

## Lie algebras: definitions and examples

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- Definition A *Lie algebra*  $(\mathfrak{g}, [,])$  is a vector space  $\mathfrak{g}$  together with an operation  $[,] : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  that is:
  1. Bilinear:  $[x + \lambda y, z] = [x, z] + \lambda[y, z]$  and  $[x, y + \lambda z] = [x, y] + \lambda[x, z]$  for all  $x, y, z \in \mathfrak{g}$  and for all scalars  $\lambda$
  2. Anticommutative:  $[x, y] = -[y, x]$  for all  $x, y \in \mathfrak{g}$
  3. “Jacobi associative”:  $[x, [y, z]] + [y, [z, x]] + [z, [x, y]] = 0$  for all  $x, y, z \in \mathfrak{g}$
- For us the ground field  $\mathbb{F}$  will be  $\mathbb{R}$  or  $\mathbb{C}$ . The operation  $[,]$  is called the *Lie bracket*. A *Lie subalgebra* of a Lie algebra is a subspace that is closed under the Lie bracket. An *ideal* is a Lie subalgebra that is “i-o closed.” So a Lie subalgebra  $\mathfrak{i}$  is an ideal if  $[a, x] \in \mathfrak{i}$  for all  $a \in \mathfrak{g}$  and  $x \in \mathfrak{i}$ . Notice that any ideal is automatically “two-sided” since  $[a, x] \in \mathfrak{i}$  iff  $[x, a] \in \mathfrak{i}$ .
- Substructures, Homomorphisms, kernels, quotients, etc.

Algebraic structure	Group $G$	Ring $R$	Vector space $W$	Lie algebra $\mathfrak{g}$
Substructure	Subgroup $H$	Subring $S$	Subspace $W$	Lie subalgebra $\mathfrak{h}$
Homomorphism	$\phi(xy) = \phi(x)\phi(y)$	$\phi(x+y) = \phi(x) + \phi(y)$ $\phi(xy) = \phi(x)\phi(y)$	$\phi(x+y) = \phi(x) + \phi(y)$ $\phi(\lambda x) = \lambda\phi(x)$	$\phi(x+y) = \phi(x) + \phi(y)$ $\phi(\lambda x) = \lambda\phi(x)$ $\phi([x, y]) = [\phi(x), \phi(y)]$
Kernel	Normal subgroup $N$	(Two-sided) ideal $I$	Subspace $W$	Ideal $\mathfrak{i}$
Quotient	$G/N$	$R/I$	$V/W$	$\mathfrak{g}/\mathfrak{i}$
Operations in quotient	$(xN)(yN) = (xy)N$	$(x+I) + (y+I) = (x+y) + I$ $(x+I)(y+I) = xy+I$	$(x+W) + (y+W) = (x+y) + W$ $\lambda(x+W) = (\lambda x) + W$	$(x+\mathfrak{i}) + (y+\mathfrak{i}) = (x+y) + \mathfrak{i}$ $\lambda(x+\mathfrak{i}) = (\lambda x) + \mathfrak{i}$ $[(x+\mathfrak{i}), (y+\mathfrak{i})] = [x, y] + \mathfrak{i}$

- Examples
  1. Take any vector space  $\mathfrak{g}$  over  $\mathbb{F}$  and declare  $[x, y] := 0$  for all  $x, y \in \mathfrak{g}$ . Then  $(\mathfrak{g}, [,])$  is called an *abelian Lie algebra*. Notice that any one-dimensional Lie algebra is abelian.
  2.  $(\mathbb{R}^3, \times)$  is a real Lie algebra. See Stewart’s *Calculus: Early Transcendentals*, 5th edition, §12.4, Theorem 8 and #43 (the latter is the Jacobi identity!).
  3. The *general linear Lie algebra*:  $\mathfrak{gl}(n, \mathbb{F}) := \{n \times n \text{ matrices with entries from } \mathbb{F}\}$  with Lie bracket  $[A, B] := AB - BA$ .  
Alternatively, take an  $n$ -dimensional vector space  $V$  over  $\mathbb{F}$ , and set  $\mathfrak{gl}(V) := \{\text{endomorphisms } T : V \rightarrow V\}$  with Lie bracket  $[S, T] := ST - TS$ .
  4. The *special linear Lie algebra*:  $\mathfrak{sl}(n, \mathbb{F}) := \{\text{traceless } n \times n \text{ matrices with entries from } \mathbb{F}\}$ .

