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HEALTH QUARTERLY STATEMENT

As of September 30, 2006

of the Condition and Affairs of the

United HealthCare of Arkansas, Inc.

NAIC Group Code.....0707, 0707 (Current Period) (Prior Period) NAIC Company Code..... 95446 Employer's ID Number..... 63-1036819

Organized under the Laws of Arkansas State of Domicile or Port of Entry Arkansas Country of Domicile US

Licensed as Business Type Health Maintenance Organization Is HMO Federally Qualified? Yes [ ] No [X]

Incorporated/Organized..... September 27, 1990 Commenced Business..... April 1, 1992

Statutory Home Office	415 North McKinley Street, Suite 300….. Little Rock ..... AR ..... 72205 *(Street and Number) (City or Town, State and Zip Code)*	
Main Administrative Office	415 North McKinley Street, Suite 300….. Little Rock ..... AR ..... 72205 *(Street and Number) (City or Town, State and Zip Code)*	501-664-7700 *(Area Code) (Telephone Number)*
Mail Address	10 Cadillac Drive, Suite 200….. Brentwood ..... TN ..... 37027 *(Street and Number or P. O. Box) (City or Town, State and Zip Code)*	
Primary Location of Books and Records	10 Cadillac Drive, Suite 200….. Brentwood ..... TN ..... 37027 *(Street and Number) (City or Town, State and Zip Code)*	615-372-3622 *(Area Code) (Telephone Number)*
Internet Website Address	www.uhc.com	
Statutory Statement Contact	James Wesley Waters *(Name)*	615-372-3597 *(Area Code) (Telephone Number) (Extension)*
	james_w_waters@uhc.com *(E-Mail Address)*	615-372-3640 *(Fax Number)*
Policyowner Relations Contact	6601 Centerville Business Parkway….. Dayton ..... OH ..... 45459 *(Street and Number) (City or Town, State and Zip Code)*	800-357-1371 *(Area Code) (Telephone Number) (Extension)*

OFFICERS

Name	Title	Name	Title
1. Garland Greeven Scott III	Chairman/President/CEO	2. Juanita Valarae Bolland Luis	Assistant Secretary
3. Donald Alan Powers	VP-Finance/Assistant Treasurer		

OTHER

Robert Worth Oberrender	Treasurer	David Scott Wichmann	VP/Assistant Treasurer
David James Lubben	Assistant Secretary	Timothy Gilbert Caron	Assistant Secretary
Mary Lynn Stanislav	Assistant Secretary	Forrest Gregory Burke	Secretary

DIRECTORS OR TRUSTEES

Garland Greeven Scott III Robert John Sheehy Amy Kathryn Knapp

State of Tennessee County of Williamson	State of Minnesota County of Hennepin	State of Minnesota County of Hennepin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Garland Greeven Scott III	Juanita Valarae Bolland Luis	Donald Alan Powers
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
Chairman/President/CEO	Assistant Secretary	VP-Finance/Assistant Treasurer
(Title)	(Title)	(Title)

Subscribed and sworn to before me	Subscribed and sworn to before me	Subscribed and sworn to before me
This ________ day of ___________________	This _________ day of ___________________	This _______ day of __________________
(Signature)	(Signature)	(Signature)

a. Is this an original filing? Yes [X] No [ ]

b. If no
1. State the amendment number
2. Date filed
3. Number of pages attached

ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1. Bonds	308,431		308,431	6,356,024
2. Stocks:				
2.1 Preferred stocks			0	
2.2 Common stocks			0	
3. Mortgage loans on real estate:				
3.1 First liens			0	
3.2 Other than first liens			0	
4. Real estate:				
4.1 Properties occupied by the company (less $..........0 encumbrances)			0	
4.2 Properties held for the production of income (less $..........0 encumbrances)			0	
4.3 Properties held for sale (less $..........0 encumbrances)			0	
5. Cash ($.....(43,797)), cash equivalents ($.....7,990,500) and short-term investments ($.....3,657,475)	11,604,178		11,604,178	10,125,682
6. Contract loans (including $..........0 premium notes)			0	
7. Other invested assets			0	
8. Receivables for securities			0	
9. Aggregate write-ins for invested assets	0	0	0	0
10. Subtotals, cash and invested assets (Lines 1 to 9)	11,912,609	0	11,912,609	16,481,706
11. Title Plants less $..........0 charged off (for Title insurers only)			0	
12. Investment income due and accrued	2,347		2,347	81,987
13. Premiums and considerations:				
13.1 Uncollected premiums and agents' balances in the course of collection	14,174		14,174	27,875
13.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $..........0 earned but unbilled premiums)			0	
13.3 Accrued retrospective premiums			0	
14. Reinsurance:				
14.1 Amounts recoverable from reinsurers			0	
14.2 Funds held by or deposited with reinsured companies			0	
14.3 Other amounts receivable under reinsurance contracts			0	
15. Amounts receivable relating to uninsured plans			0	
16.1 Current federal and foreign income tax recoverable and interest thereon			0	
16.2 Net deferred tax asset	222,183		222,183	80,351
17. Guaranty funds receivable or on deposit			0	
18. Electronic data processing equipment and software			0	
19. Furniture and equipment, including health care delivery assets ($..........0)			0	
20. Net adjustment in assets and liabilities due to foreign exchange rates			0	
21. Receivables from parent, subsidiaries and affiliates			0	626,833
22. Health care ($.....396,425) and other amounts receivable	396,425	396,425	0	51,817
23. Aggregate write-ins for other than invested assets	212,358	8,809	203,549	0
24. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 10 through 23)	12,760,096	405,234	12,354,862	17,350,569
25. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
26. TOTALS (Lines 24 and 25)	12,760,096	405,234	12,354,862	17,350,569

DETAILS OF WRITE-INS

0901.			0	
0902.			0	
0903.			0	
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9 above)	0	0	0	0
2301. Prepaid Expenses	8,809	8,809	0	
2302. Prepaid Taxes	203,549		203,549	
2303.			0	
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above)	212,358	8,809	203,549	0

LIABILITIES, CAPITAL AND SURPLUS

	Current Period 1 Covered	2 Uncovered	3 Total	Prior Year 4 Total
1. Claims unpaid (less $..........0 reinsurance ceded)	2,794,563		2,794,563	4,341,270
2. Accrued medical incentive pool and bonus amounts			0	
3. Unpaid claims adjustment expenses			0	
4. Aggregate health policy reserves	176,705		176,705	59,000
5. Aggregate life policy reserves			0	
6. Property/casualty unearned premium reserve			0	
7. Aggregate health claim reserves	153,494		153,494	184,162
8. Premiums received in advance	995,682		995,682	540,262
9. General expenses due or accrued	277,934		277,934	173,483
10.1 Current federal and foreign income tax payable and interest thereon (including $..........0 on realized gains (losses))	444,343		444,343	24,540
10.2 Net deferred tax liability			0	
11. Ceded reinsurance premiums payable			0	
12. Amounts withheld or retained for the account of others	20,391		20,391	3,063
13. Remittances and items not allocated			0	
14. Borrowed money (including $..........0 current) and interest thereon $..........0 (including $..........0 current)			0	
15. Amounts due to parent, subsidiaries and affiliates	511,084		511,084	
16. Payable for securities			0	
17. Funds held under reinsurance treaties with ($..........0 authorized reinsurers and $..........0 unauthorized reinsurers)			0	
18. Reinsurance in unauthorized companies			0	
19. Net adjustments in assets and liabilities due to foreign exchange rates			0	
20. Liability for amounts held under uninsured plans	255,744		255,744	
21. Aggregate write-ins for other liabilities (including $..........0 current)	0	0	0	0
22. Total liabilities (Lines 1 to 21)	5,629,940	0	5,629,940	5,325,780
23. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
24. Common capital stock	XXX	XXX	100,000	100,000
25. Preferred capital stock	XXX	XXX		
26. Gross paid in and contributed surplus	XXX	XXX	5,470,954	5,470,954
27. Surplus notes	XXX	XXX		
28. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
29. Unassigned funds (surplus)	XXX	XXX	1,153,968	6,453,835
30. Less treasury stock, at cost:				
30.1 .....0.000 shares common (value included in Line 24 $..........0)	XXX	XXX		
30.2 .....0.000 shares preferred (value included in Line 25 $..........0)	XXX	XXX		
31. Total capital and surplus (Lines 23 to 29 minus Line 30)	XXX	XXX	6,724,922	12,024,789
32. Total liabilities, capital and surplus (Lines 22 and 31)	XXX	XXX	12,354,862	17,350,569

DETAILS OF WRITE-INS

2101.			0	
2102.			0	
2103.			0	
2198. Summary of remaining write-ins for Line 21 from overflow page	0	0	0	0
2199. Totals (Lines 2101 thru 2103 plus 2198) (Line 21 above)	0	0	0	0
2301.				
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	XXX	XXX	0	0
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above)	XXX	XXX	0	0
2801.				
2802.				
2803.				
2898. Summary of remaining write-ins for Line 28 from overflow page	XXX	XXX	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year to Date 1 Uncovered	Current Year to Date 2 Total	Prior Year to Date 3 Total
1. Member months	XXX	73,298	143,370
2. Net premium income (including $..........0 non-health premium income)	XXX	23,595,910	37,556,017
3. Change in unearned premium reserves and reserve for rate credits	XXX		13,386
4. Fee-for-service (net of $..........0 medical expenses)	XXX		
5. Risk revenue	XXX		
6. Aggregate write-ins for other health care related revenues	XXX	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0
8. Total revenues (Lines 2 to 7)	XXX	23,595,910	37,569,403
Hospital and Medical:			
9. Hospital/medical benefits		12,978,236	23,219,077
10. Other professional services		28,043	50,731
11. Outside referrals			
12. Emergency room and out-of-area			
13. Prescription drugs		2,639,380	4,149,831
14. Aggregate write-ins for other hospital and medical	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts			
16. Subtotal (Lines 9 to 15)	0	15,645,659	27,419,639
Less:			
17. Net reinsurance recoveries			222,994
18. Total hospital and medical (Lines 16 minus 17)	0	15,645,659	27,196,645
19. Non-health claims (net)			
20. Claims adjustment expenses, including $.....51,478 cost containment expenses		572,212	988,281
21. General administrative expenses		3,606,720	5,530,724
22. Increase in reserves for life and accident and health contracts (including $..........0 increase in reserves for life only)		57,150	
23. Total underwriting deductions (Lines 18 through 22)	0	19,881,741	33,715,650
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	3,714,169	3,853,753
25. Net investment income earned		620,434	682,005
26. Net realized capital gains (losses) less capital gains tax of $..........0		81,932	74,369
27. Net investment gains or (losses) (Lines 25 plus 26)	0	702,366	756,374
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered $..........0) (amount charged off $..........0)]			
29. Aggregate write-ins for other income or expenses	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	4,416,535	4,610,127
31. Federal and foreign income taxes incurred	XXX	1,498,000	1,518,949
32. Net income (loss) (Lines 30 minus 31)	XXX	2,918,535	3,091,178

DETAILS OF WRITE-INS

0601.	XXX		
0602.	XXX		
0603.	XXX		
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	XXX	0	0
0701.	XXX		
0702.	XXX		
0703.	XXX		
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0
0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)	XXX	0	0
1401.			
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)	0	0	0
2901.			
2902.			
2903.			
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above)	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

CAPITAL AND SURPLUS ACCOUNT	1 Current Year to Date	2 Prior Year To Date	3 Prior Year
33. Capital and surplus prior reporting year	12,024,789	13,991,260	13,991,260
34. Net income or (loss) from Line 32	2,918,535	3,091,178	4,068,046
35. Change in valuation basis of aggregate policy and claim reserves			
36. Change in net unrealized capital gains (losses) less capital gains tax of $..........0			
37. Change in net unrealized foreign exchange capital gain or (loss)			
38. Change in net deferred income tax	141,832	(1,003)	18,033
39. Change in nonadmitted assets	(360,234)	3,501,352	3,547,450
40. Change in unauthorized reinsurance			
41. Change in treasury stock			
42. Change in surplus notes			
43. Cumulative effect of changes in accounting principles			
44. Capital changes:			
44.1 Paid in			
44.2 Transferred from surplus (Stock Dividend)			
44.3 Transferred to surplus			
45. Surplus adjustments:			
45.1 Paid in			
45.2 Transferred to capital (Stock Dividend)			
45.3 Transferred from capital			
46. Dividends to stockholders	(8,000,000)	(9,600,000)	(9,600,000)
47. Aggregate write-ins for gains or (losses) in surplus	0	0	0
48. Net change in capital and surplus (Lines 34 to 47)	(5,299,867)	(3,008,473)	(1,966,471)
49. Capital and surplus end of reporting period (Line 33 plus 48)	6,724,922	10,982,787	12,024,789

