

# Tips to Facilitate the Medicare Enrollment Process for Physicians and Non-Physician Practitioners (CMS-855I)

To ensure that your Medicare enrollment application is processed timely, you should:

## 1. Submit the 2006 version of the Medicare enrollment application (CMS-855).

Effective May 1, 2006, the Centers for Medicare & Medicaid Services (CMS) revised the CMS-855 Medicare enrollment applications. Providers and suppliers must submit the appropriate 2006 version of the CMS-855. The application version can be found in the lower left corner of the application.

If an applicant submits the 11/2001 version of the CMS-855, the Medicare contractor will return this application without further review.

An electronic copy of the current CMS-855 Medicare enrollment application follows this tip sheet.

## 2. Submit the correct application for your provider or supplier type to the Medicare fee-for-service contractor servicing your State or location.

The Medicare contractor that serves your State or practice location is responsible for processing your enrollment application. Applicants must submit their application(s) to the appropriate Medicare fee-for-service contractor. A list of the Medicare fee-for-service contractors by State can be found in the download section of [www.cms.hhs.gov/MedicareProviderSupEnroll](http://www.cms.hhs.gov/MedicareProviderSupEnroll).

## 3. Submit a complete application.

When completing the CMS-855 for the first time, each section of an application **must** be completed in ink (blue preferable). When reporting a change to your enrollment information, complete each section listed in Section 1B of the CMS-855.

**Note:** If you are enrolled in Medicare, but have never submitted the CMS-855, you are required to submit a complete application. Providers and Suppliers should follow the instructions for completing an initial enrollment application.

The attachment at the end of this document provides tips for completing certain sections of the CMS-855I.

## 4. Request and obtain your National Provider Identifier (NPI) number before enrolling or making a change in your Medicare enrollment information.

CMS requires that providers and suppliers obtain their National Provider Identifier (NPI) **prior to** enrolling or updating their enrollment record with Medicare. A Medicare contractor will **not** process your enrollment application without the NPI and a copy of the NPI notification letter received from the National Plan and Provider Enumeration System or the organization requesting your NPI. The NPI notification is required with **each** CMS-855 application you submit.

If you do not have an NPI, please contact the NPI Enumerator at <https://nppes.cms.hhs.gov> or call the Enumerator at 1-800-465-3203 or TTY 1-800-692-2326.

**5. Submit the Electronic Funds Transfer Authorization Agreement (CMS-588) with your enrollment application, if applicable.**

CMS requires that providers and suppliers, who are enrolling in the Medicare program or making a change in their enrollment data, receive payments via electronic funds transfer. Reminder: when completing the CMS-588 complete each section.

The CMS-588 must be signed by the authorized official that signed the CMS-855.

**Note:** If a provider or supplier already receives payments electronically and is not making a change to his/her banking information, the CMS-588 is not required. If you are a supplier who is reassigning all of your benefits to a group, neither you nor the group is required to receive payments via electronic funds transfer.

**6. Submit all supporting documentation.**

In addition to a complete application, each provider or supplier is required to submit all applicable supporting documentation at the time of filing. Supporting documentation includes professional licenses, business licenses, the National Provider Identifier notification received from the National Plan and Provider Enumeration System and, if applicable, an authorization agreement for Electronic Funds Transfer Authorization Agreement (CMS-588).

See Section 17 of the Medicare enrollment application for additional information regarding the applicable documentation requirements.

**7. Sign and date the application.**

Applications must be **signed and dated** by the appropriate individuals. Signatures must be original and in ink (blue preferable). Copied or stamped signatures will **not** be accepted.

**8. Respond to fee-for-service contractor requests promptly and fully.**

To facilitate your enrollment into the Medicare program, respond promptly **and** fully to any request for additional or clarifying information from the fee-for-service contractor.

# CMS-855I Section Specific Tips

**General** – Prior to completing the Medicare enrollment application, read the instructions found at the beginning of each application.

- Request and obtain your National Provider Identifier (NPI) number before enrolling or making a change in your Medicare enrollment information. A Medicare contractor will not process your enrollment application without the NPI and a copy of the NPI notification letter received from the National Plan and Provider Enumeration System or the organization requesting your NPI with each CMS-855 application you submit. If you do not have an NPI, please contact the NPI Enumerator at <https://nppes.cms.hhs.gov> or call the Enumerator at 1-800-465-3203 or TTY 1-800-692-2326.
- Submit the correct application for your provider or supplier type to the Medicare fee-for-service contractor servicing your State or location. Providers and suppliers must submit their application(s) to the appropriate Medicare fee-for-service contractor. A list of the Medicare fee-for-service contractors by State can be found in the download section of [www.cms.hhs.gov/MedicareProviderSupEnroll](http://www.cms.hhs.gov/MedicareProviderSupEnroll).

## AVOID DELAYS IN YOUR ENROLLMENT—SUBMIT A COMPLETE APPLICATION

Below are specific tips to help you complete the CMS-855I.

**Note:** Applicant's who submit an incomplete application will be required to resubmit the previously missing information along with new signed certification page. Failure to provide this information in a prompt manner will delay your enrollment into the Medicare program.

### Section 1

- Physician Assistants and individuals reassigning all of their Medicare payments (per Section 4B1 of the application), should enter the Medicare Identification Number, if issued, and the associated National Provider Identifier number in Section 1.
- Enter the reason for submission.
- Provide the effective date of termination if you are voluntarily terminating your Medicare enrollment.

### Section 3

- Provide a response (check the appropriate box) to the Adverse Legal Actions/Convictions question. Your application will be considered incomplete if the information is missing or you enter not applicable in Section 3.
- List all adverse legal actions, if any, in Section 3 and submit associated documentation.

### Section 4

- The practice location must be the actual physical location of the practice or facility where the applicant furnishes services.
- If the special payment address in Section 4B is for a billing agency, complete Section 8 and submit the billing agreement.
- Add, change, or delete boxes are not marked and dates are not listed.

## **Section 6**

- Add, change, or delete boxes are not marked and dates are not listed.
- Provide a response (check the appropriate box) to the Adverse Legal Actions/Convictions question. Your application will be considered incomplete if the information is missing or you enter not applicable in Section 3.
- List all adverse legal actions, if any, in Section 3 and submit associated documentation.

## **Section 13**

- The contact person listed in this Section should be available to assist with clarifications and additional information during the application process.

## **Section 15**

- Applicant signs **and** dates this section.

## **Section 17**

- Submit all supporting documentation at the time of filing, including copies of professional school degrees or certificates, professional licenses, and/or evidence of qualifying course work.



---

# MEDICARE ENROLLMENT APPLICATION

---

## PHYSICIANS AND NON-PHYSICIAN PRACTITIONERS

---

**CMS-855I**

**SEE PAGE 1 TO DETERMINE IF YOU ARE COMPLETING THE CORRECT APPLICATION.**

**SEE PAGE 2 FOR INFORMATION ON WHERE TO MAIL THIS APPLICATION.**

**SEE PAGE 27 TO FIND THE LIST OF THE SUPPORTING DOCUMENTATION  
THAT MUST BE SUBMITTED WITH THIS APPLICATION.**



## WHO SHOULD COMPLETE THIS APPLICATION

All physicians, as well as all non-physician practitioners listed below, must complete this application to initiate the enrollment process:

Anesthesiology Assistant	Clinical social worker	Physician assistant
Audiologist	Mass immunization roster biller	Psychologist, Clinical
Certified nurse midwife	Nurse practitioner	Psychologist billing independently
Certified registered nurse anesthetist	Occupational therapist in private practice	Registered Dietitian or Nutrition Professional
Clinical nurse specialist	Physical therapist in private practice	

If your supplier type is not listed above, contact the fee-for-service contractor before you submit this application.

