



### SUBCONTRACTOR CERTIFICATIONS

**Subrecipient:** \_\_\_\_\_

**UPRM Subagreement Number:** \_\_\_\_\_

The above mentioned subrecipient certifies that it is in compliance with the following federal regulations:

\_\_\_ Conflict of Interest filed (applicable to proposal in NSF- 59 Fed. Reg. 25820 and PHS- 42 CFR Part 50)

\_\_\_ Delinquent Federal Debt

\_\_\_ Debarment and suspension (It is not currently debarred or suspended)- FAR 52.209-5

\_\_\_ Drug free workplace Act of 1988- Public Law 100-690, Title V, Subtitle D

\_\_\_ Civil Rights Act of 1964- Title VI 42 USC 2000d et seq.

\_\_\_ Rehabilitation Act of 1973- 29 USC 701 et seq.

\_\_\_ Americans with Disabilities Act of 1990- 42 USC 12101 et seq.

\_\_\_ Sex Discrimination- Title IX- 20 USC 1681-1688

\_\_\_ Age Discrimination Act of 1975- 42 USC 6101 et seq.

\_\_\_ Human Subject Protection (if applicable) - 45 CFR 46.101

\_\_\_ Use of Animals in Research (if applicable) - 7 USC 2131 et seq.

\_\_\_ Research involving Recombinant DNA Molecules (if applicable)

\_\_\_ Endangered Species Act of 1973- 16 USC 1531-1544

\_\_\_ Anti kickback Act of 1986- 41 USC 51-58

\_\_\_ Buy American Act- 41 USC 10a, 106

\_\_\_ Fly American Act- 49 USC 40118

\_\_\_ Ship American Act 46 USC App 1241

\_\_\_ OMB Circular A-110 (If applicable)

\_\_\_ OMB Circular A-21 (If applicable)

- Civil Rights, Handicapped Individuals or Sex discrimination suits have been filed in the past year:

\_\_\_\_\_ Yes

\_\_\_\_\_ No

- Misconduct in Science:

\_\_\_\_\_ Internal administrative process has been established

\_\_\_\_\_ Reporting requirements of the published scientific misconduct regulations will be followed

If not in compliance with any of the above mentioned regulations please specify the reasons for the non compliance:

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Subrecipient authorized representative signature: \_\_\_\_\_

Title: \_\_\_\_\_ Typed name: \_\_\_\_\_

Date: \_\_\_\_\_ Phone: \_\_\_\_\_ E-mail: \_\_\_\_\_

**Please return this form to:**

Research and Development Center  
Office of the Director  
University of Puerto Rico at Mayagüez  
Call Box 9000  
Mayagüez, PR 00681-9000

Or via email to: [griselle.hernandez2@upr.edu](mailto:griselle.hernandez2@upr.edu)



COMPLIANCE WITH OMB CIRCULAR A-133

Subrecipient name: \_\_\_\_\_

UPRM Subagreement number: \_\_\_\_\_

Fiscal Year: \_\_\_\_\_

OMB Circular A-133, Audits of State, Local Governments, and Non-profit Organizations, requires that all Non-federal entities that expend \$500,000 or more in a year in federal awards shall have an audit. Sub recipients that receive funds from pass-through entities in excess of the stated amount are also subject to the terms of the A-133. As a pass-through entity we are required to monitor our subrecipients of federal funds to determine their compliance with federal requirements.

This Circular does not apply to non-U.S. based entities expending Federal awards received either directly as a recipient or indirectly as a subrecipient.

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Please check the appropriate lines below:

\_\_\_\_\_ We have not completed our fiscal year \_\_\_\_\_ A-133 audit. We will advise you the result when it is completed on or about \_\_\_\_\_.

\_\_\_\_\_ We have completed our fiscal year \_\_\_\_\_ A-133 audit. There were no material weaknesses, no instances of non-compliance or findings related to any subaward from UPRM.

\_\_\_\_\_ We have completed our fiscal year \_\_\_\_\_ A-133 audit. There were material weaknesses, material instances of non-compliance and/or findings related to subaward (s) from UPRM noted. A copy of the audit report, our response and corrective plans are enclosed.

\_\_\_\_\_ We are not subject to the requirements of A-133 because we:

\_\_\_\_\_ are a for-profit organization

\_\_\_\_\_ are a foreign (non-US entity)

\_\_\_\_\_ receive less that \$500,000 in total federal support

\_\_\_\_\_ Other (explain):

\_\_\_\_\_

\_\_\_\_\_

Subrecipient authorized representative signature: \_\_\_\_\_

Title: \_\_\_\_\_ Typed name: \_\_\_\_\_

Date: \_\_\_\_\_ Phone: \_\_\_\_\_ E-mail: \_\_\_\_\_

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