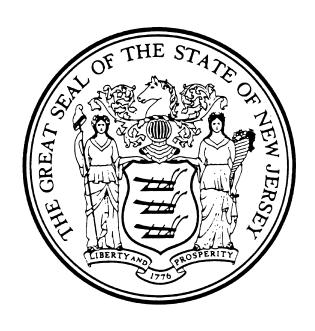
# STATE OF NEW JERSEY CASINO CONTROL COMMISSION



CASINO KEY EMPLOYEE LICENSE APPLICATION

(BASIC KEY FORM)

PERSONAL HISTORY DISCLOSURE FORM 1-B

#### **APPLICATION INSTRUCTIONS**

# PERSONAL HISTORY DISCLOSURE FORM (BASIC KEY FORM) CASINO KEY EMPLOYEE

If you are an applicant for a casino key employee license, you should be aware that the Casino Control Commission (Commission) will not accept an application from or issue a license to any person who is not a citizen of the United States or who does not possess a valid employment authorization issued by the United States Citizenship and Immigration Services (USCIS). Furthermore, the expiration date of a license issued by the Commission to any person who is not a citizen of the United States cannot exceed the expiration date of that person's USCIS employment authorization.

I. COMPLETING THIS FORM:

- A. You are to complete this application if you are:
  - 1. An applicant for a casino key employee license pursuant to N.J.S.A. 5:12-89; and -94; or
  - 2. An outside director of a holding company or any business entity required to qualify with respect to a casino licensee; or
  - 3. A trustee as defined in *N.J.S.A.* 5:12-95.12; or
  - 4. A trustee required to be qualified; or
  - 5. A beneficiary of a trust required to be qualified; or
  - Directed to do so by the Commission or the Division of Gaming Enforcement (Division).
- B. Read each question carefully prior to answering. Answer every question completely. Do not leave blank spaces. If a question does not apply to you, indicate "Does not apply" in response to that question. If there is nothing to disclose in response to a particular question, state "None" in response to that question.
- C. All entries on this form, except initials and signatures, must be typed or printed in block lettering using only dark ink. If the application is not legible, it will not be accepted.
- D. If you need additional space to answer any question(s), use the blank page provided on page 47 of this form. If you use this additional space, be sure to indicate the number(s) of the question(s) which you are answering.
- E. All attachments requested in this form are to be labeled with an exhibit number and attached to the back of the form.
- II. ESTABLISHING YOUR IDENTITY AND WORK AUTHORIZATION IN CONNECTION WITH AN APPLICATION FOR A CASINO KEY EMPLOYEE LICENSE:

All applicants for a casino key employee license must come to the Commission offices and establish their identity and employment authorization. Our offices are located at:

New Jersey Casino Control Commission Licensing Unit Arcade Building Tennessee Avenue and Boardwalk Atlantic City, New Jersey 08401

To establish your identity and employment authorization, you must present the original document(s) listed below in A or B.

- A. A current and valid U.S. passport OR Certificate of Naturalization OR a current identification card issued by the USCIS containing a photograph or fingerprint and identifying information such as name, date of birth, sex, height, color of eyes and address.
- B. If the items in II (A) above are not available, a certified copy of a U.S. birth certificate issued by a state, county or municipal authority, with an official seal, must be presented along with any one of the following authentic documents:
  - 1. A current and valid state issued driver's license that has a phot ograph and/or identifying information;
  - 2. A current and valid identification card issued to persons who serve in the U.S. military or their dependents that has a photograph and/or identifying information;
  - 3. A current and valid school identification card containing a photograph, an expiration date, the seal or logo of the issuing institution and the signature of the card holder;
  - 4. A current and valid identification card issued by a federal, state or local government agency that has a photograph and/or identifying information;
  - 5. A casino employee registration or casino key employee license for which an application was filed within the last ten years; or
  - 6. A current and valid foreign passport with a proper USCIS authorization.

NOTE: If the name on any of the provided identification is different than the name on your application, you must also provide a court ordered name change, marriage certificate or divorce decree to establish the reason for the different name.

Call (609) 441-3441 if you have any questions about identification documents.

#### III. BEFORE YOU SUBMIT THIS FORM TO THE COMMISSION, BE SURE THAT:

- A. If you are applying for a casino key employee license, you have established your identity and work authorization in accordance with Section II above and provided identification documents to the Commission and attached copies of these documents to this form.
- B. All attachments required in this form are labeled with an exhibit number and included in both the original and the photocopy.
- C. The Statement of Truth and R elease Authorization forms are notarized on the original application.

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- D. Every question has been answered completely.
- E. You initial each page of this form in the space provided.
- F. You retain a completed copy of this form for your own records.

#### IV. FILING THIS FORM WITH THE COMMISSION:

- A. Submit this form and attachments as an original and one (1) photocopy. If the photocopy is not clear, the application will not be accepted.
- B. The fee for the issuance of a casino key employee license is:
  - 1. A minimum application fee of \$750, which shall be credited to the total fee. Payment may be made by check, money order or credit card (no cash). Make your check or money order payable to the "Casino Control Fund." *Application fees are nonrefundable.*
  - 2. Payment for the efforts of the Commission and the Division on matters directly related to the applicant at hourly rates as established by the Division.
  - 3. Payment for all unusual or out of pocket expenses incurred by the Commission and the Division on matters directly related to this application; the total fees shall not exceed \$4,000.
- C. Once your application is accepted, it becomes the property of the Commission and may not be withdrawn without the permission of the Commission.
- D. If you are applying for a casino key employee license, you may be r equired to be fingerprinted. If the Commission directs you to be fingerprinted, you must be fingerprinted within thirty (30) days after you file your application with the Commission. To be fingerprinted, you must make an appointment with the Division's Identification Unit, which is located in the Arcade Building, Tennessee Avenue and Boardwalk, Atlantic City, New Jersey. Call for an appointment at (609) 441-3050. There is no charge for fingerprinting. When you arrive for your fingerprinting appointment, you must present the identification documents listed in Section II to establish your identity or you will not be fingerprinted. Failure to be fingerprinted when required shall be a bas is for the denial of your casino key employee license application.

