



STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF UNCLAIMED FUNDS

Estate Hold Harmless Form

In consideration of the payment of this claim, the Estate will reimburse to the Office of the State Comptroller and the State of New York the amount due to any additional persons who are entitled to these funds. Under penalty of perjury, I certify that the information on this affidavit is true and correct and that the number shown on this affidavit is the correct Taxpayer Identification Number.

ESTATE OF _____

*** ESTATE TAX IDENTIFICATION NUMBER

SIGNATURE OF ESTATE REPRESENTATIVE

CURRENT ADDRESS

DAYTIME TELEPHONE NUMBER

CITY STATE ZIP

EMAIL ADDRESS (if available)

SWORN TO BEFORE ME THIS _____

DAY OF _____, 20 _____

NOTARY PUBLIC

***If there is a court appointed estate representative, the IRS requires an Estate Tax Identification Number (EIN) for estate refunds that may have tax implications. If the estate does not already have an EIN, you may call the IRS at 1-800-829-1040 and request a SS-4 application form. You may also initiate this process by visiting the IRS Internet website at WWW.IRS.GOV. Just enter "EIN" in the keyword search section to locate the form. After you provide the required information, the IRS will provide you with an EIN. Without an EIN, we will not be able to proceed with your claim.

NYS PERSONAL PRIVACY PROTECTION LAW NOTIFICATION: In accordance with the requirements of the NYS Personal Privacy Protection Law, you are advised that the personal information requested on this form is being requested by the NYS Comptroller's Office of Unclaimed Funds (OUF). The OUF is authorized to collect this information under the Comptroller's authority under Section 1406 of the NYS Abandoned Property Law to process claims to abandoned property. Please note that the disclosure of your Social Security Number and Date of Birth on this form is completely voluntary and your claim will be processed even if your Social Security Number and/or Date of Birth is not disclosed. However, in certain cases the Comptroller is required to report the transaction, including your Social Security Number, to the Internal Revenue Service and other taxing authorities. If we determine that your claim is subject to such a requirement, and you do not provide your Social Security Number at this time, we will require that you provide such information prior to payment. The personal information that is being requested, including your Social Security Number and Date of Birth, will be used by the OUF to verify your identity and your entitlement to the property being claimed. Your failure to provide this personal information may result in further processing time for your claim, and could, in some circumstances, result in denial of the claim where you are not otherwise able to document your identity or entitlement to the property held by the OUF. The personal information being provided will be maintained in the Unclaimed Funds Processing System which is under the direction of the Director of Services of the OUF, 110 State Street, Albany, NY 12236.