

Regularly Scheduled Series (RSS) Case and M&M Conference Application Form

This form is designed to collect all information necessary to plan and develop the proposed CME activity. Completion of all sections of this form is necessary to meet accreditation requirements. The CME staff is available to help you navigate this process.

Section 1 of 6: Activity Description

		3000011 1 01	o. Activity Description						
	Activity Information								
Title	e of activity:								
Inst	Institution (list all): Dept/Division:								
Dat	e(s):	Start Time:	Location: Select the location from the drop down						
		End Time:	menu. If your location is not found, you may type						
			in your location.						
Tva	as of Astivity CE								
ıyı	oe of Activity C5								
			monthly, or quarterly RSS activities that are primarily planned by						
	and presented to the	organization's professional s	taff.						
	Type:								
	M&M Conference								
		ence Please specify type (i.e. N	lew Patient Case; Tumor Board, etc.):						
	_								
	Frequency:								
	Other								
	Days of week:								
	☐ M ☐Tu ☐]W □Th □F							
	If 2/mo. 1/mo. or guarte	erly please also indicate the w	eek(s) in month activity meets:						
		, ·							
C to	onsorshin Motor a nh	armacoutical company or m	adical davice manufacturer is not a spensor. Leint spensors need						
		• •	edical device manufacturer is not a sponsor.) Joint sponsors need areement form. An agreement will be prepared for co-						
	onsorship.	acti the John Sponsorship Ag	recinent joint. An agreement will be prepared jor to						
	· · · · · · · · · · · · · · · · · · ·	AMS College of Medicine der	ot. works with UAMS Office of Continuing Medical Education,						
	[OCME])								
	Jointly sponsored (Any	y program that is not a UAM	S College of Medicine dept. works with UAMS OCME)						
	Co-sponsored (UAMS	OCMF works with another A	CCMF accredited provider)						



Section 2 of 6: Leadership and Administrative Support Staff

Course Director	·	•						
Name:	Degree(s):							
Title:	Affiliation:							
Department:	Phone:	Email:						
Address:	City, State: Zip:							
CME Associate (this is often the person	that the OCME staff works with who	o takes care of administrative details for						
the activity)								
Name:	Degree(s):							
Title:	Affiliation:							
Department:	Phone:	Email:						
Address:	City, State:	Zip:						
Medical Director (if different from Cour	se Director)							
Name:	Degree(s):							
Title:	Affiliation:							
Department:	Phone:	Email:						
Address:	City, State:	Zip:						
Departmental/Organizational Approval								
Approved by:	Title:	Date:						

Submit your application and supporting documentation by:

Mail: UAMS COM OCME

4301 W. Markham Street, Slot 525

Little Rock, AR 72205

Email RSS Specialist: cchampton@uams.edu

Questions: 501-661-7962

Please call and arrange a consultation if you are new to CME or are unsure about CME procedures. We are always happy to work with you!