Alternatively, take an  $n$ -dimensional vector space  $V$  over  $\mathbb{F}$ , and set  $\mathfrak{sl}(V) := \{\text{endomorphisms } T : V \rightarrow V \text{ with zero trace}\}$ .

Check that  $\mathfrak{sl}(n, \mathbb{F})$  is an  $(n^2 - 1)$ -dimensional subspace of  $\mathfrak{gl}(n, \mathbb{F})$ , but it is not closed under matrix multiplication. However,  $\mathfrak{sl}(n, \mathbb{F})$  is closed under the Lie bracket  $[A, B] = AB - BA$  since  $\text{trace}(AB) = \text{trace}(BA)$  and hence is a Lie subalgebra of  $\mathfrak{gl}(n, \mathbb{F})$ .

The mapping  $\text{trace} : \mathfrak{gl}(n, \mathbb{F}) \rightarrow \mathbb{F}$  is a surjective Lie algebra homomorphism. Moreover,  $\mathfrak{sl}(n, \mathbb{F}) = \ker(\text{trace})$ , and hence is an ideal in  $\mathfrak{gl}(n, \mathbb{F})$ . Finally, by the usual homomorphism theorems, it follows that the abelian Lie algebra  $\mathbb{F}$  is isomorphic to the quotient  $\mathfrak{gl}(n, \mathbb{F})/\mathfrak{sl}(n, \mathbb{F})$ . (Thus it is easy to see that  $\dim(\mathfrak{sl}(n, \mathbb{F})) = n^2 - 1$ .)

5. Special cases:  $n = 2$  and  $n = 3$ .

$\boxed{n = 2}$  The following matrices are a basis for the three-dimensional  $\mathfrak{sl}(2, \mathbb{F})$ :

$$x := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad y := \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad h := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

In fact,  $\mathfrak{sl}(2, \mathbb{F}) = \langle x, y, h \mid [x, y] = h, [h, x] = 2x, [h, y] = -2y \rangle$  (generators and relations).

$\boxed{n = 3}$  The following matrices are a basis for the eight-dimensional  $\mathfrak{sl}(3, \mathbb{F})$ :

$$\begin{aligned} x_1 &:= \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & y_1 &:= \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & h_1 &:= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ x_2 &:= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} & y_2 &:= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} & h_2 &:= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \\ [x_1, x_2] &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & [y_2, y_1] &:= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \end{aligned}$$

In fact,  $\mathfrak{sl}(3, \mathbb{F}) \approx \langle x_1, y_1, h_1, x_2, y_2, h_2 \mid \text{“Serre” relations} \rangle$ , where the Serre relations in this case are:

$$\begin{aligned} \text{“}\mathfrak{sl}(2, \mathbb{F})\text{” relations: } [x_1, y_1] &= h_1, [h_1, x_1] = 2x_1, [h_1, y_1] = -2y_1, \\ [x_2, y_2] &= h_2, [h_2, x_2] = 2x_2, [h_2, y_2] = -2y_2. \end{aligned}$$

$$\text{“Commuting” relations: } [h_1, h_2] = 0, [x_1, y_2] = 0, [x_2, y_1] = 0.$$

$$\text{“Intertwining” relations: } [h_1, x_2] = -x_2, [h_1, y_2] = y_2, [h_2, x_1] = -x_1, [h_2, y_1] = y_1$$

$$\text{“Finiteness” relations: } [x_1, [x_1, x_2]] = [x_2, [x_2, x_1]] = [y_1, [y_1, y_2]] = [y_2, [y_2, y_1]] = 0.$$

6. Combinatorial representation/realization of  $\mathfrak{sl}(2, \mathbb{F})$ .

7. *Lie subalgebras associated to bilinear forms:*

Let  $M \in \mathfrak{gl}(n, \mathbb{F})$ . Think of  $M$  as a matrix representative of some bilinear form. Set

$$\mathfrak{g}_M := \{A \in \mathfrak{gl}(n, \mathbb{F}) \mid A^T M + M A = O\}.$$

Then  $\mathfrak{g}_M$  is a Lie subalgebra of  $\mathfrak{gl}(n, \mathbb{F})$ .

