
PREQUALIFICATION QUESTIONNAIRE

Husky Ref. No.: 8.15.1.034 Goods/Services Title: Dive Support Vessel

THIS QUESTIONNAIRE IS TO BE COMPLETED BY VENDORS WHO ARE INTERESTED IN SUPPLYING EQUIPMENT, MATERIALS AND/OR SERVICES TO HUSKY OIL OPERATIONS LIMITED. THE INFORMATION PROVIDED IS STRICTLY CONFIDENTIAL AND SOLELY FOR THE USE OF HUSKY.

COMPLETED QUESTIONNAIRE MUST BE MAILED OR COURIERED TO:

**Husky Energy, East Coast Operations
Suite 901, Scotia Centre
235 Water Street
St. John's, NL A1C 1B6**

Attention: Mark Collett, Procurement Team Lead

Company Name: _____

The signatory of this Questionnaire guarantees the trust and accuracy of all responses given herein, and is an authorized officer or agent of the company.

Information submitted and completed by:

Name (Please Print)

Title

Signature

Date

To be completed by Husky:

Date Received: _____ Procurement Signoff: _____

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General Instructions

We recognize that we have many different types of suppliers / contractors with different core competencies and skill sets. In order to effectively assess your company, we require that this Questionnaire be filled out as it applies to your firm.

Husky is committed to ensuring fairness in our vendor selection process. Prequalification will be based on your company meeting our expectations for the goods and / or services to be supplied.

Submission Requirements

Vendors must submit two (2) copies of all requested documentation in an envelope or package, which must be clearly marked with the **Title and Reference** number of the Services for which they would like to be considered.

Vendors are required to submit their pre-qualification response in the following format and in the exact order as shown:

1. Completed Pre-Qualification Questionnaire
2. Company Information
3. Subcontracting
4. Current Organizational Structure
5. Facilities and Infrastructure
6. Capabilities Statement
7. Contractor HSEQ Requirements
8. Canada -Newfoundland and Labrador Benefits
9. Attachments
10. Additional Comments

1. Company Information

1.1 Company Name: _____

Street/Mailing Address of Office completing this Questionnaire

City: _____ Province: _____

Postal Code: _____

Telephone: _____ Fax: _____

Key Company Sales Contact

Canadian Head Office: _____

Street/Mailing Address: _____

Local Office: _____

Street/Mailing Address: _____

1.2 Type of Company

Sole Proprietor _____ Partnership _____
Corporation – Private _____ Corporation – Public _____
Other (please identify): _____

Please supply Certificate of Incorporation, and identify and attach as an Appendix. If private ownership, please also identify the Principle Shareholders below.

Name _____
City _____ Province/State _____

Name _____
City _____ Province/State _____

Name _____
City _____ Province/State _____

Name _____
City _____ Province/State _____

1.3 Subsidiaries, Affiliates, etc. (indicate whether wholly-owned or percent controlled)

1.4 Total Number of Employees by Geographical Location

Newfoundland and Labrador _____
Other Canadian Provinces _____
International _____

1.5 Declaration of Business Relationship (Company Owner/Management)

In accordance with the approval policy of Husky, **all Vendors shall, as a condition of supplying goods or services to Husky, make full disclosure of any existing business relationships with any Husky employee and/or contractor or immediate relatives.** If the Vendor fails to disclose an interest and/or the interest is falsely or insufficiently reported, Husky reserved the right to terminate or cancel any agreement of any kind which may have been entered into with the Vendor.

Are you a relative or of do you have a relationship with any Husky employee that would cause any real or perceived conflicts of interest?