#### V. IMPORTANT NOTICES

- A. Should you be unable to understand this form fully in English, it is your responsibility to acquire adequate means of translation.
  - Si usted no puede entender este formulario completamente en l ngles, es su responsabilidad de obtener los metodos necesarios de traduccion.
- B. All notices regarding your application will be sent to the address which you provide on this form. You must immediately notify the Commission and the Division of any change of address.
- C. Pursuant to section 86b of the Casino Control Act, failure to answer any question completely and truthfully will result in denial of your license application.

- D. Pursuant to sections 79(a)(6) and 80 of the Casino Control Act, any person who applies for and obtains a license from the Commission is required to submit to warrantless searches when present in a licensed casino hotel facility.
- E. Pursuant to section 74.1 of the Casino Control Act, information supplied to the Commission and the Division or otherwise obtained by either of them is confidential and shall not be revealed except in the course of the necessary administration of the Casino Control Act, or upon the lawful order of a court of competent jurisdiction or, with the approval of the Attorney General, to a duly authorized law enforcement agency. Pursuant to section 80 of the Casino Control Act, an applicant or licensee waives any liability of the State of New Jersey and its instrumentalities and agents for any damages resulting from any disclosure or publication in any manner, other than a willfully unlawful disclosure or publication.
- F. Pursuant to section 89b(4) of the Casino Control Act, any applicant for a casino key employee license must, prior to the issuance of such license, produce sufficient information, documentation, and assurances to meet the qualification criteria including New Jersey residency. In order for a license to remain valid, New Jersey residency must be maintained.
- G. Pursuant to 42 *U.S.C.* § 405(c)(2)(C)(i), *N.J.S.A.* 54:50-25, 42 *U.S.C.* § 666(a)(13), and *N.J.S.A.* 2A:17-56.60, the Division of Gaming Enforcement is required to obtain your Social Security number. Pursuant to these authorities, the Division of Gaming Enforcement is also obligated to provide your Social Security number to:
  - a. The Director of Taxation to assist in the administration and enforcement of any tax law, including for the purpose of reviewing compliance with State tax law and updating and correcting tax records; and
  - b. The Probation Division or any other agency responsible for child-support enforcement, upon request.
- H. Applications for the review of a casino key employee license must be filed with the Commission five months prior to the expiration date of the current license.
- I. Copies of this form and other Commission forms are available on the Internet at <a href="http://www.nj.gov/casinos/licens/info/forms.html">http://www.nj.gov/casinos/licens/info/forms.html</a> or you may request that the form(s) be mailed to you by calling (609) 441-3441.

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# CASINO KEY EMPLOYEE/QUALIFIER FORM PERSONAL HISTORY DISCLOSURE FORM (BASIC KEY FORM)

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#### PLEASE PRINT OR TYPE THE ANSWERS TO THE FOLLOWING QUESTIONS IN THE SPACES PROVIDED

NAME	: LAST (INCLUDE S	SR.,JR.,ETC, IF APPLICABLE)	FIRST			MIDDLE
	NG ADDRESS: BER AND STREET)	(APT#)	(CITY)		(STATE)	(ZIP CODE)
	E ADDRESS: (IF DIFFE BER AND STREET)	RENT THAN MAILING ADDI (APT# <sub>/</sub>			(STATE)	(ZIP CODE)
HOME (AREA	E TELEPHONE NUMBI CODE) (NUMI			TELEPHONE NUMBER (AREA CODE)	AT CURREN' (NUMBER)	T PLACE OF EMPLOYMENT: (EXTENSION)
DATE	OF BIRTH: (N	MO) (DAY) (YEAR)	HEIGHT (FT-IN)	WEIGHT (LBS)	SOCIA	L SECURITY NUMBER*:
		PLEASE	CHECK OR COMPI	ETE APPROPRIATE	SPACE _	
	HAIR COLOR:	EY	E COLOR:	SEX:**		RACE:**
	(BK) BLACK (BR) BROWN (BD) BLOND (RD) RED (GY) GRAY (WH) WHITE (BA) BALD	☐ (BR) ☐ (HZ) ☐ (BL) ☐ (GY)	BLACK BROWN HAZEL BLUE GRAY GREEN	☐ (M) MALE ☐ (F) FEMALE		(C) CAUCASIAN (B) BLACK (H) HISPANIC (A) ASIAN (N) NATIVE AMERICAN
				SCLOSURE OF YOUF		

<sup>\*\*</sup>YOUR RESPONSE IS OPTIONAL.

# DO NOT WRITE ON THIS PAGE

#### THIS PAGE FOR OFFICIAL USE ONLY

Name	
Date of Birth	
Any one of the following:	
United States Passport	Expiration Date
Certificate of Naturalization	
USCIS Identification Card	Expiration Date
Specify Status	
OR, any two of the following:	
Certified Birth Certificate	
Motor Vehicle Operator's License	Expiration Date
Jurisdiction	
U.S. Military Card	
Student Identification	
Government Identification Card	
Specify	
Commission License or Registration	
Specify	
Foreign Passport	
Country	USCIS Expiration Date
Comments:	
Authorized	hv.
Date:	by:
Dαις.	

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# **IMPORTANT**

FAILURE TO ANSWER ANY QUESTION ON THIS FORM COMPLETELY AND TRUTHFULLY WILL RESULT IN THE DENIAL OF YOUR LICENSE APPLICATION.

THE COMMISSION WILL
AFFIX A PHOTOGRAPH HERE.

