

# Transfer documents

## South Africa

### Private individuals

- **Securities Transfer Form (Original)**  
*Guide Securities Transfer Form*

### Legal entities with sole signing officers

- **Securities Transfer Form (Original)**  
*Guide Securities Transfer Form*
- **Corporate Resolution (Original)**  
*Guide CR (sole signing officers)*

### Legal entities with a sole signing officer

- **Securities Transfer Form (Original)**  
*Guide Securities Transfer Form*
- **Corporate Resolution (Original)**  
*Guide CR (sole proprietorships)*

### Legal entities with more than one person authorized to sign

- **Securities Transfer Form (Original)**  
*Guide Securities Transfer Form*
- **Corporate Resolution (Original)**  
*Guide CR*

**REPUBLIC OF SOUTH AFRICA  
COMPANIES ACT, 1973**

For exchange control purposes

**Form CM 42**

**SECURITIES TRANSFER FORM  
(Section 134)**

Certificatin, if any, by issuer of security.

**A**  
THIS PORTION TO BE COMPLETED BY THE TRANSFEROR/S  
(that is, the person/s in whose name/s the securities are at present  
registered).

**B**  
THIS PORTION TO BE COMPLETED BY THE TRANSFEREE/S  
[that is, the person(s) in whose name (s) the securities are to be  
registered] OR AGENT, EXCEPT WHERE BROKER'S TRANSFER  
FORMS ARE USED.

LODGED FOR CERTIFICATION BY:

**A FULL NAME OF ISSUER OF SECURITY AS SHOWN ON CERTIFICATE**

Quantity and full description of securities to be transferred	Figures	Words
	Description	
	Certificate(s) No.(s)	Distinctive number(s) (if any)

**TRANSFER FORM** [ in block letters insert the full name(s) of the present registered holder(s) ] \*

[ transferor/s ]

I/We the undersigned hereby transfer the above securities from the name(s) aforesaid to the person(s) named below or to the several persons named in Part B of the Broker's Transfer forms (CM 41) relating to the above security

.....

.....

Date of signature .....

(Stamp of selling broker)

**TRANSFER TO** [ in block letters insert the full name(s) and the postal address(es) of the person(s) into whose name(s) the securities are to be transferred ]. \*

[ transferee(s) ] .....

.....

.....

.....

**CONSIDERATION.** - State the amount (in figures) paid for the securities. If no consideration was paid, the market value of the securities at the date of the transaction must be stated.

R .....

I/We request that such entries be made in the register as are necessary to give effect to this transfer.

.....

.....

.....

Name and address or stamp of person lodging this form or stamp of buying broker (if any)

**S.A. REVENUE STAMPS (UNLESS BROKER'S TRANSFER FORMS ARE USED)** or endorsement claiming exemption in terms of the Stamp Duties Act, 1968.

**\*FOR USE IN REGISTERING OFFICE**

# Transfer documents

## Guidance to fill in

### Securities Transfer Form for private persons

		REPUBLIC OF SOUTH AFRICA COMPANIES ACT, 1973	For exchange control purposes	Form CM 42
		<b>SECURITIES TRANSFER FORM (Section 134)</b>		
1	Certificates, if any, by issuer of security.  THIS PORTION TO BE COMPLETED BY THE TRANSFERORS/ (that is, the person/s in whose name/s the securities are at present registered).  THIS PORTION TO BE COMPLETED BY THE TRANSFEREE/S (that is, the person(s) in whose name (s) the securities are to be registered) OR AGENT, EXCEPT WHERE BROKER'S TRANSFER FORMS ARE USED.	A FULL NAME OF ISSUER OF SECURITY AS SHOWN ON CERTIFICATE <b>Cape of the Good Hope Company</b>		
2		Quantity and full description of securities to be transferred	Figures <b>5020</b>	Words <b>Five thousand and twenty</b>
4			Description <b>Ordinary Stock</b>	
			Certificate(s) No.(s) <b>AB926410</b>	Distinctive number(s) (if any)
			TRANSFER FORM [in block letters insert the full name(s) of the present registered holder(s)]	
			<b>April Specimen</b>	
7			I/We the undersigned hereby transfer the above securities from the name(s) aforesaid to the person(s) named below or to the several persons named in Part B of the Broker's Transfer forms (CM 41) relating to the above security  <b>A. Specimen</b>	
			Date of signature	(Stamp of selling broker)
	8	B TRANSFER TO [in block letters insert the full name(s) and the postal address(es) of the person(s) into whose name(s) the securities are to be transferred].		
		[ transferee(s) ]		
		CONSIDERATION. - State the amount (in figures) paid for the securities. If no consideration was paid, the market value of the securities at the date of the transaction must be stated.		
		I/We request that such entries be made in the register as are necessary to give effect to this transfer.		
		Name and address or stamp of person lodging this form or stamp of buying broker (if any)		
		S.A. REVENUE STAMPS (UNLESS BROKER'S TRANSFER FORMS ARE USED) or endorsement claiming exemption in terms of the Stamp Duties Act, 1968.	*FOR USE IN REGISTERING OFFICE	

