replace/Repair Shower enclosures	4,000	2002
Repair/Replace Walls	3,000	2002
Replace Kitchen/Bath Cabinets	9,000	2002
Replace Kitchen/Bath Countertops	12,000	2002
Stoves and Refrigerators	5,000	2002
Window Shades	5,000	2002
Repair/Replace Thermostats	1,200	2002
Repair/Replace Furnaces	18,000	2002
Repair/Replace Hot Water Heaters and Washer Housings	5,000	2002
Plumbing Repair	14,000	2002
Electrical Repair/Fixtures	12,000	2002
Repair/Replace Faucets	5,000	2002
A/C Repairs/Replacements	10,000	2002
Conduct Water Tests	3,000	2002
Energy Conservation Measures	6,000	2002
Roof Repairs	15,000	2002
Total estimated cost over next 5 years	301,200	

Table Library

5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
KS16PO53004	Scattered Sites	0	0	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)

Trim Boards	10,000	2002
Landscape/Care	5,000	2002
Termite Control	4,000	2002
Regrade/Retain Walls	5,000	2002
Clean Storm Sewers	12,000	2002
Clean/Resurface Parking Lots	3,000	2002
Playground Equip	12,000	2002
Foundation and Slab Repair	24,000	2002
Splashblock/Elbow Rplace/Repair	4,000	2002
Privacy Fences	10,000	2002
Repair/Replace Siding	27,000	2002
Repair/Replace Doors/Frames	10,000	2002
Replace/Repair Windows	12,000	2002
Paint Inter/Exterior	6,000	2002
Replace/Repair Floors	30,000	2002
Replace/Repair Shower enclosures	4,000	2002
Repair/Replace Walls	3,000	2002
Replace Kitchen/Bath Cabinets	9,000	2002
Replace Kitchen/Bath Countertops	12,000	2002
Stoves and Refrigerators	5,000	2002
Window Shades	5,000	2002
Repair/Replace Thermostats	1,200	2002
Repair/Replace Furnaces	18,000	2002
Repair/Replace Hot Water Heaters and Washer Housings	5,000	2002
Plumbing Repair	14,000	2002
Electrical Repair/Fixtures	12,000	2002
Repair/Replace Faucets	5,000	2002
A/C Repairs/Replacements	10,000	2002
Conduct Water Tests	3,000	2002
Energy Conservation Measures	6,000	2002
Roof Repairs	15,000	2002
Total estimated cost over next 5 years	301,200	

Table Library

5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
KS16PO53006	Scattered Sites	0	0	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)

Trim Boards	10,000	2002
Landscape/Care	5,000	2002
Termite Control	4,000	2002
Regrade/Retain Walls	5,000	2002
Clean Storm Sewers	12,000	2002
Clean/Resurface Parking Lots	3,000	2002
Playground Equip	12,000	2002
Foundation and Slab Repair	24,000	2002
Splashblock/Elbow Rplace/Repair	4,000	2002
Privacy Fences	10,000	2002
Repair/Replace Siding	27,000	2002
Repair/Replace Doors/Frames	10,000	2002
Replace/Repair Windows	12,000	2002
Paint Inter/Exterior	6,000	2002
Replace/Repair Floors	30,000	2002
Replace/Repair Shower enclosures	4,000	2002
Repair/Replace Walls	3,000	2002
Replace Kitchen/Bath Cabinets	9,000	2002
Replace Kitchen/Bath Countertops	12,000	2002
Stoves and Refrigerators	5,000	2002
Window Shades	5,000	2002
Repair/Replace Thermostats	1,200	2002
Repair/Replace Furnaces	18,000	2002
Repair/Replace Hot Water Heaters and Washer Housings	5,000	2002
Plumbing Repair	14,000	2002
Electrical Repair/Fixtures	12,000	2002
Repair/Replace Faucets	5,000	2002
A/C Repairs/Replacements	10,000	2002
Conduct Water Tests	3,000	2002
Energy Conservation Measures	6,000	2002
Roof Repairs	15,000	2002
Total estimated cost over next 5 years	301,200	

Table Library

5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
KS16PO53007	Scattered Sites	0	0	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)

Trim Boards	10,000	2002
Landscape/Care	5,000	2002
Termite Control	4,000	2002
Regrade/Retain Walls	5,000	2002
Clean Storm Sewers	12,000	2002
Clean/Resurface Parking Lots	3,000	2002
Playground Equip	12,000	2002
Foundation and Slab Repair	24,000	2002
Splashblock/Elbow Rplace/Repair	4,000	2002
Privacy Fences	10,000	2002
Repair/Replace Siding	27,000	2002
Repair/Replace Doors/Frames	10,000	2002
Replace/Repair Windows	12,000	2002
Paint Inter/Exterior	6,000	2002
Replace/Repair Floors	30,000	2002
Replace/Repair Shower enclosures	4,000	2002
Repair/Replace Walls	3,000	2002
Replace Kitchen/Bath Cabinets	9,000	2002
Replace Kitchen/Bath Countertops	12,000	2002
Stoves and Refrigerators	5,000	2002
Window Shades	5,000	2002
Repair/Replace Thermostats	1,200	2002
Repair/Replace Furnaces	18,000	2002
Repair/Replace Hot Water Heaters and Washer Housings	5,000	2002
Plumbing Repair	14,000	2002
Electrical Repair/Fixtures	12,000	2002
Repair/Replace Faucets	5,000	2002
A/C Repairs/Replacements	10,000	2002
Conduct Water Tests	3,000	2002
Energy Conservation Measures	6,000	2002
Roof Repairs	15,000	2002
Total estimated cost over next 5 years	301,200	

Table Library

5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
KS16PO53008	Petersen Acres	0	0	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)