	Che	eck the	appropriate statement:		
		lam	applying for a casino key employee license.	☐ I am a trustee as defined in <i>N.J.S.A.</i> 5:12-95.12	
		a b	n an outside director of a holding company or usiness entity required to qualify with respect	☐ I am a trustee required to be qualified pursuant to <i>N.J.S.A.</i> 5:12-95.13.	
		10 8	casino licensee.	I am a benef iciary of a t rust required to be qualified.	е
	NO <sup>-</sup>	ii s s t s r €	n a supervisory capacity or are empowered to imulcasting facility operations. This includes pi upervisors, casino or simulcasting facility manechnology employees, junket supervisors, mark urveillance employees, or any other natural peregulate the management of an appr oved intertainment directors and food and beverage	e operation of a licensed casino or simulcasting facility make discretionary decisions which regulate casino or bosses, shift bosses, credit executives, casino cashie nagers and managers and supervisors of information eting directors, and supervisors of casino security and son empowered to make discretionary decisions which notel; including, without limitation; hotel managers directors, or any other employee so designated by the ent with the policies of the act. ( <i>N.J.S.A.</i> 5:12-9)	r r d h
	1.	Are yo	u a citizen of the United States?	Yes ☐ No ☐	
	2.		are a naturalized citizen of the United States, a abeled as Exhibit 2.	ttach a copy of your Certificate of Naturalization to this	3
	3.	If you	are not a citizen of the United States, please ind	icate:	
		A	. The country of which you are a citizen:	· · · · · · · · · · · · · · · · · · ·	
		Е	. Place of Birth:	E COUNTY	
		C	Port of entry to the United States:		
			. Name and address of sponsor upon your ar	ival:	
4.		are aut authori identific Exhibit	horized to be employed in the Unites States, p zation number in the space provided below cation card and/or any other USCIS document	legally authorized permanent resident alien or you lease provide your USCIS "A" number or other USCIS, and at tach to this form a copy of your USCIS hat conditions or restricts your employment labeled as	3

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#### **RESIDENCE DATA**

5. Beginning with your current residence(s) and working backwards, provide the following information with respect to each place where you have lived during the past 10 years or since the age of 18, whichever is less.

DATES				
FROM: (MO/YR)	TO: (MO/YR)	ADDRESS (NO., STREET, APT., CITY, STATE, COUNTRY & ZIP CODE)	TELEPHONE NUMBER	

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#### **FAMILY/SOCIAL DATA**

6.	Circle your cui	rrent marital status: ☐ Single	☐ Marrie	ed [	☐ Civil Union ☐ L	_egally	/ separa	ted 🗌	Divorced _	Widow/Widower
	How many times have you been married or entered into a civil union?:  Provide the information listed below regarding each marriage or civil union.									
	WHEN AND WHERE	NAME OF SPOUSE OR CIVIL UNIC PARTNER AND FORMER SPOUSE(S CIVIL UNION PARTNER(S) (INCLUDE MAIDEN NAME, IF APPLICA	OR DATE	OF	IF ANNULLED, SEPARATE DIVORCED, INDICATE D AND JURISDICTION WHI SUCH ACTION WAS TAK	ATE ERE	DIVORO	ET # OF EE ACTION NOWN)	UNION PAR SPOUSE(S) OF (NO., STRE	ESSES OF SPOUSE OR CIVIL TNER AND/OR FORMER R CIVIL UNION PARTNER(S) EET, APT., CITY, STATE, NTRY, ZIP CODE)
7.	siblings, uncle	members of you and your spouses, aunts, nephews, nieces, fathemarriage, adoption or natural re	ers-in-law, m	others	s- in-law, sons-in-lav	v, brot	hers-in-			
R	ELATIONSHIP	NAME		ADI	DRESS		TE OF RTH	PHON	IE NUMBER	NAME OF PARENTS

# 7. (Cont.)

RELATIONSHIP	NAME	ADDRESS	DATE OF BIRTH	PHONE NUMBER	NAME OF PARENTS

#### **MILITARY SERVICE DATA**

8.	Have you ever served in a military organization of	of the United States or	been an active or inactive memb	per of the Reserve	Forces of the Untie	ed States?
					Yes 🗌 No	
	If yes, provide the following information:					
	Branch of Service:	Service Serial #:		Highest Rank Held:		
	Period(s) of Active Service:					
	From To		From	To		
9.	Date and type of discharge or separation (Honor	able, Dishonorable, Ho	onorable Conditions, Medical, etc	c.) from Military Se	rvice(s):	
	Date of each discharge/separation:					
	Type of discharge(s):		<del> </del>			
	Attach a copy of your military record (DD214) requesting a copy of your DD214 labeled as Exh				ropriate branch of	the military
10.	Have you ever been tried by military court martia			cle 15 of the Unifor	m Code of Military	/ Justice
	(summary court, deck court, captain's mast, com	pany punishment, etc.	)?		Yes 🗌 No	
	If yes, give details of the charge(s) and their disp	osition(s).				

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#### **EDUCATIONAL DATA**

11. Beginning with secondary school (high school), provide the information listed below with respect to each school, college, graduate or post graduate school you have attended.

DATES				
FROM: (MO/YR)	TO: (MO/YR)	NAME AND ADDRESS OF SCHOOL, TRAINING PROGRAM, ETC.	DESCRIPTION OF EDUCATION PROGRAM	LIST ANY DEGREE OR CERTIFICATION ATTAINED

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#### **EMPLOYMENT AND LICENSING DATA**

12. In the chart below, provide the informat ion regarding your employment for the past ten years or from age 18, whichever is less. Begin with your present job and work backwards. Give dates of any unemployment between jobs in proper sequence. Include all part-time and full-time e mployment and any military service. Note by means of an asterisk (\*) any gaming-related employment (such as casino gaming, horse racing, dog racing, parimutuel operation, lottery, sports betting, etc.).

DATES						
FROM: (MO/YR)	TO: (MO/YR)	NAME AND MAILING ADDRESS OF EMPLOYER(S)	TELEPHONE NUMBER	TITLE/POSITION HELD AND DESCRIPTION OF DUTIES	NAME OF SUPERVISOR	REASON FOR LEAVING
			1			ļ