- 1 Name of the stock company
- 2 Number of shares in numbers...
- 3 ...and words
- 4 Nature of the shares
- 5 Certificate(-s) number(-s)
- 6 Name of the registered shareholder
- 7 Signature of the registered shareholder
- 8 No date

Please do **not** add any additional information (Signature confirmations, receipt stamps, etc.) on the form. Otherwise the form is **not** accepted by the registrar.

**Confirm** the signature(-s) on a **copy** of the Securities Transfer Form.

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# Transfer documents

## Guidance to fill in

### Securities Transfer Form for legal entities

		REPUBLIC OF SOUTH AFRICA COMPANIES ACT, 1973	For exchange control purposes	Form CM 42	
		<b>SECURITIES TRANSFER FORM (Section 134)</b>			
1	Certificates, if any, by issuer of security.  THIS PORTION TO BE COMPLETED BY THE TRANSFERORS/S (that is, the person/s in whose name/s the securities are at present registered).  THIS PORTION TO BE COMPLETED BY THE TRANSFEREE/S (that is, the person(s) in whose name (s) the securities are to be registered) OR AGENT, EXCEPT WHERE BROKER'S TRANSFER FORMS ARE USED.	A FULL NAME OF ISSUER OF SECURITY AS SHOWN ON CERTIFICATE <b>Cape of the Good Hope Company</b>			
2		Quantity and full description of securities to be transferred	Figures <b>5020</b>	Words <b>Five thousand and twenty</b>	
4			Description <b>Ordinary Stock</b>		
			Certificate(s) No.(s) <b>AB926410</b>	Distinctive number(s) (if any)	
			TRANSFER FORM [transferor/s] <b>Example SA</b>	[ in block letters insert the full name(s) of the present registered holder(s) ] *	
7			I/We the undersigned hereby transfer the above securities from the name(s) aforesaid to the person(s) named below or to the several persons named in Part B of the Broker's Transfer forms (CM 41) relating to the above security		
8			<b>A. Specimen A. Specimen President</b>		
			<b>J. Example J. Example Vice-President</b>		
			Date of signature	(Stamp of selling broker)	
			TRANSFER TO [ transferee(s) ]	[ in block letters insert the full name(s) and the postal address(es) of the person(s) into whose name(s) the securities are to be transferred ]. *	
LODGED FOR CERTIFICATION BY:		CONSIDERATION. - State the amount (in figures) paid for the securities. If no consideration was paid, the market value of the securities at the date of the transaction must be stated.			
		I/We request that such entries be made in the register as are necessary to give effect to this transfer.			
		Name and address or stamp of person lodging this form or stamp of buying broker (if any)			
		S.A. REVENUE STAMPS (UNLESS BROKER'S TRANSFER FORMS ARE USED) or endorsement claiming exemption in terms of the Stamp Duties Act, 1968.			
		*FOR USE IN REGISTERING OFFICE			

- 1 Name of the stock company
- 2 Number of shares in numbers...
- 3 ...and words
- 4 Nature of the shares
- 5 Certificate(-s) number(-s)
- 6 Name of the registered legal entity (shareholder)
- 7 Signature of a person authorized to sign for the legal entity (registered shareholder)
- 8 Signature of a second person authorized to sign  
**(not applicable by legal entities with a sole signing officer)**

The same persons must sign as on the resolution (but not the witness).