OCME Use Only

Received Date	Reviewed by	Approved?	Approval Date



Section 3 of 6: Planning Needs Assessment C2 Effective CME activities are planned to address areas of professional practice or behavior(s) that need improvement. In order to identify the problems or issues that are causing gaps in the targeted participants' knowledge, competence or performance, it is important to review available data in order to make evidence-based decisions about the needed content. To assist you with the needs assessment process, the Office of CME has conducted a general needs assessment for a journal club series to identify the educational gap, to incorporate a literature search, summarize the data cited and develop the learning objectives at the series level. Please review the information and add any additional information that is relevant to your organization's needs. PLEASE NOTE Change in Process: For each session, information and documentation about the data source(s) used, practice gap(s) identified and educational need is to be submitted with the session reports. This information will be collected in a form to be submitted with the session report. Session reports are due two weeks after the session in order to award participants with credits. Needs Assessment for Case or M&M Conference series C2, C3 Describe the professional practice gap(s) driving the need for the activity. The gap exists because: M&M Conferences Unexpected morbidity or mortality cases occur in hospitals annually. These cases often occur due to errors which must be identified, trends explored and interventions for prevention of future errors developed. Case Conferences Clinicians must deliver optimum patient care unique to each patient. There is a need to review diagnostic and treatment issues and options. Educational Need(s) (check all that apply, please select a minimum of one) **Knowledge (K)** being aware of what to do (i.e., Providers don't know...) **Competence (C)** being able/knowing how to do something (i.e., providers don't know how or don't have appropriate strategies to do) **Performance (P)** unable to implement the strategy or skill/what one actually does not do (i.e., Providers aren't doing...) Patient and/or Systems-Level Outcomes (PO) There is a need to: Discuss patient cases and their management to enhance medical knowledge to improve patient care (K, C & PO) Explore problems with patient care. (C & P) Demonstrate real-world problem solving and medical management of complex and diverse inpatient and outpatient scenarios to develop new strategies for patient care. (C, P, PO) Discuss quality assurance/patient safety issues related to practice. (C & PO) Develop strategies to prevent reoccurring complications to insure performance improvements (C & P) Analyze errors to identify and improve practice patterns to improve clinical outcomes. (C, P & PO) Other (Specify): **Educational Outcome(s)** New knowledge (K) Acquisition of strategies to incorporate new research into practice (K & C) What are the expected outcomes for your Acquisition of new protocols, policies, and procedures (K & C) learners of this activity in terms of their Critically appraise medical literature (C & P) competence, performance, and/or patient Change in diagnostic approach (C) outcomes? (Check all that apply) More appropriate consultation with or referral to specialty care or services (C&P) Improve patient outcomes. (PO) (Describe):

Other (Specify):



Evidence base for the series

Data Source: Literature Search of Peer-reviewed Journals

- Orlander, JD, Barber TW, Fincke BG. The morbidity and mortality conference; the delicate nature of learning from error. Acad Med. 2002; 77:1001-106.
- Gordon LA. Gordon's Guide to the Surgical Morbidly and Mortality Conference. Philadelphia, Pa; Hanley & Belfus; 1994
- Blumenthal, D. Making medical errors into medical "treasures." JAMA. 1994; 272:1867-1868. 3.
- Knowles MS, Holton EF, Swanson RA. The Adult Learner: The Definitive Classic in Adult Education and Human Resource Development. Houston, Tex: Gulf Publishing Co; 1998.
- Murayama KM. Derossis AM and DaRosa DA. A critical evaluation of the morbidity and mortality conference. Am J Surg 2002: 183:

Э.	246-250.												
pro and assi	summary of evidence M&M and patient case conferences are designed to discuss quality assurance issues related to practice. Patient are (PO) enhanced by medical knowledge (K) is being taught through discussion of patient cases and their management. Exposing problems with patient care (C); demonstrating real-world problem solving and medical management of complex and diverse inpatient and outpatient scenarios allows physicians to develop new strategies for patient care. M&M conferences are designed to discuss quality assurance issues related to practice. The earning Objectives identified through the literature search, select those that apply to this RSS (choose a minimum of 3):												
	At the conclusion of this series, participants will be able to:												
	Apply the lessons learned from the review of patient morbidity and mortality. (C & P)												
			rong, to improve standards of c										
Н			of their patient's condition and/		•								
Н			dresses the patient's condition and how to avoid those errors in			')							
	=		onal learning objectives applica			onfer	rence:						
Ide	ntify the teaching strate	gy oı	educational methodology that	at will	be used (check all th	at ap	oply):						
	Each session is devoted to the review of one or two cases chosen; the topic is driven by encounters by faculty, residents or staff within the institution. A clinical study is presented, excerpts from the study are prepared and open-ended questions are asked by the faculty. A case with an unresolved clinical problem is discussed; the problem selected coincides with the literature presented. Open ended discussion regarding the validity and applicability of the study's conclusion and the study has solved the original clinical problem. Questions from the audience following each presentation Formal question and answer segment(s) Formal panel discussion session(s) with presentation of questions and cases from the audience Formal follow-up discussion in the next session to discuss how the lessons from week before were applied. Other:												
	_					n, pro	ovider type, and specialty must be						
	•	tne (appropriate box next to each	n iten •	1.								
G	eographic Location:		Provider Type:	<u> </u>		S	pecialty:						
	Internal only		Primary care physicians	Н	All specialties	H	Ophthalmology						
		H	Specialty Physicians Pharmacists	Н	Anesthesiology Dermatology	\blacksquare	Orthopaedics Otolaryngology						
		=	Psychologists	H	Emergency Med	H	Pathology						
			Physician Assistants	H	Family Medicine	H							
			Nurses	H	Geriatrics	H	Psychiatry						
			Nurse Practitioners	Ħ	Internal Medicine	Ħ	Radiology						
			Other (specify):		Neurology		Radiation Oncology						
					OB/GYN		Surgery						
					Other (specify):								