13. With regard	to the previously listed employments:		
(a) Were	e you ever discharged, suspended or asked to resign frong the last 10 year period, were you ever charged with a	om employment? any infraction in	Yes □ No
relati	ion to any employment which was the subject of any dis	sciplinary action?	Yes □ No
If yes to eithe	er question, complete the following chart as to each suc	ch time you were discharged, susp	ended, asked to resign or disciplined:
DATE	NAME AND ADDRESS OF EMPLOYER	NAME OF SUPERVISOR	REASON FOR DISCHARGE, SUSPENSION, RESIGNATION OR DISCIPLINARY ACTION
			1

			n, lottery, sports betting,	•	Yes 🗌 No
f yes, complete the following cha	art:				
NAME & ADDRESS OF LICENSING (INCLUDING COUNTRY, STATE, OR MUNICIPALITY)	G AGENCY COUNTY	TYPE OF LICENSE, PERMIT, APPROVAL, OR REGISTRATION	DATE OF APPLICATION	DISPOSITION (GRANTED, DENIED OR PENDING)	LICENSE, PERI APPROVAL C REGISTRATIO NUMBER
Have you or has your spouse even		permit, or certif ication deni	ed, suspended or re vok	ked by a governmental a gen	cy in New Jere
nywhere else? (Do not include f yes, complete the following cha	·		, <b>,</b>	Yes 🗆	No
•	art: NAME & A	ADDRESS OF ENTAL AGENCY	DATE OF DENIAL, SUSPENSION OR REVOCATION	<u> </u>	No   DENIAL,
f yes, complete the following cha	art: NAME & A		DATE OF DENIAL, SUSPENSION OR	Yes  REASON(S) FOR E	No   DENIAL,
f yes, complete the following cha	art: NAME & A		DATE OF DENIAL, SUSPENSION OR	Yes  REASON(S) FOR E	No   DENIAL,

#### CIVIL, CRIMINAL AND INVESTIGATORY PROCEEDINGS

The next question asks about any arrests, charges or offenses you, your spouse or your children may have committed. Prior to a inswering this question, carefully review the definitions and instructions which follow.

**DEFINITIONS:** For purpose of this question:

- "Arrest" includes any detaining, holding, or taking into custody by any police or other law enforcement authorities to answe r for Α. the alleged performance of any "offense."
- "Charge" includes any indictment, complaint, information, summons, or other notice of the alleged commission of any "offense." В.
- "Offense" includes all felonies, crim es, high misdemeanors, misdemeanor s, disorderly persons o ffenses, petty disorderly offenses driving while intoxicated/impaired motor vehicle offenses and violation of probation or any other court order. Juvenile offenses that occurred within the most recent 10 year period are also included within the definition of "offenses."

**INSTRUCTIONS:** Answer "yes" and provide all information to the best of your ability EVEN IF: Α.

- 1. You did not commit the offense charged:
- The charges were dismissed or subsequently downgraded to a lesser charge; 2.
- You completed a Pretrial Intervention (PTI) or equivalent diversionary program in other jurisdictions: 3.
- You were not convicted:
- You did not serve any time in prison or jail; or 5.
- The charges or offenses happened a long time ago.
- B. Answer "no" IF:
  - You have never been arrested or charged with any crime or offense; 1.
  - The records relating to a charge, an arrest or conviction have been expunged or other wise officially sealed by a court or government agency; AND
  - You attach a copy of the expungment or sealing order to this application labeled as Exhibit 16. 3.

16.	Have you, your spouse,	, or any of your children	ever been arrested or charge	d with any crime or offense in Nev	w Jersey or any other jurisdiction?

Have you, your spouse, or any of your children ever b	een arrested or charged with any crime or offense in New Jersey or any other jurisdiction?	
If yes, complete the chart on the following page:	Yes No	]

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## 16. (Cont.)

NAME OF PERSON	RELATION- SHIP	NATURE OF CHARGE OR OFFENSE/ LOCATION OF WHERE INCIDENT OCCURRED	DATE OF CHARGE OR OFFENSE	NAME AND ADDRESS OF LAW ENFORCEMENT AGENCY OR COURT INVOLVED	DISPOSITION (CONVICTED, ACQUITTED, DISMISSED, PENDING, PARDONED, ETC.)	SENTENCE

17.	governme	ever been called to testify bef orental agency, court, committee, grand summons?	, been the subjury or investiga	ject of an in vestigation cor atory body (municipal, state, o	nducted by, or requeste county, provincial, federa	ed to take a poly goal, national, etc.) oth	raph exam by any er than in response
		plete the following chart:					Yes  No
		NAME AND ADDRESS OF DURT OR OTHER AGENCY	NATUR OR	E OF PROCEEDING INVESTIGATION	WAS TESTIMONY GIVEN?	DATE ON WHICH TESTIMONY WAS GIVEN	APPROXIMATE TIME PERIOD OF INVESTIGATION
18.		t ten years, have you been a party to matters, debt matters, etc.).	a lawsuit?	(Include matrimonial matters	, negligence matters, au	to acciden t matters	s, contract matters,
		plete the following chart:					Yes 🗌 No 🗌
	DATE FILED	NAME & ADDRESS OF COURT	DOCKET NUMBER	OTHER PARTIES TO SUIT	NATURE OF SUIT	DISPOSITION	DATE OF DISPOSITION

#### **VEHICLE OPERATOR DATA**

•		
FINANCIAL DATA		
List any businesses in which you have held an ownership interest for the past twenty years, o include publicly traded corporations in which you owned stock.)	or since the age of 18, which	nev er is less. (D
DATES         CURRENT         % INTEREST           FROM:         TO:         NAME(S) & ADDRESS(ES)         STATUS OF         HELD	NAME(S) OF	ADDRESS(ES) O
MO/YR) (MO/YR) OF BUSINESS(ES) BY YOU	OTHER OWNER(S)	OTHER OWNER(

21.	State when you	u filed your last Federal Inco	me Tax Return Form 1040, to what IRS Center it was sent and	the tax period it covered.
Dat	e Filed:		Period Covered:	
IRS	Office Location	:		
22.	all app last fiv Have you pers	oropriate schedules filed by yo e years, also attach a copy of onally ever been adjudicated	label as Exhibit 21, a copy of each IRS Form 1040 and Form rou in the last five years. If you and your spouse filed separate of your spouse's tax returns.	tax returns for any year in the
	DATE	ete the following chart:  DOCKET NUMBER	NAME & ADDRESS OF COURT	NAME & ADDRESS OF TRUSTEE

If yes, comp	lete for following ch	art:			Yes 🗌
DATE FILED	DOCKET NUMBER	NAME & ADDRESS OF COURT	NAME & ADDRES	SS OF FILING PARTY	NAME & ADDRESS OF TRUSTE
he past ten	vages, earnings, or o year period? lete the following ch	other income been subject to garni	shment, attachment, o	charging order, volunt	ary wage executio n or the like Yes □
he past ten	year period?	· · ·	shment, attachment, of NATURE OF OBLIGATION	charging order, volunt  AMOUNT OF OBLIGATION	_
ne past ten	year period?  lete the following ch	nart:	NATURE OF	AMOUNT OF	Yes ☐
yes, comp	year period?  lete the following ch	nart:	NATURE OF	AMOUNT OF	Yes ☐