DETAILS OF WRITE-INS

4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 thru 4703 plus 4798) (Line 47 above)	0	0	0

CASH FLOW

		1 Current Year to Date	2 Prior Year Ended December 31
	CASH FROM OPERATIONS		
1.	Premiums collected net of reinsurance	24,691,865	49,336,290
2.	Net investment income	713,998	1,015,906
3.	Miscellaneous income		
4.	Total (Lines 1 through 3)	25,405,863	50,352,196
5.	Benefit and loss related payments	18,086,118	36,641,588
6.	Net transfers to Separate, Segregated Accounts and Protected Cell Accounts		
7.	Commissions, expenses paid and aggregate write-ins for deductions	3,407,065	8,809,404
8.	Dividends paid to policyholders		
9.	Federal and foreign income taxes paid (recovered) $..........0 net tax on capital gains (losses)	1,078,197	1,849,474
10.	Total (Lines 5 through 9)	22,571,380	47,300,466
11.	Net cash from operations (Line 4 minus Line 10)	2,834,483	3,051,730
	CASH FROM INVESTMENTS		
12.	Proceeds from investments sold, matured or repaid:		
	12.1 Bonds	11,693,029	13,332,839
	12.2 Stocks		
	12.3 Mortgage loans		
	12.4 Real estate		
	12.5 Other invested assets		
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments		
	12.7 Miscellaneous proceeds		
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	11,693,029	13,332,839
13.	Cost of investments acquired (long-term only):		
	13.1 Bonds	5,577,429	8,320,043
	13.2 Stocks		
	13.3 Mortgage loans		
	13.4 Real estate		
	13.5 Other invested assets		
	13.6 Miscellaneous applications		
	13.7 Total investments acquired (Lines 13.1 to 13.6)	5,577,429	8,320,043
14.	Net increase (decrease) in contract loans and premium notes		
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	6,115,600	5,012,796
	CASH FROM FINANCING AND MISCELLANEOUS SOURCES		
16.	Cash provided (applied):		
	16.1 Surplus notes, capital notes		
	16.2 Capital and paid in surplus, less treasury stock		
	16.3 Borrowed funds		
	16.4 Net deposits on deposit-type contracts and other insurance liabilities		
	16.5 Dividends to stockholders	8,000,000	9,600,000
	16.6 Other cash provided (applied)	528,412	3,069,737
17.	Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	(7,471,588)	(6,530,263)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18.	Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	1,478,496	1,534,263
19.	Cash, cash equivalents and short-term investments:		
	19.1 Beginning of year	10,125,682	8,591,419
	19.2 End of period (Line 18 plus Line 19.1)	11,604,178	10,125,682

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	11	12	13
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Stop Loss	Disability Income	Long-Term Care	Other
Total Members at End of:													
1. Prior Year	13,944		13,704					240					
2. First Quarter	8,655		8,293					362					
3. Second Quarter	7,934		7,501					433					
4. Third Quarter	7,229		6,790					439					
5. Current Year	0												
6. Current Year Member Months	73,298		69,806					3,492					
Total Member Ambulatory Encounters for Period:													
7. Physician	62,395		57,805					4,590					
8. Non-Physician	6,004		4,500					1,504					
9. Total	68,399	0	62,305	0	0	0	0	6,094	0	0	0	0	0
10. Hospital Patient Days Incurred	1,820		1,820										
11. Number of Inpatient Admissions	444		444										
12. Health Premiums Written	23,619,559		21,415,850					2,203,709					
13. Life Premiums Direct	0												
14. Property/Casualty Premiums Written	0												
15. Health Premiums Earned	23,619,559		21,415,850					2,203,709					
16. Property/Casualty Premiums Earned	0												
17. Amount Paid for Provision of Health Care Services	17,156,965		16,138,637					1,018,328					
18. Amount Incurred for Provision of Health Care Services	15,645,657		14,428,047					1,217,610					

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0299999. Aggregate Accounts Not Individually Listed-Uncovered	113,649	5,634	4,248	566	17,737	141,834
0399999. Aggregate Accounts Not Individually Listed-Covered	896,557	44,444	33,511	4,464	139,927	1,118,903
0499999. Subtotals	1,010,206	50,078	37,759	5,030	157,664	1,260,737
0599999. Unreported Claims and Other Claim Reserves						1,533,826
0799999. Total Claims Unpaid						2,794,563

UNDERWRITING AND INVESTMENT EXHIBIT

Analysis of Claims Unpaid - Prior Year - Net of Reinsurance

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid December 31 of Prior Year	4 On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Estimated Claim Reserve and Claim Liability December 31 of Prior Year
1. Comprehensive (hospital and medical)	2,965,478	13,173,159	93,911	2,337,511	3,059,389	4,181,817
2. Medicare Supplement					0	
3. Dental only					0	
4. Vision only					0	
5. Federal Employees Health Benefits Plan Premiums					0	
6. Title XVIII - Medicare	71,216	947,112	3,140	513,495	74,356	343,614
7. Title XIX - Medicaid					0	
8. Other health					0	
9. Health subtotal (Lines 1 to 8)	3,036,694	14,120,271	97,051	2,851,006	3,133,745	4,525,431
10. Healthcare receivables (a)	2,684	(15,623)		(8,130)	2,684	44,998
11. Other non-health					0	
12. Medical incentive pools and bonus amounts					0	
13. Totals	3,034,010	14,135,894	97,051	2,859,136	3,131,061	4,480,433

(a) Excludes $..........0 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies

No significant change.

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

No significant change.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries and Affiliates

No significant change.

Note 11 - Debt

No significant change.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company did not participate in any transfer of receivables, financial assets, or wash sales.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - September 11 Events

No significant change.

Note 21 - Other Items

No significant change.

Note 22 - Events Subsequent

No significant change.

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

United HealthCare of Arkansas, Inc. (the "Company") has Medicare business which is subject to a retrospective rating feature related to Part D premiums. The Company has estimated accrued retrospective premiums related to Part D premiums based on guidelines determined by the Centers for Medicare and Medicaid Services (CMS). The formula is tiered and based on bid medical loss ratio. As of September 30, 2006, the amount of Part D premium subject to retrospective rating was $284,000 representing 1.20% of total net premiums written.

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

No significant change.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 30 - Premium Deficiency Reserves

No significant change.

Note 31 - Anticipated Salvage and Subrogation

No significant change.

GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since prior year end unless otherwise noted)

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change: ....

3. Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
If yes, complete the Schedule Y-Part 1 - Organizational chart.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2005........................

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2002........................

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/11/2004........................

6.4 By what department or departments?

Arkansas Insurance Department

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No [ ]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Office of Thrift Supervision (OTS), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 OTS	6 FDIC	7 SEC
Exante Bank	Salt Lake City, Utah	NO	NO	NO	YES	NO

FINANCIAL

9.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

9.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount. ....

INVESTMENT

10.1 Has there been any change in the reporting entity's own preferred or common stock? Yes [ ] No [X]

10.2 If yes, explain:............

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $.....................................0

13. Amount of real estate and mortgages held in short-term investments: $.....................................0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since prior year end unless otherwise noted)

PART 1 - COMMON INTERROGATORIES

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Statement Value
14.21 Bonds	$0	$0
14.22 Preferred Stock	$0	$0
14.23 Common Stock	$0	$0
14.24 Short-Term Investments	$0	$0
14.25 Mortgages, Loans or Real Estate	$0	$0
14.26 All Other	$0	$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$0	$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	$0	$0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]
If no, attach a description with this statement.

16. Excluding items in Schedule E, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Part 1-General, Section IV. H-Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
State Street Bank	801 Pennsylvania, Kansas City, MO 64105

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter? Yes [ ] No [X]

16.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

16.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
104518	Deutsche Investment Management Americas Inc.	345 Park Avenue, New York, NY 10154

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No [ ]

17.2 If no, list exceptions:

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	
2. Increase (decrease) by adjustment		
3. Cost of acquired		
4. Cost of additions to and permanent improvements		
5. Total profit (loss) on sales		
6. Increase (decrease) by foreign exchange adjustment		
7. Amount received on sales		
8. Book/adjusted carrying value at end of current period	0	0
9. Total valuation allowance		
10. Subtotal (Lines 8 plus 9)	0	0
11. Total nonadmitted amounts		
12. Statement value, current period (Page 2, real estate lines, net admitted assets column)	0	0

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest on mortgages owned, December 31 of prior year	0	
2. Amount loaned during period:		
2.1 Actual cost at time of acquisitions		
2.2 Additional investment made after acquisitions		
3. Accrual of discount and mortgage interest points and commitment fees		
4. Increase (decrease) by adjustment		
5. Total profit (loss) on sale		
6. Amounts paid on account or in full during the period		
7. Amortization of premium		
8. Increase (decrease) by foreign exchange adjustment		
9. Book value/recorded investment excluding accrued interest on mortgages owned at end of current period	0	0
10. Total valuation allowance		
11. Subtotal (Lines 9 plus 10)	0	0
12. Total nonadmitted amounts		
13. Statement value of mortgages owned at end of current period (Page 2, mortgage lines, net admitted assets column)	0	0

NONE

SCHEDULE BA - VERIFICATION

Other Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of long-term invested assets owned, December 31 of prior year	0	
2. Cost of acquisitions during period:		
2.1 Actual cost at time of acquisitions		
2.2 Additional investment made after acquisitions		
3. Accrual of discount		
4. Increase (decrease) by adjustment		
5. Total profit (loss) on sale		
6. Amounts paid on account or in full during the period		
7. Amortization of premium		
8. Increase (decrease) by foreign exchange adjustment		
9. Book adjusted/carrying value of long-term invested assets at end of current period	0	0
10. Total valuation allowance		
11. Subtotal (Lines 9 plus 10)	0	0
12. Total nonadmitted amounts		
13. Statement value of long-term invested assets at end of current period (Page 2, Line 7, Column 3)	0	0

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	6,356,023	11,390,930
2. Cost of bonds and stocks acquired	5,577,429	8,320,043
3. Accrual of discount	23,344	8,318
4. Increase (decrease) by adjustment		
5. Increase (decrease) by foreign exchange adjustment		
6. Total profit (loss) on disposal	83,269	69,612
7. Consideration for bonds and stocks disposed of	11,693,029	13,332,839
8. Amortization of premium	38,605	100,041
9. Book/adjusted carrying value, current period	308,431	6,356,023
10. Total valuation allowance		
11. Subtotal (Lines 9 plus 10)	308,431	6,356,023
12. Total nonadmitted amounts		
13. Statement value	308,431	6,356,023

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1	17,344,840	27,490,767	32,891,568	12,367	16,598,891	17,344,840	11,956,406	16,143,183
2. Class 2	493,037		493,619	582	492,423	493,037		391,017
3. Class 3								
4. Class 4								
5. Class 5								
6. Class 6								
7. Total Bonds	17,837,877	27,490,767	33,385,187	12,949	17,091,314	17,837,877	11,956,406	16,534,200
PREFERRED STOCK								
8. Class 1								
9. Class 2								
10. Class 3								
11. Class 4								
12. Class 5								
13. Class 6								
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	17,837,877	27,490,767	33,385,187	12,949	17,091,314	17,837,877	11,956,406	16,534,200

SCHEDULE DA - PART 1

Short-Term Investments Owned End of Current Quarter

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
8299999. Totals	3,657,475	XXX	3,657,475	46,393	

