

British Gambling Commission Rider

- Please read the **Operating Licence - Rider Guidance Notes** before completing this application.
- This Rider is supplementary to the Multi-Jurisdictional Business Form. Submitting this Rider alone is **not** sufficient to apply for an Operating Licence. Please refer to the Guidance Notes for further details.
- As part of the Operating Licence application process you may also need to complete an Annex A, Annex W and/or Personal Management Licence Application. If required, you will be directed by this application and guidance notes.
- If there are **any** changes to your circumstances, or if **any** of the information contained within this application changes during the period between submitting your application and your application being determined, you **must** notify the Gambling Commission immediately. Failure to do so could result in your application being delayed or cause the decision on your licence to be reviewed.
- Your application will not be considered unless **all relevant questions have been completed and the application fee has been paid in full**. Failure to provide the relevant information or to provide further information when requested by the Gambling Commission **may result in your application being determined based on the information available at the time which may affect the outcome of your application, including whether a licence can be granted**. If you misrepresent or fail to reveal information that you are asked to provide, you may have committed an offence under Section 342 of the Gambling Act 2005.

Multi-Jurisdictional Business Form

Please confirm if you have completed a Multi-Jurisdictional Business Form (MJBF) as part of a previous application and will be submitting that MJBF and the required supporting documentation as part of this application?

Yes - I have completed an MJBF and will be submitting that MJBF and supporting documentation as part of this application

No - I have not completed an MJBF as part of a previous application

Contact Method

As part of the Gambling Commission's commitment to providing a cost effective service we will issue all correspondence and licences electronically. Please confirm if you wish to correspond with us electronically.

Yes - I/we wish to correspond electronically

No - I/we do not wish to correspond electronically

Section 1. Public Register

The Gambling Commission is required to publish the fact that you have made an application for an Operating Licence on a public register (see Guidance Notes). Whilst your application is pending, you may object to your details appearing, or you may request that reduced address details be displayed on the register. Once your application has been granted your details must be displayed. However, you can request that only reduced address details are shown.

1. Do you have any objections to your details appearing on the public register?

Yes - please complete the details below (use a continuation sheet if necessary)

No - please continue to question 2

Please provide your reason below.

Section 2. Contact Details

- 2. The contact details provided in response to question A3 of the Multi-Jurisdictional Business Form (MJBF) will be used as the contact for all matters relating to your Operating Licence (if your application is successful). The Gambling Commission therefore requires the following additional details for the person named in response to A3. Please note, the address provided in this section MUST be in the United Kingdom.**

Position

Address Line 1

Address Line 2

Town/city

Postcode

Contact number (country code, area code & number)

Alternative number (country code, area code & number)

- 3. Do you wish to have a different contact person who will only deal with this application? Please note that ALL correspondence and licences relating to this application will be issued to this person. The address provided in this section MUST be in the United Kingdom.**

Yes - please complete the details below

No - please continue to question 4

Title

First name(s)

Last name

Position

Address Line 1

Address Line 2

Town/city

Postcode

Contact number (country code, area code & number)

Alternative number (country code, area code & number)

Email address

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Section 2. (continued)

4. If you wish to use the Gambling Commission's online eServices system for operators, you will need to nominate an individual to manage your account within the system (see Guidance Notes). This individual will be referred to as an online administrator. The nominated online administrator must have a valid email address as this will be used as part of their login. Please indicate who will be your online administrator.

Online administrator will be the same as the main contact provided in A3 on the MJBF

Online administrator will be the same as the application contact provided in question 3

If you would like your online administrator to be a different individual, please provide their full name and email address below.

First name(s)

Last name

Email address

Section 3. Reason for Application

5. Please indicate the reason for this application (select all applicable cross box(es)).

Non-remote Operating Licence

Remote Operating Licence with **all** remote key equipment based in Great Britain

Remote Operating Licence with **some** remote key equipment based in Great Britain

Remote Operating Licence with **no** remote key equipment based in Great Britain (point of consumption only)

Continuation of an existing business following the death of a Sole Proprietor

Continuation of an existing business following the death of a Partner (two person partnership only)

Continuation of an existing business following the retirement of a Partner (two person partnership only)

Change of Legal Entity

Other (please specify below)

For EEA licence, permit or authority holders or whitelisted jurisdiction applicants ONLY

6. Since what date have the gambling facilities authorised by the licence, permit or authority you hold from an EEA or whitelisted jurisdiction been used or marketed in Great Britain?