- 9 Names and functions in block letters
- 10 No date

Please do **not** add any additional information (Signature confirmations, receipt stamps, etc.) on the form. Otherwise the form is **not** accepted by the registrar.

**Confirm** the signature(-s) on a **copy** of the Securities Transfer Form.

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**CORPORATE RESOLUTION  
FOR SOLE SIGNING OFFICERS**

AFFIDAVIT

I, \_\_\_\_\_ hereby certify that  
(Name, Title)

I am authorized to sign alone for this Company and as such I am authorized  
to sell, assign and transfer securities registered in the name of

\_\_\_\_\_.

WITNESS my hand and seal of the Company this \_\_\_\_\_ day of \_\_\_\_\_, 20

(Corporate Seal)

\_\_\_\_\_  
Signature of Declarant

- Complete if Applicable -

I hereby certify that I am authorized to sign alone. I hereby certify that there is no  
Corporate Seal.

\_\_\_\_\_.

# Transfer documents

## Guidance to fill in

### Resolution for legal entities with sole signing officers

**CORPORATE RESOLUTION  
FOR SOLE SIGNING OFFICERS**


AFFIDAVIT

1 I, **April Specimen** **President** hereby certify that  
(Name, Title) 2

I am authorized to sign alone for this Company and as such I am authorized  
to sell, assign and transfer securities registered in the name of

**Example SA** 3

WITNESS my hand and seal of the Company this 13 day of Nov, 2005 4

5  6

*A. Specimen*  
Signature of Declarant

- Complete if Applicable -

I hereby certify that I am authorized to sign alone. I hereby certify that there is no  
Corporate Seal.

*A. Specimen* 7

118C



- 1 Name of a person authorized to sign
- 2 Grade of the person authorized to sign
- 3 Name of the registered legal entity (shareholder)
- 4 Current date
- 5 Company stamp (if available)
- 6 The person authorized to sign for the legal entity (registered shareholder), sign here...
- 7 ...and if no company stamp is available, also here

Please do **not** add any additional information (Signature confirmations, receipt stamps, etc.) on the form. Otherwise the form is **not** accepted by the registrar.

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**CORPORATE RESOLUTION  
FOR SOLE PROPRIETORSHIPS**

AFFIDAVIT

I, \_\_\_\_\_ hereby certify that  
(Name, Title)

I am the Sole Owner and Officer of this Company and as such am  
authorized to sell, assign and transfer securities registered in the name of

\_\_\_\_\_.

WITNESS my hand and seal of the Company this \_\_\_\_\_ day of \_\_\_\_\_, 20

(Corporate Seal)

\_\_\_\_\_  
Signature of Declarant

- Complete if Applicable -

I hereby certify that I am the sole signing officer. I hereby certify that there is no  
Corporate Seal.

# Transfer documents

## Guidance to fill in

### Resolution for legal entities with a sole signing officer

**CORPORATE RESOLUTION  
FOR SOLE PROPRIETORSHIPS**


AFFIDAVIT

1 I, **April Specimen** **President** hereby certify that  
(Name, Title)

I am the Sole Owner and Officer of this Company and as such am authorized to sell, assign and transfer securities registered in the name of

**Example SA** \_\_\_\_\_

WITNESS my hand and seal of the Company this 13 day of **Nov**, 2005

5  *A. Specimen* \_\_\_\_\_  
Signature of Declarant

- Complete if Applicable -

I hereby certify that I am the sole signing officer. I hereby certify that there is no Corporate Seal.