SCHEDULE DA - PART 2 - Verification

Short-Term Investments Owned

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	4,284,513	1,156,151
2. Cost of short-term investments acquired	21,928,314	41,033,980
3. Increase (decrease) by adjustment	12,235	3,500,525
4. Increase (decrease) by foreign exchange adjustment		
5. Total profit (loss) on disposal of short-term investments	(1,337)	
6. Consideration received on disposal of short-term investments	22,566,250	41,406,143
7. Book/adjusted carrying value, current period	3,657,475	4,284,513
8. Total valuation allowance		
9. Subtotal (Lines 7 plus 8)	3,657,475	4,284,513
10. Total nonadmitted amounts		
11. Statement value (Lines 9 minus 10)	3,657,475	4,284,513
12. Income collected during period	46,393	185,246
13. Income earned during period	46,393	185,246

SCHEDULE DB - PART F - SECTION 1

Replicated (Synthetic) Assets Open

Replicated (Synthetic) Asset					Components of the Replicated (Synthetic) Asset						
					Derivative Instruments Open		Cash Instrument(s) Held				
1	2	3	4	5	6	7	8	9	10	11	12
Replication RSAT Number	Description	NAIC Designation or Other Description	Statement Value	Fair Value	Description	Fair Value	CUSIP	Description	Statement Value	Fair Value	NAIC Designation or Other Description

NONE

SCHEDULE DB - PART F - SECTION 2

Reconciliation of Replicated (Synthetic) Assets Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year-To-Date	
	1 Number of Positions	2 Total Replicated (Synthetic) Assets Statement Value	3 Number of Positions	4 Total Replicated (Synthetic) Assets Statement Value	5 Number of Positions	6 Total Replicated (Synthetic) Assets Statement Value	7 Number of Positions	8 Total Replicated (Synthetic) Assets Statement Value	9 Number of Positions	10 Total Replicated (Synthetic) Assets Statement Value
1. Beginning Inventory			0	0	0	0	0	0	0	0
2. Add: Opened or Acquired Transactions									0	0
3. Add: Increases in Replicated Asset Statement Value	XXX		XXX		XXX		XXX		XXX	0
4. Less: Closed or Disposed of Transactions									0	0
5. Less: Positions Disposed of for Failing Effectiveness Criteria									0	0
6. Less: Decreases in Replicated (Synthetic) Asset Statement Value	XXX		XXX		XXX		XXX		XXX	0
7. Ending inventory	0	0	0	0	0	0	0	0	0	0

NONE

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Name of Reinsurer	4 Location	5 Is Insurer Authorized? (YES or NO)

NONE

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

State, Etc.	1 Guaranty Fund (Yes or No)	2 Is Insurer Licensed? (Yes or No)	Direct Business Only Year to Date: 3 Accident and Health Premiums	4 Medicare Title XVIII	5 Medicaid Title XIX	6 Federal Employees Health Benefits Program Premiums	7 Life and Annuity Premiums and Deposit-Type Contract Funds	8 Property/Casualty Premiums
1. Alabama AL	NO	NO						
2. Alaska AK	NO	NO						
3. Arizona AZ	NO	NO						
4. Arkansas AR	NO	YES	21,415,850	2,203,709				
5. California CA	NO	NO						
6. Colorado CO	NO	NO						
7. Connecticut CT	NO	NO						
8. Delaware DE	NO	NO						
9. District of Columbia DC	NO	NO						
10. Florida FL	NO	NO						
11. Georgia GA	NO	NO						
12. Hawaii HI	NO	NO						
13. Idaho ID	NO	NO						
14. Illinois IL	NO	NO						
15. Indiana IN	NO	NO						
16. Iowa IA	NO	NO						
17. Kansas KS	NO	NO						
18. Kentucky KY	NO	NO						
19. Louisiana LA	NO	NO						
20. Maine ME	NO	NO						
21. Maryland MD	NO	NO						
22. Massachusetts MA	NO	NO						
23. Michigan MI	NO	NO						
24. Minnesota MN	NO	NO						
25. Mississippi MS	NO	NO						
26. Missouri MO	NO	NO						
27. Montana MT	NO	NO						
28. Nebraska NE	NO	NO						
29. Nevada NV	NO	NO						
30. New Hampshire NH	NO	NO						
31. New Jersey NJ	NO	NO						
32. New Mexico NM	NO	NO						
33. New York NY	NO	NO						
34. North Carolina NC	NO	NO						
35. North Dakota ND	NO	NO						
36. Ohio OH	NO	NO						
37. Oklahoma OK	NO	NO						
38. Oregon OR	NO	NO						
39. Pennsylvania PA	NO	NO						
40. Rhode Island RI	NO	NO						
41. South Carolina SC	NO	NO						
42. South Dakota SD	NO	NO						
43. Tennessee TN	NO	NO						
44. Texas TX	NO	NO						
45. Utah UT	NO	NO						
46. Vermont VT	NO	NO						
47. Virginia VA	NO	NO						
48. Washington WA	NO	NO						
49. West Virginia WV	NO	NO						
50. Wisconsin WI	NO	NO						
51. Wyoming WY	NO	NO						
52. American Samoa AS	NO	NO						
53. Guam GU	NO	NO						
54. Puerto Rico PR	NO	NO						
55. U.S. Virgin Islands VI	NO	NO						
56. Northern Mariana Islands MP	NO	NO						
57. Canada CN	NO	NO						
58. Aggregate Other alien OT	XXX	XXX	0	0	0	0	0	0
59. Subtotal	XXX	XXX	21,415,850	2,203,709	0	0	0	0
60. Reporting entity contributions for Employee Benefit Plans	XXX	XXX						
61. Total (Direct Business)	XXX	(a) 1	21,415,850	2,203,709	0	0	0	0

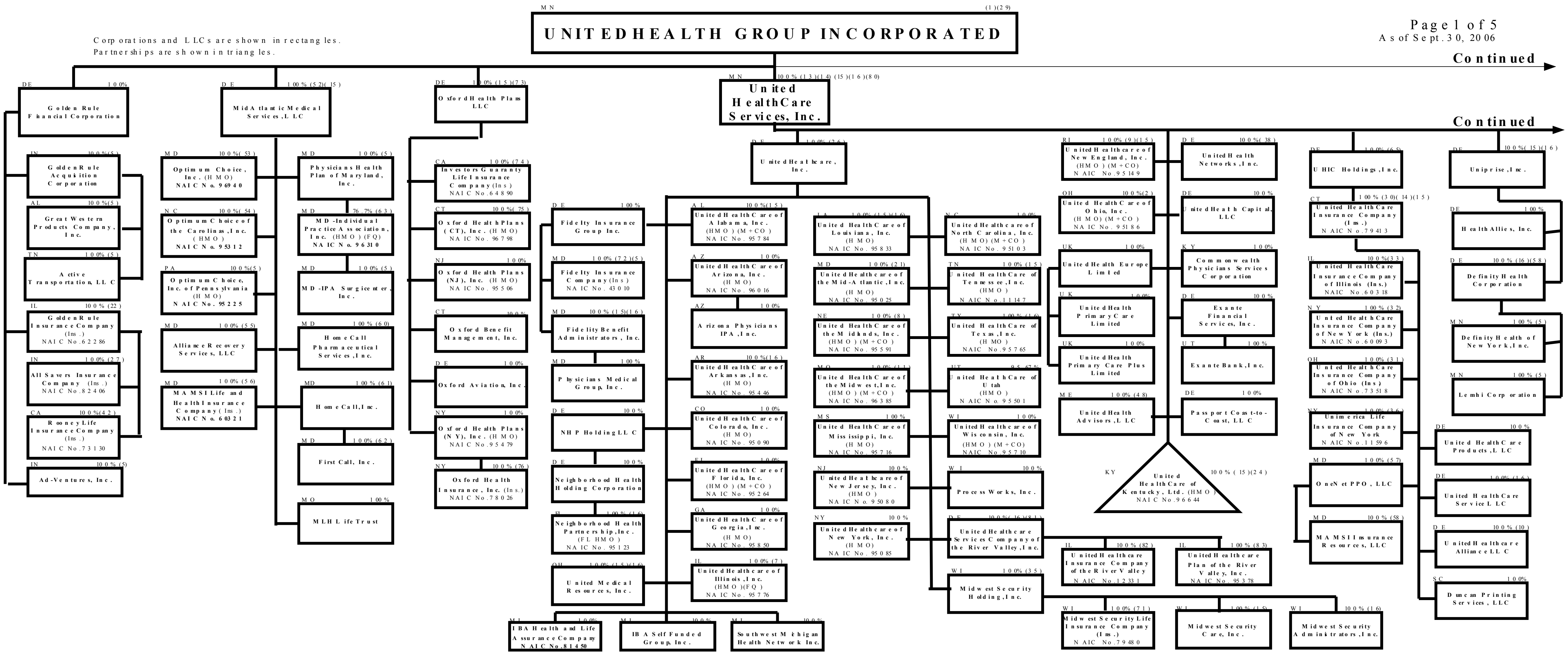
DETAILS OF WRITE-INS

	3	4	5	6	7	8
5801.						
5802.						
5803.						
5898. Summary of remaining write-ins for line 58 from overflow page	0	0	0	0	0	0
5899. Total (Lines 5801 thru 5803 plus 5898) (Line 58 above)	0	0	0	0	0	0

(a) Insert the number of yes responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