If yes,	complete th	e following chart as	to each estate and	trust:				Yes 🗌 No
	NAME AND LO OF ESTATE/		POSITION/INTER	REST HELD	DATE(	(S) ON WHICH POSITIONS WERE HELD OR INTEREST WAS RECEIVED		T OF COMPENSATION OR NATURE AND VALUE OF ENEFIT GRANTED/RECEIVED
_		year period have you	ou had any right of o	ownership in, co	ontrol ove	er or interest in any foreign	bank acc	· · ·
_	complete th	ne following chart:	ou had any right of o	ownership in, co		er or interest in any foreign  NAME AND ADDRESS O EACH PERSON/ENTITY APPE ON THE ACCOUNT	=	· ·
If yes,	complete th	ne following chart:	ADDRESS OF	· 		NAME AND ADDRESS O EACH PERSON/ENTITY APPE	=	Yes No  PRESENT AMOUNT HELD/ AMOUNT HELD BEFORE
If yes,	complete th	ne following chart:	ADDRESS OF	· 		NAME AND ADDRESS O EACH PERSON/ENTITY APPE	=	Yes No  PRESENT AMOUNT HELD/ AMOUNT HELD BEFORE
If yes,	complete th	ne following chart:	ADDRESS OF	· 		NAME AND ADDRESS O EACH PERSON/ENTITY APPE	=	Yes No  PRESENT AMOUNT HELD/ AMOUNT HELD BEFORE

If yes,	complete the following cha	art:					Yes 🗌 No
DATE RECEIVED LOAN		D ADDRESS ENDER	NAME OF BOAND ALL CO		ORIGINAL AMOUNT OF LOAN	INTEREST RATE (%)	TERMINATION DATE OF LOAN
	the last ten year period, h		spouse or any of your c				
			spouse or any of your c	ORIGINAL AMOUNT OF LOAN	INTEREST RATE (%)	y loan in excess of the second	
If yes,	complete the following cha	art:  ALL CO-PARTIES		ORIGINAL AMOUNT	INTEREST RATE	TERMINATION DATE	Yes ☐ No
If yes,	complete the following cha	art:  ALL CO-PARTIES		ORIGINAL AMOUNT	INTEREST RATE	TERMINATION DATE	Yes ☐ No
If yes,	complete the following cha	art:  ALL CO-PARTIES		ORIGINAL AMOUNT	INTEREST RATE	TERMINATION DATE	Yes ☐ No
If yes,	complete the following cha	art:  ALL CO-PARTIES		ORIGINAL AMOUNT	INTEREST RATE	TERMINATION DATE	Yes ☐ No

DATE OF CLAIM	NATURE OF CLAIM	NAME AND ADDR INSURANCE CA		DISPOSITION
		your spouse or dependent children on exceeded \$10,000 in value in any one		whether tangible or intar
If yes, compl	lete the following chart as to e	ach gift:  DATE GIFT GIVEN/RECEIVED	DESCRIPTION OF GIFT	Yes   APPROXIMATE
If yes, compl	lete the following chart as to e		DESCRIPTION OF GIFT	_

LOCATION	DATE ACQUIRED	PURCHASE PRICE	DATE DISPOSED	DISPOSITION PRICE	
the past ten years or since the age of 1 es, complete the following chart:				Yes 🗌	
	NAT	Du received any referral or Dureceived and Durec	finder's fee in excess of \$7		
es, complete the following chart:  NAME AND ADDRESS	NAT	JRE OF GOODS OR		Yes 🗌	
es, complete the following chart:  NAME AND ADDRESS	NAT	JRE OF GOODS OR		Yes 🗌	
es, complete the following chart:  NAME AND ADDRESS	NAT	JRE OF GOODS OR		Yes 🗌	
es, complete the following chart:  NAME AND ADDRESS	NAT	JRE OF GOODS OR		Yes 🗌	
es, complete the following chart:  NAME AND ADDRESS	NAT	JRE OF GOODS OR		Yes 🗌	
es, complete the following chart:  NAME AND ADDRESS	NAT	JRE OF GOODS OR		Yes 🗌	

3. a) Do you have any bank accounts or s	Yes 🗌 No 🛭								
b) Do you have access to the funds in a	ny other bank accounts or safe deposit boxes?		Yes 🗌 No 🛭						
If yes to either question, complete the following chart:									
NAME AND ADDRESS OF BANK	NAME(S) IN WHICH ACCOUNT(S) OR SAFE DEPOSIT BOX(ES) HELD	TYPE OF ACCOUNT, (SAVINGS, CHECKING, SAFE DEPOSIT, ETC.)	ACCOUNT NO. OR SAFE DEPOSIT BOX NO.						