Trim Boards	10,000	2002
Landscape/Care	5,000	2002
Termite Control	4,000	2002
Regrade/Retain Walls	5,000	2002
Clean Storm Sewers	12,000	2002
Clean/Resurface Parking Lots	3,000	2002
Playground Equip	12,000	2002
Foundation and Slab Repair	24,000	2002
Splashblock/Elbow Rplace/Repair	4,000	2002
Privacy Fences	10,000	2002
Repair/Replace Siding	27,000	2002
Repair/Replace Doors/Frames	10,000	2002
Replace/Repair Windows	12,000	2002
Paint Inter/Exterior	6,000	2002
Replace/Repair Floors	30,000	2002
Replace/Repair Shower enclosures	4,000	2002
Repair/Replace Walls	3,000	2002
Replace Kitchen/Bath Cabinets	9,000	2002
Replace Kitchen/Bath Countertops	12,000	2002
Stoves and Refrigerators	5,000	2002
Window Shades	5,000	2002
Repair/Replace Thermostats	1,200	2002
Repair/Replace Furnaces	18,000	2002
Repair/Replace Hot Water Heaters and Washer Housings	5,000	2002
Plumbing Repair	14,000	2002
Electrical Repair/Fixtures	12,000	2002
Repair/Replace Faucets	5,000	2002
A/C Repairs/Replacements	10,000	2002
Conduct Water Tests	3,000	2002
Energy Conservation Measures	6,000	2002
Roof Repairs	15,000	2002
Construct Maintenance Storage Shed	10,000	2002
Park Benches	4,000	2002
Total estimated cost over next 5 years	315,200	

Table Library

Table Library

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

- A. Amount of PHDEP Grant \$ 84,583
- B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R X
- C. FFY in which funding is requested 2001
- D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Lawrence-Douglas County Housing Authority's FY 2001 PHDEP plan will utilize comprehensive drug and crime prevention initiatives to increase safety and promote personal growth to support their Moving To Work Demonstration Project. Activities include on-site security, parent education and support, employment assistance, computer training, after school tutoring, and community outreach services.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Edgewood Homes	130	434
Babcock Place	120	131
Peterson Acres	25	24
Scattered Sites	94	388

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months _____ 12 Months _____ 18 Months X 24 Months _____ Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995 X	\$171,500	KSDEP0530195	0	None	Closed
FY 1996 X	\$171,500	KSDEP0530196	0	None	Closed
FY 1997 X	\$102,900	KSDEP0530197	0	None	Closed
FY1998 X	\$110,400	KSDEP0530198	\$22,132.55		11/20/00
FY 1999 X	\$ 81,158	KSDEP0530199	\$38,964.68		7/6/01

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

The Lawrence-Douglas County Housing Authority’s Drug Elimination Grant Program is designed to address the risk and protective factors related to drug use and crime in Edgewood Homes. This approach incorporates private security patrols and Neighborhood Watch programs with extensive prevention-based programs to educate and support residents and their families. Our goal is to provide a healthy and safe environment for all residents. This plan also includes community collaboration with local agencies to increase access to community services and resources.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 2000 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	
9120 - Security Personnel	\$ 8,000.00
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	\$76,583.00
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	
TOTAL PHDEP FUNDING	\$84,583.00

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9120 - Security Personnel						Total PHDEP Funding: \$8,000.00	
Goal(s)	Contract private security to conduct vehicle and foot patrols.						
Objectives	Reduce crime and increase resident perceptions of safety.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Contract w/ private company for onsite & security patrols.			4/00	5/01	\$8,000	\$8,000 CFP Grant	1.Complete 92 hours of foot patrols 2. Maintain a database for tracking number and types of incidents reported.
2.Neighborhood Watch			5/00	9/00		\$2500 In Kind Donations	1. Meetings will be held twice per year. 2. Increase resident perceptions of safety by 25%.

9160 - Drug Prevention						Total PHDEP Funding: \$76,583.00	
Goal(s)	Provide education, training, and support to families that will promote healthy lifestyles. Provide youth with positive structured educational and educational activities to foster leadership and self-empowerment.						
Objectives	Address risk factors associated with drug use and crime.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.Parent Education & Family Issues	50	Adults & Youth	1/00	6/01	\$21,947	\$15,025 In kind Donations \$8,000 ROSS-RSDM	1.Parent Education will be held 4 times per year. 2. Serve a minimum of 75 families with an 80% satisfaction rate.
2.Career Development & Skill Enhancement	30	Adults	1/00	6/01	\$14,398	\$11,280 In kind Donations \$7,000 ROSS-RSDM \$9,260 TOP	1. Serve a minimum of 125 individuals with a 70% success rate of securing full time employment. 2. Provide 25 individuals with computer training.

3.Youth Activities	100	Youth	1/00	6/01	\$25,605	\$17,800 In kind Donations	1.Track number of hours youth spend in pro-social activities. 2. Develop cultural and art based programs for all youth throughout the year. 3.Provide 20 scholarships to Parks & Recreation Programs.
4. Support Services	100	Adults & Youth	1/00	6/01	\$14,633	\$14,100 In Kind Donations \$19,500 LDCHA \$1,950 ROSS-RSDM	1.Institute system for tracking referrals. 2.Increase resident awareness of drug related issues by 15%. 3.Provide support to a minimum of 100 individuals and families.

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110				
9120	Activities 1,2	\$2,000.00	Activities 1,2	\$ 8,000.00
9130				
9140				
9150				
9160	Activities 1,2,3,4	\$19,145.00	Activities 1,2,3,4	\$76,583.00
9170				
9180				
9190				
TOTAL		\$21,145.00		\$84,583.00

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”