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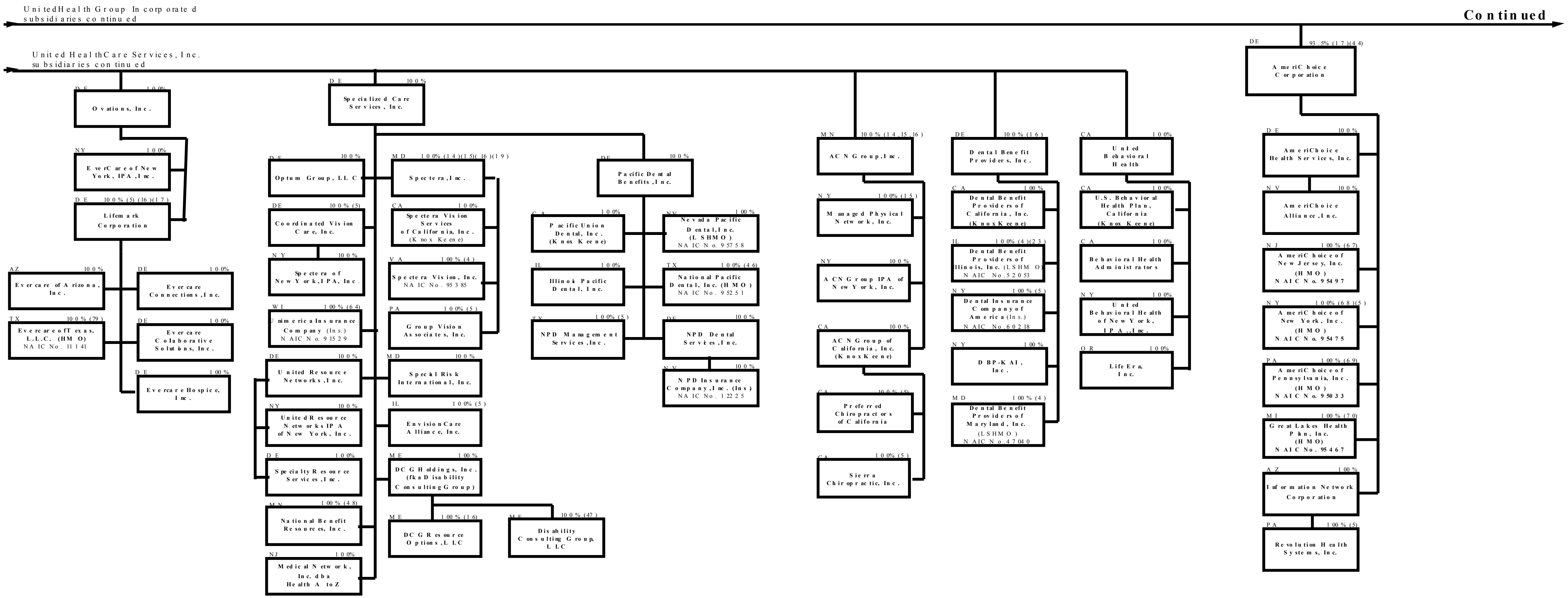
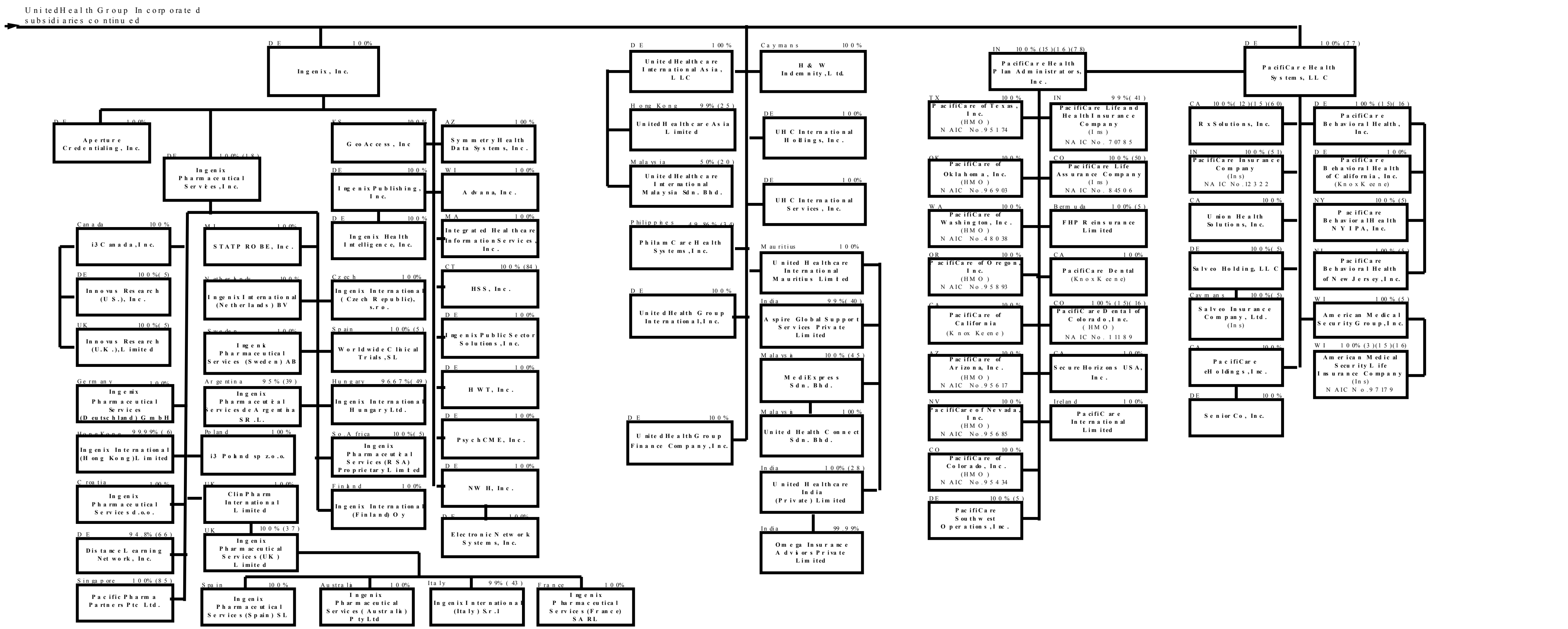
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



12 **(1) UnitedHealth Group Incorporated** ("UHG") (d/b/a UnitedHealth Group) is a Minnesota corporation whose shares of common stock are listed on the NYSE (i.e., it is publicly held). Name was changed from United

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

HealthCare Corporation on March 6, 2000. It only does business in MN. It is the ultimate parent company of all the other UnitedHealth Group entities. It is not licensed as anything, i.e., it is not an HMO, insurance company, TPA, PPO, etc. It is a holding company. It should not be the party to any contract except for certain limited situations. This is not the entity that (i) manages or directly owns the HMOs (that is, for the most part, United HealthCare Services, Inc. ("UHS") for management and UHS or UnitedHealthcare, Inc. for ownership), or (ii) offers the ASO, PPO, or other products (that is usually United HealthCare Insurance Company).

(2) d/b/a: Western Ohio Health Care Corporation; also licensed in Kentucky.

(3) Licensed as a life, accident and health insurance company in AL, AR, AZ, CA, CO, DC, DE, FL, GA, IA, ID, IL, IN, KS, KY, LA, MD, MI, MN, MO, MS, MT, NC, ND, NE, NM, NV, OH, OK, OR, PA, SC, SD, TN, TX, UT, VA, WA, WI, WV, & WY.

(4) Limited or single service health Plan ("LSHMO"). Spectera Vision, Inc. is licensed as LSHMO in VA and IN.

(5) This entity will dissolve or merge with another UHG legal entity, subject to any required regulatory approval.

(6) Ingenix, Inc. owns .01%. Established a representative office in Beijing, China.

(7) United HealthCare of Illinois, Inc. (DE domicile) merged into UnitedHealthcare (Newco), Inc. (IL domicile) in order to redomesticate to IL and changed its name to UnitedHealthcare of Illinois, Inc. effective 5/31/02. Also licensed in Indiana.

(8) Licensed in Iowa and Nebraska.

(9) Licensed in Rhode Island and Massachusetts.

(10) UnitedHealthcare of Minnesota, Inc. merged into UnitedHealthcare Alliance LLC effective 12/31/02. This LLC holds the intangible assets of UnitedHealthcare and is the employer of its top management.

(11) Licensed in Missouri, Illinois and Kansas.

(12) Dba Prescription Solutions. Licensed as Pharmacy and TPA in many states.

(13) United HealthCare Services, Inc. ("UHS") (formerly UHC Management Company, Inc. and before that Charter Med, Inc.) is a Minnesota corporation and wholly owned subsidiary of UnitedHealth Group. It is the technical employing entity (i.e., it files the payroll taxes in the 50 states) for substantially all UnitedHealth Group personnel. It is qualified to do business in all 50 states and the District of Columbia. It is not licensed as an HMO or an insurance company but is licensed in numerous states as a TPA or UR agent. It is the management company for almost all the health plans and the insurance companies. It owns most of the assets (i.e., desks, computers etc.) used by all employees. It rents most of the space used by all UnitedHealth Group entities and people. Many of the specialty businesses, i.e., Evercare, URN, Optum, Uniprise, Healthmarc, etc., operate as divisions/dbas of UHS, rather than separate legal entities (though there may be a shell bearing a similar name). UHS is the entity that should be the party to the facilities, supply or other contracts that are for UnitedHealth Group generally. See p. 5 for UHS' assumed/fictitious names.

(14) Licensed as a PPO or MCO in one or more states.

(15) Licensed as a UR Agent in one or more states.

(16) Licensed as a TPA in one or more states. (Called "independent adjuster" in New York.)

(17) "AmeriChoice" is being filed as an assumed name for Lifemark Corporation in California, Indiana, and Michigan. See next page for its UHS filings.

(18) registered either a DBA, TradeName or Trade Mark of "i3 Research","i3 Magnifi", and/or "i3 Drug Safety" in several states

(19) Also has dba of: Care Programs

(20) Other 50% is owned by UnitedHealthcare Asia Limited currently, but UnitedHealthcare International Asia, LLC will own 99% and UnitedHealthcare Asia Limited will own 1% after additional shares are issued.

(21) Also licensed in Virginia and the District of Columbia. United HealthCare of Virginia, Inc. merged into it effective 12/31/01 on approval of VA BOI, MIA, & MD DAT (later filing by VA Corp.Comm.).

(22) Licensed as a life and health insurance company in AL, AK, AZ, AR, CA, CO, CT, DE, DC, FL, GA, GU, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, MT, NE, NV, NH, NJ, NM, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, & WY. Redomiciling to Indiana.

(23) Licensed as HMO or LSHMO in FL, IN, IL, MO, & VA.

(24) General partnership interests are held by UHS (89.77%) and its wholly owned subsidiary, Commonwealth Physician Services Corporation (10.23%). UHS also holds 100% of the limited partnership interests. When combining general partner and limited partner interests, UHS owns 94.18%, Commonwealth Physician Services Corporation owns 5.83% (for a combined 100% ownership). (All numbers are rounded to two decimal points.) Licensed as an HMO in Kentucky and Indiana. Has to use the name United HealthCare of Kentucky, L.P. in Indiana.

(25) A Hong Kong "private" limited liability company owned 99% by UnitedHealthcare International Asia, LLC and 1% by UnitedHealth Group International, Inc.

(26) d/b/a: UnitedHealthcare, Inc., a Corporation of Delaware (obtained for use in Oklahoma).

(27) Licensed as a life and health insurance company in AK, AR, CO, DE, DC, FL, GA, ID, IL, IN, IA, KS, KY, LA, MD, MI, MS, MT, NE, ND, OH, OK, OR, PA, SC, SD, TN, TX, WV, WI & WY.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

(28) In the process of selling 80 shares out of 1,656,250 shares (.0048%) to UnitedHealth Group International, Inc. Still will own 99.95%.
(29) UHG is the sole member of the United Health Foundation, a MN non-profit organization.
(30) **United HealthCare Insurance Company** ("UHI") is a Connecticut domestic life & health insurance company that is licensed as an insurance company in 49 states (not New York), District of Columbia , Puerto Rico, Guam the U.S. Virgin Islands, the Commonwealth of the Northern Mariana Islands, and American Samoa. This entity offers a variety of products including EPO, PPO, ASO/self-funded, and indemnity.
(31) Licensed in Ohio only.
(32) Licensed in New York and the District of Columbia.
(33) Licensed in Illinois only. Voluntarily surrendered COA in Florida.
(34) PhilamCare Health Systems, Inc. is 49.86% owned by PhilamLife and .28% owned by various individuals.
(35) Formerly known as R.W. Houser, Inc.
(36) Licensed in NY for life, annuities, and accident & health. Formerly named United HealthCare Life Insurance Company of New York.
(37) Branches in Republic of South Africa and Croatia.
(38) Assumed names for UnitedHealth Networks, Inc. that must be used in the states listed below: NH (UHN UnitedHealth Networks), TX (UHN UnitedHealth Networks, Inc.), NY (United Networks), OH & OR (UnitedHealth Network, Inc., a Corporation of Delaware)
(39) Ingenix, Inc. owns 5%.
(40) UnitedHealth Group International, Inc. owns remaining 1%.
(41) Remaining 1% is owned by PacifiCare Health Systems, LLC. Licensed in DC, GU, VI, and all States, except NY. "Commercially domiciled" in CA.
(42) Licensed as a life and health insurance company in CA & IL.
(43) One percent owned by ClinPharm International Ltd.
(44) Around 6.5% of the shares are owned by AmeriChoice management, which United will acquire after five years from Sept. 2002 acquisition, subject to certain acceleration events. UnitedHealth Cares, Inc. fka AmeriChoice Associates Assistance Fund, Inc. is a GA nonprofit qualified in other states. AmeriChoice Behavioral Healthcare, Inc. (inactive affiliate) will be merged/dissolved as soon as practicable:
(45) 70% owned directly and 30% controlled through individual nominee shareholders from whom we have powers of attorney.
(46) Licensed as a DPO. in MD and HMO in TX
(47) Licensed as a reinsurance intermediary in some states
(48) Licensed as a producer in most states. Formerly named DCG OnLine, LLC.
(49) 3.33% held by Ingenix, Inc.
(50) Licensed as life & health insurer in AZ, CA, CO, GU, IL, IN, KY, NV, NJ, NM, OH, OK, OR, TX, UT, WA
(51) Licensed as a health insurer in IN.
(52) Mid Atlantic Medical Services, Inc. merged into Mid Atlantic Medical Services, LLC (formerly MU Acquisition LLC) upon acquisition by UnitedHealth Group, with Mid Atlantic Medical Services, LLC as the survivor. It also has the UnitedHealthcare Children's Foundation fka MAMSI Children's Foundation and HomeCall Hospice Services Foundation, Inc. It is the sole member of several real estate LLCs: Hillcrest, LLC; Frederick Associates, LLC; & Hillcrest Plaza II, LLC. The Jochum Trust for compensation of former CEO is administered by UHG Human Capital.
(53) Licensed as an HMO in DC, DE, MD, VA, & WV
(54) Licensed as an HMO in NC & SC
(55) Licensed as a Collection Agency in MD
(56) Licensed as a Life, Accident & Health Insurance Company in AL, AR, AZ, CO, DC, DE, GA, HI, ID, IL, IN, KS, KY, LA, MD, MS, MO, NE, NV, NM, NC, ND, OK, PA, SC, SD, TN, TX, UT, VA, & WV
(57) Formerly named Alliance PPO, LLC when it was a subsidiary of MAMSI Life and Health Ins. Co.
(58) Licensed as a Producer in several states
(59) Licensed as a Hospice in MD & VA
(60) Licensed as a Pharmacy in many states. Assumed name of Prescription Solutions.
(61) JCAHCO; Medicare certification; licensed in MD for nursing, home health aides, physical, occupational & speech therapy, medical social work, home health, & laboratory
(62) JCAHCO, licensed in MD for residential service, agency skilled nursing & aides, and home health services