# **NET WORTH STATEMENT -- ASSETS AND LIABILITIES**

	NOTE: Complete	the financial statements of	n pages 30 through 45 ar	nd copy the totals in the appropriat	e space below. If using fill-in	form, total will post automatica			
34. Please list all assets, tangible	e and intangible, in which a	direct or indirect interest is	held by you,	35. Please list all liabilities of ye	35. Please list all liabilities of you, your spouse and your dependent children.				
your spouse or your depende	ent children. For each line it	em, list both the cost of the	e asset and the	Enter the amount as of the	date of this statement. Detail	each line entry			
present market values as of t	the date of this statement ur	less this cannot reasonab	ly be done, in	on the appropriate schedule	on the appropriate schedule.				
which case any special valua	tion date should be noted in	the column provided. De	tail each line		ORIGINAL AMOUNT	AMOUNT			
entry on the appropriate sche	edule.			LIABILITY	OF LIABILITY	OUTSTANDING			
	COST AT DATE	CURRENT	SPECIAL		(C)	(D)			
ASSET	ACQUIRED OR	MARKET	VALUATION	11. Notes Payable					
	PURCHASED	VALUE	DATE, IF ANY	(Schedule J)					
	(A)	(B)		12. Loans and Other					
1. Cash				Payables					
a) On Hand		a)		(Schedule K)					
b) In bank (Schedule A)		b)	b)	13. Taxes Payable					
2. Notes Receivable				(Schedule L)					
(Schedule B)				14. Mortgages or Liens on					
3. Loans and Other				Real Estate					
Receivables				(Schedule M)					
(Schedule C)				15. Loans Against					
4. Securities				Insurance/Pensions					
(Schedule D)				(Schedule N)					
5. Real Estate Interests				16. Other Indebtedness					
(Schedule E)				(Schedule O)					
6. Cash Value Life Insurance				TOTAL LIABILITIES					
(Schedule F)				NET WORTH					
7. Cash Value Pension/				Total Assets					
Retirement Funds				(From Column B) less					
(Schedule G)				Total Liabilities					
8. Furniture and Clothing				(From Column D)					
(Reasonable Estimate)				17. Contingent Liabilities					
9. Vehicles				(Schedule P)					
(Schedule H)									
10. Other (Schedule I)				Date of Statement		<del></del>			
				Please provide the name, addre	•	•			
TOTAL ASSETS				completing this statement if it is		than you.			
				Name					
	<u> </u>			Address		<del></del>			
				Phone		·····			

#### **SCHEDULE "A" - CASH IN BANK**

36. List below all bank accounts (checking, savings, time deposits, certificates of deposit, money market funds, etc.) foreign and domestic, maintained by you, your spouse or dependent children. Identify with an as terisk (\*) any check writing accounts held with brokerage houses , insurance companies, etc.

NAME AND ADDRESS OF INSTITUTION	NAME OF PERSON(S) AND TAX IDENTIFICATION NUMBER(S) APPEARING ON ACCOUNT	ACCOUNT NUMBER	INTEREST RATE (%)	GENERAL NATURE OF ACCOUNT	DATE OF BALANCE	BALANCE
						\$
						TOTAL CURRENT BALANCE (Enter this figure
						in item 1b, column B on page 29.)

## **SCHEDULE "B" - NOTES RECEIVABLE**

37. List below all notes receivable held by you, your spouse or dependent children.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	NAME AND ADDRESS OF DEBTOR	INTEREST RATE (%)	ORIGINAL LOAN AMOUNT	ORIGINAL DATE OF LOAN	TOTAL	DATE DUE	NATURE OF SECURITY, IF ANY, INDICATE IF UNSECURED	CURRENT BALANCE
			\$TOTAL ORIGINAL					\$TOTAL CURRENT
			LOAN AMOUNT(S) (Enter this figure in item 2, column A on page 29.)					BALANCE (Enter this figure in item 2, column B on page 29.)

# **SCHEDULE "C" - LOANS AND OTHER RECEIVABLES**

38. List below all loans and other receivables held by you, your spouse or dependent children.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	NAME AND ADDRESS OF DEBTOR	INTEREST RATE (%)	ORIGINAL LOAN AMOUNT	ORIGINAL DATE OF LOAN/ RECEIVABLE	TOTAL	DATE DUE	NATURE OF ADVANCE	CURRENT BALANCE
			\$TOTAL ORIGINAL					\$ TOTAL CURRENT
			LOAN AMOUNT(S) (Enter this figure in item 3, column A on page 29.)					BALANCE (Enter this figure in item 3, column B on page 29.)

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#### **SCHEDULE "D" - SECURITIES**

39. Provide the information in the table below for all stocks, bonds, mutual funds, commodity accounts, options, warrants, etc., held or controlled by you, your spouse or dependent children. Whenever interest exis ts through a mutual fund or holding company, the individual stocks or bonds held by such mutual fund or holding company need not be listed; whenever such interest exists through a beneficial interest in a trust, the securities held in such trust shall be listed if you, your spouse or dependent child ren have knowledge of what securities are so held. INDICAT E PUBLICLY TRADED SECURITIES BY AN ASTERISK(\*).

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	NUMBER OF SECURITIES OR CONTRACTS HELD	TYPE OF SECURITY	NAME OF ISSUING COMPANY OR GOVERNMENT AGENCY	MARKET VALUE AT TIME OF ACQUISITION	DATE OF AND PRICE AT PURCHASE	% OF OWNERSHIP IF GREATER THAN 5%	REGISTERED OWNER	DATE OF VALUATION	CURRENT MARKET VALUE
					\$TOTAL PURCHASE				\$ TOTAL CURRENT
					PRICE (Enter this figure in item 4, column A on page 29.)				MARKET VALUE (Enter this figure in item 4, column B on page 29.)

#### **SCHEDULE "E" - REAL ESTATE INTERESTS**

40. Indicate below the location, size, general nature, acquisition date and other information requested regarding any real property in which any direct, indirect, vested or contingent interest is held by you, your spouse or dependent children, along with the names of all individuals or entities who share a direct, indirect, vested or contingent interest therein.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	ADDRESS PARCEL/LOT NUMBER	LOT SIZE/ SQUARE FOOTAGE OF BUILDING	TYPE OF PROPERTY	DATE ACQUIRED	INDIVIDUALS OR ENTITIES SHARING INTEREST (INCLUDE % OF OWNERSHIP FOR EACH)	PURCHASE PRICE OF % OWNED	MONTHLY RENTAL INCOME, IF ANY	ESTIMATED MARKET VALUE OF % OWNED
						TOTAL PURCHASE PRICE (Enter this figure in item 5, column A on page 29.)		TOTAL CURRENT MARKET VALUE (Enter this figure in item 5, column B on page 29.)

### **SCHEDULE "F" - CASH VALUE - LIFE INSURANCE**

41. Indicate below the information requested with regard to the cash value of all life insurance policies held by you, your spo use or your dependent children.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	DATE PURCHASED	INSURANCE CARRIER	POLICY NUMBER	BENEFICIARY(IES)	FACE VALUE	ANNUAL PREMIUM PAYMENTS	CASH SURRENDER VALUE
							\$
							TOTAL CASH SURRENDER VALUE (Enter this figure in item 6, column B on page 29.)

