



Department of the Treasury Financial Crimes Enforcement Network

Chapter X Updates to Bank Secrecy Act (BSA) Forms

As part of the transfer of the BSA regulations from 31 CFR Part 103 to 31 CFR Chapter X, FinCEN has updated the regulatory citations found in its forms to 31 CFR Chapter X. There have been no substantive regulatory changes to the forms or the data elements requested through them as a result of this update of the regulatory citations.

The updated forms are available for use as of March 1, 2011 at www.fincen.gov/forms. Please note that even after March 1, 2011, FinCEN will continue to accept and process all currently acceptable forms that contain citations to 31 CFR Part 103. FinCEN also has updated its systems to incorporate the appropriate 31 CFR Chapter X citations within all official copies or certified facsimiles of the BSA reports filed beginning March 1, 2011 and all official disclaimers associated with any official copy or certified facsimile of a BSA report (regardless of when originally filed with FinCEN).

To assist institutions with these updated form citations, FinCEN has put together the following list of the updates for each form:

Currency Transaction Reports (CTRs)

CTR (Form 104)

Instruction Page and Column	Paragraph Number	Current Citation	Chapter X Revision
Page 3, Column 1	Under "Who Must File"	31 CFR Part 103	31 CFR Chapter X
Page 4, Column 3	Under "Paperwork Reduction Act Notice"	31 CFR Part 103	31 CFR Chapter X

CTR-C (Form 103)

Instruction Page and Column	Paragraph Number	Current Citation	Chapter X Revision
Page 2, Column 2	Under "Exceptions"	31 CFR 103.11(uu)	31 CFR 1010.100(ff)
Page 2, Column 2	Under "Exceptions"	31 CFR 103.22(b)(2)(i)(H)	31 CFR 1021.311(a)(8)

Page 2, Column 2	Under “Exceptions”	103.22(b)(2)(ii)(G)	31 CFR 1021.311(b)(7)
Page 2, Column 2	Under “Exceptions”	103.22(b)(2)(ii)(H)	31 CFR 1021.311(b)(8)
Page 2, Column 2	Under “Exceptions”	103.22(c)(3)	31 CFR 1021.313
Page 4, Column 3	Paperwork Reduction Act Notice	31 CFR Part 103	31 CFR Chapter X

Suspicious Activity Reports (SARs)

SAR-DI (TDF 90-22.47)

Instruction Page and Column	Paragraph Number	Current Citation	Chapter X Revision
Page 2	1d	31 CFR Part 103	31 CFR Chapter X

SAR-SF (Form 101)

Instruction Page and Column	Paragraph Number	Current Citation	Chapter X Revision
Page 1, Column 1	1	31 CFR Part 103.19	31 CFR 1023.320
Page 1, Column 1	1	31 CFR Part 103.17	31 CFR 1026.320
Page 1, Column 1	1	31 CFR Part 103.19	31 CFR 1023.320
Page 1, Column 1	1	31 CFR Part 103.17	31 CFR 1026.320
Page 1, Column 2	2ii	31 CFR Part 103	31 CFR Chapter X
Page 1, Column 3	6	31 CFR Part 103	31 CFR Chapter X
Page 1, Column 3	Directly below the end of 6	31 CFR Part 103	31 CFR Chapter X

SAR-C (Form 102)

Instruction Page and Column	Paragraph Number	Current Citation	Chapter X Revision
Page 1, Column 1	1	31 CFR 103	31 CFR Chapter X
Page 1, Column 1	1	31 CFR 103	31 CFR Chapter X
Page 1, Column 1	1	31 CFR 103	31 CFR Chapter X
Page 1, Column 1	2	31 CFR 103.21	31 CFR 1021.320
Page 1, Column 2	2ii	31 CFR Part 103	31 CFR Chapter X
Page 1, Column 2	3	31 CFR 103	31 CFR Chapter X
Page 1, Column 2	5	31 CFR Part 103	31 CFR Chapter X
Page 1, Column 2	5	CFR 103.22(b)(2)	31 CFR 1021.311
Page 1, Column 2	5	31 CFR Part 103	31 CFR Chapter X

