

# STATE BOARD OF AUCTIONEER EXAMINERS

**MAILING ADDRESS**

STATE BOARD OF  
AUCTIONEER EXAMINERS  
P.O. BOX 2649  
HARRISBURG, PA 17105

**COURIER ADDRESS**

STATE BOARD OF  
AUCTIONEER EXAMINERS  
2601 NORTH THIRD STREET  
HARRISBURG, PA 17110

**PHONE:** 717-783-3397

**FAX:** 717-705-5540

**EMAIL:** [st-auctioneer@pa.gov](mailto:st-auctioneer@pa.gov)

**WEB:** [www.dos.state.pa.us/auct](http://www.dos.state.pa.us/auct)

## APPLICATION FOR LICENSURE BY RECIPROCITY

Follow Instructions Below and Submit ALL Required Documents

**Application Fee: \$50.00 NON-REFUNDABLE APPLICATION FEE. CHECK OR MONEY ORDER MADE PAYABLE TO THE "COMMONWEALTH OF PENNSYLVANIA." (\$20.00 CHARGE FOR ALL CHECKS RETURNED "NOT PAID" REGARDLESS OF THE REASON FOR NON-PAYMENT).**

**You MUST reside in the state you are requesting licensure by reciprocity.**

**BLACK INK ONLY**

**Page 1- MUST be completed in its entirety.**

- Name
- Mailing Address
- Social Security Number
- Date of Birth
- Daytime Telephone
- E-Mail Address

**Page 2- Questions 1-9 MUST be answered.**

**Page 3- Verification Statement must be signed and dated.**

**To be eligible for licensure by reciprocity you must be a nonresident of the Commonwealth of Pennsylvania and hold a current license by the state of your domicile from a reciprocal state.** Contact the State Licensing Board where you currently hold a license and request that a CERTIFICATION/VERIFICATION OF LICENSE be sent DIRECTLY to the Pennsylvania State Board of Auctioneer Examiners. **Certification/Verification of License is required from all states in which you have ever held/hold a license.** Copies of licenses or certifications from applicants will NOT be accepted.

**REQUIRED BOND INFORMATION:**

- The bonding company MUST be licensed by the Pennsylvania Insurance Department to operate in the Commonwealth of Pennsylvania.
- Bond must be in the amount of \$5,000 payable to the Commonwealth of Pennsylvania.
- Bond must indicate that it is for an "Auctioneer."
- The bond form must be signed and witnessed.
- The bonding company MUST sign the bond and imprint their seal in the appropriate area.
- A certified copy of the Power of Attorney MUST be attached to the bond.
- Bond submitted MUST be the original document with original signatures.

The Consent of Nonresident Licensee MUST be completed and notarized.

**PLEASE NOTE: ALL ITEMS LISTED MUST BE COMPLETED IN ORDER TO PROCESS THE APPLICATION. IF THE APPLICATION IS NOT COMPLETED IN ITS ENTIRETY OR THE REQUIRED DOCUMENTS ARE NOT PROVIDED, PROCESSING TIME WILL BE DELAYED.**

**AN APPLICATION WILL BE DEEMED WITHDRAWN IF THE BOARD HAS REQUESTED ADDITIONAL INFORMATION FROM YOU, THE APPLICANT, AND YOU HAVE NOT RESPONDED TO THE REQUEST WITHIN 45 DAYS OF THE LETTER. ONCE AN APPLICATION IS CONSIDERED WITHDRAWN, REGARDLESS OF THE REASON, A NEW APPLICATION AND FEE WILL BE REQUIRED.**

**Reciprocity Agreement:** (1) The auctioneer licensing laws of the nonresident state must extend to licensed auctioneer and apprentice auctioneers of this Commonwealth the same rights and privileges and the same authority to conduct auction sales in that state as this act extends to nonresidents of this Commonwealth with the necessity of the licensed auctioneers and apprentice auctioneers of this Commonwealth to obtain additional or further licenses or authority from any political subdivision of that state to conduct an auction sale. (2) **The nonresident auctioneer or apprentice auctioneer is only permitted to sell at auction in this Commonwealth whatever property the laws of his state permit nonresident auctioneers and apprentice auctioneers to sell at auction.**

**NON-RESIDENT LICENSEES are ineligible to sponsor apprentices. A non-resident auctioneer is not a qualified auctioneer for the purposes of sponsoring or employing an apprentice auctioneer.**

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Pennsylvania currently has reciprocal agreements with the following states:

ALABAMA	KENTUCKY	SOUTH CAROLINA
ARKANSAS	LOUISIANA	TENNESSEE
FLORIDA	NORTH CAROLINA	VIRGINIA
GEORGIA	OHIO	WEST VIRGINIA
INDIANA	RHODE ISLAND	

**To be eligible for licensure by reciprocity you must be a nonresident of the Commonwealth of Pennsylvania and hold a current license by the state of your domicile from the list above.** Contact the State Licensing Board where you currently hold a license and request that a CERTIFICATION/VERIFICATION OF LICENSE be sent DIRECTLY to the Pennsylvania State Board of Auctioneer Examiners. **Certification/Verification of License is required from all states in which you have ever held/hold a license.** Copies of licenses or certifications from applicants will NOT be accepted.