Complete this application if you are an individual practitioner who plans to bill Medicare and you are:

- An individual practitioner who will provide services in a private setting.
- An individual practitioner who will provide services in a group setting. If you plan to render all of your services in a group setting, you will complete Sections 1-4 and skip to Sections 14 through 17 of this application.
- Currently enrolled with a Medicare fee-for-service contractor but need to enroll in another fee-for-service contractor's jurisdiction (e.g., you have opened a practice location in a geographic territory serviced by another Medicare fee-for-service contractor).
- Currently enrolled in Medicare and need to make changes to your enrollment information (e.g., you have added or changed a practice location).
- An individual who has formed a professional corporation, professional association, limited liability company, etc., of which you are the sole owner.

If you provide services in a group/organization setting, you will also need to complete a separate application, the CMS-855R, to reassign your benefits to each organization. If you terminate your association with an organization, use the CMS-855R to submit that change.

If you perform diagnostic testing, you may be required to enroll as an Independent Diagnostic Testing Facility (IDTF) if substantial portions of your diagnostic tests (other than clinical laboratory or pathology) are provided to patients who are not your patients. Check with your Medicare fee-for-service contractor to determine whether or not you need to enroll as an IDTF. If you only furnish diagnostic tests, claims must be submitted as an IDTF and you must complete and submit the CMS-855B.

## BILLING NUMBER INFORMATION

The Medicare Identification Number, often referred to as a Provider Identification Number (PIN), is a generic term for any number other than the National Provider Identifier (NPI) that is used by a supplier to bill the Medicare program.

The NPI is the standard unique health identifier for health care providers and is assigned by the National Plan and Provider Enumeration System (NPPES). **As a Medicare health supplier, you must obtain an NPI prior to enrolling in Medicare or before submitting a change to your existing Medicare enrollment information.** Applying for the NPI is a process separate from Medicare enrollment. To obtain an NPI, you may apply online at <https://NPPES.cms.hhs.gov>. For more information about NPI enumeration, visit [www.cms.hhs.gov/NationalProvIdentStand](http://www.cms.hhs.gov/NationalProvIdentStand).

## INSTRUCTIONS FOR COMPLETING AND SUBMITTING THIS APPLICATION

- Type or print all information so that it is legible. Do not use pencil.
- Report additional information within a section by copying and completing that section for each additional entry.
- Attach all required supporting documentation.
- Keep a copy of your completed Medicare enrollment package for your own records.
- Send the completed application with original signatures and all required documentation to your designated fee-for-service contractor.

## AVOID DELAYS IN YOUR ENROLLMENT

To avoid delays in the enrollment process, you should:

- Complete all required sections.
- Ensure that the correspondence address shown in Section 2 is the supplier's address.  
**Note:** Do not enter a billing agent correspondence address in Section 2.
- Enter your NPI in the applicable section.
- Enter all applicable dates.
- Send the completed application with all supporting documentation to your designated fee-for-service contractor.

## ADDITIONAL INFORMATION

For additional information regarding the Medicare enrollment process, visit [www.cms.hhs.gov/MedicareProviderSupEnroll](http://www.cms.hhs.gov/MedicareProviderSupEnroll).

The fee-for-service contractor may request, at any time during the enrollment process, documentation to support and validate information reported on the application. You are responsible for providing this documentation in a timely manner.

The information you provide on this form will not be shared. It is protected under 5 U.S.C. Section 552(b)(4) and/or (b)(6), respectively. For more information, see the last page of this application to read the Privacy Act Statement.

## MAIL YOUR APPLICATION

The Medicare fee-for-service contractor (also referred to as a carrier or a Medicare administrative contractor that services your State) is responsible for processing your enrollment application. To locate the mailing address for your fee-for-service contractor, go to [www.cms.hhs.gov/MedicareProviderSupEnroll](http://www.cms.hhs.gov/MedicareProviderSupEnroll).

---

## SECTION 1: BASIC INFORMATION

---

This section captures information regarding the reason you are submitting this application. Read this section in full prior to indicating the reason for submission on page 4.

### NEW ENROLLEES TO MEDICARE

If you are:

- Enrolling in the Medicare program for the first time with this particular Medicare fee-for-service contractor.
- A physician assistant whose services are reimbursed through your employer would complete this application. However, he/she would not complete the CMS 855R.

**NOTE:** A physician assistant should only complete Sections 1, 2, 3, 13, 15 and 17, and should report all employers in Section 2E.

### ENROLLED MEDICARE SUPPLIERS

The following actions apply to Medicare suppliers already enrolled in the program.

#### **Enrolling with another fee-for-service contractor**

If you are already enrolled with a Medicare fee-for-service contractor but are establishing a practice location in another fee-for-service contractor's jurisdiction.

#### **Reactivation**

To reactivate your Medicare billing privileges, submit this enrollment application. In addition, you must be able to submit a valid claim and meet all current requirements for your supplier type

#### **Voluntary Termination**

You should voluntarily terminate your Medicare enrollment if you:

- Will no longer be rendering services to Medicare patients, or
- Are planning to cease (or have ceased) operations.

#### **Change of Information**

If you are adding, deleting, or changing information you previously reported to Medicare.

If you are already in Medicare and are not receiving Medicare payments via EFT, any change to your enrollment information will require you to submit a CMS-588 form. All future payments will then be received via EFT.

**Any change to your existing enrollment data must be reported to the fee-for-service contractor within 90 days of the effective date of the change.**

#### **Revalidation**

CMS may require you to submit or update your enrollment information. The fee-for-service contractor will notify you when it is time for you to revalidate your enrollment information. Do not submit a revalidation application until you have been contacted by the fee-for-service contractor.



## SECTION 1: BASIC INFORMATION

### A. Check one box and complete the required sections.

Since physician assistants do not complete Section 4, all physician assistants must furnish their Medicare Identification Number (if issued) and their NPI here:

Medicare Identification Number(s): \_\_\_\_\_ NPI: \_\_\_\_\_

If you are reassigning all of your Medicare benefits per section 4B1 of this application, furnish your Medicare Identification Number (if issued) and your NPI here:

Medicare Identification Number(s): **PENDING** NPI: \_\_\_\_\_

REASON FOR APPLICATION	BILLING NUMBER INFORMATION	REQUIRED SECTIONS
<input type="checkbox"/> You are a <b>new enrollee</b> in Medicare	Enter your Medicare Identification Number ( <i>if issued</i> ) and the NPI you would like to link to this number in Section 4.	<b>Complete all sections</b>
<input type="checkbox"/> You are <b>enrolling with another fee-for-service contractor</b>	Enter your Medicare Identification Number ( <i>if issued</i> ) and the NPI you would like to link to this number in Section 4.	<b>Complete all sections</b>
<input type="checkbox"/> You are <b>reactivating</b> your Medicare enrollment	Enter your Medicare Identification Number ( <i>if issued</i> ) and the NPI you would like to link to this number in Section 4.	<b>Complete all sections</b>
<input type="checkbox"/> You are <b>voluntarily terminating</b> your Medicare enrollment	Effective Date of Termination	<b>Sections 1A, 13 and 15</b>
	Medicare Identification Number(s) to Terminate ( <i>if issued</i> ):  National Provider Identifier ( <i>if issued</i> ):	Physician Assistants must complete Sections <b>1A, 2F, 13 and 15</b>  Employers terminating Physician Assistants must complete Sections <b>1A, 2G, 13 and 15</b>