Planning Process C7
1. Who identified the speakers and topics? (select all that apply)
Program Director
 2. What criteria were used in the selection of speakers (select all that apply)? Subject Matter expert Excellent teaching skills/effective communicator Experienced in CME Other, please specify:
3. Were any employees of a pharmaceutical company and/or medical device manufacturer involved with the identification of speakers and/or topics? No Yes, please explain:
Alignment with UAMS CME Mission Statement C3 CME activities should be designed to change
competence, performance, or patient outcomes as described in the CME mission statement.
competence, performance, or patient outcomes as described in the GM2 mission statement.
The mission of the UAMS College of Medicine Continuing Medical Education (CME) Program is to assist
physicians in their pursuit of life-long learning for providing high quality health care. This is accomplished by
offering educational opportunities that support physicians' improvement in their competence in patient
care, medical knowledge, practice-based learning and improvement, interpersonal and communication
skills, professionalism, and systems-based practice.
How does this activity align with the mission of UAMS CME? Check all that apply
Designed to assist physicians in their pursuit of life-long learning in order to provide high quality health care. Designed to assist physicians in competence in one or more of the six core competency areas.
Promotes the practice of evidence-based medicine.
Other (please explain):
Identify Barriers to Incorporating the Learning into Practice (select 1 at minimum)C18, C19
What potential barriers do you anticipate attendees may have incorporating new knowledge, competency, and/or performance objectives into practice? Select all that apply by placing an "X" in the appropriate box.
Lack of time to assess or counsel patients Lack of consensus on professional guidelines
☐ Lack of administrative support/resources ☐ Cost
☐ Insurance/reimbursement issues ☐ No perceived barriers
☐ Patient compliance issues ☐ Other, specify:
How will you attempt to address these identified barriers in the educational activity? (mark all that apply)
Discussion of cost effectiveness and new billing practices
Speakers will present strategies for overcoming the identified barrier(s)
Will provide a list of available resources
Agenda will address improving communication skills
Other, please describe:



Non-Educational Strategies C17 What non-educational strategies will you include or provide to the learners											
in order to enhance your learners' change as an adjunct to this activity?											
Patient education materials											
Reminders such as pocket reminder cards; emails or newsletters reminding/reinforcing strategies, etc.											
Supplemental take-home materials: poster	Supplemental take-home materials: posters, guidelines, handouts, etc.										
No strategies will be used	☐ No strategies will be used										
Other:											
Building Bridges with Other Stakeholders C20 C working on similar issues with which you can collaborate.	Occasio	onally there are other internal and/or external stakeholders									
Are there others within your organization worki	ng or	n this issue? No Yes, identify who:									
If yes, will they be included in the development ways?	and/	or execution of this activity? No Yes, in what									
Are there external stakeholders working on this	issue	e? No Yes, identify who:									
If yes, will they be included in the development ways?	and/	or execution of this activity? No Yes, in what									
developed in the context of desirable physician attributes.	Desirable Physician Attributes/Core Competencies (select one at minimum)C6 CME activities should be developed in the context of desirable physician attributes. Place an "X" next to all American Board of Medical Specialties (ABMS)/Accreditation Council for Graduate Medical Education (ACGME) or Institute of Medicine (IOM) core competencies that will be addressed in this activity.										
Patient care or patient-centered care		Systems-based practice									
Medical knowledge		Interdisciplinary teams									
Practice-based learning and		Quality improvement									
improvement											
Interpersonal and communication skills		Utilize informatics									
Professionalism		Employ evidence-based practice									