Date

How many customers do you have in Great Britain?

Number of customers

Section 4. Licence Application

7a. Non-remote Operating Licences

Please refer to the Guidance Notes and select the relevant cross box(es) to indicate the licence activity and category (eg A, B, C, D, or E) of non-remote operating licence being applied for. Please note, you may require more than one type of licence and/or licence activity to fully license all of your operations. Please read the descriptions of all licence types and activities to ensure that you apply for all required licences.

	A	B	C	D	E	1-10
Casino: 2005 Act	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
Casino: 1968 Act	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Bingo	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
General betting (standard)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
General betting (standard) (no gaming machines)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
General betting (limited)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
Pool betting	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<i>Full pool betting with dogs and football</i>	<input checked="" type="checkbox"/>					
<i>Pool betting without dogs or football</i>	<input checked="" type="checkbox"/>					
<i>Pool betting with dogs</i>	<input checked="" type="checkbox"/>					
<i>Pool betting with football</i>	<input checked="" type="checkbox"/>					
<i>Pool betting restricted to football</i>	<input checked="" type="checkbox"/>					
Betting intermediary	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
Gaming machine general: AGC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
Gaming machine general: FEC	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Gaming machine technical: full	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
Gaming machine technical: supplier	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
Gaming machine technical: software	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
Gambling software	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
External lottery manager	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Society lottery	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

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Section 4. (continued)

7b. Ancillary Remote Operating Licences

The licences listed below can only be applied for if you are applying for the relevant full licence. Please refer to the Guidance Notes and select the relevant cross box(es) to indicate the ancillary remote licence being applied for.

Casino	<input checked="" type="checkbox"/>	Gaming machine technical: full	<input checked="" type="checkbox"/>
Bingo	<input checked="" type="checkbox"/>	Gaming machine technical: supplier	<input checked="" type="checkbox"/>
General betting (standard)	<input checked="" type="checkbox"/>	Gaming machine technical: software	<input checked="" type="checkbox"/>
General betting (limited)	<input checked="" type="checkbox"/>	Gambling software	<input checked="" type="checkbox"/>
Pool betting	<input checked="" type="checkbox"/>	Society lottery	<input checked="" type="checkbox"/>

7c. Remote Operating Licences

Please refer to the Guidance Notes and select the relevant cross box(es) to indicate the licence activity and category (eg F, G, H, I, J, K or L) of remote operating licence being applied for, and whether the licence activity is required for point of consumption gambling services or facilities. If you are applying for a remote licence you must also complete Sections 10, 11, 12 and 13 (see Guidance Notes). Please note, you may require more than one type of licence and/or licence activity to fully license all of your operations. Please read the descriptions of all licence types and activities to ensure that you apply for all required licences.

Remote Operating Licences: Casino, Bingo and General betting (standard) (virtual events)

	Gross Gambling Yield		Point of Consumption
Total Casino Activity	£ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		<input checked="" type="checkbox"/>
Casino without Poker	<input checked="" type="checkbox"/>	Poker B2C and Poker B2B only	<input checked="" type="checkbox"/>
Casino with Poker B2C and Poker B2B	<input checked="" type="checkbox"/>	Poker B2C only	<input checked="" type="checkbox"/>
Casino with Poker B2C only	<input checked="" type="checkbox"/>	Poker B2B only	<input checked="" type="checkbox"/>
Casino with Poker B2B only	<input checked="" type="checkbox"/>		
Bingo	£ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		<input checked="" type="checkbox"/>
General betting (standard) (virtual events)	£ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		<input checked="" type="checkbox"/>
TOTAL	£ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	F <input checked="" type="checkbox"/> G <input checked="" type="checkbox"/> H <input checked="" type="checkbox"/> I <input checked="" type="checkbox"/> J <input checked="" type="checkbox"/> K <input checked="" type="checkbox"/> L <input checked="" type="checkbox"/>	

If you wish to offer any of the Gross Gambling Yield (GGY) licence activities (see Guidance Notes), you are required to provide evidence of your Gross Gambling Yield for each licence activity.

