

Reset Form



LARGE CASE REQUEST and APPROVAL FORM

1. Marketing Information

Marketing Company \_\_\_\_\_ Agent's Name \_\_\_\_\_
Agent's Contact Information \_\_\_\_\_ Email Address [ ] Telephone Number [ ] Fax Number [ ]
(only include preferred method)

2. Product Information

Amount Requested: \_\_\_\_\_ [ ] New Contract [ ] Additional Deposit Tax Status: [ ] Qualified [ ] Non-Qualified
Product Name \_\_\_\_\_ Rider (if applicable) \_\_\_\_\_
Application Sign State \_\_\_\_\_ Applicant Resident State \_\_\_\_\_

3. Owner(s) Information

Owner's Name \_\_\_\_\_ Owner's Date of Birth \_\_\_\_\_ Owner is US Citizen: [ ] Yes [ ] No
Joint Owner's Name (if applicable) \_\_\_\_\_ Joint Owner's Date of Birth \_\_\_\_\_ Joint Owner is US Citizen: [ ] Yes [ ] No
Annuitant's Name \_\_\_\_\_ Annuitant's Date of Birth (if different from Owner) \_\_\_\_\_ Annuitant is US Citizen: [ ] Yes [ ] No
Does the Owner(s)/Annuitant have any other active or pending contracts with Athene: [ ] Yes [ ] No
• If yes, list all other Contract Numbers: \_\_\_\_\_

4. Fund Information

Owner's Approximate Net Worth: \_\_\_\_\_ Estimated Date Funds will be submitted to Athene: \_\_\_\_\_
Source of Funds: \_\_\_\_\_

5. Guidelines Regarding Large Case Approval

- 1. Only one large case will be accepted per annuitant/owner.
2. Approval of this case is contingent on full suitability and New Business review at the time of submission.
3. Approval is only valid for 45 days after the approval date.
4. Submission of this form does not guarantee Athene will approve the requested amount.
5. Athene reserves the right to reduce compensation as needed.

This form must be emailed to newbusinessresolutions@athene.com to begin the approval process.

In order to expedite this large case when submitted, attach the signed and approved form to the Athene Annuity Application.

6. Notes

\_\_\_\_\_
\_\_\_\_\_
\_\_\_\_\_

7. Athene Approval

Amount Approved \_\_\_\_\_ Athene Authorized Signature \_\_\_\_\_ Date \_\_\_\_\_