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

(63) 23.3% owned by Mid Atlantic Medical Services, LLC. Licensed as an HMO in DC, MD, & VA
(64) Licensed as a Life, Accident & Health Insurance Company countrywide, except in NY(Unimerica Life Insurance Company of New York is licensed in NY).
(65) Former name was Unimerica, Inc.
(66) 5.2% owned collectively by Eric Porterfield, and Anthony Cepullio
(67) Licensed as an HMO in NJ
(68) Licensed as an HMO in NY. Will be merging into UnitedHealthcare of New York, Inc.
(69) Licensed as an HMO in PA
(70) Licensed as an HMO in MI
(71) Licensed as a life, accident & health insurance company in AK, AR, AZ, CO, DE, IA, ID, IL, IN, KS, KY, LA, MI, MN, MO, MS, ND, NE, NM, NV, OH, OK, OR, SC, SD, TX, UT, WA, & WI
(72) Licensed as an accident & health insurance company in DC, MD (health only), & PA
(73) Survivor of merger with Oxford Health Plans, Inc. Former name was Ruby Acquisition, LLC. NAIC Group Code of regulated subsidiaries was 1182 prior to acquisition. Two non-stock Political Action Committees: Oxford Health Plans, Inc. (CT) Committee for Quality Health Care, Inc. and Oxford Health Plans, Inc. (NY) Committee for Quality Health Care, Inc., DE corps. Licensed as ins. agency in NY dba The Oxford Agency.
(74) Licensed in 47 states and the District of Columbia. Not licensed in CT, NY, or VT.
(75) Licensed as a Health Care Center (HMO) in CT with a Limited License for less than 5,000 members in RI.
(76) Licensed as an insurance company in CT, NJ, NY, & PA.
(77) Formerly named Point Acquisition, LLC, the survivor of the merger with PacifiCare Health Systems, Inc., which enabled the acquisition of PacifiCare. Also owns 21.1% of Alere Medical Incorporated, a CA corporation, including 16,068,245 shares of non-voting preferred stock and warrants to purchase an additional 1 million shares of non-voting preferred stock.
(78) Sole member of PacifiCare Health Systems Foundation, a CA nonprofit corporation.
(79) TX DOI has accepted a dba of "United HealthCare – Texas" for this company's use by AmeriChoice
(80) United HealthCare Services, Inc.'s filed assumed names/dbas include (continuation of footnote 13):

- AmeriChoice (FL, IL, IN, MD, NE, RI)
- Center for Health Care Policy and Evaluation (MN)
- Charter HealthCare, Inc. (NM, RI)
- Employee Performance Design (IL, KY, MN, NE, OR)
- EverCare (numerous states)
- GenCare PPO (IL, MO)
- Health Professionals Review (ME)
- HealthCare Evaluation Services (MN)
- Healthmarc (numerous states)
- HealthPro (AK, CT, IL, KY, MA, OH, VT)
- Institute for Human Resources (FL, OR, WA)
- Managed Care for the Aged (MN)
- Optum (MN, CA)
- Personal Decision Services (MN)
- SeniorCare Select & Design (MN)
- UHC Management & Administrators (CA)
- UHC Management (VT)
- UHC Management Company (AK, MA, NH, UT, WV)
- UHC Management Company, Inc. (AL, AZ, AR, CA, CO, CT, DE, FL, GA, ID, IL, IN, IA, KY, LA, ME, MD, MA, MI, MN, MO, MT, NE, NJ, ND, OH, OR, PA, RI, SD, TN, TX, VA, WA)
- UHC of Illinois Inc. and United HealthCare of Illinois, Inc. (IL)
- UHC of Missouri and United HealthCare of Missouri (MO)
- UMC Management Company, Inc. (OH)

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- United HealthCare (MA, UT)
- United HealthCare Corporation (AZ, AR, CA, CO, CT, DE, FL, GA, ID, IN, IA, KY, LA, ME, MD, MO, MT, NC, ND, NE, NJ, OH, OR, RI, SD, TX, WA)
- United HealthCare, Inc. (LA, SD, WV)
- United HealthCare Management (VT)
- United HealthCare Management Company, Inc. (IL, MI, OK, PA, TN, VA)
- United HealthCare Management Services (PA, NY)
- United HealthCare Services of Minnesota (NH)
- United HealthCare Services of Minnesota, Inc. (AR, FL, IL, OK, RI, SD, VT, WV)
- United Resource Networks (CA, GA, IL, IN, IA, MD, MI, MN, MO, NE, NY, NC, RI, UT)
- United Resource Networks, Inc. (CO, TN)
- UnitedHealth Group Incorporated (CA)

(81) fka as John Deere Health Care, Inc.
(82) fka as John Deere Health Insurance, Inc. Licensed as an insurance company in IA, IL, TN, & VA.
(83) fka as John Deere Health Plan, Inc. Licensed as an HMO in IA, IL, TN, & VA, withdrew from KY & SC.
(84) Known as HealthCare Software Synergies, Incorporated in MD
(85) Branch office in Taiwan

Overflow Page for Write-Ins

NONE

SCHEDULE A - PART 2

Showing all Real Estate ACQUIRED During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Description of Property	City	State	Date Acquired	Name of Vendor	Actual Cost	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Expended for Additions and Permanent Improvements

NONE

SCHEDULE A - PART 3

Showing all Real Estate SOLD During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1	Location		4	5	6	7	8	9	10	11	12	13	14	15	16
	2	3													
Description of Property	City	State	Disposal Date	Name of Purchaser	Actual Cost	Increase (Decrease) by Adjustment	Increase (Decrease) by Foreign Exchange Adjustment	Expended for Additions, Permanent Improvements and Changes in Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Amounts Received	Foreign Exchange Profit (Loss) on Sale	Realized Profit (Loss) on Sale	Total Profit (Loss) on Sale	Gross Income Earned Less Interest Incurred on Encumbrances	Taxes, Repairs, and Expenses Incurred

NONE

SCHEDULE B - PART 1

Showing all Mortgage Loans ACQUIRED During the Current Quarter

1	Location		4	5	6	7	8	9	10	11	12
Loan Number	2 City	3 State	Loan Type	Actual Cost	Date Acquired	Rate of Interest	Book Value/Recorded Investment Excluding Accrued Interest	Increase (Decrease) by Adjustment	Increase (Decrease) by Foreign Exchange Adjustment	Value of Land and Buildings	Date of Last Appraisal or Valuation

NONE

SCHEDULE B - PART 2

Showing all Mortgage Loans SOLD, Transferred or Paid in Full During the Current Quarter

1	Location		4	5	6	7	8	9	10	11	12	13
Loan Number	2 City	3 State	Loan Type	Date Acquired	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Increase (Decrease) by Adjustment	Increase (Decrease) by Foreign Exchange Adjustment	Book Value/ Recorded Investment Excluding Accrued Interest at Disposition	Consideration Received	Foreign Exchange Profit (Loss) on Sale	Realized Profit (Loss) on Sale	Total Profit (Loss) on Sale

NONE

SCHEDULE BA - PART 1

Showing Other Long-Term Invested Assets ACQUIRED During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13	14	15	16
		3	4												
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	NAIC Desig-nation	Date Originally Acquired	Type and Strategy	Actual Cost	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Fair Value	Increase (Decrease) by Adjustment	Increase (Decrease) by Foreign Exchange Adjustment	Commitment for Additional Investment	Percentage of Ownership

NONE

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets SOLD, Transferred or Paid in Full During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13	14	15
		3	4											
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Increase (Decrease) by Adjustment	Increase (Decrease) by Foreign Exchange Adjustment	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration Received	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income

NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - Special Revenue and Special Assessment									
31394V YD 6	FNMA 2006-4 MA (CMO) 6.000% 09/25/27		08/07/2006	Barclays Capital Inc.		138,867	137,956	207	1
31396T UM 3	FHLMC 3171 NC (CMO) PAC 5.500% 05/15/27		08/09/2006	CS First Boston Corp.		148,089	148,274	294	1
3199999.	Total - Bonds - Special Revenue & Special Assessments					286,956	286,230	501	XXX
Bonds - Industrial and Miscellaneous									
76113F BB 5	Residential Asset Sec 6.000% 07/25/36		06/08/2006	JP Morgan Chase		99,010	98,809	198	1FE
4599999.	Total - Bonds - Industrial & Miscellaneous					99,010	98,809	198	XXX
6099997.	Total - Bonds - Part 3					385,966	385,039	699	XXX
6099999.	Total - Bonds					385,966	385,039	699	XXX
7499999.	Total - Bonds, Preferred and Common Stocks					385,966	XXX	699	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: 0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Government																					
3133XE MX 4	FHLB Note Non Callable 4.750% 08/17/07		09/20/2006	Morgan Stanley		995,430	1,000,000	995,770			1,510		1,510		997,280		(1,850)	(1,850)	30,083	08/17/2007	1FE
3134A4 ZU 1	FHLMC Note Non Callable 4.625% 02/21/0		09/20/2006	Bank of America Sec.		248,225	250,000	248,625			382		382		249,007		(782)	(782)	6,745	02/21/2008	1FE
31359M ZT 3	FNMA Note Non Callable 4.625% 01/15/08		09/21/2006	Bank of America Sec.		496,629	500,000	496,016			971		971		496,987		(358)	(358)	15,866	01/15/2008	1FE
36207K XA 3	GNMA Pool 434573 (MBS) 7.500% 10/15/1		07/01/2006	Paydown		4,383	4,383	4,424	4,406		(23)		(23)		4,383			0	192	10/15/2014	1
36207K XA 3	GNMA Pool 434573 (MBS) 7.500% 10/15/1		08/01/2006	Paydown		248	248	250	249		(1)		(1)		248			0	12	10/15/2014	1
36207K XA 3	GNMA Pool 434573 (MBS) 7.500% 10/15/1		09/01/2006	Paydown		252	252	255	254		(1)		(1)		252			0	14	10/15/2014	1
36207K XA 3	GNMA Pool 434573 (MBS) 7.500% 10/15/1		09/20/2006	Goldman Sachs		30,712	29,818	30,093	29,975		98		98		30,074		639	639	1,826	10/15/2014	1
36210Q U9 1	GNMA Pool 499308 (MBS) 7.500% 08/15/1		07/01/2006	Paydown		506	506	510	509		(3)		(3)		506			0	22	08/15/2014	1
36210Q U9 1	GNMA Pool 499308 (MBS) 7.500% 08/15/1		08/01/2006	Paydown		512	512	516	515		(3)		(3)		512			0	26	08/15/2014	1
36210Q U9 1	GNMA Pool 499308 (MBS) 7.500% 08/15/1		09/01/2006	Paydown		513	513	516	516		(3)		(3)		513			0	29	08/15/2014	1
36210Q U9 1	GNMA Pool 499308 (MBS) 7.500% 08/15/1		09/20/2006	Goldman Sachs		58,845	57,061	57,454	57,360		(123)		(123)		57,238		1,607	1,607	3,495	08/15/2014	1
36211A W9 3	GNMA Pool 507472 (MBS) 7.000% 09/15/1		07/01/2006	Paydown		617	617	615	615		2		2		617			0	25	09/15/2014	1
36211A W9 3	GNMA Pool 507472 (MBS) 7.000% 09/15/1		08/01/2006	Paydown		595	595	593	593		2		2		595			0	28	09/15/2014	1
36211A W9 3	GNMA Pool 507472 (MBS) 7.000% 09/15/1		09/01/2006	Paydown		573	573	571	571		2		2		573			0	30	09/15/2014	1
36211A W9 3	GNMA Pool 507472 (MBS) 7.000% 09/15/1		09/20/2006	Goldman Sachs		72,450	70,340	70,087	70,104		1		1		70,105		2,345	2,345	4,021	09/15/2014	1
36211C F9 8	GNMA Pool 508792 (MBS) 8.000% 04/15/1		07/01/2006	Paydown		29	29	30	30				0		29			0	1	04/15/2015	1
36211C F9 8	GNMA Pool 508792 (MBS) 8.000% 04/15/1		08/01/2006	Paydown		30	30	30	30				0		30			0	2	04/15/2015	1
36211C F9 8	GNMA Pool 508792 (MBS) 8.000% 04/15/1		09/01/2006	Paydown		30	30	30	30				0		30			0	2	04/15/2015	1
36211C F9 8	GNMA Pool 508792 (MBS) 8.000% 04/15/1		09/20/2006	Goldman Sachs		4,536	4,356	4,400	4,381		(3)		(3)		4,378		157	157	285	04/15/2015	1
912828 AN 0	US Treasury Note 3.000% 11/15/07		09/20/2006	JP Morgan Chase		978,203	1,000,000	971,641			7,413		7,413		979,053		(850)	(850)	25,516	11/15/2007	1
912828 DN 7	US Treasury Note 3.375% 02/28/07		09/20/2006	Bank of America Sec.		198,539	200,000	198,320	198,972		631		631		199,603		(1,064)	(1,064)	7,142	02/28/2007	1
0399999.	Total - Bonds - U.S. Government					3,091,857	3,119,863	3,080,746	369,110	0	10,852	0	10,852	0	3,092,013	0	(156)	(156)	95,362	XXX	XXX
Bonds - States, Territories and Possessions																					
373383 LN 6	Georgia State GO Callab 5.750% 07/01/14		09/20/2006	First Albany Corp.		376,425	350,000	361,204	355,812		(858)		(858)		354,954		21,471	21,471	24,821	07/01/2014	1FE
575827 C5 7	Massachusetts State GO 5.750% 06/01/1(		09/20/2006	UBS Financial Services		374,598	350,000	366,142	358,197		(1,236)		(1,236)		356,961		17,637	17,637	16,435	06/01/2010	1FE
605579 5J 6	Mississippi State GO No 5.500% 11/01/06		09/20/2006	UBS Financial Services		65,004	65,000	67,154	65,440		(386)		(386)		65,054		(50)	(50)	3,218	11/01/2006	1FE
677518 WL 2	Ohio State Highway GO N 5.500% 05/01/0		09/20/2006	National Financial Services Co.		386,085	375,000	408,825	399,615		(7,559)		(7,559)		392,055		(5,970)	(5,970)	18,563	05/01/2008	1FE
1799999.	Total - Bonds - States, Territories & Possessions					1,202,112	1,140,000	1,203,325	1,179,064	0	(10,039)	0	(10,039)	0	1,169,024	0	33,088	33,088	63,037	XXX	XXX
Bonds - Political Subdivisions of States																					
032879 KA 5	Anchor Bay MI Sch Dist 6.000% 05/01/10		09/20/2006	UBS Financial Services		377,251	350,000	374,000	362,145		(1,868)		(1,868)		360,277		16,974	16,974	18,900	05/01/2010	1FE
181054 S4 0	Clark Cnty Nevada Sch D 5.500% 06/15/12		09/20/2006	McDonald & Co.		366,629	350,000	376,576	362,322		(2,463)		(2,463)		359,859		6,770	6,770	14,972	06/15/2012	1FE
295083 4T 3	Erie County New York GO 6.000% 07/01/1		09/20/2006	First Albany Corp.		272,628	250,000	266,360	259,340		(1,101)		(1,101)		258,239		14,389	14,389	18,500	07/01/2011	1FE
483836 NH 1	Kane Cook & Du Page Cnt 5.000% 01/01/0		09/20/2006	First Albany Corp.		381,139	375,000	399,364	392,264		(6,236)		(6,236)		386,028		(4,889)	(4,889)	23,125	01/01/2008	1FE
691599 HV 9	Oxford Mich Area Cmnty 5.000% 05/01/10		09/20/2006	William Blair and Co.		366,058	350,000	379,621	366,334		(2,572)		(2,572)		363,762		2,296	2,296	15,750	05/01/2010	1FE
2499999.	Total - Bonds - Political Subdivisions					1,763,705	1,675,000	1,795,921	1,742,405	0	(14,240)	0	(14,240)	0	1,728,165	0	35,540	35,540	91,247	XXX	XXX
Bonds - Special Revenue and Special Assessment																					
3128GD UB 4	FHLMC Pool E78678 (MBS) 8.000% 08/01		07/01/2006	Paydown		117	117	117	117				0		117			0	5	08/01/2014	1
3128GD UB 4	FHLMC Pool E78678 (MBS) 8.000% 08/01		08/01/2006	Paydown		114	114	114	114				0		114			0	6	08/01/2014	1
3128GD UB 4	FHLMC Pool E78678 (MBS) 8.000% 08/01		09/01/2006	Paydown		115	115	115	115				0		115			0	7	08/01/2014	1
3128GD UB 4	FHLMC Pool E78678 (MBS) 8.000% 08/01		09/20/2006	Goldman Sachs		12,015	11,553	11,520	11,521		(2)		(2)		11,518		497	497	755	08/01/2014	1
3128GE 3V 8	FHLMC Pool E79812 (MBS) 8.000% 11/01		07/01/2006	Paydown		447	447	452	451		(4)		(4)		447			0	21	11/01/2014	1
3128GE 3V 8	FHLMC Pool E79812 (MBS) 8.000% 11/01		08/01/2006	Paydown		65	65	65	65		(1)		(1)		65			0	3	11/01/2014	1
3128GE 3V 8	FHLMC Pool E79812 (MBS) 8.000% 11/01		09/01/2006	Paydown		408	408	413	412		(4)		(4)		408			0	24	11/01/2014	1
3128GE 3V 8	FHLMC Pool E79812 (MBS) 8.000% 11/01		09/20/2006	Goldman Sachs		7,998	7,690	7,777	7,757		1		1		7,759		239	239	502	11/01/2014	1
3128GG U4 3	FHLMC Pool E80603 (MBS) 7.500% 06/01		07/01/2006	Paydown		47	47	47	47				0		47			0	2	06/01/2015	1
3128GG U4 3	FHLMC Pool E80603 (MBS) 7.500% 06/01		08/01/2006	Paydown		47	47	47	47				0		47			0	2	06/01/2015	1
3128GG U4 3	FHLMC Pool E80603 (MBS) 7.500% 06/01		09/01/2006	Paydown		48	48	48	48				0		48			0	3	06/01/2015	1
3128GG U4 3	FHLMC Pool E80603 (MBS) 7.500% 06/01		09/20/2006	Goldman Sachs		5,551	5,389	5,394	5,394		(6)		(6)		5,387		164	164	330	06/01/2015	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
3128GG VH 3	FHLMC Pool E80616 (MBS) 8.000% 05/15		07/01/2006	Paydown		267	267	271	270		(2)		(2)		267			0	12	05/15/2015	1
3128GG VH 3	FHLMC Pool E80616 (MBS) 8.000% 05/15		08/01/2006	Paydown		269	269	272	271		(2)		(2)		269			0	14	05/15/2015	1
3128GG VH 3	FHLMC Pool E80616 (MBS) 8.000% 05/15		09/01/2006	Paydown		271	271	274	273		(2)		(2)		271			0	16	05/15/2015	1
3128GG VH 3	FHLMC Pool E80616 (MBS) 8.000% 05/15		09/20/2006	Goldman Sachs		20,194	19,417	19,648	19,565		(14)		(14)		19,551		643	643	1,269	05/15/2015	1
31294J 3L 0	FHLMC Pool E00803 (MBS) 8.000% 12/01		07/01/2006	Paydown		916	916	919	918		(2)		(2)		916			0	43	12/01/2014	1
31294J 3L 0	FHLMC Pool E00803 (MBS) 8.000% 12/01		08/01/2006	Paydown		87	87	87	87				0		87			0	5	12/01/2014	1
31294J 3L 0	FHLMC Pool E00803 (MBS) 8.000% 12/01		09/01/2006	Paydown		175	175	176	176				0		175			0	11	12/01/2014	1
31294J 3L 0	FHLMC Pool E00803 (MBS) 8.000% 12/01		09/20/2006	Goldman Sachs		9,523	9,157	9,187	9,174		2		2		9,176		347	347	598	12/01/2014	1
31294J 4Q 8	FHLMC Pool E00831 (MBS) 7.000% 04/15		07/01/2006	Paydown		111	111	108	108		3		3		111			0	5	04/15/2015	1
31294J 4Q 8	FHLMC Pool E00831 (MBS) 7.000% 04/15		08/01/2006	Paydown		103	103	99	100		3		3		103			0	5	04/15/2015	1
31294J 4Q 8	FHLMC Pool E00831 (MBS) 7.000% 04/15		09/01/2006	Paydown		103	103	100	100		3		3		103			0	5	04/15/2015	1
31294J 4Q 8	FHLMC Pool E00831 (MBS) 7.000% 04/15		09/20/2006	Goldman Sachs		12,325	12,024	11,628	11,665		93		93		11,758		567	567	687	04/15/2015	1
31294K CC 7	FHLMC Pool E00967 (MBS) 6.500% 04/01		07/01/2006	Paydown		313	313	316	315		(2)		(2)		313			0	12	04/01/2016	1
31294K CC 7	FHLMC Pool E00967 (MBS) 6.500% 04/01		08/01/2006	Paydown		521	521	525	524		(4)		(4)		521			0	23	04/01/2016	1
31294K CC 7	FHLMC Pool E00967 (MBS) 6.500% 04/01		09/01/2006	Paydown		738	738	744	744		(5)		(5)		738			0	36	04/01/2016	1
31294K CC 7	FHLMC Pool E00967 (MBS) 6.500% 04/01		09/20/2006	Goldman Sachs		30,716	30,142	30,387	30,358		(36)		(36)		30,321		395	395	1,600	04/01/2016	1
31294K CM 5	FHLMC Pool E00976 (MBS) 6.500% 05/01		07/01/2006	Paydown		1,249	1,249	1,259	1,258		(9)		(9)		1,249			0	47	05/01/2016	1
31294K CM 5	FHLMC Pool E00976 (MBS) 6.500% 05/01		08/01/2006	Paydown		654	654	660	659		(5)		(5)		654			0	28	05/01/2016	1
31294K CM 5	FHLMC Pool E00976 (MBS) 6.500% 05/01		09/01/2006	Paydown		1,185	1,185	1,194	1,194		(9)		(9)		1,185			0	58	05/01/2016	1
31294K CM 5	FHLMC Pool E00976 (MBS) 6.500% 05/01		09/20/2006	Goldman Sachs		38,667	37,944	38,252	38,228		(29)		(29)		38,200		468	468	2,014	05/01/2016	1
31371J N4 2	FNMA Pool 253411 (MBS) 6.500% 07/01/1		07/01/2006	Paydown		38	38	37	37		2		2		38			0	1	07/01/2015	1
31371J N4 2	FNMA Pool 253411 (MBS) 6.500% 07/01/1		08/01/2006	Paydown		38	38	36	36		2		2		38			0	2	07/01/2015	1
31371J N4 2	FNMA Pool 253411 (MBS) 6.500% 07/01/1		09/01/2006	Paydown		299	299	287	287		13		13		299			0	15	07/01/2015	1
31371J N4 2	FNMA Pool 253411 (MBS) 6.500% 07/01/1		09/20/2006	Goldman Sachs		4,307	4,226	4,054	4,045		62		62		4,108		199	199	224	07/01/2015	1
31371K HR 5	FNMA Pool 254140 (MBS) 5.500% 01/01/1		07/01/2006	Paydown		1,260	1,260	1,236	1,238		21		21		1,260			0	40	01/01/2017	1
31371K HR 5	FNMA Pool 254140 (MBS) 5.500% 01/01/1		08/01/2006	Paydown		931	931	913	915		16		16		931			0	34	01/01/2017	1
31371K HR 5	FNMA Pool 254140 (MBS) 5.500% 01/01/1		09/01/2006	Paydown		1,562	1,562	1,533	1,535		26		26		1,562			0	64	01/01/2017	1
31371K HR 5	FNMA Pool 254140 (MBS) 5.500% 01/01/1		09/20/2006	Goldman Sachs		68,714	68,886	67,606	67,718		54		54		67,772		942	942	3,094	01/01/2017	1
31384Q 3B 7	FNMA Pool 530994 (MBS) 7.000% 04/01/1		07/01/2006	Paydown		83	83	81	81		2		2		83			0	3	04/01/2015	1
31384Q 3B 7	FNMA Pool 530994 (MBS) 7.000% 04/01/1		08/01/2006	Paydown		83	83	81	82		2		2		83			0	4	04/01/2015	1
31384Q 3B 7	FNMA Pool 530994 (MBS) 7.000% 04/01/1		09/01/2006	Paydown		84	84	82	82		2		2		84			0	4	04/01/2015	1
31384Q 3B 7	FNMA Pool 530994 (MBS) 7.000% 04/01/1		09/20/2006	Goldman Sachs		12,656	12,369	12,041	12,127		13		13		12,140		515	515	707	04/01/2015	1
31384S 5P 0	FNMA Pool 532854 (MBS) 7.500% 04/01/1		07/01/2006	Paydown		121	121	120	120		1		1		121			0	5	04/01/2015	1
31384S 5P 0	FNMA Pool 532854 (MBS) 7.500% 04/01/1		08/01/2006	Paydown		122	122	121	121		1		1		122			0	6	04/01/2015	1
31384S 5P 0	FNMA Pool 532854 (MBS) 7.500% 04/01/1		09/01/2006	Paydown		123	123	122	122		1		1		123			0	7	04/01/2015	1
31384S 5P 0	FNMA Pool 532854 (MBS) 7.500% 04/01/1		09/20/2006	Goldman Sachs		10,415	10,111	10,039	10,038		7		7		10,045		369	369	619	04/01/2015	1
31384X 4C 9	FNMA Pool 537319 (MBS) 7.500% 06/01/1		07/01/2006	Paydown		659	659	655	655		4		4		659			0	29	06/01/2015	1
31384X 4C 9	FNMA Pool 537319 (MBS) 7.500% 06/01/1		08/01/2006	Paydown		49	49	49	49				0		49			0	2	06/01/2015	1
31384X 4C 9	FNMA Pool 537319 (MBS) 7.500% 06/01/1		09/01/2006	Paydown		37	37	37	37				0		37			0	2	06/01/2015	1
31384X 4C 9	FNMA Pool 537319 (MBS) 7.500% 06/01/1		09/20/2006	Goldman Sachs		4,181	4,060	4,034	4,036				0		4,036		146	146	249	06/01/2015	1
31385C DV 2	FNMA Pool 540216 (MBS) 8.000% 06/01/1		07/01/2006	Paydown		31	31	31	31				0		31			0	1	06/01/2015	1
31385C DV 2	FNMA Pool 540216 (MBS) 8.000% 06/01/1		08/01/2006	Paydown		31	31	31	31				0		31			0	2	06/01/2015	1
31385C DV 2	FNMA Pool 540216 (MBS) 8.000% 06/01/1		09/01/2006	Paydown		31	31	32	32				0		31			0	2	06/01/2015	1
31385C DV 2	FNMA Pool 540216 (MBS) 8.000% 06/01/1		09/20/2006	Goldman Sachs		5,075	4,880	4,898	4,902		(20)		(20)		4,882		193	193	319	06/01/2015	1
31385N SN 0	FNMA Pool 547825 (MBS) 7.000% 07/01/1		07/01/2006	Paydown		20	20	20	20				0		20			0	1	07/01/2015	1
31385N SN 0	FNMA Pool 547825 (MBS) 7.000% 07/01/1		08/01/2006	Paydown		21	21	20	20				0		21			0	1	07/01/2015	1
31385N SN 0	FNMA Pool 547825 (MBS) 7.000% 07/01/1		09/01/2006	Paydown		21	21	20	20				0		21			0	1	07/01/2015	1
31385N SN 0	FNMA Pool 547825 (MBS) 7.000% 07/01/1		09/20/2006	Goldman Sachs		2,825	2,762	2,719	2,727		1		1		2,728		97	97	158	07/01/2015	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
31385R GA 2	FNMA Pool 550193 (MBS) 7.000% 08/01/1	...	07/01/2006	Paydown		126	126	125	125		1		1		126			0	5	08/01/2015	1
31385R GA 2	FNMA Pool 550193 (MBS) 7.000% 08/01/1	...	08/01/2006	Paydown		126	126	125	125		1		1		126			0	6	08/01/2015	1
31385R GA 2	FNMA Pool 550193 (MBS) 7.000% 08/01/1	...	09/01/2006	Paydown		150	150	149	149		1		1		150			0	8	08/01/2015	1
31385R GA 2	FNMA Pool 550193 (MBS) 7.000% 08/01/1	...	09/20/2006	Goldman Sachs		19,146	18,713	18,517	18,549		5		5		18,554		592	592	1,070	08/01/2015	1
31385R TW 0	FNMA Pool 550565 (MBS) 7.000% 09/01/1	...	07/01/2006	Paydown		269	269	266	266		2		2		269			0	11	09/01/2015	1
31385R TW 0	FNMA Pool 550565 (MBS) 7.000% 09/01/1	...	08/01/2006	Paydown		193	193	191	192		2		2		193			0	9	09/01/2015	1
31385R TW 0	FNMA Pool 550565 (MBS) 7.000% 09/01/1	...	09/01/2006	Paydown		198	198	196	196		2		2		198			0	10	09/01/2015	1
31385R TW 0	FNMA Pool 550565 (MBS) 7.000% 09/01/1	...	09/20/2006	Goldman Sachs		25,133	24,565	24,308	24,346		5		5		24,350		783	783	1,404	09/01/2015	1
31388R ZG 5	FNMA Pool 612843 (MBS) 5.500% 01/01/1	...	07/01/2006	Paydown		555	555	546	547		8		8		555			0	18	01/01/2017	1
31388R ZG 5	FNMA Pool 612843 (MBS) 5.500% 01/01/1	...	08/01/2006	Paydown		1,526	1,526	1,500	1,503		23		23		1,526			0	56	01/01/2017	1
31388R ZG 5	FNMA Pool 612843 (MBS) 5.500% 01/01/1	...	09/01/2006	Paydown		526	526	517	518		8		8		526			0	22	01/01/2017	1
31388R ZG 5	FNMA Pool 612843 (MBS) 5.500% 01/01/1	...	09/20/2006	Goldman Sachs		76,549	76,741	75,446	75,571		101		101		75,672		877	877	3,447	01/01/2017	1
31394V YD 6	FNMA 2006-4 MA (CMO) 6.000% 09/25/27	...	09/01/2006	Paydown		2,057	2,057	2,071			(14)		(14)		2,057			0	10	01/25/2011	1
31394V YD 6	FNMA 2006-4 MA (CMO) 6.000% 09/25/27	...	09/20/2006	Barclays Capital Inc.		136,919	135,899	136,797			(104)		(104)		136,692		227	227	1,223	01/25/2011	1
31396T UM 3	FHLMC 3171 NC (CMO) PAC 5.500% 05/1	...	09/01/2006	Paydown		993	993	992			1		1		993			0	5	05/15/2027	1
31396T UM 3	FHLMC 3171 NC (CMO) PAC 5.500% 05/1	...	09/20/2006	JP Morgan Chase		147,097	147,281	147,097			(11)		(11)		147,086		11	11	1,215	05/15/2027	1
485424 KD 6	Kansas State Dept Trans 5.500% 09/01/08	...	09/20/2006	Edwards AG & Sons		103,479	100,000	107,584	104,573		(1,208)		(1,208)		103,365		114	114	5,867	09/01/2008	1FE
3199999.	Total - Bonds - Special Revenue & Assessment					774,218	764,542	769,577	479,879	0	(1,000)	0	(1,000)	0	765,833	0	8,385	8,385	28,130	XXX	XXX
Bonds - Industrial and Miscellaneous																					
0258M0 CF 4	American Express Credit 5.380% 12/19/08	...	09/20/2006	JP Morgan Chase		125,018	125,000	125,000					0		125,000		18	18	4,168	12/19/2008	1FE
048825 BJ 1	Atlantic Richfld Corpor 5.900% 04/15/09...	...	09/20/2006	UBS Financial Services		101,855	100,000	87,753	94,490		1,107		1,107		95,597		6,258	6,258	5,572	04/15/2009	1FE
060505 AW 4	Bank of America Corpora 3.875% 01/15/08	...	09/20/2006	Bank of America Sec.		221,076	225,000	224,021	224,594		143		143		224,737		(3,661)	(3,661)	10,414	01/15/2008	1FE
07387J AA 4	Bear Stearns 2006-PW12 5.546% 09/11/3	...	07/01/2006	Paydown		1,849	1,849	1,854			(5)		(5)		1,849			0	9	03/11/2011	1FE
07387J AA 4	Bear Stearns 2006-PW12 5.546% 09/11/3	...	08/01/2006	Paydown		1,587	1,587	1,591			(4)		(4)		1,587			0	15	03/11/2011	1FE
07387J AA 4	Bear Stearns 2006-PW12 5.546% 09/11/3	...	09/01/2006	Paydown		1,595	1,595	1,599			(4)		(4)		1,595			0	22	03/11/2011	1FE
07387J AA 4	Bear Stearns 2006-PW12 5.546% 09/11/3	...	09/20/2006	Bear Stearns Sec.		196,309	194,969	195,455			(54)		(54)		195,401		908	908	3,424	03/11/2011	1FE
12189T AP 9	Burlington Santa Fe 7.875% 04/15/07	...	09/20/2006	Southwest Securities		101,126	100,000	99,908	99,983		9		9		99,993		1,133	1,133	7,438	04/15/2007	2FE
129466 AG 3	Calenergy Co Inc Sr Not 7.630% 10/15/07	...	09/20/2006	Merrill Lynch		102,062	100,000	94,590	98,378		634		634		99,012		3,050	3,050	7,206	10/15/2007	2FE
14041G BN 5	Capital One 2003-B A4 (3.180% 09/15/10	...	08/15/2006	Paydown		5,709	5,709	5,593			116		116		5,709			0	106	09/15/2010	1FE
14041G BN 5	Capital One 2003-B A4 (3.180% 09/15/10	...	09/15/2006	Paydown		11,514	11,514	11,280			234		234		11,514			0	244	09/15/2010	1FE
14041G BN 5	Capital One 2003-B A4 (3.180% 09/15/10	...	09/20/2006	Merrill Lynch		179,921	182,777	179,064			2,100		2,100		181,165		(1,244)	(1,244)	4,036	09/15/2010	1FE
14912L 2X 8	Caterpillar Fin Svc Cor 5.460% 03/10/09...	...	09/20/2006	Bank of America Sec.		50,008	50,000	50,000					0		50,000		8	8	1,431	03/10/2009	1FE
161571 AC 8	Chase Issuance Tr 2004- 3.220% 10/15/07	...	09/20/2006	Morgan Stanley		244,805	250,000	244,043			2,278		2,278		246,321		(1,516)	(1,516)	5,590	10/15/2007	1FE
172967 BS 9	Citigroup Inc Corporate 3.500% 02/01/08..	...	09/20/2006	JP Morgan Chase		146,561	150,000	149,217	149,657		118		118		149,775		(3,214)	(3,214)	6,038	02/01/2008	1FE
225434 AE 9	Credit Suisse USA Corpo 5.581% 03/02/11	...	09/20/2006	Bear Stearns Sec.		125,283	125,000	125,000					0		125,000		283	283	3,779	03/02/2011	1FE
23322B AD 0	DLJ Comm Mtg Corp 1998- 6.410% 02/18/	...	07/01/2006	Paydown		854	854	871			(16)		(16)		854			0	23	02/15/2008	1FE
23322B AD 0	DLJ Comm Mtg Corp 1998- 6.410% 02/18/	...	08/01/2006	Paydown		3,670	3,670	3,739			(69)		(69)		3,670			0	119	02/15/2008	1FE
23322B AD 0	DLJ Comm Mtg Corp 1998- 6.410% 02/18/	...	09/01/2006	Paydown		294	294	299			(6)		(6)		294			0	11	02/15/2008	1FE
23322B AD 0	DLJ Comm Mtg Corp 1998- 6.410% 02/18/	...	09/20/2006	Bank of America Sec.		107,041	106,277	108,282			(745)		(745)		107,537		(496)	(496)	4,469	02/15/2008	1FE
24422E PY 9	John Deere Cap Corp Not 4.875% 03/16/0	...	09/20/2006	Bear Stearns Sec.		36,658	37,000	36,984			8		8		36,991		(333)	(333)	1,278	03/16/2009	1FE
32057T AJ 0	First Investors Auto Ow 4.930% 02/15/11..	...	09/20/2006	Wachovia Bank		173,688	175,000	174,986			1		1		174,988		(1,300)	(1,300)	5,728	02/15/2011	1FE
36292R AU 5	GS Auto Loan Trust 2005 4.450% 05/17/10	...	09/20/2006	JP Morgan Chase		197,750	200,000	198,711			468		468		199,179		(1,429)	(1,429)	6,181	05/17/2010	1FE
416515 AH 7	Hartford Financial Serv 5.550% 08/16/08..	...	09/20/2006	Bear Stearns Sec.		200,620	200,000	200,008			(1)		(1)		200,007		613	613	3,978	08/16/2008	1FE
46625Y GL 1	JPM Chase 2005-LDP1 A2 4.625% 03/15/4	...	09/20/2006	JP Morgan Chase		196,125	200,000	200,993	200,775		(197)		(197)		200,578		(4,453)	(4,453)	7,554	03/15/2010	1FE
524908 CV 0	Lehman Brothers Hldg 7.000% 02/01/08...	...	09/20/2006	Bank of America Sec.		102,206	100,000	107,736	103,183		(1,082)		(1,082)		102,101		105	105	8,050	02/01/2008	1FE
617446 ZA 0	Morgan Stanley Group In 5.550% 02/09/09	...	09/20/2006	Bank of America Sec.		125,000	125,000	125,000					0		125,000			0	4,084	02/09/2009	1FE
61745M 2B 8	Morgan Stanley 2005-IQ9 4.300% 07/15/5	...	09/20/2006	Morgan Stanley		87,335	90,000	90,428	90,336		(81)		(81)		90,255		(2,919)	(2,919)	3,161	02/15/2010	1FE
742718 BW 8	Proctor & Gamble Compan 4.750% 06/15/	...	09/20/2006	Bear Stearns Sec.		124,490	125,000	124,566	124,863		68		68		124,931		(441)	(441)	4,618	06/15/2007	1FE
76113F BB 5	Residential Asset Sec 6.000% 07/25/36....	...	07/01/2006	JP Morgan Chase		99,010	98,809	99,010					0		99,010			0	198	07/25/2036	1FE