Section 4 of 6: Evaluation and Outcomes

Eva	Evaluation and Outcomes C3												
	How will you measure for changes in competence, performance or patient outcomes after the activity? Select all that apply.												
	Note: you will be required to provide an annual summary of both your compiled evaluation data and the analysis of the data for the evaluation methods selected.												
	The OCME has an evaluation form template for you to use. If you prefer to use your own, it must be approved in advance by the OCME staff for required elements.												
	Knowledge/Competence												
	Evaluation form for participants (required) Using UAMS OCME template Using our own template (requires approval by CME staff, prior to use)		Physician and/or patient surveys										
	Audience response system (ARS)		Customized pre- and post-test										
	Other, please specify:												
	Performan	ce											
	Adherence to guidelines		Chart audits										
	Case-based studies		Direct observations										
	Customized follow-up survey/interview/focus group		Other, please specify:										
	about actual change in practice at specified intervals												
	Patient/Population	n He											
\perp	Observe changes in health status measures	Щ	Obtain patient feedback and surveys										
Щ	Observe changes in quality/cost of care		Measure morbidity mortality rates										
	Other, please specify:												

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Section 5 of 6: Independence

DISCLOSURE OF FINANCIAL RELATIONSHIPS C7

It is the policy of the University of Arkansas for Medical Sciences (UAMS) College of Medicine to ensure balance, independence, objectivity, and scientific rigor in all sponsored or jointly sponsored educational activities.

All individuals who are in a position to control the content of the educational activity (course/activity directors, planning committee members, staff, teachers, or authors of CME) must disclose all relevant financial relationships they have with any commercial interest(s) as well as the nature of the relationship. Financial relationships of the individual's spouse or partner must also be disclosed, if the nature of the relationship could influence the objectivity of the individual in a position to control the content of the CME. The ACCME describes relevant financial relationships as those in any amount occurring within the past 12 months that create a conflict of interest. *Individuals who refuse to disclose will be disqualified from participation in the development, management, presentation, or evaluation of the CME activity*.

- The "Disclosure and Attestation Statement" (disclosure form) is the mechanism used by the Office of Continuing Medical Education (OCME) to gather information about relevant financial relationships with commercial interests.
- Failure to return a disclosure form is equal to refusing to disclose.
- Conflicts of Interest (COI) must be resolved BEFORE the activity occurs, preferably during the early planning stages.
- It is the responsibility of the Course Director to make certain that 1) all of the disclosure forms are collected, 2) reviewed for relevant financial relationships with commercial interests, 3) all conflicts of interest resolved, 4) disclosure forms sent to the OCME, and 5) disclosure information is provided for the participants prior to the content delivery.
- Disclosure forms and documentation of how relevant financial relationships were explored and how any conflicts of interest
 were resolved must be submitted to the OCME well before the activity begins. The disclosure to the participants should be
 conveyed in a written form and the text must be approved by the OCME prior to the event.
- Disclosure must be made to participants of all relevant financial relationships, and/or the lack of relevant financial relationships, prior to the start of the activity. The text for the disclosure to participants must be approved by the OCME prior to the activity.

