

Request To Use END OF SERIES (Derogation) Provisions



Use this form to: Request a Derogation be granted against one of the following EC Legislation.
You can complete and return this form and supporting documents electronically.

Part A		What Legislation are you are applying for Derogation against		
		Place an 'X' in appropriate Legislation categories below		
Legislation	Description	CoC Invalid for Registration	Derogation Expires	
136/2014	CoC Format changes regards CO2 data	01/01/2015 CoC 30/9/2014	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 December 2015 30 June 2016
2015/166	Regulation 46.04 - Indirect Vision	30/6/2015 CoC 29/3/2015	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	30 June 2016 31 December 2016
661/2009(2) Items 9A & B	Electronic Stability Control – Mandatory for M2, M3 (Class II and B class III Hydraulic transmission and N2 Hydraulic transmission)	11/7/2015 CoC 10/4/2015	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	10 July 2016 10 January 2017
78/2009(3) Item 58	Pedestrian Protection: Annex 1 Section 4 (78/2009) N1 category new vehicles Mandatory BAS (Brake Assist System)	24/8/2015 CoC 29/3/2015	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	23 August 2016 23 February 2017
715/2007(3) Item 2A	Light Duty Emissions (M & N1 Class 1; M1 specific social needs (excluding M1G); Vehicles that don't comply with Euro 6b emissions with Euro 6 OBD) {Engine Letter T }	1/9/2015 CoC 31/5/2015	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 August 2016 28 February 2017
347/2012 Item 65	Advanced Emergency Braking Systems Approval Level 1 (AEBS) (M2, M3, N2, N3 (with exceptions see Article 1 of 347/2012))	1/11/2015 CoC 31/7/2015	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 October 2016 30 April 2017
351/2012 Item 66	Lane Departure Warning Systems (LDWS) (M2, N2, M3 and N3 (with exceptions see Article 1 of 351/2012 for details))	1/11/2015 CoC 31/7/2015	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 October 2016 30 April 2017
1171/2014 & 2015/45	CoC Format change requirement – 1171/2014 - All categories Multi-Stage Approvals; 2015/45 -N1 – provision of information on eco-innovations.	1/1/2016 CoC 31/9/2015	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 December 2016 30 June 2017
168/2013	Motorcycles “Old UK rules” – Auto Headlights On (AHO) and Fuel Tank Permeability (applications MUST be received before 1 December 2015)	1/1/2016 CoC 31/9/2015	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 December 2016 31 December 2016
168/2013	Motorcycles “New EU rules” - Auto Headlights On (AHO) and Fuel Tank Permeability (motorcycles MUST NOT have been “on the market” (i.e. still in manufacturer’s ownership, NOT in ownership of dealer or importer)	1/1/2016 10% of last 2 years UK Sales	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 December 2017 30 June 2018
2000/25	Tractors (Engine letter K)	1/1/2016	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 December 2018 30 June 2019
661/2009(3) Items 9A & B	Electronic Stability Control Mandatory Fitment (M3 Class II and III pneumatic control and hydraulic energy transmission; and N2 pneumatic control and hydraulic energy transmission)	11/7/2016 CoC 10/4/2016	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	10 July 2017 10 January 2018
715/2007(4) Item 2A	Light Duty Emissions (N1 Class II & III, N2 Vehicles that don't comply with Euro 6b emissions with Euro 6 OBD) {Engine Letter U or V }	1/9/2016 CoC 31/5/2016	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 August 2017 28 February 2018
2006/40 Item 61	Mobile Air Conditioning Systems - [Prohibition on using systems with gas with a Global Warming Potential (GWP) greater than 150 MAC] (M1 & N1 (Class 1), or STU)	1/1/2017 CoC 30/9/2016	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 December 2017 30 June 2018
168/2013	Motorcycles Euro 4 Emissions (L3e, L4e, L5e, L7e)	1/1/2017 10% of last 2 years UK Sales	<input type="checkbox"/> (S) <input type="checkbox"/> (M)	31 December 2019 30 June 2020
Other	Please Give Details:			
Key	<input type="checkbox"/> (S)= Single-Stage Vehicle <input type="checkbox"/> (M)= Multi-Stage Vehicle <input type="checkbox"/> = see your Emission Approval(s) and look for the letter (e.g. e????/????/????/????U*?????)			

Request To Use END OF SERIES (Derogation) Provisions



Part B	Base Vehicle Manufacturer
1. Make (Trade name of manufacturer)	
2. Vehicle type	
3. Name and address of manufacturer	
4. Address of assembly plant	
Part C	Type Approval Authority to whom you are applying
Name and address of approval authority	Vehicle Certification Agency, 1 Eastgate Office Centre, Eastgate Road, BRISTOL BS5 6XX
Part D	For Vehicle Converters ONLY
1. Make (Trade name of converters)	
2. Vehicle type	
3. Name and address of converter	
4. Address of assembly plant	
Part E	State the economic and/or technical reasons for making this application This Section MUST be completed (or the application will be rejected)
Part F	Vehicle List
Please submit a SEPARATE spreadsheet in xls or odf format --- NOT pdf	
There is a separate Excel template spreadsheet available, if we e-mailed this form we will have attached the spreadsheet, otherwise you can obtain it from the Derogation page on our website.	
Part G	Number of Vehicles Involved
Total Number of vehicles that you have applied for ②	
② In the case of Motorcycles & Tractors (2000/25) the number of vehicles must not exceed 10 % of the number of vehicles of all the types concerned which entered service in the two preceding years in the UK (evidence must be provided); however, in the case where 10% is less than 100 motorcycles or 20 tractors the application may be for up to 100 or 20 respectively.	