**On conjugate versions of  $\mathfrak{g}_M$**  If  $P \in GL(n, \mathbb{F})$  and  $M' := P^T M P$ , then  $\mathfrak{g}_{M'} \approx \mathfrak{g}_M$ . For the mapping  $\phi : \mathfrak{gl}(n, \mathbb{F}) \rightarrow \mathfrak{gl}(n, \mathbb{F})$  given by  $\phi(X) = P^{-1} X P$ , check that  $\phi|_{\mathfrak{g}_M} : \mathfrak{g}_M \rightarrow \mathfrak{g}_{M'}$  is an isomorphism of Lie algebras. So  $\mathfrak{g}_{M'} = P^{-1} \mathfrak{g}_M P$ .

**On symmetry/skew-symmetry** In what follows we will focus on symmetric and skew-symmetric choices for  $M$ . This is actually a fairly reasonable assumption to make. We have the following direct sum of vector spaces:  $\mathfrak{gl}(n, \mathbb{F}) = \text{Symm} \oplus \text{Skew}$ , where  $\text{Symm}$  is the subspace of symmetric matrices and  $\text{Skew}$  is the subspace of skew-symmetric matrices. Note that for any matrix  $X$ ,  $X = \frac{1}{2}(X + X^T) + \frac{1}{2}(X - X^T)$ . Let  $X_{\text{symm}} := \frac{1}{2}(X + X^T)$ , and let  $X_{\text{skew}} := \frac{1}{2}(X - X^T)$ . Then  $\mathfrak{g}_M = \mathfrak{g}_{M_{\text{symm}}} \cap \mathfrak{g}_{M_{\text{skew}}}$ .

**On nondegeneracy** If we think of  $M$  as a matrix representative of a *nondegenerate* bilinear form, then  $M \in GL(n, \mathbb{F}) = \{\text{invertible } n \times n \text{ matrices with entries from } \mathbb{F}\}$ . In this case  $\mathfrak{g}_M$  is a Lie subalgebra of  $\mathfrak{sl}(n, \mathbb{F})$  since  $A^T M + M A = O$  iff  $M^{-1} A^T M + A = O$ , hence  $0 = \text{trace}(M^{-1} A^T M + A) = \text{trace}(M^{-1} A^T M) + \text{trace}(A) = \text{trace}(A^T) + \text{trace}(A) = 2\text{trace}(A)$ . Now suppose a symmetric or skew-symmetric  $M$  is degenerate with rank  $r < n$ . Then there is a matrix congruent to  $M$  which has the block form  $\begin{pmatrix} \tilde{M} & O \\ O & O \end{pmatrix}$  with  $\tilde{M} \in GL(r, \mathbb{F})$  and  $\tilde{M}$  symmetric or skew-symmetric. If we write  $A = \begin{pmatrix} \tilde{A} & B \\ C & D \end{pmatrix}$ , we have  $A^T M + M A = O$  iff  $\tilde{A} \in \mathfrak{g}_{\tilde{M}}$  and  $B = O$ , in which case we can freely choose  $C$  and  $D$ . So in studying the Lie algebra  $\mathfrak{g}_M$  we'll end up studying the Lie subalgebra  $\mathfrak{g}_{\tilde{M}}$  for the nondegenerate  $\tilde{M}$  anyway. I think that  $\mathfrak{a} := \left\{ \begin{pmatrix} O & O \\ C & O \end{pmatrix} \right\}$  is an abelian ideal in  $\mathfrak{g}_M$  and that  $\mathfrak{g}_M / \mathfrak{a} \approx \mathfrak{g}_{\tilde{M}} \oplus \mathfrak{gl}(n - r, \mathbb{F})$ .

## 8. Special cases of Lie algebras associated to bilinear forms:

- (a) Take  $M = I$ . Think of  $M$  as a matrix representing a symmetric positive definite bilinear form.

Then  $\mathfrak{g}_M = \text{“}\mathfrak{so}(n, \mathbb{F})\text{”} = \{\text{skew-symmetric } n \times n \text{ matrices}\}$ . These are the *orthogonal Lie algebras*.