## SECTION 1: BASIC INFORMATION (Continued)

<input type="checkbox"/> You are <b>changing</b> your Medicare information	Medicare Identification Number <i>(if issued)</i> :  NPI:	Go to Section <b>1B</b>
<input type="checkbox"/> You are <b>revalidating</b> your Medicare enrollment	Enter your Medicare Identification Number <i>(if issued)</i> and the NPI you would like to link to this number in Section 4.	<b>Complete all sections</b>

### B. Check all that apply and complete the required sections.

#### REQUIRED SECTIONS

<input type="checkbox"/> Identifying Information	<b>1, 2</b> (complete only those sections that are changing), <b>3, 13</b> and <b>15</b>
<input type="checkbox"/> Adverse Legal Actions / Convictions	<b>1, 2A, 3, 13</b> and <b>15</b>
<input type="checkbox"/> Practice Location Information, Payment Address and Medical Record Storage Information	<b>1, 2A, 3, 4</b> (complete only those sections that are changing), <b>13</b> and <b>15</b>
<input type="checkbox"/> Individuals Having Managing Control	<b>1, 2A, 3, 6, 13,</b> and <b>15</b>
<input type="checkbox"/> Billing Agency Information	<b>1, 2A, 3, 8</b> (complete only those sections that are changing), <b>13</b> and <b>15</b>

## SECTION 2: IDENTIFYING INFORMATION

### A. Personal Information: Your name, date of birth, and social security number must coincide with the information on your social security record.

1. First Name	Middle Initial	Last Name	Jr., Sr., M.D., D.O., etc.
2. Other Name, First	Middle Initial	Last Name	Jr., Sr., M.D., D.O., etc.
Type of Other Name <input type="checkbox"/> Former or Maiden Name <input type="checkbox"/> Professional Name <input type="checkbox"/> Other (Describe): _____			
Date of Birth (mm/dd/yyyy)	State of Birth	Country of Birth	
3. Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	4. Social Security Number		
Medical or other Professional School (Training Institution, if non-MD)	Year of Graduation (yyyy)	DEA Number (if applicable)	

### License Information

<input type="checkbox"/> License Not Applicable	
License Number	State Where Issued
Effective Date (mm/dd/yyyy)	Expiration/Renewal Date (mm/dd/yyyy)

### Certification Information

<input type="checkbox"/> Certification Not Applicable	
Certification Number	State Where Issued
Effective Date (mm/dd/yyyy)	Expiration/Renewal Date (mm/dd/yyyy)

### B. Correspondence Address

Provide contact information for the person shown in Section 2A above. Once enrolled, the information provided below will be used by the fee-for-service contractor if it needs to contact you directly. This address cannot be a billing agency's address.

Mailing Address Line 1 (Street Name and Number) 7703 FLOYD CURL DRIVE-MAIL CODE 7977		
Mailing Address Line 2 (Suite, Room, etc.)		
City/Town SAN ANTONIO	State TX	ZIP Code + 4 78229-3900
Telephone Number (210) 257-1400	Fax Number (if applicable) (210) 257-1428	E-mail Address (if applicable) richj2@uthscsa.edu

---

## SECTION 2: IDENTIFYING INFORMATION (Continued)

---

### C. Resident/Fellow Status

1. Are you currently in an approved training program as:

- a. A resident?  YES  NO  
b. In a fellowship program?  YES  NO

- If NO, skip to Section 2D.
- If YES to either of the above questions, provide the name and address of the facility where you are a resident or fellow on the following lines:

---

---

2. Are the services that you render at the facility shown in Section 2C1  YES  NO  
part of your requirements for graduation from a formal residency  
or fellowship program?

Date of Completion:\_\_\_\_\_. If your completion date is prior to the beginning date for your  
practice in Section 4, skip to Section 2D.

3. Do you also render services at other facilities or practice locations?  YES  NO  
IF YES, you must report these practice locations in Section 4.

4. Are the services that you render in any of the practice locations you will  YES  NO  
be reporting in Section 4 part of your requirements for graduation from  
a residency or fellowship program?

IF YES, has the teaching hospital reported in Section 2C1 above agreed to  YES  NO  
incur all or substantially all of the costs of training in the non-hospital facility  
or location?

---

## SECTION 2: IDENTIFYING INFORMATION (Continued)

---

### D. Medical Specialties

#### 1. PHYSICIAN SPECIALTY

Designate your primary specialty and all secondary specialty(s) below using:

**P=Primary S=Secondary**

You may select only one primary specialty. You may select multiple secondary specialties. A physician must meet all Federal and State requirements for the type of specialty(s) checked.

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Addiction medicine                     | <input type="checkbox"/> Hematology                            | <input type="checkbox"/> Otolaryngology                          |
| <input type="checkbox"/> Allergy/Immunology                     | <input type="checkbox"/> Hematology/Oncology                   | <input type="checkbox"/> Pathology                               |
| <input type="checkbox"/> Anesthesiology                         | <input type="checkbox"/> Infectious disease                    | <input type="checkbox"/> Pediatric medicine                      |
| <input type="checkbox"/> Cardiac surgery                        | <input type="checkbox"/> Internal medicine                     | <input type="checkbox"/> Peripheral vascular disease             |
| <input type="checkbox"/> Cardiovascular disease<br>(Cardiology) | <input type="checkbox"/> Interventional Pain<br>Management     | <input type="checkbox"/> Physical medicine<br>and rehabilitation |
| <input type="checkbox"/> Chiropractic                           | <input type="checkbox"/> Interventional radiology              | <input type="checkbox"/> Plastic and<br>reconstructive surgery   |
| <input type="checkbox"/> Colorectal surgery<br>(Proctology)     | <input type="checkbox"/> Maxillofacial surgery                 | <input type="checkbox"/> Podiatry                                |
| <input type="checkbox"/> Critical care (Intensivists)           | <input type="checkbox"/> Medical oncology                      | <input type="checkbox"/> Preventive medicine                     |
| <input type="checkbox"/> Dermatology                            | <input type="checkbox"/> Nephrology                            | <input type="checkbox"/> Psychiatry                              |
| <input type="checkbox"/> Diagnostic radiology                   | <input type="checkbox"/> Neurology                             | <input type="checkbox"/> Pulmonary disease                       |
| <input type="checkbox"/> Emergency medicine                     | <input type="checkbox"/> Neuropsychiatry                       | <input type="checkbox"/> Radiation oncology                      |
| <input type="checkbox"/> Endocrinology                          | <input type="checkbox"/> Neurosurgery                          | <input type="checkbox"/> Rheumatology                            |
| <input type="checkbox"/> Family practice                        | <input type="checkbox"/> Nuclear medicine                      | <input type="checkbox"/> Surgical oncology                       |
| <input type="checkbox"/> Gastroenterology                       | <input type="checkbox"/> Obstetrics/Gynecology                 | <input type="checkbox"/> Thoracic surgery                        |
| <input type="checkbox"/> General practice                       | <input type="checkbox"/> Ophthalmology                         | <input type="checkbox"/> Urology                                 |
| <input type="checkbox"/> General surgery                        | <input type="checkbox"/> Optometry                             | <input type="checkbox"/> Vascular surgery                        |
| <input type="checkbox"/> Geriatric medicine                     | <input type="checkbox"/> Oral surgery (Dentist only)           | <input type="checkbox"/> Undefined physician type                |
| <input type="checkbox"/> Gynecological oncology                 | <input type="checkbox"/> Orthopedic surgery                    | (Specify): _____   |
| <input type="checkbox"/> Hand surgery                           | <input type="checkbox"/> Osteopathic<br>manipulative treatment |  |

**Diagnostic Radiology**—If you checked diagnostic radiology as your specialty and you will bill for the technical component of the diagnostic tests, you must contact the Medicare fee-for-service contractor prior to your enrollment to determine if you will also need to complete a CMS 855B to enroll in Medicare as an Independent Diagnostic Testing Facility (IDTF).