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Section 4. (continued)

7c. (continued)

All other Remote Operating Licences

	F	G	H	I	J	K	L	Point of Consumption
General betting (standard) (real events)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>
General betting (standard) (remote platform)	<input checked="" type="checkbox"/>							
General betting (limited)	<input checked="" type="checkbox"/>							
Pool betting	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<i>Full pool betting with dogs and football</i>	<input checked="" type="checkbox"/>							
<i>Pool betting without dogs or football</i>	<input checked="" type="checkbox"/>							
<i>Pool betting with dogs</i>	<input checked="" type="checkbox"/>							
<i>Pool betting with football</i>	<input checked="" type="checkbox"/>							
<i>Pool betting restricted to football</i>	<input checked="" type="checkbox"/>							
Betting intermediary	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>
Betting intermediary (trading room only)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
Gaming machine technical: full	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
Gaming machine technical: supplier	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
Gaming machine technical: software	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
Gambling software	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					
External lottery manager	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			
Society lottery	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>					

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Section 4. (continued)

7d. Linked Operating Licences

The licences listed below can only be applied if you are applying for the relevant full licence. Please refer to the Guidance Notes and select the relevant cross box(es) to indicate the linked licence being applied for.

Non-remote Gaming machine technical:
supplier

Remote Gaming machine technical: supplier

Non-remote Gambling software

Remote Gambling software

If you are applying for a linked licence, you are required to answer the following additional questions to ensure that you are able to meet the restrictions applicable to a linked licence (see Guidance Notes).

7e. Will you employ staff specifically to carry out the activities authorised by the linked licence?

Yes – please indicate the amount you intend to spend on staff and continue to question 7g

No – please continue to question 7f below

Amount

£														
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7f. If you do not employ staff specifically to carry out the activities authorised by the linked licence, how much time will the applicant and their employees spend on the activities authorised by the linked licence and what is the estimated cost to the business? (Please provide details below and continue to question 7g).

Time spent in
hours

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Cost per year

£														
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7g. How much do you estimate will be spent per year on either replacement parts and equipment or development for the activities authorised by the linked licence? (Please provide details below and continue to question 7h).

Amount

£														
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7h. Will there be any additional costs, such as travelling expenses, incurred in the delivery of the activities authorised by the linked licence?

Yes – please indicate the amount you intend to spend below and continue to question 7i

No – please continue to question 7i below

Amount

£														
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7i. Please specify the total amount that you expect to spend on the activity authorised by the linked licence each year.

Total Amount

£														
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Section 5. Premises - Non-remote operating licence applicants ONLY

8. Please provide details of any addresses you have listed in response to questions A2 and A12(i) on the Multi-Jurisdictional Business Form, which are/will be used to deliver non-remote gambling services or facilities. Please select the relevant cross box(es) to indicate all of the activities conducted at each of these premises. (Use a continuation sheet if necessary).

8a. Business name displayed at the premises (if different from the operator name)

Grid for business name input: 30 columns, 1 row.

Address Line 1

Grid for address line 1 input: 30 columns, 1 row.

Postcode

Grid for postcode input: 8 columns, 1 row.

Please indicate the type of activity undertaken at the premises:

Activity checkboxes grid: Administration, Pool betting, Adult gaming centre, Casino, Machine technical, Lottery manager, Bingo, Gambling software, Family entertainment centre, Betting shop, Betting intermediary, Society lottery, Licence applied for? (Yes/No).

If a premises licence has been applied for you are required to supply a copy of the premises licence application with this application.

8b. Business name displayed at the premises (if different from the operator name)

Grid for business name input: 30 columns, 1 row.

Address Line 1

Grid for address line 1 input: 30 columns, 1 row.

Postcode

Grid for postcode input: 8 columns, 1 row.