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#### SCHEDULE "G" - CASH VALUE - PENSION/RETIREMENT FUNDS

42. Indicate below the information requested with regard to the ca sh value of all pension funds held by you or your spouse. In clude IRA, 401K and KEOGH plans.

CHECK IF HELD BY SPOUSE	TYPE OF FUND	TYPE OF SECURITIES HELD	EMPLOYER/ INSTITUTION	ACCOUNT NUMBER, IF ANY	CUMULATIVE EMPLOYEE CONTRIBUTION	CUMULATIVE EMPLOYER CONTRIBUTION	CURRENT CASH VALUE
					\$		\$
					TOTAL CUMULATIVE EMPLOYEE CONTRIBUTION (Enter this figure in item 7, column A on page 29.)		TOTAL CURRENT CASH VALUE (Enter this figure in item 7, column B on page 29.)

## **SCHEDULE "H" - VEHICLES**

43. Indicate below the information requested with regard to all vehicles owned or leased by you, your spouse, or your dependent children.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	TYPE OF VEHICLE	SPECIFY IF OWNED OR LEASED*	DATE OF PURCHASE/ LEASE	MODEL YEAR	MAKE/ MODEL OF VEHICLE	COST**	IF OWNED, CURRENT MARKET VALUE
						\$	\$
payments and	cify in this column the ler d number of payments or er the sum of the down p	TOTAL COST(S) OF VEHICLES (Enter this figure in item 9, column A on page 29.)	TOTAL CURRENT MARKET VALUE OF VEHICLES (Enter this figure in item 9, column B on page 29.)				

# **SCHEDULE "I" - OTHER ASSETS**

44. List below the information requested with regard to all other assets held by you, your spouse or your dependent children. Include such things as sole proprietorships, partnership interests, joint ventures, art collections, coin collections, antiques, etc.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	NATURE OF ASSET	DATE OF ACQUISITION	COST	% OF OWNERSHIP INTEREST	DATE OF VALUATION	CURRENT MARKET VALUE
			\$ TOTAL COST(S)			\$TOTAL CURRENT MARKET VALUE
			OF OTHER ASSETS (Enter this figure in item 10, column A on page 29.)			OF OTHER ASSETS (Enter this figure in item 10, column B on page 29.)

## **SCHEDULE "J" - NOTES PAYABLE**

45. List below the information requested with regard to all notes payable for which you, your spouse or dependent children are obligated.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	NAME & ADDRESS OF CREDITOR	ACCOUNT NUMBER, IF ANY	DATE	DUE DATE	INTEREST RATE (%)	AMOUNT OF PERIODIC PAYMENT/ PAY PERIOD	ORIGINAL AMOUNT OF NOTE	NATURE OF SECURITY, IF ANY	TOTAL PAYMENTS	OUTSTANDING AMOUNT OF LIABILITY
							\$			\$
							TOTAL ORIGINAL AMOUNT OF NOTES PAYABLE (Enter this figure in item 11, column C on page 29.)			TOTAL AMOUNT OF OUTSTANDING NOTES PAYABLE (Enter this figure in item 11, column D on page 29.)

#### **SCHEDULE "K" - LOANS AND OTHER PAYABLES**

46. List below the information requested with regard to all accounts payable (include lines of credit, installment loans, any other accounts) for which you, your spouse or your dependent children are obligated.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	NAME & ADDRESS OF CREDITOR	ACCOUNT NUMBER, IF ANY	DATE OPENED OR INCURRED	DUE	INTEREST RATE (%)	NATURE OF ACCOUNT	ORIGINAL AMOUNT OF LIABILITY	NATURE OF SECURITY, IF ANY	TOTAL	CURRENT AMOUNT OUTSTANDING
							\$			\$
							TOTAL ORIGINAL AMOUNT OF			TOTAL AMOUNT OF OUTSTANDING LOANS AND
							LIABILITY (Enter this figure in item 12, column C on page 29.)			OTHER PAYABLES (Enter this figure in item 12, column D on page 29.)

### **SCHEDULE "L" - TAXES PAYABLE**

47. List below the information requested with regard to all taxes payable for which you, your spouse, or your dependent children are obligated. Only real estate and income taxes need to be included.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	TAXING AUTHORITY	NATURE OF TAX	DATE AND AMOUNT OF ORIGINAL OBLIGATION	FINES, PENALTIES AND INTEREST, IF ANY	TOTAL AMOUNT DUE
			\$TOTAL ORIGINAL		\$ TOTAL AMOUNT
			TAX OBLIGATION(S)		OF TAXES PAYABLE
			(Enter this figure in item 13, column C on page 29.)		(Enter this figure in item 13, column D on page 29.)

## SCHEDULE "M" - MORTGAGES OR LIENS PAYABLE ON REAL ESTATE

48. List below the information requested with regard to all mort gages or liens payable on real estate for which you, your spouse or your dependent children are obligated.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	NAME AND ADDRESS OF MORTGAGEE OR LIEN HOLDER	ACCOUNT NUMBER	DATE	ORIGINAL AMOUNT OF LIABILITY	DESCRIPTION/ ADDRESS OF REAL ESTATE	TERM OF MORTGAGE/ INTEREST RATE (%)	AMOUNT OF PERIODIC PAYMENT/ PAY PERIOD	CURRENT MORTGAGE BALANCE
				\$				\$
				TOTAL ORIGINAL MORTGAGES OR LIENS PAYABLE ON REAL ESTATE (Enter this figure in item 14, column C on page 29.)				TOTAL MORTGAGES OR LIENS PAYABLE ON REAL ESTATE (Enter this figure in item 14, column D on page 29.)

## SCHEDULE "N" - LOANS AGAINST INSURANCE/PENSION PLANS

49. List below the information requested with regard to all loans against life insurance policies, pension plans, 401K plans, e tc., taken by you, your spouse or your dependent children.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	INSURANCE CARRIER/ PENSION PLAN	PURPOSE OF LOAN	ORIGINAL AMOUNT OF LOAN	INTEREST RATE (%)	DATE OF LOAN	PERIODIC PAYMENT AMOUNT/ PAY PERIOD	CURRENT LOAN BALANCE
			\$ TOTAL ORIGINAL				\$TOTAL AMOUNT
			IOTAL ORIGINAL LIABILITY INSURANCE/ PENSION LOANS (Enter this figure in item 15, column C on page 29.)				OUTSTANDING INSURANCE/PENSION LOANS (Enter this figure in item 15, column D on page 29.)