No ☐

Yes ☐ (please specify): _____

1.6 Annual Revenue & Operating Income (CDN\$ in each of the last five years):

	Revenue			Operating Income	
Year		\$		\$	
Year		\$		\$	
Year		\$		\$	
Year		\$		\$	
Year		\$		\$	

1.7 Do you have 3rd party certified financial statements available for the most recently completed fiscal year? Yes _____ No _____

(If yes, please attach latest copy)

2. Subcontracting
2.1 Subcontracting

a. Please list any associated work that you would typically subcontract to other vendor(s) providing the following information for each:

- Specific type of work being subcontracted: _____
- Company Name: _____
- City: _____ Province/State: _____
- Contact Name at above noted Company: _____
- Contact Phone Number for above: _____

b. Describe the process you have for selecting subcontractors: (Also see Husky's expectations in this area for item 13 - Contracted Services and Materials – under Section 7, Contractor HSEQ Requirements)

3. Work History

Please provide a list of at least the top three (3) recent clients of your firm, with whom you have contracts for scopes of work similar to that covered by this pre-qualification process. Provide the following information for each:

Contract Name/Owner: _____

CDN \$ Value: _____ Date(s) of Contract Term: _____

Description (Contract Scope of Work. Please be specific):

Location: _____

Reference (Contact Name): _____ Telephone: _____

4. Current Organizational Structure

Please provide a current Organization Chart for your company, indicating, but not limited to, management personnel and reporting relationships. Please also identify where this organization's management personnel are located. Please ensure the organization chart indicates personnel (including names) which would be supporting the scope of work. Please also identify where these individuals are located geographically.

5. Facilities & Infrastructure

Please provide a description of the facilities & infrastructure which your company would utilize in provision of the subject services, if applicable. Please clarify whether the facilities & infrastructure which you are describing are currently occupied and utilized by your company. Please provide photographs / drawings as appropriate.

6. Capabilities Statement

Please provide an overview of your company's capabilities. In addition, please ensure that you provide a description of your company's specific capabilities as they related to the subject services being requested.

7. Contractor HSEQ Requirements

These pre-qualification questions are based on Husky's Contractor HSEQ Requirements. They are intended to establish the content and maturity of a proponent's HSEQ management system. For any "Yes" answer provided, Husky requires a documented reference to a policy/procedure/standard, etc. in the proponent's management system which can be referenced as evidence to validate any "Yes" answers. Any "Yes" answers not supported by a documented reference to a policy/procedure/standard, etc. will be subject to further verification efforts by Husky.

1 Leadership and Accountability

- 1.1 Has accountability for HSEQ throughout the organization been defined? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:
- 1.2 Are systems for HSEQ management established, communicated and supported at every level in the organization? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:
- 1.3 Is commitment to HSEQ demonstrated by providing required resources and through active and visible participation in efforts to implement and improve the system? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:
- 1.4 Has the scope, priority and pace for HSEQ system implementation and improvement been established, with consideration given to the complexity of, and risks involved in, the operation? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:
- 1.5 Through industry networking, are best HSEQ practices demonstrated by industry adopted by the organization? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:
- 1.6 Are HSEQ expectations and requirements transferred into procedures and practices that are effectively communicated to, and used by, all appropriate people in the organization? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:
- 1.7 Does the workforce actively participate in HSEQ processes? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:
- 1.8 Are clear goals and specific objectives for the HSEQ Management System established? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:
- 1.9 Is performance against HSEQ goals and objectives evaluated? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:
- 1.10 Is HSEQ performance regularly communicated to all employees? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:

2 Safe Operations

- 2.1 Is a comprehensive safety program implemented for a safe system of work (including documented safe work practices, job analysis, and hazard and risk assessments)? ☐ Yes ☐ No
Please document reference(s) to support “yes” answer:

- 2.2 As required by regulation, is an OHS Committee, a worker health and safety representative, or workplace health and safety designate in place and functioning according to legislated requirements and/or individual company policy? ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:
- 2.3 Is there a Terms of Reference for the organization and operation of the OHS Committee (including a process for receiving, reviewing and responding to employee health and safety concerns)? ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:
- 2.4 Is a process implemented by which at-risk behaviours, unsafe conditions and other precursors that can lead to incidents are recorded, analyzed and addressed? ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:
- 2.5 Is a process implemented by which human factors and ergonomic risk considerations, including fatigue management, and workplace physical and mental demands are identified, analyzed and addressed? ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:
- 2.6 Is an alcohol and drug policy implemented? ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:
- 2.7 Is an occupational health program implemented to ensure the personal well-being of employees is maintained? ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:
- 2.8 Are industrial hygiene and medical surveillance programs appropriate for the location implemented? ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:
- 2.9 Are systems implemented to provide for the safe handling of chemicals, hazardous materials and wastes? ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:
- 2.10 Is there a process implemented for reviewing, evaluating and approving chemical products for use on Contractor’s and Husky’s worksite, or at the Contractor’s facilities? ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:
- 2.11 Is there an approved material substance register established that clearly defines those materials that are permitted to be used at any site? (By definition, the use of any substance not identified on this list shall be prohibited). ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:
- 2.12 Is a process implemented for identifying Personal Protective Equipment (PPE) requirements, ensuring that PPE is available and functional, and training in the use of PPE is conducted for employees? ☐ Yes ☐ No
- Please document reference(s) to support “yes” answer:

