

Regd Office: 3rd Floor, Mafatlal Centre, Nariman Point, Mumbai – 400 021

Member: Bombay Stock Exchange Ltd. (BSE) / National Stock Exchange of India Ltd. (NSE)

(Trading and Clearing Member)

SEBI Registration Numbers: NSE – Cash INB230706631 F&O INF230706631 BSE - Cash INB010706639 F&O INF010706639

Application for Gold ETF SIP

To IDBI Capital Market Se Account opening section 2nd Floor, Mittal Court Nariman Point, Mumbi	on : 'C' Wing,		Date:		
Dear Sir,					
Client Category: Retail					
Trading Client Code:					
I hereby authorize IDB time schedule under the	I Capital Market Services Ltd ne Gold ETF SIP facility.	d. (IDBI Capital) to b	ouy the foll	owing ETF(s)	as per the limit
GOLD ETF (Please see the	last page for a list of Gold ETFs)		1		
	Scrip Name		(Quantity (Un	its)
prevailing market rate SIP Tenure (select any one)	1 Gram Gold. *fraction union SIP Trigger date.	□ 24 month:		□ 36 mc	
SIP Trigger Date (ever	46	5 th 15th 25	5th .	5 th 15th	25th
to any person Gold ETF SIP is In order to far Bank account	m that s a service that does not cons in any jurisdiction. s not a PMS or mutual fund a cilitate the execution of SIP t linked with IDBI Capital. if any, incurred are sign-up,	nd all transaction sh ransaction, I would	nall happen be require	with my coned to keep cle	nsent only. ear balances in n
	hat I have understood the t			herewith and	d agree to the sa
Holder / Sole Holder deta	ils Ex	isting client code with	n IDBI Caps		
e		PAN Number			
ature		Place			

Terms & Conditions for IDBI Capital Equity SIP (Please sign all the pages of T&C)

1. Definitions

In these terms & conditions, the terms shall have the following meaning unless indicated otherwise:

- a. "SIP" means Systematic Investment Plan in Equities / Gold ETFs and other specified securities
- b. "SIP Instruction" means instructions/request given by the client for SIP.
- c. "SIP Tenure" means the total period of SIP as per the SIP instruction.
- d. "SIP Investment Amount" means the SIP amount indicated in the SIP application.
- e. "SIP Amount" means the amount payable towards the SIP Order. This amount shall comprise of SIP Value and applicable taxes, charges and brokerage.
- f. "SIP Quantity" means the quantity of shares to be purchased on the trade date as indicated by the client in the application.
- g. "SIP Order" means each transaction to be executed in the client's account as per SIP instruction.
- h. "SIP Trigger Date" means the date on which the order is executed in client's account as per the SIP Instruction.

2. General

- a. Clients having an active Trading Account with IDBI Capital can opt for Gold ETF SIP.
- b. No separate Trading or Demat account is required to be opened by the existing client for availing the scheme.
- c. The investments discussed or recommended in reports / calls and/or on website of IDBI Capital (i.e. www.idbicapital.com or www.idbipaisabuilder.in) may not be suitable for all investors. Investors must make their own investment decisions based on their specific investment objectives and financial position and using such independent advice, as they believe necessary.
- d. The terms of the above scheme shall be in addition to and not in derogation of the terms contained in the Know Your Client Form along with the Rights & Obligations, Risk Disclosure Documents and Terms & Conditions executed by the client. The clients opting for the Equity SIP scheme will not hold IDBI Capital responsible for, or liable for, any actions, claims, demands, losses, damages, costs, charges and expenses which they may suffer, sustain or incur by way of the SIP Plan.

3. Application

- Only applications complete in all respects will be accepted. IDBI Capital reserves the right to reject any incomplete forms.
- b. The Scrip name should be filled in full. Instructions with unclear scrip name may be rejected.
- c. Client can give one or more SIP Instructions. Such Instructions shall be given in writing.
- d. Client shall provide all the details in the SIP Application form including the Scrip name, SIP Quantity, SIP Investment Amount, SIP Trigger Date and SIP Tenure.

4. Renewal / Redemption

a. The Plan can be renewed with the express written consent of the client. The client may sell the securities accumulated through the Plan at his own discretion.

5. Cancellation / Modification

- a. If you wish to cancel your existing scheme, you need to get in touch with our Customer Care team atleast 7 days prior to the SIP Trigger Date.
- b. Incase of any modification in the scrips, intimation needs to be sent to our Customer Care team atleast 7 days prior to the SIP Trigger Date by sending request via phone with applicable TPIN if any or through client registered email (registered email id only).
- c. On successful processing of request for modification / cancellation the client will be intimated.
- d. The modification / cancellation of the SIP Instruction shall be effective from such date as may be intimated by IDBI Capital.
- e. The client shall fulfill all the obligations arising in the client's account before the effective date of such modification / cancellation of the SIP Instruction.