**Physicians who bill for diagnostic tests (other than clinical laboratory or pathology tests)**—

As a physician, you may bill for these diagnostic tests as long as you do not provide a substantial portion of the diagnostic tests to patients who are not your own patients. Patients are considered your own patients if:

- They have a prior relationship with you and are receiving medical treatment from you for a specific medical condition, or
- You are also billing for patient evaluation and management (E & M) codes.

---

## SECTION 2: IDENTIFYING INFORMATION (Continued)

---

### 2. NON-PHYSICIAN SPECIALTY

If you are a non-physician practitioner, check the appropriate box to indicate your specialty.

All non-physician practitioners must meet specific licensing, educational, and work experience requirements. If you need information concerning the specific requirements for your specialty, contact the Medicare fee-for-service contractor.

**Check only one of the following:** If you want to enroll as more than one non-physician specialty type, you must submit a separate CMS-855I application for each.

- |   |  |
|---|--|
| <input type="checkbox"/> Anesthesiology assistant                   | <input type="checkbox"/> Physical therapist in private practice                        |
| <input type="checkbox"/> Audiologist                                | <input type="checkbox"/> Physician assistant   |
| <input type="checkbox"/> Certified nurse midwife                    | <input type="checkbox"/> Psychologist, clinical  |
| <input type="checkbox"/> Certified registered nurse anesthetist     | <input type="checkbox"/> Psychologist billing independently                            |
| <input type="checkbox"/> Clinical nurse specialist                  | <input type="checkbox"/> Registered dietitian or nutrition professional                |
| <input type="checkbox"/> Clinical social worker                     | <input type="checkbox"/> Undefined non-physician practitioner type ( <i>Specify</i> ): |
| <input type="checkbox"/> Mass immunization roster biller            |  |
| <input type="checkbox"/> Nurse practitioner                         |  |
| <input type="checkbox"/> Occupational therapist in private practice |  |

**SECTION 2: IDENTIFYING INFORMATION** (Continued)

**E. Physician Assistants: Establishing Employment Arrangement(s)**

Employer's Name	Effective Date of Employment	Employer's Medicare Identification Number <i>(if issued)</i>	Employer's NPI

**F. Physician Assistants: Terminating Employment Arrangement(s)**

Complete this section if you are a physician assistant discontinuing your employment with a practice.

Employer's Name	Effective Date of Departure	Employer's Medicare Identification Number <i>(if issued)</i>	Employer's NPI

**G. Employer Terminating Employment Arrangement With One or More Physician Assistants**

This section should be used by an individual who has incorporated or is a sole proprietor, and who is discontinuing their employment arrangement with a physician assistant.

Physician Assistant's Name	Effective Date of Departure	Physician Assistant's Medicare Identification Number <i>(if issued)</i>	Physician Assistant's NPI

---

## SECTION 2: IDENTIFYING INFORMATION (Continued)

### H. Clinical Psychologists

Do you hold a doctoral degree in psychology?  YES  NO

If YES, furnish the field of your psychology degree \_\_\_\_\_

Attach a copy with this application.

### I. Psychologists Billing Independently

1. Do you render services of your own responsibility free from the administrative control of an employer such as a physician, institution, or agency?  YES  NO

2. Do you treat your own patients?  YES  NO

3. Do you have the right to bill directly, and to collect and retain the fee for your services?  YES  NO

4. Is this private practice located in an institution?  YES  NO

**If YES** to question 4 above, please answer questions “a” and “b” below.

a) If your private practice is located in an institution, is your office confined to a separately identified part of the facility that is used solely as your office and cannot be construed as extending throughout the entire institution?  YES  NO

b) If your private practice is located in an institution, are your services also rendered to patients from outside the institution or facility where your office is located?  YES  NO

### J. Physical Therapists/Occupational Therapists in Private Practice (PT/OT)

The following questions only apply to your individual practice. They do not apply if you are reassigning all of your benefits to a group/organization.

1. Are all of your PT/OT services only rendered in the patients’ homes?  YES  NO

2. Do you maintain private office space?  YES  NO

3. Do you own, lease, or rent your private office space?  YES  NO

4. Is this private office space used exclusively for your private practice?  YES  NO

5. Do you provide PT/OT services outside of your office and/or patients’ homes?  YES  NO

**If you respond YES to any of the questions 2–5 above**, attach a copy of the lease agreement that gives you exclusive use of the facility for PT/OT services.

### K. Nurse Practitioners and Certified Clinical Nurse Specialists

Are you an employee of a Medicare skilled nursing facility (SNF) or of another entity that has an agreement to provide nursing services to a SNF?  YES  NO

If yes, include the SNF’s name and address.

Name \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_



---

## SECTION 3: ADVERSE LEGAL ACTIONS/CONVICTIONS

---

This section captures information on adverse legal actions, such as convictions, exclusions, revocations, and suspensions. All applicable adverse legal actions must be reported, regardless of whether any records were expunged or any appeals are pending. If you are uncertain as to whether a name reported on this application has an adverse legal action, query the Healthcare Integrity and Protection Data Bank. For information on how to access the Data Bank, call 1-800-767-6732 or visit [www.npdb-hipdb.com](http://www.npdb-hipdb.com). There is a charge for using this service.

### ADVERSE LEGAL ACTIONS THAT MUST BE REPORTED

#### Convictions

1. The provider, supplier, or any owner of the provider or supplier was, within the last 10 years preceding enrollment or revalidation of enrollment, convicted of a Federal or State felony offense that CMS has determined to be detrimental to the best interests of the program and its beneficiaries. Offenses include:
  - Felony crimes against persons and other similar crimes for which the individual was convicted, including guilty pleas and adjudicated pre-trial diversions; financial crimes, such as extortion, embezzlement, income tax evasion, insurance fraud and other similar crimes for which the individual was convicted, including guilty pleas and adjudicated pre-trial diversions; any felony that placed the Medicare program or its beneficiaries at immediate risk (such as a malpractice suit that results in a conviction of criminal neglect or misconduct); and any felonies that would result in a mandatory exclusion under Section 1128(a) of the Social Security Act.
2. Any misdemeanor conviction, under Federal or State law, related to: (a) the delivery of an item or service under Medicare or a State health care program, or (b) the abuse or neglect of a patient in connection with the delivery of a health care item or service.
3. Any misdemeanor conviction, under Federal or State law, related to theft, fraud, embezzlement, breach of fiduciary duty, or other financial misconduct in connection with the delivery of a health care item or service.
4. Any felony or misdemeanor conviction, under Federal or State law, relating to the interference with or obstruction of any investigation into any criminal offense described in 42 C.F.R. Section 1001.101 or 1001.201.
5. Any felony or misdemeanor conviction, under Federal or State law, relating to the unlawful manufacture, distribution, prescription, or dispensing of a controlled substance.