Please indicate the type of activity undertaken at the premises:

Activity checkboxes grid: Administration, Pool betting, Adult gaming centre, Casino, Machine technical, Lottery manager, Bingo, Gambling software, Family entertainment centre, Betting shop, Betting intermediary, Society lottery, Licence applied for? (Yes/No).

If a premises licence has been applied for you are required to supply a copy of the premises licence application with this application.

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Section 6. Management and Ownership Details

The Gambling Commission needs to understand how the applicant will operate its business on a daily basis. For example, who will make and implement the operational decisions and how the applicant will cope with accidents, sickness or other unexpected disruptions to key personnel.

9. Please provide details of all individuals (directors, partners, senior managers, etc.) who will be involved in the management of, or who will be responsible for, the ongoing operation of the applicant. This may include detailing individuals you have listed in response to questions A11 and/or A12(ii) on the Multi-Jurisdictional Business Form. Please indicate ALL of the key roles that each individual will perform. Where an individual is responsible for more than one key role, please indicate which is the main role they have ultimate responsibility for and then any additional roles they undertake.

With reference to question A12(ii), please ensure the corporate management structure diagram includes the nominated officer for anti-money laundering and associated purposes (see Guidance Notes).

Please note, where applicable, a Personal Management Licence (PML) application or an Annex A Personal Declaration must be submitted for all individuals listed (see Guidance Notes).

9a. Full name

Role	Main Role	Additional Roles		Main Role	Additional Roles
Overall strategy and delivery of gambling	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Gambling related IT provision and security	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Financial planning, control and budgeting	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Major investor, owner or partner	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Regulatory compliance	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Percentage equity share	<input type="text"/>	<input type="text"/>
Marketing and commercial development	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

Please indicate if this individual currently holds a PML, has been approved as an Annex A, or will be submitting a PML application or an Annex A? Annex A PML

Has this individual been approved by, or will be seeking approval from, another jurisdiction and/or regulator?

Yes - please complete the following details No - please continue to question 9b (if applicable) then continue to question 10

Name of jurisdiction(s) and/or regulator(s) (use a continuation sheet if necessary)

9b. Full name

Role	Main Role	Additional Roles		Main Role	Additional Roles
Overall strategy and delivery of gambling	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Gambling related IT provision and security	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Financial planning, control and budgeting	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Major investor, owner or partner	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Regulatory compliance	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Percentage equity share	<input type="text"/>	<input type="text"/>
Marketing and commercial development	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

Please indicate if this individual currently holds a PML, has been approved as an Annex A, or will be submitting a PML application or an Annex A? Annex A PML

Has this individual been approved by, or will be seeking approval from, another jurisdiction and/or regulator?

Yes - please complete the following details No - please continue to question 9c (if applicable) then continue to question 10

Name of jurisdiction(s) and/or regulator(s) (use a continuation sheet if necessary)

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Section 6. (continued)

9c. Full name

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Role	Main Role	Additional Roles		Main Role	Additional Roles
Overall strategy and delivery of gambling	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Gambling related IT provision and security	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Financial planning, control and budgeting	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Major investor, owner or partner	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Regulatory compliance	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Percentage equity share	<input type="text"/>	<input type="text"/>
Marketing and commercial development	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

Please indicate if this individual currently holds a PML, has been approved as an Annex A, or will be submitting a PML application or an Annex A? Annex A PML

Has this individual been approved by, or will be seeking approval from, another jurisdiction and/or regulator?

Yes – please complete the following details No – please continue to question 9c (if applicable) then continue to question 10

Name of jurisdiction(s) and/or regulator(s) (use a continuation sheet if necessary)

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9c. Full name

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Role	Main Role	Additional Roles		Main Role	Additional Roles
Overall strategy and delivery of gambling	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Gambling related IT provision and security	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Financial planning, control and budgeting	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Major investor, owner or partner	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Regulatory compliance	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Percentage equity share	<input type="text"/>	<input type="text"/>
Marketing and commercial development	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			

Please indicate if this individual currently holds a PML, has been approved as an Annex A, or will be submitting a PML application or an Annex A? Annex A PML

Has this individual been approved by, or will be seeking approval from, another jurisdiction and/or regulator?