6. Brokerage / Fee Structure & Order Execution

a. Client will have to specify in the form, number of Gold ETF units to be purchased. Minimum number of Gold ETF units to be purchased is 'one unit' and client may increase the number of units of a Gold ETF during the SIP tenure selected.

- b. Client can specify a maximum of 3 Gold ETF's to invest in. In case more than 3 Gold ETF's are specified, IDBI capital shall consider only the first 3 securities
- c. SIP Order will be executed on either of the Exchanges during the market hours at the market rate on best effort basis.
- d. In case the SIP Trigger Date falls on a trading holiday / non-working day , the SIP Order shall be executed on the immediate succeeding trading day at market rate as on that date
- e. In case of non-execution of SIP Order on the SIP Trigger Date due to any reasons beyond the control of IDBI Capital, IDBI Capital may at its sole discretion execute the said order on the immediate succeeding trading day at the market rate as on that date. The client shall not hold IDBI Capital or any of its officers/ directors liable or responsible for execution / non-execution of SIP instruction for any reasons beyond the control of IDBI Capital.
- f. Brokerage to be charged to the client will be as per the applicable tariff / special plan opted by the client and will be charged on respective transactions executed under the SIP.

7. Products terms & conditions

- a. SIP Order will be triggered in an automated mode and client will not be contacted prior to upload of SIP Order on SIP Trigger Date.
- b. Number of scrips that may be selected in a single SIP

GOLD ETF Maximum of 3 Gold ETF may be selected

In case more than 3 Gold ETF's are specified, IDBI capital shall consider only the first 3 securities

- c. In case of any regulatory reason, if your trading/ demat account is deactivated, then the SIP will be automatically cancelled.
- d. IDBI Capital will only trigger buy leg based on the instruction received from the client. Selling will be at the sole discretion of the client.
- e. SIP Order will be executed on the SIP Trigger Date subject to availability of adequate funds at the time of execution i.e. Lien marking/fund transfer in case of Online Clients and margin in case of Offline Clients.
- f. On SIP Trigger Date, if the selected scrip reaches upper or lower circuit, the SIP Order will be kept live till market ends. In this case the SIP Order may or may not get successfully executed depending upon the market. IDBI Capital shall not be liable to the client in any manner in such circumstances.
- g. Securities investments are subject to market risks and there can be no assurance or guarantee that the objectives will be achieved. Each client is advised to consult his/her own financial advisor/professional tax advisors before availing the SIP facility.
- h. IDBI Capital may discontinue the IDBI Capital SIP facility completely or partially with prior intimation to the clients.
- i. All other exchange conditions viz. Shortages, Auction etc. will be applicable.
- j. Client would be provided with the contract notes and other communication as per the normal practice.
- k. Clients shall be required to access and review the contract notes of the trades executed on its behalf.
- I. All the terms & conditions agreed and executed between the client and IDBI Capital shall be also applicable to the SIP instruction and the client shall abide by the same at the all times.
- m. For any further queries or request processing, please contact us on 1800-22-3366, 022-3913 5001.
- n. For detailed disclaimer refer www.idbipaisabuilder.in.

8. Liability and Indemnity

- a. The client shall be solely liable and responsible for making all the payment towards the SIP Order executed in the client's account. In case of execution of the SIP Order in absence of funds / insufficient funds in client's account, client shall immediately make payment towards the trade obligation.
- b. If the client defaults in making the payment due to IDBI Capital / respective Exchange towards its trade obligations, IDBI Capital may at its sole discretion recover such amount due from the client by liquidating the client's position without prejudice to IDBI Capital's right to refer the matter to arbitration and recover delayed payment charges as may be applicable. Any and all losses and financial charges on account of such liquidation shall be charged to and borne by client.
- c. Client shall indemnify and keep indemnified IDBI Capital and its directors/ officers for all trades executed on behalf of the client as per the SIP Instructions.

9. Governing Law and Jurisdiction

The transactions executed on the Exchange are subject to Rules, Byelaws and Regulations and circulars/notices issued thereunder of the Exchanges.

I have understood the terms and conditions governing IDBI Capital SIP herewith and agree the same.