- 2.13 Are procedures implemented to ensure information that is critical to safe and efficient operations is effectively communicated between overlapping or adjoining work activities, crew shifts and rotations? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 2.14 Is a positive and open safety culture established where employees are educated, encouraged and expected to examine all tasks and work methods? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 2.15 Does the organization track HSE performance statistics? (including the following): ☐ Yes ☐ No

- Total exposure hours worked in the period
- Number of Fatalities
- Lost Time Injuries
- Restricted Work Cases
- Medical aids, first aids
- Number of near misses
- Motor vehicle incidents
- Lost Time Injury Rate based on 200,000 man-hours
- Total Recordable Injury Rate based on 200,000 man-hours
- Number of reportable environmental spills

(Also, Contractor must track number of restricted work and lost time days.)

Please document reference(s) to support “yes” answer:

3 Risk Assessment and Management

- 3.1 Are risk assessments conducted for appropriate activities or milestones in order to identify and address potential hazards to personnel, facilities, the public and the environment? (These activities and milestones shall include the following, as a minimum): ☐ Yes ☐ No

- early phases of new projects
- detailed design of projects
- critical decision points in ongoing operations
- modifications
- simultaneous operations and interfaces between operations

decommissioning activities

Please document reference(s) to support “yes” answer:

- 3.2 Are risk assessments performed by qualified personnel including, where appropriate, suitable expertise sought from outside the immediate business unit? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 3.3 Is a follow-up process in place to ensure that risk management decisions are implemented? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

4 Emergency Preparedness

- 4.1 Is there an Incident Coordination and Emergency Response Plan that describes how emergencies will be managed? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 4.2 Is a business recovery plan developed and implemented to address how critical business operations will be restored after an emergency to ensure employee well-being, regulatory compliance, and resumption of the service to Husky? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

5 Reliability and Integrity

- 5.1 Is there an inventory of critical processes, systems, equipment & components included in the preventative maintenance system? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 5.2 Has there been identification of the inspection, calibration, maintenance & repair requirements associated with critical processes, systems, equipment & components? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 5.3 Has a spare parts inventory been developed for critical equipment? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 5.4 Has there been identification of the training, certification and competency requirements for personnel responsible for the preventative maintenance system? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 5.5 Has an acceptable methodology been developed for identifying the schedule or frequency for performing inspection, maintenance and repair operations including the filing of the related reports & verification documents? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 5.6 Have the requirements for the reporting of hazardous conditions and/or damaged equipment to appropriate personnel been developed? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 5.7 Do designated personnel ensure that inspection reports are analyzed on a periodic basis to identify the basic underlying causes for repetitive, sub-standard conditions? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 5.8 Are inspection files reviewed regularly to ensure follow-up on outstanding items & does the result of the analysis get communicated to management? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 5.9 Is there a process for the identification of critical suppliers (and services) based on their ability to meet required specifications? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 5.10 Has assignment of responsibility for the overall development, implementation and updating of the preventative maintenance systems been conducted? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