Special case:  $n = 5$ . Notice that the only diagonal matrix that is skew-symmetric is the zero matrix. There is another matrix representation of  $\mathfrak{so}(5, \mathbb{C})$  that has some nontrivial diagonal matrices. Identifying such matrices will be of crucial importance when we discuss representations of complex simple Lie algebras. Now the matrix

$$M' = \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{pmatrix}$$

is congruent to  $M = I$  over  $\mathbb{C}$ . That is, for some  $P \in GL(5, \mathbb{C})$  we have  $M' = P^T M P = P^T I P = P^T P$ . Then  $\mathfrak{g}_{M'} \approx \mathfrak{so}(5, \mathbb{C})$ . A basis for  $\mathfrak{g}_{M'}$  will therefore have  $\frac{n^2 - n}{2} = \frac{5^2 - 5}{2} = 10$  basis vectors.

$$x_1 = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \quad y_1 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix} \quad h_1 = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & -1 \end{pmatrix}$$

$$x_2 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \quad y_2 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \quad h_2 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -2 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

Plus these four vectors:

$$[x_2, x_1], [x_2, [x_2, x_1]], [y_2, y_1], \text{ and } [y_2, [y_2, y_1]].$$

In fact,  $\mathfrak{so}(5, \mathbb{C}) \approx \langle x_1, y_1, h_1, x_2, y_2, h_2 \mid \text{“Serre” relations} \rangle$ , where the Serre relations in this case are:

“ $\mathfrak{sl}(2, \mathbb{F})$ ” relations:  $[x_1, y_1] = h_1$ ,  $[h_1, x_1] = 2x_1$ ,  $[h_1, y_1] = -2y_1$ ,

$$[x_2, y_2] = h_2, [h_2, x_2] = 2x_2, [h_2, y_2] = -2y_2.$$

“Commuting” relations:  $[h_1, h_2] = 0$ ,  $[x_1, y_2] = 0$ ,  $[x_2, y_1] = 0$ .

“Intertwining” relations:  $[h_1, x_2] = -x_2$ ,  $[h_1, y_2] = y_2$ ,  $[h_2, x_1] = -2x_1$ ,  $[h_2, y_1] = 2y_1$

“Finiteness” relations:  $[x_1, [x_1, x_2]] = [x_2, [x_2, [x_2, x_1]]] = [y_1, [y_1, y_2]] = [y_2, [y_2, [y_2, y_1]]] = 0$ .

- (b) Take  $M = “M_{p,q}” := \begin{pmatrix} I_p & O \\ O & -I_q \end{pmatrix}$ . Think of  $M$  as a matrix representing a nondegenerate symmetric indefinite bilinear form.

Then  $\mathfrak{g}_M = “\mathfrak{so}(p, q, \mathbb{F})”$ . When  $\mathbb{F} = \mathbb{C}$ ,  $M$  is congruent to  $I$ , i.e.  $M = P^T P$  for some matrix  $P \in GL(p+q, \mathbb{C})$ . In this case,  $\mathfrak{so}(p, q, \mathbb{C}) \approx \mathfrak{so}(p+q, \mathbb{C})$ . When  $\mathbb{F} = \mathbb{R}$ , the  $\mathfrak{so}(p, q, \mathbb{R})$ 's are called *pseudo-orthogonal Lie algebras*.

- (c) Take  $M = \begin{pmatrix} O & I_n \\ -I_n & O \end{pmatrix}$ . Think of  $M$  as a matrix representing a nondegenerate skew-symmetric bilinear form. Even dimensionality is a requirement for a skew-symmetric bilinear form to be nondegenerate.

Then  $\mathfrak{g}_M = “\mathfrak{sp}(2n, \mathbb{F})”$ . These are the *symplectic Lie algebras*.

## 9. The *unitary* and *special unitary* Lie algebras:

Set  $\mathfrak{u}_n := \{A \in \mathfrak{gl}(n, \mathbb{C}) \mid A^* + A = O\}$ . Here  $A^*$  means conjugate transpose. Thus  $\mathfrak{u}_n$  consists of the *skew-Hermitian* complex matrices. Set  $\mathfrak{su}_n := \{A \in \mathfrak{sl}(n, \mathbb{C}) \mid A^* + A = O\}$ .