Yes – please complete the following details No – please continue to question 9c (if applicable) then continue to question 10

Name of jurisdiction(s) and/or regulator(s) (use a continuation sheet if necessary)

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10. Please provide the full name of your nominated officer for anti-money laundering and associated purposes. This is the individual who is responsible for the applicant's anti-money laundering (AML), countering terrorist financing (CTF) and Proceeds of Crime Act 2002 (PoCA) activities at all premises (see Guidance Notes).

Please note, for all non-remote and remote casino operators and all operators who do not qualify for Small Scale Operator exemption, this individual must hold a Personal Management Licence (see Guidance Notes).

Full name of nominated officer for anti-money laundering and associated purposes

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Please indicate if this individual currently holds a PML, has been approved as an Annex A, or will be submitting a PML application or an Annex A? Annex A PML

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Section 7. Policy Information

The Gambling Commission has to be satisfied that a licensed operator understands its responsibilities to meet the licensing objectives of the Gambling Act 2005 and has arrangements in place to provide gambling services or facilities in a manner consistent with those licensing objectives.

15. In order to demonstrate that your business has policies and procedures in place to meet the requirements of the licensing objectives and the Licence Conditions and Codes of Practice (LCCP), the Gambling Commission requires the following information (please refer to the Guidance Notes for further details):

- Your policies and procedures to ensure that:
 - Your business will be protected from being a source of crime and disorder, being associated with crime and disorder, or being used to support crime (for **all** applicants);
 - Gambling will be conducted in a fair and open way. This should include your procedures for the protection of customer funds and your complaints and disputes procedures. The name of your alternative dispute resolution entity must also be provided under question 16 below (for **all** applicants);
 - Children and other vulnerable persons will be protected from being harmed or exploited by gambling (for **all** applicants);
- Your policies and procedures for the promotion of social responsibility in gambling (for **all** applicants);
- An explanation of how you will satisfy the primary gambling activity code provision (for Non-remote Bingo, Casino and General betting (standard) applicants **only**);
- An explanation of how you will implement your policies and procedures **including** how you intend to review and assess your policies and working practices in light of any changes to the LCCP or developments within the gambling industry (for **all** applicants);

Your policies and procedures should highlight any potential risks to the licensing objectives and the business itself, and detail how you will manage those risks. They should also detail any contingency plans that will be in place to address such risks.

Wherever possible your policies and procedures should include flow diagrams/process maps which show how the procedures work in practice, where the escalation and decision making points are, and who is responsible for making the decisions.

For your Complaints and Disputes Policy and Procedures

16. Please provide the name of the organisation that will be your alternative dispute resolution entity (see Guidance Notes).

Section 8. Additional Financial Information

The Gambling Commission has to consider whether an applicant has the necessary financial resources available to be able to provide gambling services or facilities in a manner consistent with the licensing objectives and the Licence Conditions and Codes of Practice.

17. In addition to the information provided in Sections A and B of the Multi-Jurisdictional Business Form please provide the following information (please refer to the Guidance Notes for further details):

- Original bank statements for the past six months for all bank accounts held by the applicant;
- Proof of funding for initial set up and ongoing trading (for new businesses and those who are unable to provide audited accounts **only**);
- Profit & loss and balance sheet projections for the next three years and the assumptions you have made whilst considering these figures (for new businesses and those who are unable to provide audited accounts **only**);

Please note, the Gambling Commission may request additional financial information.

Please provide the date of your financial year end.

D	D	M	M
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Section 10. (continued)

21. Please indicate the piece of remote key equipment (select ONE piece of equipment only).

- | | | | | | |
|------------------------------|-------------------------------------|-------------------------|-------------------------------------|------------------------------|-------------------------------------|
| Virtual Event and Game Pages | <input checked="" type="checkbox"/> | Settling | <input checked="" type="checkbox"/> | Gambling Transaction Records | <input checked="" type="checkbox"/> |
| Virtual Event Controller | <input checked="" type="checkbox"/> | Random Number Generator | <input checked="" type="checkbox"/> | Virtual Event State | <input checked="" type="checkbox"/> |

Please provide the location of remote key equipment

Address Line 1
[Grid of 20 cells]

Address Line 2
[Grid of 20 cells]

Town/city
[Grid of 20 cells]

Postcode/zip code [Grid of 8 cells] Country [Grid of 12 cells]

Please provide the IP address for the piece of equipment detailed above.