*A. Specimen* \_\_\_\_\_

118C

2  
3  
4  
6  
7

- 1 Name of the person authorized to sign
- 2 Grade of the person authorized to sign
- 3 Name of the registered legal entity (shareholder)
- 4 Current date
- 5 Company stamp (if available)
- 6 The person authorized to sign for the legal entity (registered shareholder), sign here...
- 7 ...and if no company stamp is available, also here

Please do **not** add any additional information (Signature confirmations, receipt stamps, etc.) on the form. Otherwise the form is **not** accepted by the registrar.

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# CORPORATE RESOLUTION FOR TRANSFERRING SECURITIES

I hereby certify that at a meeting of the board of directors of \_\_\_\_\_ a company organized and existing under and by virtue of the Laws of \_\_\_\_\_ held the \_\_\_\_\_ day of \_\_\_\_\_ at which said meeting a quorum was present and acting throughout, the following resolution was adopted and ever since has been and now is in full force and effect.

**"RESOLVED THAT** the (President, Vice President and the Treasurer of this Corporation, or any one of them) be and they hereby are fully authorized and empowered to transfer, endorse, buy, sell, assign and set over, and deliver any and all shares of stocks, bond debentures, notices, evidences of indebtedness, or other securities now or hereafter standing in the name of or owned by the Company and to make, execute and deliver, under the corporate seal of the Company or otherwise any and all written instruments necessary or proper to effectuate the authority hereby conferred."

I further certify that the authority hereby conferred is not inconsistent with the Charter or any by-laws or special resolutions of the Company, and that the following is a true and correct list of the officers of the Company as of the present date.

Officers:

<i>Name</i>	<i>Office</i>	<i>Signature</i>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**DATED** at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ , \_\_\_\_\_

\_\_\_\_\_  
Secretary  
(Corporate Seal if available) \*

We hereby certify that there is no Corporate Seal. \_\_\_\_\_

\*Cannot be the same person who signs the Power of Attorney

# Transfer documents

## Guidance to fill in

### Resolution for legal entities with more than one person authorized to sign

**CORPORATE RESOLUTION  
FOR TRANSFERRING SECURITIES**

1 I hereby certify that at a meeting of the board of directors of Example SA a company organized and existing under and by virtue of the Laws of Example Country held the 13 day of November 2005 at which said meeting a quorum was present and acting throughout, the following resolution was adopted and ever since has been and now is in full force and effect.

"RESOLVED THAT the (President, Vice President and the Treasurer of this Corporation, or any one of them) be and they hereby are fully authorized and empowered to transfer, endorse, buy, sell, assign and set over, and deliver any and all shares of stocks, bond debentures, notices, evidences of indebtedness, or other securities now or hereafter standing in the name of or owned by the Company and to make, execute and deliver, under the corporate seal of the Company or otherwise any and all written instruments necessary or proper to effectuate the authority hereby conferred."

I further certify that the authority hereby conferred is not inconsistent with the Charter or any by-laws or special resolutions of the Company, and that the following is a true and correct list of the officers of the Company as of the present date.

Officers:

<i>Name</i>	<i>Office</i>	<i>Signature</i>
<u>April Specimen</u>	<u>President</u>	<u>A. Specimen</u>
<u>John Example</u>	<u>Vice-President</u>	<u>J. Example</u>
_____	_____	_____
_____	_____	_____

11 DATED at Zurich this 28 day of August, 2005

12 Angela Witness \*  
Secretary  
(Corporate Seal if available)

13 We hereby certify that there is no Corporate Seal. A. Specimen J. Example

\*Cannot be the same person who signs the Power of Attorney

- 1 Name of the registered legal entity (shareholder)
- 2 Country, under whose law the legal entity is registered
- 3 Date of the last general meeting of the registered legal entity (shareholder)
- 4 Name of a person authorized to sign
- 5 Grade of the person authorized to sign
- 6 Signature
- 7 Name of a second person authorized to sign
- 8 Grade of the second person authorized to sign
- 9 Signature
- 10 Signature of a **third** person as a witness (necessary nobody who has signed before)
- 11 Current date
- 12 Company stamp (if available)
- 13 If no company stamp is available sign also here

Please do **not** add any additional information (Signature confirmations, receipt stamps, etc.) on the form. Otherwise the form is **not** accepted by the registrar.

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