SAR-MSB (Form 109)

Instruction Page and Column	Paragraph Number	Current Citation	Chapter X Revision
Page 1, Column 1	Notification Prohibited	31 CFR 103.20	31 CFR 1022.320
Page 1, Column 1	1	31 CFR Part 103	31 CFR Chapter X
Page 1, Column 2	3	31 CFR Part 103	31 CFR Chapter X
Page 1, Column 2	3	31 CFR Part 103	31 CFR Chapter X
Page 1, Column 2	6	31 CFR 103.11(u)(4)	31 CFR 1010.100(ff)(4)

Other Forms

RMSB (Form 107)

Page and Column	Paragraph Number	Current Citation	Chapter X Revision
Part VII on form	Authorized Signature	31 CFR 103	31 CFR Chapter X
Instruction Page 1, Column 2	First full sentence	31 CFR 103.11(n) and (uu)	31 CFR 1010.100 (t) and (ff)
Instruction Page 1, Column 3	Under “When to Register, Renewal”	31 CFR 103.41(b)(2)	31 CFR 1022.380(b)(2)
Instruction Page 3, Column 3	Under “Penalties for failure to comply”	31 CFR 103.41	31 CFR 1022.380
Instruction Page 3, Column 3	Paperwork Reduction Act Notice	31 CFR 103	31 CFR Chapter X
Instructions Page 3, Column 3	Privacy Act Notice	31 CFR 103	31 CFR Chapter X
Instruction Page 3, Column 3	Privacy Act Notice	31 CFR 103	31 CFR Chapter X

CMIR (Form 105)

Page and Column	Paragraph Number	Current Citation	Chapter X Revision
Above Part I on form	Top right corner	31 CFR 103.23	31 CFR 1010.340
Above Part I on form	Top right corner	31 CFR 103.27	31 CFR 1010.306
Instruction Page 1, Column 1	Under “General instructions”	31 CFR 103	31 CFR Chapter X
Instruction Page 1, Column 1	Penalties	31 CFR 103.57	31 CFR 1010.820
Instruction Page 1, Column 1	Penalties	31 CFR 103.59	31 CFR 1010.840

Instruction Page 1, Column 1	Penalties	31 CFR 103.58	31 CFR 1010.830
Instruction Page 1, Column 2	Privacy Act and Paperwork Reduction Act Notice	31 CFR 103	31 CFR Chapter X
Instruction Page 1, Column 2	Privacy Act and Paperwork Reduction Act Notice	31 CFR Part 103	31 CFR Chapter X
Instruction Page 1, Column 2	Privacy Act and Paperwork Reduction Act Notice	31 CFR 103.27(d)	31 CFR 1010.306(d)

DOEP (Form 110)

Instruction Page and Column	Paragraph Number	Current Citation	Chapter X Revision
Page 1, Column 1	First paragraph	31 CFR 103.22	31 CFR 1020.315
Page 1, Column 1	Second paragraph	31 CFR 103.11(c)	31 CFR 1010.100(d)
Page 1, Column 1	Third Paragraph	31 CFR 103.22	No longer noted on form instructions
Page 1, Column 2	Item 10	31 CFR 103.22(a)	No longer noted on form instructions
Page 1	Paperwork Reduction Act Notice	31 CFR 103.22	31 CFR 1020.315

Form 8300

There are no updates to the Form 8300.

FBAR Form (TDF 90-22.1)

Page and Column	Paragraph Number	Current Citation	Chapter X Revision
Page 1 of form	Above Privacy and Paperwork Reduction Act Notice	31 CFR 103.24	31 CFR 1010.350
Page 1 of form	Privacy and Paperwork Reduction Act Notice	31 CFR 103.24	31 CFR 1010.350
Page 1 of form	Privacy and Paperwork Reduction Act Notice	31 CFR 103.24	31 CFR 1010.350

If your organization requires additional assistance or guidance regarding the transfer of FinCEN's regulations to 31 CFR Chapter X, please contact FinCEN's Regulatory Helpline at (800) 949-2732 ext. 6.