#### Exclusions, Revocations, or Suspensions

1. Any revocation or suspension of a license to provide health care by any State licensing authority. This includes the surrender of such a license while a formal disciplinary proceeding was pending before a State licensing authority.
2. Any revocation or suspension of accreditation.
3. Any suspension or exclusion from participation in, or any sanction imposed by, a Federal or State health care program, or any debarment from participation in any Federal Executive Branch procurement or non-procurement program.
4. Any current Medicare payment suspension under any Medicare billing number.
5. Any Medicare revocation of any Medicare billing number.

---

**SECTION 3: ADVERSE LEGAL ACTIONS/CONVICTIONS** (Continued)

---

**ADVERSE LEGAL HISTORY**

1. Have you, under any current or former name or business entity, ever had an adverse legal action listed on page 12 of this application imposed against you?

YES—Continue Below     NO—Skip to Section 4

2. If yes, report each adverse legal action, when it occurred, the Federal or State agency or the court/administrative body that imposed the action, and the resolution, if any.

Attach a copy of the adverse legal action documentation and resolution.

<b>Adverse Legal Action</b>	<b>Date</b>	<b>Taken By</b>	<b>Resolution</b>
_____	_____		
_____	_____		
_____	_____		
_____	_____		
_____	_____		

---

## SECTION 4: PRACTICE LOCATION INFORMATION

---

### A. Establishing a Professional Corporation, Professional Association, Limited Liability Company, etc.

If you are the sole owner of a professional corporation, a professional association, or a limited liability company, and will bill Medicare through this business entity, complete this section about the business entity.

Legal Business Name as Reported to the Internal Revenue Service UTHSCSA MSP	Tax Identification Number 74-1586031
Medicare Identification Number (if issued) 00	NPI
Incorporation Date (mm/dd/yyyy) (if applicable)	State Where Incorporated (if applicable) N/A

#### ADVERSE LEGAL HISTORY (Please refer to page 12 in Section 3 before completing this section)

1. Has your organization, under any current or former name or business identity, ever had any of the adverse legal actions listed on page 12 of this application imposed against it?

YES—Continue Below     NO—Skip to Section 4

2. If yes, report each adverse legal action, when it occurred, the Federal or State agency or the court/administrative body that imposed the action, and the resolution, if any.

Attach a copy of the adverse legal action documentation and resolution.

Adverse Legal Action	Date	Taken By	Resolution
----------------------	------	----------	------------

**If you are the sole owner of a professional corporation, a professional association, or a limited liability company, and will bill Medicare through this business entity, skip to Section 4C and complete the rest of the application about your business entity.**

### B. Individual Affiliations

Complete this section with information about your private practice and group affiliations.

Beginning with Section 4B1, answer “Yes” or “No” to each question. If you answer “yes” to any question, furnish the requested information about each group/organization to which you will reassign your benefits. In addition, either you or each group/organization reported in this section must complete and submit a CMS 855R(s) (Individual Reassignment of Benefits) with this application. Reassigning benefits means that you are authorizing the group/organization to bill and receive payment from Medicare for the services you have rendered at the group/organization’s practice location.

If you are the sole owner of a professional corporation, a professional association, or a limited liability company, and will bill Medicare through this business entity, you do not need to complete a CMS-855R that reassigns your benefits to the business entity.

If you are an individual who is reassigning all of your benefits to a group, neither you nor the group needs to submit a CMS-588 (Electronic Funds Transfer) form to facilitate that reassignment.

## SECTION 4: PRACTICE LOCATION INFORMATION (Continued)

1. Will **all** of your services be rendered as part of a group or organization to which you will reassign your benefits?

- YES** Furnish the name, Medicare identification number(s) and NPI of each group or organization below and skip to Section 13.
- NO** Proceed to Section 4B2 below.

a) Name of Group/Organization UTHSCSA MSP	Medicare Identification Number <i>(if issued)</i> 00	National Provider Identifier
b) Name of Group/Organization	Medicare Identification Number <i>(if issued)</i>	National Provider Identifier
c) Name of Group/Organization	Medicare Identification Number <i>(if issued)</i>	National Provider Identifier

2. Will **any** of your services be rendered as part of a group or organization to which you will reassign your benefits?

- YES** Furnish the name and Medicare identification number(s) and NPI of each group or organization below and continue to Section 4C.
- NO** Skip to Section 4C with information about your private practice.

a) Name of Group/Organization	Medicare Identification Number <i>(if issued)</i>	National Provider Identifier
b) Name of Group/Organization	Medicare Identification Number <i>(if issued)</i>	National Provider Identifier
c) Name of Group/Organization	Medicare Identification Number <i>(if issued)</i>	National Provider Identifier

### C. Practice Location Information

- If you completed Section 4A, complete Section 4C through Section 17 for your business.
- All locations disclosed on claims forms should be identified in this section as practice locations.
- Complete this section for each of your practice locations where you render services to Medicare beneficiaries. However, you should only report those practice locations within the jurisdiction of the Medicare fee-for-service contractor to which you will submit this application. If you render services in a hospital and/or other health care facility, furnish the name and address of that hospital or facility.
- Each practice location must be a specific street address as recorded by the United States Postal Service. Do not report a P.O. Box.
- If you only render services in patients' homes (house calls), you may supply your home address in this section if you do not have an office. In Section 4H, explain that this address is for administrative purposes only and that all services are rendered in patients' homes.
- If you render services in a retirement or assisted living community, complete this section with the names, telephone numbers and addresses of those communities.

If you have a CLIA number and/or FDA/Radiology Certification Number for this practice location, provide that information and submit a copy of the most current CLIA and FDA certification for each practice location reported.

## SECTION 4: PRACTICE LOCATION INFORMATION (Continued)

If you or your organization sees patients in more than one practice location, copy and complete this Section 4C for each location.

If you are changing, adding, or deleting information, check the applicable box, furnish the effective date, and complete the appropriate fields in this section.

<b>CHECK ONE</b>	<input type="checkbox"/> CHANGE	<input type="checkbox"/> ADD	<input type="checkbox"/> DELETE
<b>DATE</b> (mm/dd/yyyy)			

**If you are enrolling for the first time, or if you are adding a new practice location, the date you provide should be the date you saw your first Medicare patient at this location.**

Practice Location Name (*"Doing Business As" name if different from Legal Business Name*)

Practice Location Street Address Line 1 (*Street Name and Number – NOT a P.O. Box*)

Practice Location Street Address Line 2 (*Suite, Room, etc.*)

City/Town \_\_\_\_\_ State \_\_\_\_\_ ZIP Code + 4 \_\_\_\_\_

Telephone Number \_\_\_\_\_ Fax Number (*if applicable*) \_\_\_\_\_ Email Address (*if applicable*) \_\_\_\_\_

Medicare Identification Number (*if issued*) \_\_\_\_\_ NPI \_\_\_\_\_

Date you saw your first Medicare patient at this practice location \_\_\_\_\_

Is this practice location a: \_\_\_\_\_

- Private practice office setting       Retirement/assisted living community  
 Hospital       Other health care facility (*Specify*): \_\_\_\_\_

CLIA Number for this location ( <i>if applicable</i> )	FDA/Radiology (Mammography) Certification Number for this location ( <i>if issued</i> )
_____	_____

## SECTION 4: PRACTICE LOCATION INFORMATION (Continued)

### D. Rendering Services In Patients' Homes

List the city/town, State, and ZIP code for all locations where health care services are rendered in patients' homes. If you provide health care services in more than one State and those States are serviced by different Medicare fee-for-service contractors, complete a separate enrollment application (CMS-855I) for each Medicare fee-for-service contractor's jurisdiction.