[Grid of 20 cells]

Please indicate which licence activity/activities this piece of equipment is used in connection with.

- | | | | | | |
|---|-------------------------------------|--|-------------------------------------|--------------------------|-------------------------------------|
| Casino | <input checked="" type="checkbox"/> | General betting (standard) (real events) | <input checked="" type="checkbox"/> | External lottery manager | <input checked="" type="checkbox"/> |
| Bingo | <input checked="" type="checkbox"/> | Pool betting | <input checked="" type="checkbox"/> | Society lottery | <input checked="" type="checkbox"/> |
| General betting (standard) (virtual events) | <input checked="" type="checkbox"/> | Betting intermediary | <input checked="" type="checkbox"/> | | |

Please confirm who operates this piece of equipment.

Self Other - please provide the name of the organisation below.

[Grid of 20 cells]

Please confirm who provides this piece of equipment.

Self Other - please provide the name and address of the organisation below.

[Grid of 20 cells]

Address Line 1
[Grid of 20 cells]

Address Line 2
[Grid of 20 cells]

Town/city
[Grid of 20 cells]

Postcode/zip code [Grid of 8 cells] Country [Grid of 12 cells]

Is the equipment provider detailed above licensed by a regulator?

- Yes - please complete the following details No - please continue to question 22

Name of regulator
[Grid of 20 cells]

continues on next page

Section 12. Domain Names

26. Does the applicant have any domain names from which gambling services will be delivered?

Yes – please complete the following details
(use a continuation sheet if necessary)



No – please continue to question 27



26a. Domain name

Please confirm if the domain name is active or inactive and whether it is a white label site.

Active domain name



Inactive domain name



White label site



If the domain name is a white label site please provide the name of the organisation that the applicant operates the website for.

26b. Domain name

Please confirm if the domain name is active or inactive and whether it is a white label site.

Active domain name



Inactive domain name



White label site



If the domain name is a white label site please provide the name of the organisation that the applicant operates the website for.

Section 13. Remote Documentation

The Gambling Commission has to be satisfied that a licensed operator understands its responsibilities to meet the licensing objectives of the Gambling Act 2005, and has arrangements in place to provide gambling services or facilities in a manner consistent with those licensing objectives.

27. In order to demonstrate that your business has policies and procedures in place to meet the requirements of the Remote Gambling and Software Technical Standards the Gambling Commission requires the following information. Please refer to the Guidance Notes for further details.

- Your policies and procedures detailing how you will comply with the Remote Gambling and Software Technical Standards and the Testing Strategy for Compliance with Remote Gambling and Software Technical Standards. For example, what are your plans for testing, how will you assess if new products require testing, how will you comply with the release authorisation requirements and the security audit. Please see our website www.gamblingcommission.gov.uk for further details;
- An operational model map which shows the location and provider/operator of all systems and activities used by the applicant to deliver gambling services and facilities. The diagram needs to show the location of key equipment, and the relationship between the systems, activities, and third party providers, including how they interact and/or link together;
- A system diagram which details the end-to-end process from when a person registers, through the gambling process, to the payout of winnings with a commentary of the activities that happen at each stage. In the diagram and commentary you should include the location and provider details for any aspects of the provision that you outsource, as well as all aspects involved in the promotion, delivery support and administration of the gambling services or facilities you offer;
- Your policies and procedures detailing how you supply your gambling software via remote communication (if applicable);
- The name and address of all of the organisations who provide gambling software to the applicant. If the supplier is licensed by a government regulator we also require the name of the regulator (if applicable);
- Your most recent information security report, where applicable, (see Guidance Notes).