These are real (NOT complex) Lie algebras: For  $\lambda \in \mathbb{C}$  and  $A \in \mathfrak{gl}(n, \mathbb{C})$ , see that  $(\lambda A)^* = \bar{\lambda} A^*$ . If  $\lambda A \in \mathfrak{u}_n$  or  $\mathfrak{su}_n$ , then  $(\lambda A)^* = -\lambda A$ . We have  $-\bar{\lambda} A = -\lambda A$  for nonzero  $A$  only if  $\lambda$  is real.

Special case:  $n = 2$ . Check that  $A \in \mathfrak{su}_2$  if and only if  $A = \begin{pmatrix} ai & b+ci \\ -b+ci & -ai \end{pmatrix}$  for some  $a, b, c \in \mathbb{R}$ .

Thus  $\dim_{\mathbb{R}}(\mathfrak{su}_2) = 3$ . The mapping  $\phi : \mathbb{R}^3 \rightarrow \mathfrak{su}_2$  given by

$$\phi(x, y, z) = \begin{pmatrix} \frac{1}{2}xi & \frac{1}{2}(y+zi) \\ \frac{1}{2}(-y+zi) & \frac{1}{2}(-xi) \end{pmatrix}$$

is an isomorphism of Lie algebras, so  $(\mathbb{R}^3, \times) \approx \mathfrak{su}_2$ .

“*Pseudo-unitary Lie algebras*” have a relationship to the matrix  $M_{p,q} = \begin{pmatrix} I_p & O \\ O & -I_q \end{pmatrix}$  similar to the pseudo-orthogonal Lie algebras:  $\mathfrak{u}_{p,q} := \{A \in \mathfrak{gl}(n, \mathbb{C}) \mid A^* M_{p,q} + M_{p,q} A = O\}$  and  $\mathfrak{su}_{p,q} := \{A \in \mathfrak{sl}(n, \mathbb{C}) \mid A^* M_{p,q} + M_{p,q} A = O\}$

What about the skew-Hermitian case? Is there something like a symplectic unitary Lie algebra? It turns out that this offers nothing new. Suppose  $M$  is nondegenerate skew-Hermitian ( $M^* = -M$ ). Then  $M$  is *normal* (i.e.  $M^*M = MM^*$ ), and by a linear algebra theorem there exists a unitary matrix  $P$  (i.e.  $P^{-1} = P^*$ ) such that  $P^*MP$  is diagonal. Then we can find a diagonal matrix  $Q \in GL(n, \mathbb{C})$  so that  $D = Q^*P^*MPQ$  is diagonal with diagonal entries of modulus 1. Since  $D$  is also skew-Hermitian, then its diagonal entries are purely imaginary, so  $D = iM_{p,q}$  for some nonnegative integers  $p$  and  $q$  ( $p + q = n$ ). Now observe that  $\mathfrak{g}_M \approx \mathfrak{g}_D \approx \mathfrak{g}_{M_{p,q}}$ .

- *Complexification:*

Let  $\mathfrak{g}$  be a real Lie algebra. Then there exists a unique pair  $(\mathfrak{g}_{\mathbb{C}}, j)$  such that  $\mathfrak{g}_{\mathbb{C}}$  is a complex Lie algebra, such that  $j : \mathfrak{g} \rightarrow \mathfrak{g}_{\mathbb{C}}$  is a homomorphism of real Lie algebras ( $j$  will turn out to be injective), and such that we have the following “universal” property: Whenever  $\mathfrak{h}$  is a complex Lie algebra and  $\phi : \mathfrak{g} \rightarrow \mathfrak{h}$  is a homomorphism of real Lie algebras, there is a unique homomorphism  $\psi : \mathfrak{g}_{\mathbb{C}} \rightarrow \mathfrak{h}$  of complex Lie algebras which makes the following diagram commute:

$$\begin{array}{ccc}
 \mathfrak{g} & \xrightarrow{j} & \mathfrak{g}_{\mathbb{C}} \\
 & \searrow \phi & \downarrow \psi \\
 & & \mathfrak{h}
 \end{array}$$

That is,  $\phi = \psi \circ j$ . The complex Lie algebra  $\mathfrak{g}_{\mathbb{C}}$  can be realized by extending scalars on  $\mathfrak{g}$ : If we think of  $\mathfrak{g}$  as a real vector space, then we can give the complex vector space  $\mathfrak{g}_{\mathbb{C}} := \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$  a Lie bracket operation that naturally extends the Lie bracket for  $\mathfrak{g}$ .