If you are changing, adding, or deleting information, check the applicable box, furnish the effective date, and complete the appropriate fields in this section.

<b>CHECK ONE</b>	<input type="checkbox"/> CHANGE	<input type="checkbox"/> ADD	<input type="checkbox"/> DELETE
<b>DATE</b> (mm/dd/yyyy)			

#### INITIAL REPORTING AND/OR ADDITIONS

If you are reporting or adding an entire State, it is not necessary to report each city/town. Simply check the box below and specify the State.

Entire State of \_\_\_\_\_

If services are provided in selected cities/towns, provide the locations below. Only list ZIP codes if you are not servicing the entire city/town.

City/Town

**N I A**

State

ZIP Code

#### DELETIONS

If you are deleting an entire State, it is not necessary to report each city/town. Simply check the box below and specify the State.

Entire State of \_\_\_\_\_

If services are provided in selected cities/towns, provide the locations below. Only list ZIP codes if you are not servicing the entire city/town.

City/Town

State

ZIP Code

## SECTION 4: PRACTICE LOCATION INFORMATION (Continued)

### E. Where Do You Want Remittance Notices or Special Payments Sent?

If you are changing, adding, or deleting information, check the applicable box, furnish the effective date, and complete the appropriate fields in this section.

<b>CHECK ONE</b>	<input type="checkbox"/> CHANGE	<input type="checkbox"/> ADD	<input type="checkbox"/> DELETE
<b>DATE</b> (mm/dd/yyyy)			

**Medicare will issue payments via electronic funds transfer (EFT).** Since payment will be made by EFT, the “Special Payments” address will indicate where all other payment information (e.g., remittance notices, special payments) are sent.

- “Special Payments” address is the same as the practice location (only one address is listed in Section 4C). Skip to Section 4F.
- “Special Payments” address is different than that listed in Section 4C, or multiple locations are listed. Provide address below.

Furnish the address where remittance notices and special payments should be sent for services rendered at the practice location(s) in Section 4C. Note that payments will be made in your name; if an entity is listed in Section 4A of this application, payments will be made in the organization’s name.

“Special Payment” Address Line 1 (Post Office or Street Name and Number)

“Special Payment” Address Line 2 (Suite, Room, etc.)

City/Town State ZIP Code + 4

### F. Employer ID Number Information

**NOTE:** If you are a sole proprietor and you want Medicare payments reported under your EIN, list it below. Unless indicated in this section, payment will be made to your SSN. You cannot use both an SSN and EIN. You can only use one EIN to bill Medicare.

To qualify for this payment arrangement, you:

- Must be a sole proprietor,
- Cannot reassign all of your Medicare payments, and,
- Want your payments made to your EIN. Furnish IRS documentation showing your EIN.

Employer Identification Number (EIN)

## SECTION 4: PRACTICE LOCATION INFORMATION (Continued)

### G. Where Do You Keep Patients' Medical Records?

If the patients' medical records are stored at a location other than the location shown in Section 4C, complete this section with the name and address of the storage location. This includes both current and former patients' records.

Post Office Boxes and drop boxes are not acceptable as physical addresses where patients' records are maintained. The records must be your records, not those of another supplier. If this section is not completed, you are indicating that all records are stored at the practice locations reported in Section 4C.

If you are changing, adding, or deleting information, check the applicable box, furnish the effective date, and complete the appropriate fields in this section.

#### First Medical Record Storage Facility (for current and former patients)

<b>CHECK ONE</b>	<input type="checkbox"/> CHANGE	<input type="checkbox"/> ADD	<input type="checkbox"/> DELETE
<b>DATE</b> (mm/dd/yyyy)			

Storage Facility Address Line 1 (Street Name and Number)

Storage Facility Address Line 2 (Room, etc.)

City/Town

State

ZIP Code + 4

#### Second Medical Record Storage Facility (for current and former patients)

<b>CHECK ONE</b>	<input type="checkbox"/> CHANGE	<input type="checkbox"/> ADD	<input type="checkbox"/> DELETE
<b>DATE</b> (mm/dd/yyyy)			

Storage Facility Address Line 1 (Street Name and Number)

Storage Facility Address Line 2 (Suite, Room, etc.)

City/Town

State

ZIP Code + 4

### H. Unique Circumstances

Explain any unique circumstances concerning your practice locations or the method by which you render health care services (e.g., you only render services in patients' homes [house calls only]).

## SECTION 5: FOR FUTURE USE (This Section Not Applicable)



## SECTION 6: INDIVIDUALS HAVING MANAGING CONTROL

This section captures information about all managing employees. A managing employee means a general manager, business manager, administrator, director, or other individual who exercises operational or managerial control over, or who directly or indirectly conducts, the day-to-day operations of the supplier, either under contract or through some other arrangement, regardless of whether the individual is a W-2 employee of the supplier.

All managing employees at any of your practice locations shown in Section 4 must be reported in this section. If there is more than one managing employee, copy and complete this section as needed.

### A. Managing Employee – Identifying Information

If you are changing, adding, or deleting information, check the applicable box, furnish the effective date, and complete the appropriate fields in this section.

<b>CHECK ONE</b>	<input type="checkbox"/> CHANGE	<input type="checkbox"/> ADD	<input type="checkbox"/> DELETE
<b>DATE</b> (mm/dd/yyyy)			
1. First Name	Middle Initial	Last Name	Tr. Sr. etc.
2. Title	Date of Birth (mm/dd/yyyy)		
3. Social Security Number (Required)	Medicare Identification Number (if issued)	None issued	

### B. Adverse Legal History

Complete this section for the individual reported in Section 6A above. If you are changing or adding information, check the “change” box, furnish the effective date, and complete the appropriate fields in this section.

Change     Effective Date: \_\_\_\_\_

1. Has this individual in Section 6A above, under any current or former name or business identity, ever had an adverse legal action listed on page 12 of this application imposed against him/her?

YES–Continue Below     NO–Skip to Section 8

2. If yes, report each adverse legal action, when it occurred, the Federal or State agency or court/administrative body that imposed the action, and the resolution, if any.

Adverse Legal Action	Date	Taken By	Resolution
----------------------	------	----------	------------

---

**SECTION 7: FOR FUTURE USE (This Section Not Applicable)**

---

---

**SECTION 8: BILLING AGENCY INFORMATION**

---

A billing agency is a company or individual that you contract with to prepare and submit your claims. If you use a billing agency, you are responsible for the claims submitted on your behalf.

**CHECK HERE  If this section does not apply and skip to Section 13.**

**Billing Agency Name and Address**

If you are changing, adding, or deleting information, check the applicable box, furnish the effective date, and complete the appropriate fields in this section.