I have read the UAMS OCME Policy for Disclosure of Financial Relationships and Resolution of Conflicts of Interest in order to understand the policies and procedures for disclosure of financial relationships and I understand my responsibilities for collecting disclosure information, resolving all conflicts of interest and reporting the disclosed information to the participants.
Yes No If no, please explain why.
Disclosure Plans
1. How were planners and faculty informed about the need to ensure balance, independence, objectivity and scientific rigor and the need to disclose all financial relationships with commercial interests?
Letter or email (preferred, template available) Documentation attached (Required) Verbal (must provide transcript of what was communicated)
2. How will the participants be provided disclosure of financial relationships, or lack thereof, information gathered from the above planners, faculty, speakers, etc.? The text for disclosure to the participants must be approved by the OCME prior to the CME activity.
Written (preferred): Flyer Handouts Slides Sign Other,
Verbal disclosure is discouraged and the OCME must approve using verbal disclosure as the sole method of disclosing financial relationships to participants. When approved by the OCME, the text that will be read verbatim to the participants must be submitted and approved prior to the CME activity by the OCME staff.
Verbal by: Speaker Moderator (Verbal disclosure requires providing a written transcript of what was communicated to the participants and a signed attestation by a participant of the CMF activity. This transcript must accompany the closing report.)



All individuals who are in a position to control the content of the educational activity (course/activity directors, planning committee members, staff, teachers, or authors of CME) must disclose all relevant financial relationships they have with any commercial interest(s). Employees of commercial interests cannot control the content of an accredited CME activity and therefore cannot be course/activity directors, planning committee members, staff, teachers, or authors of CME (per Standard 1 of the Standards for Commercial Support).

Name & Professional Designation i.e. John Doe, MD	Affiliation i.e. UAMS COM; ABC Hospital, etc.	CV/Bio attached? required for all non-UAMS faculty	Disclosure form attached?	Was there a financial relationship reported?	If yes, have any conflict of interests (COI) been resolved?
				Y N	Y N
				Y N	Y N
				Y N	Y N
				Y N	Y N
				Y N	Y N
				Y N	Y N
				Y N	Y N
				YN	YN

Speakers, Teachers, Moderators or Authors - Disclosure Information													
Provide a complete list of all the sp	peakers, teachers, moderators	, or authors. A disc	losure form is	required for all. A	CV or bio is								
required for all non-UAMS faculty.													
Name & Professional Affiliation CV/Bio Disclosure Was there a If yes, have													
Designation		attached?	form	financial	conflict of								
		required for all	attached?	relationship	interests (COI)								
		non-UAMS		reported?	been resolved?								
		faculty											
				YN	Y N								
				\square Y \square N	□ Y □ N								
				\square Y \square N	\square Y \square N								
				□ Y □ N	□ Y □ N								
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	Mo	re space	e is	needed	, a	comp	lete	lis	t o	t spea	ıkers,	etc.	İS	atta	chec	l wit	:h t	he a	above	in	torma	ation	ind	icate	ed.
--	----	----------	------	--------	-----	------	------	-----	-----	--------	--------	------	----	------	------	-------	------	------	-------	----	-------	-------	-----	-------	-----



Commercial Support													
Will you apply for educational grants to help fund this activity?													
Yes, please list below all grants for which you have applied or for which you plan to apply. Indicate the grant status. A properly executed letter of agreement (LOA) and a copy of the check must be sent to the OCME for each grant that is funded BEFORE the educational activity. No													
Name of company	Iame of company Grant request funded? Signed LOA attached Copy of check attached												
	Yes No Pending Yes No Yes No												
	Yes No Pending Yes No Yes No												
	Yes No Pending Yes No Yes No												
	Yes No Pending Yes No Yes No												
Yes No Pending Yes No Yes No													
Yes No Pending Yes No Yes No													
☐ More space is needed, a	a complete list of	f grants app	lied for is attach	ned with the al	bove information	n indicated.							
Attestation of Having Read tl	he Commercial Su	pport Policie	s and Procedure	es .									
If you answered yes to grants Support and the UAMS Policy commercial support and my r	on Commercial St	upport in ord	_										
Yes No If no, please	explain why?												
Acknowledgement of Com	mercial Support												
How will the audience be pro- acknowledged to the participal participants must be approved	ants prior to the co	ontent preser	ntation. The text j	• •	• •								
Written (preferred): Broo	thure 🗌 Syllabus	/Handouts [Slides Sig	n 🗌 Other									
Verbal acknowledgement mu	st be approved in	advance by t	he OCME.										
Verbal by: Speaker	Moderator (Verbal require	s a transcript of wl	hat was commur	nicated and attestat	tion signed)							