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Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
76113F BB 5	Residential Asset Sec 6.000% 07/25/36		07/01/2006	Paydown		1,209	1,209	1,212			(2)		(2)		1,209			0	6	03/25/2011	1FE
76113F BB 5	Residential Asset Sec 6.000% 07/25/36		08/01/2006	Paydown		167	167	167					0		167			0	2	03/25/2011	1FE
76113F BB 5	Residential Asset Sec 6.000% 07/25/36		09/01/2006	Paydown		1,209	1,209	1,212			(2)		(2)		1,209			0	18	03/25/2011	1FE
76113F BB 5	Residential Asset Sec 6.000% 07/25/36		09/20/2006	JP Morgan Chase		96,705	96,224	96,420			(36)		(36)		96,384		322	322	1,828	03/25/2011	1FE
786514 AZ 2	Safeway Inc Sr. Note - 7.500% 09/15/09		09/20/2006	Bank of America Sec		105,240	100,000	94,130	97,133		497		497		97,630		7,610	7,610	7,708	09/15/2009	2FE
852060 AC 6	Sprint Capital Corp Not 6.125% 11/15/08		09/20/2006	JP Morgan Chase		101,301	100,000	89,221	95,523		1,049		1,049		96,572		4,729	4,729	5,274	11/15/2008	2FE
929042 AA 7	REITS-Diversified Note 5.625% 06/15/07		09/20/2006	Southwest Securities		99,953	100,000	100,778			(366)		(366)		100,412		(459)	(459)	4,500	06/15/2007	2FE
929903 BH 4	Wachovia Corp Corporate 5.510% 03/15/1		09/20/2006	Wachovia Bank		149,982	150,000	150,000					0		150,000		(18)	(18)	4,356	03/15/2011	1FE
931142 BE 2	Walmart Stores Inc 6.875% 08/10/09		09/20/2006	JP Morgan Chase		104,460	100,000	94,075	97,188		511		511		97,699		6,761	6,761	7,734	08/10/2009	1FE
94983B AH 2	Wells Fargo 2006-4 IA8 5.750% 04/25/36.		07/01/2006	Paydown		1,127	1,127	1,130			(3)		(3)		1,127			0	22	05/25/2014	1FE
94983B AH 2	Wells Fargo 2006-4 IA8 5.750% 04/25/36.		08/01/2006	Paydown		1,667	1,667	1,671			(4)		(4)		1,667			0	40	05/25/2014	1FE
94983B AH 2	Wells Fargo 2006-4 IA8 5.750% 04/25/36.		09/01/2006	Paydown		1,667	1,667	1,671			(4)		(4)		1,667			0	48	05/25/2014	1FE
94983B AH 2	Wells Fargo 2006-4 IA8 5.750% 04/25/36.		09/20/2006	JP Morgan Chase		140,627	140,539	140,874			(109)		(109)		140,765		(138)	(138)	4,579	05/25/2014	1FE
98152D BD 2	World Omni Auto Rec Tr 2.870% 11/15/10		09/15/2006	Paydown		9,003	9,003	8,782			221		221		9,003			0	172	11/15/2010	1FE
98152D BD 2	World Omni Auto Rec Tr 2.870% 11/15/10		09/20/2006	Wachovia Bank		176,903	179,997	175,582			1,741		1,741		177,323		(419)	(419)	3,588	11/15/2010	1FE
983024 AB 6	Wyeth Coporate Note Con 4.375% 03/01/0		09/20/2006	Bank of America Sec		123,305	125,000	125,541	125,247		(81)		(81)		125,166		(1,861)	(1,861)	5,833	03/01/2008	1FE
166760 AA 6	Chevron Texaco Capital 3.500% 09/17/07	F	09/20/2006	Wachovia Bank		122,755	125,000	124,572	124,846		64		64		124,911		(2,156)	(2,156)	4,472	09/17/2007	1FE
25156P AJ 2	Deutsche Tel Fin Corpor 5.569% 03/23/09	F	09/20/2006	Bank of America Sec		99,950	100,000	100,000					0		100,000		(50)	(50)	2,778	03/23/2009	1FE
4599999.	Total - Bonds - Industrial & Miscellaneous					4,408,249	4,419,713	4,374,619	1,726,196	0	8,496	0	8,496	0	4,402,561	0	5,691	5,691	161,902	XXX	XXX
6099997.	Total - Bonds - Part 4					11,240,141	11,119,118	11,224,188	5,496,654	0	(5,931)	0	(5,931)	0	11,157,596	0	82,548	82,548	439,678	XXX	XXX
6099999.	Total - Bonds					11,240,141	11,119,118	11,224,188	5,496,654	0	(5,931)	0	(5,931)	0	11,157,596	0	82,548	82,548	439,678	XXX	XXX
7499999.	Total - Bonds, Preferred and Common Stocks					11,240,141	XXX	11,224,188	5,496,654	0	(5,931)	0	(5,931)	0	11,157,596	0	82,548	82,548	439,678	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:...............0.