In practice, for a finite-dimensional real Lie algebra  $\mathfrak{g}$  with basis  $\{v_1, \dots, v_d\}$ , then  $\mathfrak{g}_{\mathbb{C}}$  is the complex vector space with basis  $\{v_1, \dots, v_d\}$ . Then for  $x, y \in \mathfrak{g}_{\mathbb{C}}$ , we have

$$[x, y] = \left[ \sum a_i v_i, \sum b_j v_j \right] := \sum a_i b_j [v_i, v_j],$$

where each  $[v_i, v_j]$  is calculated in  $\mathfrak{g}$  and expressed as a real linear combination in the basis  $\{v_k\}$ . It should be apparent now that  $\mathbb{R}_{\mathbb{C}} = \mathbb{C}$ , that  $\mathfrak{sl}(2, \mathbb{R})_{\mathbb{C}} = \mathfrak{sl}(2, \mathbb{C})$ , etc.

- What it means to be *simple*:

An algebraic structure  $\mathcal{A}$  is *simple* if its kernels are always trivial or all of  $\mathcal{A}$ . That is,  $\mathcal{A}$  is simple if for any nontrivial homomorphism  $\phi : \mathcal{A} \rightarrow \mathcal{A}'$ , it is the case that  $\ker(\phi)$  is trivial. Recall that  $\ker(\phi)$  is trivial iff  $\phi$  is injective, so  $\mathcal{A}$  is simple iff all of its nontrivial homomorphic images are isomorphic to  $\mathcal{A}$ .

One of the great (apparent) achievements of 20th century mathematics is the classification of finite simple groups. These are: the alternating groups on  $\geq 5$  letters, the cyclic groups of prime order, the finite simple groups of Lie type, and the 26 “sporadic” finite simple groups, which includes the MONSTER, a simple group of order

$$208, 017, 424, 794, 512, 875, 886, 459, 904, 961, 710, 757, 005, 754, 368, 000, 000, 000.$$

One can easily confirm that a commutative ring with unity is simple iff it is a field. A finite field must have order  $p^n$  for some prime  $p$ , and any two fields with the same finite order are isomorphic. One can construct a finite field of any given prime power order. This amounts then to a classification of finite simple commutative rings with unity.

- Some examples and nonexamples of simple Lie algebras:

$\mathfrak{gl}(n, \mathbb{F})$  is not simple This is because  $\mathfrak{sl}(n, \mathbb{F})$  is a proper nontrivial ideal. (See Example #4 above.)

$\mathfrak{sl}(2, \mathbb{F})$  is simple Together the following two observations show that any nontrivial ideal  $\mathfrak{i}$  in  $\mathfrak{sl}(2, \mathbb{F})$  must be all of  $\mathfrak{sl}(2, \mathbb{F})$ :

**Observation 1:** If an ideal  $\mathfrak{i}$  in  $\mathfrak{sl}(2, \mathbb{F})$  contains any one of the generators  $x$ ,  $y$ , or  $h$ , then  $\mathfrak{i}$  contains all of the generators  $x$ ,  $y$ , and  $h$ , and hence  $\mathfrak{i} = \mathfrak{sl}(2, \mathbb{F})$ . This is easy, since for example  $x \in \mathfrak{i}$  implies that  $[x, y] = h \in \mathfrak{i}$  and thus  $-\frac{1}{2}[h, y] = y \in \mathfrak{i}$ .

**Observation 2:** If  $ax + by + ch \neq 0$  is in  $\mathfrak{i}$ , then  $[[ax + by + ch, x], x] = -2bx$  and  $[[ax + by + ch], y], y] = -2ay$  are in  $\mathfrak{i}$ . So if  $a \neq 0$  or  $b \neq 0$ , then  $\mathfrak{i} = \mathfrak{sl}(2, \mathbb{F})$  by Observation 1.