<b>CHECK ONE</b>	<input type="checkbox"/> CHANGE	<input type="checkbox"/> ADD	<input type="checkbox"/> DELETE
<b>DATE</b> (mm/dd/yyyy)			
Legal Business Name (as Reported to the Internal Revenue Service)	ID Number / Social Security Number (required)		
"Doing Business As" Name (if applicable)			
Billing Agency Address Line 1 (Street Name and Number)			
Billing Agency Address Line 2 (Apt. Suite, Room, etc.)			
City/Town	State	ZIP Code	
Telephone Number	Fax Number (if applicable)	E-mail Address (if applicable)	

---

**SECTION 9: FOR FUTURE USE (This Section Not Applicable)**

---

---

**SECTION 10: FOR FUTURE USE (This Section Not Applicable)**

---

---

**SECTION 11: FOR FUTURE USE (This Section Not Applicable)**

---

---

**SECTION 12: FOR FUTURE USE (This Section Not Applicable)**

---

---

## SECTION 13: CONTACT PERSON

---

This section captures information regarding the person you would like for us to contact regarding this application. If no one is listed below, we will contact you directly.

First Name JENNIFER	Middle Initial G	Last Name RICH	Title, Sr, etc. MHA
Telephone Number (210) 257-1614	Fax Number (if applicable) (210) 257-1428	E-mail Address (if applicable)	
Address Line 1 (Street Name and Number) 6126 WURZBACH ROAD			
Address Line 2 (Suite, Room, etc.)			
City/Town SAN ANTONIO	State TEXAS	ZIP Code + 4 78238-1743	

---

## SECTION 14: PENALTIES FOR FALSIFYING INFORMATION

---

**This section explains the penalties for deliberately furnishing false information in this application to gain or maintain enrollment in the Medicare program.**

1. 18 U.S.C. § 1001 authorizes criminal penalties against an individual who, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme or device a material fact, or makes any false, fictitious, or fraudulent statements or representations, or makes any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry. Individual offenders are subject to fines of up to \$250,000 and imprisonment for up to five years. Offenders that are organizations are subject to fines of up to \$500,000 (18 U.S.C. § 3571). Section 3571(d) also authorizes fines of up to twice the gross gain derived by the offender if it is greater than the amount specifically authorized by the sentencing statute.
2. Section 1128B(a)(1) of the Social Security Act authorizes criminal penalties against any individual who, “knowingly and willfully,” makes or causes to be made any false statement or representation of a material fact in any application for any benefit or payment under a Federal health care program. The offender is subject to fines of up to \$25,000 and/or imprisonment for up to five years.
3. The Civil False Claims Act, 31 U.S.C. § 3729, imposes civil liability, in part, on any person who:
  - a) knowingly presents, or causes to be presented, to an officer or any employee of the United States Government a false or fraudulent claim for payment or approval;
  - b) knowingly makes, uses, or causes to be made or used, a false record or statement to get a false or fraudulent claim paid or approved by the Government; or
  - c) conspires to defraud the Government by getting a false or fraudulent claim allowed or paid.

The Act imposes a civil penalty of \$5,000 to \$10,000 per violation, plus three times the amount of damages sustained by the Government

4. Section 1128A(a)(1) of the Social Security Act imposes civil liability, in part, on any person (including an organization, agency or other entity) that knowingly presents or causes to be presented to an officer, employee, or agent of the United States, or of any department or agency thereof, or of any State agency... a claim... that the Secretary determines is for a medical or other item or service that the person knows or should know:
  - a) was not provided as claimed; and/or
  - b) the claim is false or fraudulent.

This provision authorizes a civil monetary penalty of up to \$10,000 for each item or service, an assessment of up to three times the amount claimed, and exclusion from participation in the Medicare program and State health care programs.

5. 18 U.S.C. 1035 authorizes criminal penalties against individuals in any matter involving a health care benefit program who knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact; or makes any materially false, fictitious, or fraudulent statements or representations, or makes or uses any materially false fictitious, or fraudulent statement or entry, in connection with the delivery of or payment for health care benefits, items or services. The individual shall be fined or imprisoned up to 5 years or both.

---

## SECTION 14: PENALTIES FOR FALSIFYING INFORMATION (Continued)

---

6. 18 U.S.C. 1347 authorizes criminal penalties against individuals who knowing and willfully execute, or attempt, to execute a scheme or artifice to defraud any health care benefit program, or to obtain, by means of false or fraudulent pretenses, representations, or promises, any of the money or property owned by or under the control of any, health care benefit program in connection with the delivery of or payment for health care benefits, items, or services. Individuals shall be fined or imprisoned up to 10 years or both. If the violation results in serious bodily injury, an individual will be fined or imprisoned up to 20 years, or both. If the violation results in death, the individual shall be fined or imprisoned for any term of years or for life, or both.
7. The government may assert common law claims such as “common law fraud,” “money paid by mistake,” and “unjust enrichment.”

Remedies include compensatory and punitive damages, restitution, and recovery of the amount of the unjust profit.

---

## SECTION 15: CERTIFICATION STATEMENT

---

As an individual practitioner, you are the only person who can sign this application. The authority to sign the application on your behalf may not be delegated to any other person.

The Certification Statement contains certain standards that must be met for initial and continuous enrollment in the Medicare program. Review these requirements carefully.

By signing the Certification Statement, you agree to adhere to all of the requirements listed therein and acknowledge that you may be denied entry to or revoked from the Medicare program if any requirements are not met.

---

### Certification Statement

---

You **MUST** sign and date the certification statement below in order to be enrolled in the Medicare program. In doing so, you are attesting to meeting and maintaining the Medicare requirements stated below.

---

#### **I, the undersigned, certify to the following:**

1. I have read the contents of this application, and the information contained herein is true, correct, and complete. If I become aware that any information in this application is not true, correct, or complete, I agree to notify the Medicare fee-for-service contractor of this fact immediately.
2. I authorize the Medicare contractor to verify the information contained herein. I agree to notify the Medicare contractor of any future changes to the information contained in this form within 90 days of the effective date of the change. I understand that any change in my status as an individual practitioner (or in the status of the organization listed in Section 4A of this application) may require the submission of a new application.
3. I have read and understand the Penalties for Falsifying Information, as printed in this application. I understand that any deliberate omission, misrepresentation, or falsification of any information contained in this application or contained in any communication supplying information to Medicare, or any deliberate alteration of any text on this application form, may be punished by criminal, civil, or administrative penalties including, but not limited to, the denial or revocation of Medicare identification number(s), and/or the imposition of fines, civil damages, and/or imprisonment.
4. I agree to abide by the Medicare laws, regulations and program instructions that apply to me or to the organization listed in Section 4A of this application. The Medicare laws, regulations, and program instructions are available through the fee-for-service contractor. I understand that payment of a claim by Medicare is conditioned upon the claim and the underlying transaction complying with such laws, regulations, and program instructions (including, but not limited to, the Federal anti-kickback statute and the Stark law), and on the supplier's compliance with all applicable conditions of participation in Medicare.
5. Neither I, nor any managing employee listed on this application, is currently sanctioned, suspended, debarred, or excluded by the Medicare or State Health Care Program, e.g., Medicaid program, or any other Federal program, or is otherwise prohibited from providing services to Medicare or other Federal program beneficiaries.
6. I agree that any existing or future overpayment made to me (or to the organization listed in Section 4A of this application) by the Medicare program may be recouped by Medicare through the withholding of future payments.
7. I understand that the Medicare identification number issued to me can only be used by me or by a provider or supplier to whom I have reassigned my benefits under current Medicare regulations, when billing for services rendered by me.
8. I will not knowingly present or cause to be presented a false or fraudulent claim for payment by Medicare, and will not submit claims with deliberate ignorance or reckless disregard of their truth or falsity.
9. I further certify that I am the individual practitioner who is applying for Medicare billing privileges.