### BLACK INK ONLY-PLEASE PRINT

NAME: \_\_\_\_\_  
Last First Middle

MAILING ADDRESS: \_\_\_\_\_  
Street Address P.O. Box (if applicable)

City State Zip Code

SOCIAL SECURITY NUMBER: \_\_\_\_\_ DATE OF BIRTH: \_\_\_\_\_

DAYTIME TELEPHONE NUMBER: (\_\_\_\_) \_\_\_\_\_

EMAIL ADDRESS: \_\_\_\_\_

Would you like us to communicate with you regarding this application via e-mail? Yes ☐ No ☐

**APPLICANT IS REQUIRED TO COMPLETE THE FOLLOWING QUESTIONS:**

***If you answered "yes" to any criminal or disciplinary question, provide a full written explanation AND a certified copy of any and all relevant Board, court and/or legal documents, including the criminal complaint, charging documents, documentation of the final disposition and sentence imposed, as well as documentation of your successful completion of any and all of the sentencing requirements that may have been imposed.***

THE FOLLOWING QUESTIONS MUST BE ANSWERED:	YES	NO
1. Do you hold, or have you ever held, a license, certificate, permit, registration or other authorization to practice a profession or occupation in any state or jurisdiction?		
2. If you answered yes to the above question, please provide the profession and state or jurisdiction.		
3. Have you had disciplinary action taken against a professional or occupational license, certificate, permit, registration or other authorization to practice a profession or occupation issued to you in any state or jurisdiction or have you agreed to voluntary surrender in lieu of discipline?		
4. Do you currently have any disciplinary charges pending against your professional or occupational license, certificate, permit or registration in any state or jurisdiction?		
5. Have you withdrawn an application for a professional or occupational license, certificate, permit or registration, had an application denied or refused, or for disciplinary reasons agreed not to apply or reapply for a professional or occupational license, certificate, permit or registration in any state or jurisdiction?		
6. Have you been convicted (found guilty, pled guilty or pled nolo contendere), received probation without verdict or accelerated rehabilitative disposition (ARD), as to any criminal charges, felon or misdemeanor, including any drug law violations? Note: you are not required to disclose any ARD or other criminal matter that has been expunged by order of the court.		
7. Do you currently have any criminal charges pending and unresolved in any state or jurisdiction?		
8. Within five years prior to the issuance of the license in force, have you been convicted in a court of competent jurisdiction in this or any other state or in federal court of forgery, embezzlement, obtaining money under false pretenses, extortion, conspiracy to defraud or other like offenses, or at any time, have you been convicted in a court of competent jurisdiction in this or any other state or in federal court of a misdemeanor related to the profession of auctioneering or of any felony?		
9. Are you conducting sales via an online Internet bidding platform?		

## VERIFICATION STATEMENT:

I verify that this application is in the original format as supplied by the Department of State and has not been altered or otherwise modified in any way. I am aware of the criminal penalties for tampering with public records or information under 18 Pa.C.S. § 4911.

I verify that the statements in this application are true and correct to the best of my knowledge, information and belief. I understand that false statements are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities) and may result in the suspension, revocation or denial of my license, certificate, permit or registration.

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**Signature**

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**Date**

In order to comply with federal law, the State Board of Auctioneer Examiners is obligated to inform each applicant or licensee from whom it requests a social security number that disclosing such number is **mandatory** in order for this Board to comply with the requirements of the federal Social Security Act pertaining to Child Support Enforcement, as implemented in the Commonwealth of Pennsylvania at 23 Pa. C.S. §4304.1(a). In order to enforce domestic support orders, at the request of the Commonwealth's Department of Public Welfare (DPW), the licensing boards must provide to DPW information prescribed by DPW about the licensee, including the social security number.

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
STATE BOARD OF AUCTIONEER EXAMINERS  
P. O. BOX 2649  
HARRISBURG, PENNSYLVANIA 17105-2649  
717-783-3397

BOND # \_\_\_\_\_

## BOND

KNOW ALL PERSONS BY THESE PRESENTS, that the application for \_\_\_\_\_  
Auctioneer/Apprentice Auctioneer/Trading Assistant/Auction  
Company/Auction House

\_\_\_\_\_, a/an \_\_\_\_\_, with business located at  
Name of Applicant Individual/Partnership/Corporation/Limited Liability Company

\_\_\_\_\_  
Street

\_\_\_\_\_  
City State Zip Code

as PRINCIPAL, and \_\_\_\_\_ with a Certificate of Authority from the  
Name of Surety Company

Pennsylvania Insurance Department and located at \_\_\_\_\_  
Street

\_\_\_\_\_  
City State Zip Code

as SURETY, are held firmly bound unto the Commonwealth of Pennsylvania in the sum of \$5,000 (Five Thousand Dollars) lawful money of the United States of America, to be made payable to the said Commonwealth, for the use of the Commonwealth, its attorney or assigns, or any person or persons who may have a cause of action under the Auctioneer Licensing and Trading Assistant Registration Act (Act 85 of December 22, 1983), to which payment will and truly to be made, we do hereby bind ourselves, jointly and severally our heirs, executors, administrators, successors and assigns firmly by these presents. This agreement will continue in effect until written notification of cancellation by surety.