6 Personnel Training and Competency

- 6.1 Are there definitions of job descriptions and the relevant competencies for all personnel carrying out activities that may affect integrity? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 6.2 Is there a systematic approach for personnel recruitment, including fit for work assessments and pre-employment medical, where appropriate? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 6.3 Is there a process for screening, selection, placement and ongoing assessment of the qualifications and abilities of personnel to meet specified job requirements? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 6.4 Is there initial, ongoing and periodic refresher training to meet job and legal requirements? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 6.5 Does each training session set out clear deliverables that are established before training commences? (Training delivery includes mechanisms for assessing effectiveness and, where appropriate, demonstrated competence on the job). ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 6.6 Has a Competence Program been established and resourced to ensure that necessary levels of individual and collective demonstrated competence are maintained and carefully considered when personnel changes are made? (This Competence Program shall apply to all people undertaking critical work or having a responsibility in the HSEQ Management System). ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 6.7 Are periodic reviews conducted to ensure appropriate levels of personnel staffing is maintained to assure safe and efficient operations? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 6.8 Do new or transferred employees undergo appropriate site orientation and induction training? (At a minimum it shall include HSEQ rules and emergency procedures). ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

7 Incident Management

- 7.1 Is there an incident management system to ensure all incidents are reported and that the company learns from incidents and uses the information to take corrective action and prevent recurrence? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 7.2 Are investigation activities directed towards determining the facts and circumstances related to the incident; identifying the contributing factors and underlying causes, and developing follow-up and corrective actions to manage the risks and prevent recurrence? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 7.3 Are investigations conducted using proven investigation techniques and are the results of the investigation documented? (The level of the investigation carried out shall reflect the actual or potential severity of the incident). ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 7.4 Is there a process implemented to analyze incidents and incident investigation data periodically to identify emerging trends and potential system weaknesses? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 7.5 Are recommendations stemming from investigations made to address any identified issues or improvements to practices, standards or procedures? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 7.6 Is there a process to ensure that serious incidents are to be reported to Husky Energy immediately, with a follow-up investigation report to be provided in ten (10) days? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

8 Environmental Management

- 8.1 Is a process implemented to assess the risks and potential impacts to the environment associated with operations? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 8.2 Are environmental risk assessments subject to regular review? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 8.3 Is there a process to ensure environmental incidents are investigated, including criteria to mandate the level of the investigation carried out reflects the actual or potential severity of the incident? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 8.4 Have management systems been established and specific mitigation and protective measures implemented to address known negative environmental impacts? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 8.5 Is environmental impact being monitored and reported to demonstrate compliance with relevant local, national and international regulations? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 8.6 Are local site performance indicators and targets set to drive continual improvement in managing waste, emissions and discharges and energy efficiency? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

9 Management of Change

- 9.1 Is there a process in place to ensure the management of risks associated with both permanent and temporary changes to facilities, operation, documentation, and key personnel within the organization? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.2 Does the management of change procedure clearly define what constitutes a change? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.3 Does the management of change procedure have a mechanism to ensure the registration of change requests? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.4 Is there a process for the evaluation of health, safety, environmental and quality impacts of proposed changes, and of proposals to mitigate risk to tolerable levels? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.5 Does the management of change process give consideration of reliability, maintainability and operability factors? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.6 Does the management of change process consider the impact to documentation and the changes required? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.7 Does the management of change process consider the requirements for the updating of facilities or organizational documentation? (i.e.: drawings, plans, procedures, etc.) ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.8 Does the management of change process have management controls to ensure new practices are reviewed and approved prior to implementation? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.9 Does the management of change process consider requirements for personnel training? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.10 Is there a system in place to ensure that the original scope and duration of temporary changes are not exceeded without review and formal approval? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.11 Is there a system in place to embrace changes in technology or applicable industry codes and standards, and to reflect those changes in the relevant facilities and operations? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 9.12 Is there a process to consider that all changes that directly affect Husky facilities shall be managed through the Husky East Coast Management of Change process? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

- 9.13 Is there a process for effective communication of changes to the affected parties or individuals? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

10 Information, Documentation and Effective Communications

- 10.1 Is there a system in place to effectively manage drawings, design data, operational instructions, and other pertinent documentation necessary for safe, environmentally-sound operations and the maintenance of facilities? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

- 10.2 Is there an implemented document control system in place? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

- 10.3 Is information on potential hazards associated with materials or products involved in operations maintained and current? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

- 10.4 Information on applicable laws and regulations, licenses, permits, codes, standards and practices is documented and kept current? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

- 10.5 A process is in place to ensure that pertinent records covering operations, maintenance, inspections and facility changes are maintained and that these records are auditable? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