Budget		
You must submit a preliminary budget with the application.		
A final budget that line items ALL expenses will be required post-activity with the closing report. Commercial support is also to be line itemed on the budget. You will need to submit documentation for payment of all speaker expenses. Please note: Commercial supporters are not to pay any conference expenses. Commercial entities are only allowed to provide educational grants. You must demonstrate through the budget and the accompanying documentation that the conference organizers paid all expenses directly.		
How will activity expenses be paid? (check all that apply)		
☐ Internal department funds ☐ Participant registration fees ☐ Commercial Support ☐ State or Federal Grant ☐ Other, identify:		
A preliminary budget is attached (required) If not, why:		

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Section 6 of 6: Marketing and Administrative

Promotional Materials		
Please note: All promotional materials must be approved by the OCME prior to distribution to potential participants. There are required elements and statements that must be used in all promotional materials. If you fail to get prior approval for the materials and elements are missing or are incorrect, you will be required to make the necessary corrections and redistribute the materials to potential participants (even if this requires reprinting.)		
See: Brochure/Promotional Material Requirements and Statements Guide		
How will notification of this educational activity be distributed to the participants prior to the activity? Flyer Other, identify: A sample of the promotional flyer is attached. (REQUIRED)		

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CME Administrative Fees - Please include payment with the application.		
Method of payment:		
Check (attached) Made payable to UAMS Office of Continuing Medical Education. Be sure to include the Slot # in the address. Our address is: UAMS COM OCME, 4301 W. Markham Street, Slot 525, Little Rock, AR 72205.		
UAMS Inter Department Transfer (IDT) Please ask your business manager, or person who can initiate IDTs in SAP, to start the payment process in SAP. This transaction must be started within your department. Please do not send a form for us to enter the transaction into SAP. Please indicate the exact activity title (i.e., Internal Medicine Journal Club) in the SAP text fields (Do not type 'CME ACTIVITY' 'RSS' or 'Journal Club' without identifying the department". It is important to use the actual title of the CME activity which includes the department name) to assure proper posting.		
OCME SAP Account numbers: For RSS activities only: Credit to: 118-600001-1006877 Debit to: xxx-631600-xxxxxxxx		
After you have initiated the IDT please provide the following information:		
☐ IDT document # from department of (include a copy of the IDT).		
☐ Credit Card ☐ Visa ☐ MasterCard ☐ Discover ☐ AmExpress		
Card # Exp. Date Sec Code		
Payment is not included, please explain.		



Attachment Checklist		
	Evaluation Summary AND Analysis of summary data from previous year (if accredited previous year)	
	Joint Sponsorship Agreement (if applicable)	
	Needs Assessment Documentation for each source item checked (if using additional sources)	
	Disclosure forms for All Planners – Do not submit without all of these!	
	Disclosure forms for all Speakers, Moderators, Authors (can send with closing session report if	
	unknown at time of application)	
	Copy of Speaker letter OR explanation of how you communicate expectations for disclosure of	
	financial relationships and delivering scientifically balanced, evidenced based, non-bias presentations	
	to your speakers	
	Speaker bios or CVs for non-UAMS COM faculty (can send with closing session report if unknown)	
	List of commercial interests from which applying for grants (if applicable)	
	Signed Letters of agreement received to date (if applicable)	
	Preliminary Budget	
	Proof copy of flyer	
	CME fee	

Please use this button or the one on page one instead of the one in the purple bar at the top of the document to submit your application. Thank you!