---

**SECTION 15: CERTIFICATION STATEMENT** (Continued)

First Name	Middle Initial	Last Name	M.D., D.O., etc.
Practitioner Signature ( <i>First, Middle, Last Name, Jr., Sr., M.D., D.O., etc.</i> )			Date Signed ( <i>mm/dd/yyyy</i> )

**All signatures must be original and signed in ink. Applications with signatures deemed not original will not be processed. Stamped, faxed or copied signatures will not be accepted.**

---

**SECTION 16: FOR FUTURE USE** (This Section Not Applicable)

---

---

## SECTION 17: SUPPORTING DOCUMENTS

---

This section lists the documents that, if applicable, must be submitted with this enrollment application. For changes, only submit documents that are applicable to the change requested. You may submit a notarized Certificate of Good Standing from your State licensing/certification board or other medical associations in lieu of copies of business licenses, certifications, and/or registrations as required by your State. This certification cannot be more than 30 days old.

### MANDATORY FOR ALL PROVIDER/SUPPLIER TYPES

- Copy(s) of all professional school degrees or certificates, professional licenses, and/or evidence of qualifying course work.
- Copy(s) of all Federal, State, and/or local (city/county) business licenses, certifications and/or registrations specifically required to operate as a health care facility.
- Written confirmation from the IRS confirming your Tax Identification Number with the Legal Business Name (e.g., CP 575) provided in Section 4. (NOTE: This information is needed if the applicant is enrolling a professional corporation, professional association, or limited liability company with this application, or is enrolling as a sole proprietor using an Employer Identification Number.)
- Completed Form CMS-588, Authorization Agreement for Electronic Funds Transfer. Note if a supplier already receives payments electronically and is not making a change to his/her banking information, the CMS-588 is not required.
- Copy of the National Provider Identifier notification that you received from the National Plan and Provider Enumeration System (NPPES).

### MANDATORY FOR SELECTED PROVIDER/SUPPLIER TYPES

- Copy(s) of lease agreement for PT/OT Services.
- Copy(s) of all CLIA Certificates, FDA Mammography Certificates, and Diabetes Education Certificates.

### MANDATORY, IF APPLICABLE

- Copy(s) of all adverse legal action documentation (e.g., notifications, resolutions, and reinstatement letters).
- Completed Form CMS-460, Medicare Participating Physician or Supplier Agreement.
- Completed Form CMS-855R, Individual Reassignment of Medicare Benefits.
- Statement in writing from the bank. If Medicare payment due a supplier of services is being sent to a bank (or similar financial institution) where the supplier has a lending relationship (that is, any type of loan), then the supplier must provide a statement in writing from the bank (which must be in the loan agreement) that the bank has agreed to waive its right of offset for Medicare receivables.

---

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-0685. The time required to complete this information collection is estimated to 4 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Baltimore, Maryland 21244-1850.

**DO NOT MAIL APPLICATIONS TO THIS ADDRESS.** Mailing your application to this address will significantly delay application processing.



---

## MEDICARE SUPPLIER ENROLLMENT APPLICATION PRIVACY ACT STATEMENT

---

The Centers for Medicare and Medicaid Services (CMS) is authorized to collect the information requested on this form by sections 1124(a)(1), 1124A(a)(3), 1128, 1814, 1815, 1833(e), and 1842(r) of the Social Security Act [42 U.S.C. §§ 1320a-3(a)(1), 1320a-7, 1395f, 1395g, 1395(l)(e), and 1395u(r)] and section 31001(1) of the Debt Collection Improvement Act [31 U.S.C. § 7701(c)].

The purpose of collecting this information is to determine or verify the eligibility of individuals and organizations to enroll in the Medicare program as suppliers of goods and services to Medicare beneficiaries and to assist in the administration of the Medicare program. This information will also be used to ensure that no payments will be made to providers who are excluded from participation in the Medicare program. All information on this form is required, with the exception of those sections marked as “optional” on the form. Without this information, the ability to make payments will be delayed or denied.

The information collected will be entered into the Provider Enrollment, Chain and Ownership System (PECOS), and the system number 09-70-0525 titled Unique Physician/Practitioner Identification Number (UPIN) System (published in Vol. 61 of the Federal Register at page 20,528 (May 7, 1996)). The information in this application will be disclosed according to the routine uses described below.

Information from these systems may be disclosed under specific circumstances to:

1. CMS contractors to carry out Medicare functions, collating or analyzing data, or to detect fraud or abuse;
2. A congressional office from the record of an individual health care provider in response to an inquiry from the congressional office at the written request of that individual health care practitioner;
3. The Railroad Retirement Board to administer provisions of the Railroad Retirement or Social Security Acts;
4. Peer Review Organizations in connection with the review of claims, or in connection with studies or other review activities, conducted pursuant to Part B of Title XVIII of the Social Security Act;
5. To the Department of Justice or an adjudicative body when the agency, an agency employee, or the United States Government is a party to litigation and the use of the information is compatible with the purpose for which the agency collected the information;
6. To the Department of Justice for investigating and prosecuting violations of the Social Security Act, to which criminal penalties are attached;
7. To the American Medical Association (AMA), for the purpose of attempting to identify medical doctors when the Unique Physician Identification Number Registry is unable to establish identity after matching contractor submitted data to the data extract provided by the AMA;
8. An individual or organization for a research, evaluation, or epidemiological project related to the prevention of disease or disability, or to the restoration or maintenance of health;
9. Other Federal agencies that administer a Federal health care benefit program to enumerate/enroll providers of medical services or to detect fraud or abuse;
10. State Licensing Boards for review of unethical practices or non-professional conduct;
11. States for the purpose of administration of health care programs; and/or
12. Insurance companies, self insurers, health maintenance organizations, multiple employer trusts, and other health care groups providing health care claims processing, when a link to Medicare or Medicaid claims is established, and data are used solely to process supplier’s health care claims.

The enrolling supplier should be aware that the Computer Matching and Privacy Protection Act of 1988 (P.L. 100-503) amended the Privacy Act, 5 U.S.C. § 552a, to permit the government to verify information through computer matching.

### **Protection of Proprietary Information**

Privileged or confidential commercial or financial information collected in this form is protected from public disclosure by Federal law 5 U.S.C. § 552(b)(4) and Executive Order 12600.

### **Protection of Confidential Commercial and/or Sensitive Personal Information**

If any information within this application (or attachments thereto) constitutes a trade secret or privileged or confidential information (as such terms are interpreted under the Freedom of Information Act and applicable case law), or is of a highly sensitive personal nature such that disclosure would constitute a clearly unwarranted invasion of the personal privacy of one or more persons, then such information will be protected from release by CMS under 5 U.S.C. §§ 552(b)(4) and/or (b)(6), respectively.