- 10.6 Employee health, medical, occupational exposure and training records are maintained with appropriate confidentiality? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

- 10.7 Is a system in place for the dissemination of best practices and procedures, lessons learned from incident investigations and other relevant information? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

11 Compliance Assurance and Regulatory Advocacy

- 11.1 Is there a system in place to ensure compliance with regulatory requirements and codes? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

- 11.2 Are the systems to ensure compliance with regulatory requirements and codes documented and included as part of the Management System? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

- 11.3 Is there a process for reporting and managing any non-conformances to, planned deviations from, or potential violations of legal and company requirements? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 11.4 Is there a process in place to identify, track and comment on proposed legislation, regulations and emerging policy issues? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

12 Design, Construction Commissioning, Operating and Decommissioning

- 12.1 Is a project execution process used to incorporate health, safety, environment, loss prevention, and technical standards requirements? (Including considerations and practices in the design, construction, commissioning, operating, or decommissioning of a system). ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 12.2 Is operability, maintainability, reliability and total life-cycle cost are systematically considered in the planning, design and construction of new or modified facilities? Is operations and maintenance expertise fully integrated early into the process at the design and project stage? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 12.3 Are approved health, safety, environment and loss prevention guidelines, design practices and standards, that meet or exceed applicable regulatory requirements, and encompass responsible requirements where regulations do not exist, fully utilized in the design, procurement and construction of all new or modified facilities? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 12.4 Are risk management practices implemented to ensure designs meet integrity objectives? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 12.5 Are deviations from approved design practices and standards, or from the approved design permitted only after review and approval by the designated technical authority, and after the rationale for the decision is fully documented? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 12.6 Are quality assurance processes in place to ensure that facilities and materials specified received and used meet design specifications and that construction is in accordance with the applicable standards? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 12.7 Is a formal pre-startup review performed and documented on all new or modified facilities prior to operation to confirm that they meet all applicable technical and operational requirements? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 12.8 Is a process in place to ensure that any performance or integrity related issues identified during the course of commissioning are captured, assessed and, where appropriate, resolved? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

13 Contracted Services and Materials

- 13.1 Is the Sub-Contractor selection and approval process defined and documented? ☐ Yes ☐ No
As a minimum, the following must be considered when selecting and evaluating Sub-Contractors:

A. HSEQ program

B. HSE performance (current and past)

C. Regulatory actions and enforcement (current and past)

Please document reference(s) to support “yes” answer:

- 13.2 Is there a process in place to monitor and evaluate Sub-Contractor HSEQ performance for all active Sub-Contractors carrying out work? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 13.3 Is the sub-contractor HSEQ performance process documented in the HSEQ Management System? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

14 Performance Assessment and Continuous Improvement

- 14.1 Are operations regularly audited to establish the degree to which operational integrity expectations are met? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 14.2 Is an audit scope and frequency is established based upon the complexity of the operation, level of risk and performance history? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 14.3 Do audits follow established protocols and are they conducted by competent auditors, or audit teams, including expertise from outside the immediate unit? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 14.4 Are findings from audits recorded, discussed and agreed with the assessed party? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 14.5 Do audited parties develop documented action plans to address accepted findings and track and report completion progress to senior management? ☐ Yes ☐ No

Please document reference(s) to support “yes” answer:

- 14.6 Is management review of the HSEQ Management System conducted periodically to address the possible need for alterations in light of changing circumstances and the commitment to continual improvement? Is the audit process reviewed periodically to ensure its continuing suitability, adequacy and effectiveness? ☐ Yes ☐ No

Please document reference(s) to support "yes" answer:

8. Canada-Newfoundland and Labrador Benefits Compliance

Husky Energy strongly supports providing opportunities to Canadian and in particular Newfoundland and Labrador companies and individuals, on a commercially competitive basis. Pre-qualified companies will be required to complete a Canada/Newfoundland and Labrador Benefit Questionnaire. Will you comply with requirements of Husky Energy (or any governmental authority) with respect to benefits and with all applicable guidelines of Husky Energy?