SCHEDULE DB - PART A - SECTION 1

Showing All Options, Caps, Floors and Insurance Futures Options Owned at Current Statement Date

1 Description	2 Number of Contracts or Notional Amount	3 Date of Maturity, Expiry, or Settlement	4 Strike Price, Rate or Index	5 Date of Acquisition	6 Exchange or Counterparty	7 Cost/ Option Premium	8 Book Value	9 *	10 Statement Value	11 Fair Value	12 Year to Date Increase/ (Decrease) by Adjustment	13 Used to Adjust Basis of Hedged Item	14 Other Investment/ Miscellaneous Income

NONE

SCHEDULE DB - PART B - SECTION 1

Showing All Options, Caps, Floors and Insurance Futures Options Written and In-Force at Current Statement Date

1 Description	2 Number of Contracts or Notional Amount	3 Date of Maturity, Expiry, or Settlement	4 Strike Price, Rate or Index	5 Date of Issuance/ Purchase	6 Exchange or Counterparty	7 Consideration Received	8 Book Value	9 *	10 Statement Value	11 Fair Value	12 Year to Date Increase/ (Decrease) by Adjustment	13 Used to Adjust Basis	14 Other Investment/ Miscellaneous Income

NONE

SCHEDULE DB - PART C - SECTION 1

Showing All Collar, Swap and Forwards Open at Current Statement Date

1 Description	2 Notional Amount	3 Date of Maturity, Expiry, or Settlement	4 Strike Price, Rate or Index Rec (Pay)	5 Date of Opening Position or Agreement	6 Exchange or Counterparty	7 Cost or (Consideration Received)	8 Book Value	9 *	10 Statement Value	11 Fair Value	12 Year to Date Increase/ (Decrease) by Adjustment	13 Used to Adjust Basis of Hedged Item	14 Other Investment/ Miscellaneous Income	15 Potential Exposure

NONE

SCHEDULE DB - PART D - SECTION 1

Showing All Futures Contracts and Insurance Futures Contracts at Current Statement Date

1	2	3	4	5	6	7	8	9	Variation Margin Information			13
									10	11	12	
Description	Number of Contracts	Maturity Date	Original Value	Current Value	Variation Margin	Date of Opening Position	Exchange or Counterparty	Cash Deposit	Recognized	Used to Adjust Basis of Hedged Item	Deferred	Potential Exposure

NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	6 First Month	7 Second Month	8 Third Month	*
Open Depositories								
JPMorgan Chase .... New York, NY............................	....	....	....	....	..........(46,020)	..........(61,643)	..........(59,366)	XXX
Bank of America .... Scranton, Pa..............................	....	....	....	....	34,300	17,213	15,568	XXX
0199999. Total Open Depositories..	....XXX....	......XXX....	0	0	..........(11,720)	..........(44,429)	..........(43,797)	XXX
0399999. Total Cash on Deposit...	....XXX....	......XXX....	0	0	..........(11,720)	..........(44,429)	..........(43,797)	XXX
0599999. Total Cash...	....XXX....	......XXX....	0	0	..........(11,720)	..........(44,429)	..........(43,797)	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned at End of Current Quarter

1 CUSIP Identification	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Gross Investment Income
Cash Equivalents								
912795 XZ 9	US Treasury Bill		09/28/2006	3.885	10/12/2006	7,990,500		2,587
0199999.	Totals					7,990,500	0	2,587