$\mathfrak{sl}(3, \mathbb{F})$  is simple We'll outline an argument for this one, again using observations based on calculations with the generating elements.

**Observation 1':** If an ideal  $\mathfrak{i}$  in  $\mathfrak{sl}(3, \mathbb{F})$  contains any one of  $x_i$ ,  $y_i$ , or  $h_i$  ( $i = 1, 2$ ) then  $\mathfrak{i}$  contains all of them. To see this, note that for  $j \in \{1, 2\}$ , it follows from Observation 1 that if one of  $x_j, y_j, h_j$  is in  $\mathfrak{i}$ , then  $\{x_j, y_j, h_j\} \subset \mathfrak{i}$ . If  $h_1 \in \mathfrak{i}$ , then  $[h_1, y_2] = y_2 \in \mathfrak{i}$ , and if  $h_2 \in \mathfrak{i}$ , then  $[h_2, y_1] = y_1 \in \mathfrak{i}$ . So the intertwining relations allow us to show that  $\{x_1, y_1, h_1\} \subset \mathfrak{i}$  iff  $\{x_2, y_2, h_2\} \subset \mathfrak{i}$ .

**Observation 2':** Now suppose  $a_1x_1 + b_1y_1c_1h_1 + a_2x_2 + b_2y_2c_2h_2 + p[x_1, x_2] + q[y_2, y_1] \neq 0$  is in  $\mathfrak{i}$ . To finish the argument use calculations similar to those of Observation 2 above to show that  $\mathfrak{i}$  must contain at least one of the generators  $x_i$ ,  $y_i$ , or  $h_i$  ( $i = 1, 2$ ).

- Simple Lie algebras:

The following table exhibits some infinite families of finite-dimensional real simple Lie algebras. There are three other infinite families of finite-dimensional real simple Lie algebras which can be obtained by looking at analogs over the quaternions  $\mathbb{H}$  of  $\mathfrak{sl}(n, \mathbb{R})$ ,  $\mathfrak{so}(p, q, \mathbb{R})$ , and  $\mathfrak{sp}(2n, \mathbb{R})$ . (This accounts for all of the infinite families.)

Real simple Lie algebra	Complexification
$\mathfrak{sl}(n, \mathbb{R})$	$\mathfrak{sl}(n, \mathbb{C})$
$\mathfrak{sl}(n, \mathbb{C})$	$\mathfrak{sl}(n, \mathbb{C}) \times \mathfrak{sl}(n, \mathbb{C})$
$\mathfrak{so}(n, \mathbb{R})$	$\mathfrak{so}(n, \mathbb{C})$
$\mathfrak{so}(p, q, \mathbb{R})$	$\mathfrak{so}(p + q, \mathbb{C})$
$\mathfrak{so}(n, \mathbb{C})$	$\mathfrak{so}(n, \mathbb{C}) \times \mathfrak{so}(n, \mathbb{C})$
$\mathfrak{sp}(2n, \mathbb{R})$	$\mathfrak{sp}(2n, \mathbb{C})$
$\mathfrak{sp}(2n, \mathbb{C})$	$\mathfrak{sp}(2n, \mathbb{C}) \times \mathfrak{sp}(2n, \mathbb{C})$
$\mathfrak{su}_n$	$\mathfrak{sl}(n, \mathbb{C})$
$\mathfrak{su}_{p,q}$	$\mathfrak{sl}(p + q, \mathbb{C})$

The following tables present the complete irredundant list of finite-dimensional complex simple Lie algebras.

Complex simple Lie algebra	Dimension	Complex simple Lie algebra	Dimension
$A_n = \mathfrak{sl}(n + 1, \mathbb{C}), n \geq 1$	$n^2 + 2n$	$E_6$	78
$B_n = \mathfrak{so}(2n + 1, \mathbb{C}), n \geq 2$	$2n^2 + n$	$E_7$	133
$C_n = \mathfrak{sp}(2n, \mathbb{C}), n \geq 3$	$2n^2 + n$	$E_8$	248
$D_n = \mathfrak{so}(2n, \mathbb{C}), n \geq 4$	$2n^2 - n$	$F_4$	52
		$G_2$	14