☐ Yes ☐ No

9. Technical Questionnaire

Please respond to the following technical questions:

No.	Question	
1.	Please outline your Company's experience in working in the Canada-Newfoundland Offshore Region, including an outline of previous projects executed in this area.	
2.	Is your Company established with a Newfoundland office, adequate to support the planning, engineering, mobilization and offshore execution of the scope, or can contractor provide plan and commitment to establishing a suitable office within a deadline accommodated by the overall project schedule?	
3.	Please confirm the proposed vessel(s) satisfy the following minimum vessel specifications: 1. Max POB of Husky and subcontractor representatives (allowing for lifeboat down rating) 2. Twin bell saturation diving system (moon pool deployed) 3. Saturation chamber capacity (number of divers) 4. DP class of proposed vessel 5. Age of proposed vessel 6. Active heave compensated lifting equipment"	
4.	Has the proposed vessel(s) worked in the Canada Newfoundland Offshore region before? If so, please provide details.	
5.	Please outline your Company's organizational structure, including the number of PEGNL registered engineers to support the scope.	
6.	Please provide details on your Company's experience (within its global operation) in similar tasks to each of those anticipated for the outlined scopes.	

10. Attachments

Please indicate all attachments:

No.	Attachment	Yes/No
1.	Certificate of Incorporation.	
2.	Declaration of Residency.	
3.	Certified 3 rd Party Financial Statements.	
4.	Facilities & Infrastructure photographs/drawings.	
5.	Copy of the Health, Safety, Environment and Quality Policy.	
6.	Copy of the Alcohol and Drug Policy.	
7.	Copy of the Incident/Accident Investigation Procedure and a copy of Incident Report Format.	
8.	Copy of the Emergency Response Plan table of contents.	
9.	Copy of the HSEQ (Health, Safety, Environment and Quality) Management System manual table of contents (including safe work practices).	
10.	Copy of the Management of Change Procedure.	
11.	Copy of Organization Chart (with names) for personnel supporting the contract scope of work.	
12.	Copy of the Internal Audit and Inspection Schedule for Health, Safety, Environment and Quality (with completion status and copy of most recent Health, Safety, Environment and Quality internal audit report).	
13.	Copy of the Minutes of the most recent Health, Safety, Environment and Quality Management Review.	
14.	A written statement indicating that there are no outstanding HSE charges, stop work orders or regulatory violations against your company.	
15.	A written statement indicating there are no outstanding non-conformances or audit action plans stemming from a Husky conducted Health, Safety, Environment and Quality supplier audit.	
16.	Copy of the most recent customer satisfaction survey relating to customer perceptions and customer satisfaction.	
17.	Copy of the control of documents and control of records procedure.	
18.	Copy of the current Health, Safety, Environment and Quality objectives for the current year.	
19.	A copy of the training and competence policy or procedure.	
20.	Copy of the following safety statistics for the previous three years: <ul style="list-style-type: none"> Total exposure hours worked in the period Number of Fatalities Lost Time Injuries Number of Restricted Work Cases Number of Medical aids, first aids Number of Number of near misses Number of Motor vehicle incidents Lost Time Injury Rate based on 200,000 man-hours Total Recordable Injury Rate based on 200,000 man-hours Number of reportable environmental spills. Number of Restricted Work and Lost Time Days. 	

No.	Attachment	Yes/No
21.	Copy of the procedure, policy or process in place to monitor and evaluate Sub-Contractor HSEQ performance.	
22.	Is a Certificate of Clearance from the provincial Workplace Health, Safety and Compensation Commission (WHSCC) available upon request by Husky? (Note: The Workplace Health, Safety and Compensation Act requires all employers performing work in Newfoundland and Labrador to register with the Commission. Source: http://www.whscc.nf.ca/employers/Emp_RegisteringYourBusiness.whscc .	

11. Additional Comments

Sample Declaration of Residency

Vendor represents that * _____ for Canadian Income tax purposes

** is a resident of Canada ☐

is not a resident of Canada ☐

Furthermore, we attach a **Certificate of Incorporation** and undertake to immediately inform Husky Oil Operations Limited of any future change in our company's tax status.

Name: _____

Title: _____

Signature: _____

Date: _____

* (please include complete entity name)

** (please check as appropriate)