SIGNED SEALED AND DELIVERED THIS \_\_\_\_\_ DAY OF \_\_\_\_\_ 20 \_\_\_\_\_

WHEREAS, the above-bounded Principal desires to operate or conduct the business of

\_\_\_\_\_  
Auctioneer/Apprentice Auctioneer/Trading Assistant/Auction Company/Auction House

within the Commonwealth in accordance with the provisions of the Auctioneer Licensing and Trading Assistant Registration Act and the rules and regulations adopted under and pursuant thereto.

NOW, THEREFORE, the condition of this obligation is such that if upon and after issuance of such license the above-bounded Principal shall fully and faithfully observe the provisions of all the laws of this Commonwealth and the rules and regulations promulgated by the State Board of Auctioneer Examiners as a licensee then this obligation shall be void; otherwise, it shall remain in full force, virtue and effect.

And, the obligors, jointly and severally, for themselves, their heirs, executors, administrators, successors and assigns, do agree with the Commonwealth that upon violation of the said Act, and the rules and regulations promulgated by the State Board of Auctioneer Examiners, or the applicable rules and regulations of the Department, Board or Commission of the Commonwealth thereunder or of any laws of this Commonwealth, and upon the revocation of the licensure and upon forfeiture of the bond, aforesaid, or upon the recovery of a judgment and in execution of that judgment on the bond, as specified at §13(c) of the Act, for any such violation during the continuance of such license, the full amount of this bond shall be due and payable.

And we, and each of us, do hereby confess judgment against us and each of us for the penal sum of \$5,000 (Five Thousand Dollars), with cost of suit and release of all errors, without stay of execution, waiving inquisition and condemnation of any real estate, and we, and each of us, do hereby waive the benefit of any law or laws now in force, or which may hereafter become a force exempting property from levy and sale upon execution, and we do hereby empower any attorney, or the Prothonotary, of any Court of Record within this Commonwealth or elsewhere, to appear for us and each of us, to confess judgment as expressed, and for the entering of such judgment and so doing this shall be sufficient warrant; a copy of bond being filed in said action, it shall be necessary to file the original as a warrant, any law or rule of Court notwithstanding.

08/2014

\_\_\_\_\_  
SIGNATURE OF APPLICANT

\_\_\_\_\_  
SIGNATURE OF WITNESS

\_\_\_\_\_  
PRINT NAME OF WITNESS

SURETY:

\_\_\_\_\_  
NAME OF SURETY COMPANY

\_\_\_\_\_  
SIGNATURE OF SURETY

\_\_\_\_\_  
STREET ADDRESS OF ATTORNEY- IN- FACT

(BUSINESS SEAL)

\_\_\_\_\_  
CITY STATE ZIP CODE

CERTIFIED COPY OF POWER OF ATTORNEY NOMINATING, CONSTITUTING AND APPOINTING SAID ATTORNEY-IN-FACT FOR SAID CORPORATE SURETY MUST BE ATTACHED HERETO. DATE OF SAID CERTIFICATION AND DATE OF EXECUTION OF BOND MUST AGREE.

**CONSENT OF NONRESIDENT LICENSEE**

Whereas, the Auctioneer Licensing and Trading Assistant Registration Act in the Commonwealth of Pennsylvania requires that a nonresident licensee of the State Board of Auctioneer Examiners files a consent that suits and other legal actions may be commenced against a licensee in the proper court of any county of the Commonwealth in which a cause of action may arise or in which a plaintiff may reside.

Now witnesseth, that the undersigned \_\_\_\_\_  
Name of Applicant  
of \_\_\_\_\_  
Street

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

an \_\_\_\_\_, hereby consents to the commencement of suits and other legal actions  
Auctioneer/Apprentice/Trading Assistant/Auction Company/Auction House

against the licensee in and county of the Commonwealth in which a cause of action may arise or in which a plaintiff may reside by service of any process of pleading authorized by the laws of the Commonwealth or the Secretary of the Commonwealth of Pennsylvania; and

That the undersigned stipulates and agrees that service of process or pleading as aforesaid on the Secretary of the Commonwealth, shall be taken and held in all courts to be as valid and binding as if due service had been made upon the undersigned within the Commonwealth of Pennsylvania; and

That the undersigned intends that this consent shall be and hereby is irrevocable.

State of \_\_\_\_\_ County of \_\_\_\_\_

SWORN TO AND SUBSCRIBED BEFORE ME THIS \_\_\_\_\_ DAY OF \_\_\_\_\_ 20 \_\_\_\_

MY COMMISSION EXPIRES: \_\_\_\_\_

\_\_\_\_\_  
Signature of Notary

\_\_\_\_\_  
Signature of Applicant