## **SCHEDULE "O" - ANY OTHER INDEBTEDNESS**

50. List below the information requested with regard to any other indebtedness, including rent, for which you, your spouse or your dependent children are obligated.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	NAME AND ADDRESS OF CREDITOR	INTEREST RATE (%)	DESCRIPTION OF LIABILITY, TYPE OF OBLIGATION AND NATURE OF SECURITY, IF ANY	DUE DATE	AMOUNT OF PERIODIC PAYMENT/ PAY PERIOD	ORIGINAL AMOUNT OF LIABILITY	OUTSTANDING AMOUNT OF INDEBTEDNESS
						\$	\$
						TOTAL ORIGINAL AMOUNT OTHER INDEBTEDNESS (Enter this figure in item 16, column C on page 29.)	TOTAL AMOUNT OUTSTANDING OTHER INDEBTEDNESS (Enter this figure in item 16, column D on page 29.)

## **SCHEDULE "P" - CONTINGENT LIABILITIES**

51. List below the information requested with regard to all contingent liabilities for which you, your spouse or your dependent children are obligated.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	NAME AND ADDRESS OF CONTINGENT CREDITOR	DATE INCURRED	ACCOUNT NUMBER	PRIMARY DEBTOR	DESCRIPTION OF OBLIGATION INCLUDING NATURE OF SECURITY, IF ANY	ORIGINAL AMOUNT OF CONTINGENT OBLIGATION	CURRENT AMOUNT OF CONTINGENT OBLIGATION
						\$	\$
						TOTAL ORIGINAL CONTINGENT LIABILITIES (Enter this figure in item 17, column C on page 29.)	TOTAL AMOUNT OF OUTSTANDING CONTINGENT LIABILITIES (Enter this figure in item 17, column D on page 29.)

marriage, adoption or natural relationship.) REFERENCE ONE Name \_\_\_\_\_ Business Address \_\_\_\_\_ Address \_\_\_\_\_ Telephone No. \_\_\_\_\_ Occupation \_\_\_\_ How long have you known the reference? REFERENCE TWO Business Address \_\_\_\_\_ Name \_\_\_\_\_ Address \_\_\_\_\_ Telephone No. \_\_\_\_\_\_ Occupation \_\_\_\_\_ How long have you known the reference? REFERENCE THREE Name \_\_\_\_\_ Business Address Address \_\_\_\_\_ Telephone No. \_\_\_\_\_ Occupation \_\_\_\_ How long have you known the reference?

52. Provide the names and other info rmation requested of three (3) re ferences over the age of 18 who have known you for at least one year and can attest to your good character and reputation. No person can be a reference who is a member of your family. (Spous e, parents, grandparents, children, grandchildren, siblings, uncles, aunts, nephews, nieces, fathers-in-law, mothers-in-law, sons-in-law, daughters-in-law, brothers-in-law and sist ers-in-law whether by whole or half blood, by

IDENTIFY A	LL ANSWERS	BY ORIGINAL	QUESTION N	UMBERS

53. As indicated in the instructions on page 2 of this form, this page is to be used by you for any

## **STATEMENT OF TRUTH**

STATE OF		
	SS:	
COUNTY OF:		
(PRINT NAME)		_, being duly sworn
according to law deposes and says:		
according to law deposes and says.		
1. I am the applicant who is submitting this	application form.	
2. I personally supplied the information con	tained in this form.	
<ol> <li>I understand and read the English langua and record the answer to each and every</li> </ol>		, explain
<ol> <li>I swear (or affirm) that the foregoing state any of the foregoing statements made by</li> </ol>		
. ,	, ,	
DATED:		(LEGAL SIGNATURE)
DATED:	(Signature of Applicant)	(LEGAL SIGNATURE)
Subscribed and sworn to		
before me this	dav	
of , 20		
,,	<del></del>	
NOTARY PUBLIC	<del></del>	STATE

#### **DECLARACION DE VERDAD**

ESTADO I	DE:	SS:				
CONDAD	O DE:					
do o o		, s	siendo debidamente jurado			
	o a la ley depone y dice:					
1.	Yo soy el solicitante quien esta sometien	do esta planilla.				
2.	Yo suministre personalmente la informac	ion contenida en esta p	olanilla.			
3.	<ol> <li>Yo entiendo y leo Inglis, o e tenido un interprete leer, explicar y notar las contestaciones de cada y una pregunta en esta planilla.</li> </ol>					
4.	Yo juro (o afirmo) que las declaraciones o conocimiento que si algunas de las decla cionalmente falsas, estoy sujeto a un cas	araciones echas por mi				
DATED:			(LEGAL SIGNATURE)			
	(Sign	nature of Applicant)	(			
Subscribe	d and sworn to					
before me	thisd	lay				
of	, 20					
		_				
	NOTARY PUBLIC		STATE			
	NOTARY PUBLIC		SIAIE			
DUD4D 00	11111		Initiala			

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## **RELEASE AUTHORIZATION**

	Educational Institutions, E	Banks, Financial and O	e Service Boards, Employers, ther Such Institutions, and All thout exception, both foreign and
	I,	DDINT NAME)	, have authorized the
	(1	PRINT NAME)	
New Jersey Casino Control Commission and the New Jersey Division of Gaming Enforcement to conduct a full investigation into my background and activities.			
Therefore, you are hereby authorized to release any and all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the Division of Gaming Enforcement or the Casino Control Commission, provided that he or she certifies to you that I have an application pending before the Casino Control Commission or that I am presently a licensee, registrant or other person required to be qualified under the provisions of the Casino Control Act.			
to the o	This authorization shall sup contrary.	ersede and countermand	any prior request or authorization
A photostatic copy of this authorization will be considered as effective and valid as the original.			
DATED:	······································	(Signature of Applicant)	(LEGAL SIGNATURE)
Subscribed and	d sworn to		
before me this		day	
of		, 20	
NOTARY PUBLIC			STATE
PHD1B-201411			Initials

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