Section 15. Enclosures

Please select the relevant cross box(es) to confirm that the required enclosures have been attached:

The appropriate fee (non-refundable)

Operator identification eg Memorandum and Articles of Association, Partnership Agreement or other written constitution (as detailed in question A4 of the Multi-Jurisdictional Business Form)

Annex A or Personal Management Licence application (as applicable) for all required individuals (as detailed in questions 9, 10, 12, 13 and 14 of the Rider)

Proof of funding, business plan, projections and any other financial information (as detailed in question 17 of the Rider and Section A and B of the Multi-Jurisdictional Business Form)

Corporate Management Structure and Corporate Family Tree (as detailed in questions 9 and 13 in the Rider and questions A11 and A12(ii) of the Multi-Jurisdictional Business Form)

Adherence policies and procedures (as detailed in question 15 of the Rider)

All legally required reports and/or annual returns lodged with government agencies (as detailed in Section B of the Multi-Jurisdictional Business Form)

All media releases and announcements (as detailed in question B16 the Multi-Jurisdictional Business Form)

Copies of any licences, where applicable (as detailed in question A8 of the Multi-Jurisdictional Business Form)

Supporting documentation for remote operating licence applications (where applicable - as detailed in question 27 of the Rider)

Copies of employment contracts for on-course representatives (where applicable - as detailed in question 12 of the Rider)

Authorisation for Release of Information Form(s)

Remember: Your application will not be considered unless all relevant questions have been completed and the application fee has been paid in full. Failure to provide the above information or to provide further information when requested by the Gambling Commission may result in your application being determined based on the information available at the time which may affect the outcome of your application.

The Gambling Commission is a data controller under the terms of the Data Protection Act 1998. The information provided in this application will be processed for the purposes necessary for the Gambling Commission to carry out its functions and meet its legal obligations. The data may be shared with third parties who fulfil a service on behalf of, and under the express instructions of, the Gambling Commission. It may also be shared with other bodies where it is necessary to do so in order to carry out our functions and where we are legally required or permitted to do so.

Any information or material sent to us and which we record may be subject to the Freedom of Information Act 2000. The Gambling Commission's policy on release of information is available on our website

www.gamblingcommission.gov.uk. We will treat all information as confidential and will only disclose that information to third parties where it is necessary to do so in order to carry out our functions or where we are required by law to disclose the information. The Gambling Commission would not normally expect that the disclosure of commercially sensitive information would be in the public interest.

Section 16. Payment Calculation

28. Payment Calculation Summary (please see our fees calculator at www.gamblingcommission.gov.uk to calculate your fee).

Total non-remote licences (£)

£																			
---	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Total remote licences (£)

£																			
---	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Personal licences (£)

£																			
---	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Total (£)

£																			
---	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

PLEASE NOTE THAT THE FIRST ANNUAL FEE WILL BE DUE 30 DAYS AFTER THE ISSUE OF YOUR LICENCE.

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Keeping gambling fair and safe for all

The Gambling Commission regulates gambling in the public interest. It does so by keeping crime out of gambling, by ensuring that gambling is conducted fairly and openly, and by protecting children and vulnerable people from being harmed or exploited by gambling. The Gambling Commission also provides independent advice to government on gambling in Great Britain.

For further information or to register your interest in the Gambling Commission please visit our website www.gamblingcommission.gov.uk.

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E info@gamblingcommission.gov.uk

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Form GCOL-R

GAMBLING COMMISSION

AUTHORISATION FOR RELEASE OF INFORMATION

To, and without exception, both domestic and foreign, all Government departments and agencies, Courts, Banks, Financial and other such institutions and any other third party that may hold information on the organisation/company.

On behalf of _____
(Name of Organisation/Company)

I, _____ Signature: _____
(Director)

I, _____ Signature: _____
(Company Secretary)

I/We hereby authorise the Gambling Commission, its officers or agents to undertake such enquiries and request, receive and obtain any and all information, including copies of any record, documentation or opinion whether in written, electronic or any other form, that the Gambling Commission may require when considering the suitability of the organisation/company, both upon application for any licence and, if so granted, through-out the life of any licence.

This authorisation shall supersede and countermand any previous request or authorisation to the contrary. A photocopy or electronic version of this authorisation will be considered as effective and as valid as the original.

Date: _____