Request To Use END OF SERIES (Derogation) Provisions



Part H	Declaration and Applicant Signature		
DECLARATION *	I, the undersigned, declare that the information provided in this form, and in the attached Vehicle List spreadsheet, is accurate. The vehicles listed are intended for use in the United Kingdom		
Applicant's Name			Date
Applicant's Signature		Or Digital Signature	
Signed on behalf of: (name of Manufacturer / Converter)			
* Offences and Penalties	Under UK Law – the following offences and penalties apply		
<p>LAW: SI 2009 No 717 Regulation 33 (Car, Truck and Bus) (as amended)</p> <p><u>Offences:</u></p> <p>(4) A person commits an offence if, in supplying information or producing a document for the purpose of these Regulations, that person—</p> <p style="margin-left: 20px;">(a) makes a statement which that person knows to be false in a material particular or recklessly makes a statement which is false in a material particular, or .</p> <p style="margin-left: 20px;">(b) produces, provides, sends or otherwise makes use of a document which that person knows to be false in a material particular or recklessly produces, provides or sends or otherwise makes use of a document which is false in a material particular. .</p> <p>(5) A person who contravenes regulation 16, 22(1) or 23(1) commits an offence.</p> <p><u>Penalty:</u></p> <p>(6) A person guilty of an offence under paragraph (4) or (5) is liable—</p> <p style="margin-left: 20px;">(a) on summary conviction, to a fine not exceeding the statutory maximum, or .</p> <p style="margin-left: 20px;">(b) on conviction on indictment, to a fine.</p>			
<p>LAW: Motorcycle Etc (EC Type Approval) Regulations 1999 - Motorcycle ECWVTA - 1999 No 2920 (as amended)</p> <p><u>Offences:</u></p> <p>Part IV – Offences</p> <p>20 Forgery and deception</p> <p>(1) A person who, with intent to deceive-</p> <p style="margin-left: 20px;">(a) forges, alters or uses an EC type approval certificate or an EC certificate of conformity, or</p> <p style="margin-left: 20px;">(b) lends an EC type approval certificate or an EC certificate of conformity to another person, or</p> <p style="margin-left: 20px;">(c) allows an EC type approval certificate or an EC certificate of conformity to be used by another person, or</p> <p style="margin-left: 20px;">(d) makes any document so closely resembling an EC type approval certificate or an EC certificate of conformity as to be calculated to deceive, or</p> <p style="margin-left: 20px;">(e) has in his possession any document so closely resembling an EC type approval certificate or an EC certificate of conformity as to be calculated to deceive,</p> <p>shall be guilty of an offence.</p>			

21 False statements and documents

A person who, in supplying information or producing documents for the purposes of these Regulations-

- (a) makes a statement which he knows to be false in a material particular, or
- (b) recklessly makes a statement which is false in a material particular, or
- (c) produces, provides, sends or otherwise makes use of a document which he knows to be false in a material particular, or
- (d) recklessly produces, provides, sends or otherwise makes use of a document which is false in a material particular

Penalty:

20 (2) A person who commits an offence under paragraph (1) shall be liable-

- (a) on summary conviction, to a fine not exceeding the statutory maximum, or
- (b) on conviction on indictment, to imprisonment for a term not exceeding 2 years, or to a fine or both.

21 A person who commits an offence under section 21 shall be liable on summary conviction to a fine not exceeding level 4 on the standard scale.

LAW: SI 2005 No 390 Tractor etc (EC Type-Approval) Regulations (as amended)

Offences:

10 Forgery and deception

(1) A person who with intent to deceive-

- (a) forges, alters or uses an EC type-approval certificate or a certificate of conformity, or
 - (b) lends an EC type-approval certificate or a certificate of conformity to another person, or
 - (c) allows an EC type-approval certificate or a certificate of conformity to be used by another person, or
 - (d) makes any document which resembles an EC type-approval certificate or a certificate of conformity, or
 - (e) has in his possession any document which resembles an EC type-approval certificate or a certificate of conformity,
- shall be guilty of an offence.

11 False statements and documents

A person who, in supplying information or producing documents for the purposes of these Regulations-

- (a) makes a statement which he knows to be false in a material particular, or
- (b) recklessly makes a statement which is false in a material particular, or
- (c) produces, provides, sends or otherwise makes use of a document which is false in a material particular,

Penalty:

10 (2) A person who commits an offence under paragraph (1) shall be liable-

- (a) on summary conviction to a fine not exceeding the statutory maximum, or
- (b) on conviction on indictment to imprisonment for a term not exceeding two years or to a fine, or both.

11 A person who commits an offence under section 11 shall be liable on summary conviction to a fine not exceeding level 4 on the standard scale.