Name :		_
Client Code :		
Signature of the Account Holder		
Date :	Place :	

List of Gold ETF securities	SERIES	SECURITY NAME		
available for trading:				
SYMBOL				
IDBIGOLD	EQ	IDBI MF - IDBI GOLD ETF		
AXISGOLD	EQ	AXIS MF - AXIS GOLD ETF		
BSLGOLDETF	EQ	BIRLA SL GOLD ETF - GO		
CRMFGETF	EQ	CAN ROBECO - GOLD ETF		
GOLDBEES	EQ	GOLDMAN SACHS GOLD ETF		
GOLDSHARE	EQ	UTI GOLD ETF		
HDFCMFGETF	EQ	HDFC GOLD ETF		
IPGETF	EQ	ICICI PRUDENTIAL GOLD ETF		
KOTAKGOLD	EQ	KOTAK GOLD ETF		
MGOLD	EQ	MOST SHARES GOLD ETF		
QGOLDHALF	EQ	QUANTUM GOLD FUND		
RELGOLD	EQ	R SHARES GOLD ETF		
RELIGAREGO	EQ	RELIGARE GOLD ETF		
SBIGETS	EQ	SBI MF-SBI GOLD ETS-GO		

IDBI Capital Market Services Limited (www.idbipaisabuilder.com)

Client Profile/Account Modification Form Please tick in appropriate box Please note that an incomplete form is subject to rejection

Client Code:	Login ID:	Date:	- -	- 2 0	
Client Name:					
Demat A/c No DPID:	(Demat A/c Client I	D):			
Pan No:	(Please attach a Self Atteste	ed photo copy of your PAN card)			
(A self certified copy of PAN card is man	•				
Father's Name:					
Latest Email Address:	Latest N	lobile Number: 9 1			
Latest Landline Number : STD Code	Number:				
Date of Birth:					
Latest Correspondence address (Ple	ase attach a self attested documentar	y proofs as mentioned towards the e	nd of this document)	
City:State	:	Country:	Pin (
Latest Permanent address (Please prov	vide a self attested proof of residence -	- any one of the listed at "a")			
City:State	:	Country:	Pin		
Gender: Male Female					
Marital Status: Married Unma	rried				
Nationality: Indian Others (Sp	ecify)				
Occupation: Private Sector	Public Sector	Government Servi	ce	Business	
Professional Agricultu	rist Retired	Housewife	е 🗌	Student	
Others (Please specify)					
Activation of FNO segment :	(Please provide any one Self atte	ested proof of financial information as	s listed under "b")		
Annual Income: <a> <a> <a> <a> <a> <a> <a> <a> <a> <a>	Rs 1-5 Lac Rs 5-10 La	c Rs 10-25 Lac >	• 25 Lac		
Networth (Rs):	_ as on Date:	DD/MM/YYYY (Net worth details will	l be valid for 12 mon	iths from the date o	of
Change of Demat details: Primary of documents mentioned under "C")	Demat Account Add	itional Demat details	(Please provide a	any one Self atteste	ed copy
Depository Participant Name:		Depository N	ame: NSDL	CDSL	
BO Name:	P ID: DP A/c:	BO IE):		

Change of Bank Details: Primary	Demat Account Additional C	Demat details (Documents required d)		
Bank Name:	Bank Branch:	Bank A/c type: Savings Current		
IFSC code:	MICR code:			
A/c No:		Branch Code/SOL ID:		
Name of 1st Holder	Name of 2nd Holder	Name of 3rd Holder		
Signature of 1st Holder	Signature of 2nd Holder	Signature of 3 rd Holder		
I do not have/do not wish to u	update/record my email id with IDBI update/record my mobile number wi	Capital Market Services Ltd. ith IDBI Capital Market Services Ltd.		
	Account Modification Form need to prov Name of 2nd holder	Name of 3rd holder		
		Signature of 3 rd holder		
Date: - - 2				
Notes:				
cancelled cheque leaf) 6) Electricity or		5) Bank Passbook/Statement (Last 3 months with copy of a 3 months) 7) Aadhar Card - In case of request for change cets Ltd.		
Networth Certificate (Networth should	not be older than one year), Salary Slip	nal Accounts, Copy of Form 16 in case of Salary Income, o, Any other relevant documents substantiating ownership ement, Self declaration alongwith relevant supporting		
	I Demat details: Copy of Client Master I	List (CML) with NSDL/CDSL Logo or stamp compulsory); In		

- (i) IDBI Bank Demat Account: Power of Attorney of IDBI Bank demat account and ICMS duly franked and notarized to be submitted along with CML with SMS alert activation flag (ii) ICMS & Other Banking Alliance Demat Account: Power of Attorney of ICMS duly franked and notarized to be submitted along with CML & SMS alert activation flag.
- d) Change of Bank Details/Additional bank details: Documents required: 1) Cancelled cheque leaf (With printed name of A/C Holder) 2) Bank statement/Passbook
- e) Additional Demat/Bank details can be linked only for Offline Trading Account